1 CIVIL ACTION NO. 3-99CV1154-M 2 IN THE UNITED STATES DISTRICT COURT 3 FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION 4 5 6 PRINTING RESEARCH, INC. and HOWARD W. DEMOORE, Plaintiffs 7 WILLIAMSON PRINTING CORPORATION, BILL L. DAVIS and JESSE'S. WILLIAMSON, 8 Defendants. 9 10 ORAL AND VIDEOTAPED DEPOSITION OF JOHN W. BIRD September 12, 2000 18 19 A certified transcription product of . . . 20 21 Reporting Services, Inc. 22 Court Reporters & Videographers 23 24 5050 Quorum Drive, Suite 700 Dallas, Texas 75240-6727 EXHIBITS IN ORIGINAL 25 **SEPARATE BINDER** 

1 ANSWERS AND DEPOSITION OF JOHN W. BIRD, a witness produced on behalf of Defendants, taken in the above styled and numbered cause at 10:22 A.M. on the 12th day of September, 2000, before Tami L. Slater, Certified Shorthand Reporter, Certificate No. 7383, in and for the State of Texas, recorded and transcribed utilizing computerized stenography and transcription, taken at the Law Offices of Worsham Forsythe Woolridge, L.L.P., 1601 Bryan Street, 30th Floor, located in the City of Dallas, 10 County of Dallas, State of Texas, pursuant to Notice of **1**11 Deposition of John W. Bird and in accordance with the Ш 12 agreement hereinafter set forth. ૈર્યું 13

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<u>_</u>
·[71 2
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تاريخ
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₫13
m
          Mr. Jess Williamson, Williamson Printing

    14

               Corporation .
[]15
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خعؤ
<u>1</u>6 ايُــ
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17
         Mr. David Douglas, Printing Research, Inc.
 18
         Mr. John Fogg, Videographer
 19
 20
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1
                      PROCEEDINGS:
  2
                     (Deposition Exhibit Nos. 1 through 17
  3
                    marked.)
  4
                    THE VIDEOGRAPHER:
                                        The time is 10:22 a.m.
     We're on the record.
                                                                 10:22
  6
                           JOHN W. BIRD,
  7
     having been first duly sworn, testified as follows:
  8
                    MR. PINKERTON: Do you want us to give on
  9
     the record appearances?
_10
                    THE REPORTER:
                                  Sure.
                                           That would be
11
     great.
1 2
                    MR. PINKERTON: Okay. I'm John Pinkerton
    with Worsham Forsythe & Woolridge representing the
    Defendants.
                                                                 10:23
                    MR. FALK: Bob Falk of Falk & Fish
16
    representing the Defendants.
417
                    MR. SWEENEY: Marty Sweeney of Cozen and
 18
    O'Connor representing the Plaintiffs.
 19
                    MR. WILSON: Steve Wilson with
                                                                 10:23
    Plaintiffs.
 20
 21
                    MR. HARRIS: William D. Harris, Jr.,
 22
    commonly known as Bill Harris, Plaintiffs' counsel.
 .23
                    Do you want a round-robin of persons
 24
    present here just to make sure to get a good list?
 25
                    MR. PINKERTON: We can do that.
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```
Bill, why don't you go ahead?
  1
  2
                     MR. DAVIS: I'm Bill Davis with
     Williamson Printing Company, the Defendant.
                    MR. JERRY WILLIAMSON:
                                            Jerry Williamson
     with Williamson Printing Corporation.
  6
                    MR. JESSE WILLIAMSON:
                                             Jesse Williamson
     with Williamson Printing Corporation.
  8
                    MR. RENDLEMAN: Ron Rendleman with
     Printing Research.
                    MR. DOUGLAS: David Douglas with Printing
                                                                  10:23
     Research.
                    MR. DEMOORE: Howard DeMoore, Printing
    Research.
14
                             EXAMINATION
M15
    BY MR. PINKERTON:
<sup>1</sup>-16
               Mr. Bird, would you tell us your full name,
17
    please.
               John William Bird.
 18
          Α.
 19
               Where do you live?
          Ο.
                                                                  10:24
 20
               In Connecticut.
          Α.
 21
               And what's your present residence address?
 22
               It is 9 Sasqua Trail, Weston, Connecticut.
 23
          Q.
               Mr. Bird, is it correct that you have appeared
    here today voluntarily at the request of counsel for
                                                                  10:24
    both parties in this case, both myself and counsel for
```

10: the Plaintiffs? Α. Yes. 2 3 MR. HARRIS: Let the record show that we have made no request for Mr. Bird's appearance. 10:24 written a letter that indicated we understood that you were having him come. 7 And we are happy to see him, and we have agreed with you something about defrayal of expenses and travel and so on. We've done that. But we have not 10:24 noticed the witness as a deponent, and he is not our — □ □ 11 witness. **I**12 MR. PINKERTON: Well, we -- we did notice ₫13 the witness, but would you agree with me, Mr. Harris, **a** 14 that you and I both agreed that I would contact Mr. Bird U:15 10:-25 to see if he would come to Dallas voluntarily for the ¹√16 purposes of taking his deposition? <u></u> 17 MR. HARRIS: I did agree with you that that would be fine because I certainly wouldn't have wanted to have to pay when you noticed his deposition up 19 10:25 in the east. 20 MR. PINKERTON: Okay. 21 (BY MR. PINKERTON) And so you have -- you 22 have come here today, again, pursuant to the request and 23 pursuant to the discussions that just -- Mr. Harrıs had 2.4 10:.

25

talked about?

		1	7
.1	A.	Yes.	10:25
2	Q.	Okay. What is your present occupation,	
3	Mr. Bird?		1
4	Α.	President of JB Machinery Incorporated.	
5	Q.	And where is JB Machinery Incorporated?	10:25
6	Α.	At 9 Sasqua Trail, Weston, Connecticut.	
7.	Q.	What is the business of JB Machinery?	
8	Α.	It is in the business of selling equipment to	
9	the print	ing industry.	
<b>_</b> 10	Q.	How many years of experience do you have in	10:2€
111 1411	the print	ing industry?.	
1 2 3 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	Α.	Approximately 35.	,
13°	Q.	And that would have been starting	
, T	approxima	tely when?	
15	Α.	1960.	10:26
15	Q.	We've got your CV, your curriculum vitae.	
<b>□</b> 17	·	MR. PINKERTON: And for the record, this	
18	is Defend	ant's Exhibit Bird 1, and it was produced as	-
19	W000944.		
20	Q.	(BY MR. PINKERTON) Let you take a look at	10:26
21	Exhibit 1	, please, Mr. Bird.	
22	;	(Documents handed to witness.)	
23	Α.	(Witness reviews documents.)	!
24	Q.	Can you identify that for us, please?	
25	A.	That is my curriculum vitae up to my leaving	10:27

1	United Kingdom.	10.
2	Q. Okay. So we need to complete it from what,	
3		
4	A. January 1982 through today.	
5	Q to today, okay.	10:27
6	MR. HARRIS: Can you read back the	
7	answer, please? I've got my ears turned wrong, and Mr.	
8	Bird was speaking rather softly and effectively, but I	
9	didn't get it.	
ᆿ 10	THE REPORTER: Yes, sir.	
13 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	(Record read by reporter.)	
1 2 1 3 1 4 1 5 1 6 1 6 1 6 1 6 1 6 1 6 1 6 1 6 1 6	MR. HARRIS: Thank you.	]
13 13	Q. (BY MR. PINKERTON) First of all, where did	
14	you grow up, Mr. Bird?	,
15	A. In London, England.	10:27
16	Q. And were you educated in England?	
17 17	A. Yes.	
18	Q. Okay. What degrees do you have?	1
19	A. I don't have any formal degrees.	
20	Q. Okay.	10:28
21	A. I have no, I don't have any formal degrees.	
22	Q. What formal educational training have you had?	
23	A. Attended the London College of Printing	
24	through '60-'65 and obtained a Certificate of Advanced	
25	Ink Technology.	10:_

		7
1	Q. Advanced ink technology?	10:28
2	A. Yes.	
3	Q. Any other degrees?	
4	A. No.	
5	Q. Okay. If you would, please, just go through	10:28
6	your CV, these different companies that are indicated	
7	here that you worked for, and tell us generally what you	
. 8	did at those companies.	
9	A. Ault & Wiborg Limited was a printing ink and	
10 Ç	roller manufacturer. I joined that corporation with a	10:28
至 11 1	view to, hopefully, being transferred into the	
1 2 II	laboratory where I had ambitions to become a chemist, an	
\d 13	ink chemist, and was able to achieve those goals by the.	
<u></u>	time I was 19 years of old of age.	
	And in 1965, left that corporation as a	10:29
<u>j1</u> 6	development chemist to join a corporation called Sericol	
<b>型</b> 7	Group. Sericol Group is a corporation that manufactures	
18	screen printing inks and was responsible for the	
19	development of both coating and drying techniques for	
20	the as well as ink technology, all related to screen	10:29
21	printing.	
22	I should add that my Ault & Wiborg	
23	experience was related to litho and letter press	•
24	printing inks.	
25.	I joined McCorquodale Plastics/Associated	10:29

10:

Trapinex Limited as a works chemist for the corporation 10 with views to developing screen printing inks and litho inks for the manufacturer of plastic signs and credit cards and was promoted to works manager by -- by the time I left that corporation. 10:30 6 I had joined and was a partner in a corporation called Print Dimensions between '74 and '77 where we were manufacturing specialists, three-dimensional plastic products that we were having litho printed, and left their employ to join some very 10 10:30 **5**11 good friends and associates that I'd known for a number **1** 1 2 of years to start up a drying specialist corporation in "નું 1 3 England, which we named Colordy Limited. T.14 I was a founding partner of that company, 位15 and our speciality was to promote, to develop both 10:31 drying for litho screen printing and any allied <u>16</u> 二17 applications of drying systems. 18 At that point in time, drying was not a . 19 buzz word in the industry, and we thought of ourselves 20 as very cutting edge corporation [sic]. 10:31 21 Q. What products did you actually -- what drying products did you actually develop at Colordy? 2.3 We -- we developed what is sometimes called -called "UV drying system." We developed that system up 24

for -- for use in litho presses as well as screen

25

10:33

And we pioneered infrared drying in litho printing. 10:32 presses. 3 In fact, we were the first to install infrared drying equipment, shortwave infrared drying 4 equipment, in the UK at that time. 10:32 Was this drying equipment that you designed 6 7 and had manufactured, or was it designed and 8 manufactured by you? 9 We -- we were always, as a -- as a three-man Α. partnership, we were always -- discussed and developed 10:32 型11 within the corporation the needs and the requirements <u>+1</u>2 and always had engineers that would actually make what ≒્રો 3 it was that we felt we needed to have. I am not an **1711 4** engineer and don't pretend to be. **1**5 Q. Okay. Were those products then made by some 10:32 M 6 النيؤ company other than your company? **1**7 Α. We had product made outside. We did have our 18 own engineers, but essentially, we drove the -- the manufacture of that equipment and the design of that 19 equipment through the requirements of what we perceived 20 10:33 the market needed and our customers needed. 21 22 Q. Okay. Would you bring us up to date, then, go 23 ahead and complete your CV from 1982 up to the present? 24 Just give us the companies --

25

Α.

Highlights.

	•	
1	Q yes the companies that you worked for	10
2	and some of the highlights of each of those companies.	
3	. A. In 1982, I came to the United States, and	
4	within a year, I formed my own corporation, which was a	
5	partnership with a Swedish company. And we named that	10:33
6	company Cotordry.	
. 7	That company was set up to sell a Swedish	
8	infrared drying system or set of drying systems. It was	
9	also set up to sell my old English company's UV drying	
<b>1</b> 0	systems.	10:34
= 1111 11	And we, after a short period, started to	
1112 1112	develop our own coating systems so that our coating	
<u>1</u> 13	systems were made in the United States, and the drying	
. 14 <u>-</u>	systems were made overseas in Europe.	
15 15	Q. How long were you with that company?	10:34
÷_j16	A. I owned and operated that company through	
☐ <u></u> 17	'83 through '91.	
18	Q. '83 through '91. And what was the name of the	
19	company?	
20	A. Cotordry, C-O-T-O-R-D-R-Y.	10:34
21	Q. Would you describe the coating systems that	
22	you developed at Cotordry?	
23	A. We developed a three-roll coating system that	
24	was based on a Jim Lester patent out of Canada, the	
25	principle of which I was very excited about and thought	10:
i	·	

was a very, very good system. 10:35 2 We took that system, developed it for 3 application at the end of litho presses with -- with Jim Lester's consent. 4 And we took that from being a 10:35 5 straightforward blanket coater, which was the 6 state-of-the-art at that time, and took it to coating at both the plate and the blanket cylinder of a litho press so that the -- the question of register, which had 9 <u>\_</u>10 always been a problem in the coating industry, would be 10:35 resolved since there would be the ability to -- to register from that position. Was this a retractable coater? It was a retractable, or sometimes called "rack-back" --10:35 Okay. Q. -- type coater. 18 Was a patent obtained on that coater? 19 The -- a patent was obtained on that coater as 10:36 a plate and blanket application, yes. 21 Okay. Would you identify, if you would, Q. 22 please, from a group of patents that I'll give you, 23 which patent -- patent or patents applied to that coater 24 that you just described. 25 10:36 (Documents handed to witness.)

```
1
                     MR. PINKERTON:
                                      And for the record, let
     me -- let me just for the record, Bird 7 is the 556
     Patent, Bird 8 is the 903 Patent, Bird Exhibit 9 is the
  3
     070 Patent, and Bird 10 is the 992 Patent.
 • 5
                     (Documents handed to witness.)
                                                                    10:37
  6
                     MR. HARRIS: What was your number on the
  7
     Bird 9?
  8
                     MR. PINKERTON:
                                      Let's see, Bird 9 -- let
  9
    me see it, Mr. Bird.
<u>_</u>10
                     (Documents handed to counsel.)
                                                                   10:37
<u>逼</u>
山1 1
                                      070.
                     MR. PINKERTON:
                     MR. HARRIS: All right.
                                               Thank you.
1 3
0 3
0 1 4
  3
                    MR. PINKERTON: You're welcome.
                     (Documents handed to witness.)
10:37
          Α.
               The patent number here 4,796,556 granted Jan
    10/89 is the patent that we're referring to.
    adjustable coating and printing apparatus.
 18
               (BY MR. PINKERTON) And that is exhibit what?
 19
               That is Exhibit Bird 7.
 20
                                                                   10:38
               Okay. All right. So that's the only patent
 21
    out of the group of four that I gave you that applied to
    that three-roll coating system?
 23
               Correct.
 24
               Okay. Okay. That three-roll coating system,
 25
    was it sold by coat and dry -- Cotordry?
                                                                   10:
```

		7
	A. It was sold by Cotordry, yes.	10:38
	Q. And did it have a name or some kind of a	
	3 number?	<b>,</b>
	A. We called it a Plate/Blanket Coater.	
	Q. Okay. Any other coating systems that you	10:38
	6 developed at Cotordry?	
	7 A. No.	
	Q. Okay. So in 1991, where did you go to work	
	9 then?	
1	A. In '91, I went to work with Printing Research	10:39
	Incorporated. And	
	Q. Okay. That's the Plaintiff in this lawsuit?	
1	A. Yes, it is.	
(T)	Q. Okay.	
	A. I was brought on board as a consultant with	10:39
ا الجِما	· - 1	
	'92. In January '92, I was made a an employee and	
<b>∳</b> ≛ 1 8	served from '92 through ninety January '97.	
1 9	Q. Okay. Prior to the time that you came to work	
2 (	for Printing Research, did you obtain patents on other	10:39
2 1	devices or products other than the one patent that you	
2.2	have referred to here as Exhibit 7?	
23	A. Would you mind repeating that?	
2 4	Q. Did you have other patents that were issued to	
25	you or a company that you owned other than Exhibit 7	10:40
•	·1	

that we've talked about? 10. 2 Α. Yes. Okay. Before you came to work for Printing 3 Research? 5 Α. Yes. Yes. 10:40 6 Okay. Take a look at the exhibits before you. Q. Are any of those those patents? 8 Yes. Yes, they are. Α. 9 Okay. Would you just identify the patent Q. number and the exhibit number and tell us what those 10:40 patents -- what products those relate to and when they were developed? Exhibit No. Bird 8, Patent No. 4,841,903, Α. £ issued June 27, '89, was for an interstation drying system which was based on the use of hot air to drive 10:40 H16 moisture away from the -- from the sheet, the printed **=**17 sheet. 18 Q. Okay. Now, when was -- did that relate to a 19 product that you had developed? 20 Yes. We called it "HV;" or high-velocity, hot Α. 10:41 21 air drying. 22 Q. And where were you working when that product 23 was developed? 2.4 Α. For Cotordry. 25 So Cotordry had the coater, and it also had 10.

		]
1 ·	drying equipment that it had made and sold?	10:41
2.	A. Yes.	:
3.	Q. Okay. And was this a okay. So this one,	
4	Exhibit 8, is a high-velocity drier?	
. 5	A. Correct.	10:41
6	Q. Okay. Aṇd how about Exhibit 9?	,
7	A. Exhibit 9 is Bird 9 is 4,895,070, patent	
8	issued January 23rd, 1990.	
9	This was a liquid transfer assembly	
<u> </u>	method which was using similar principles to those as	10:41
10	used in the coating application wherein we were running	
1112 1112	differentials at the plate cylinder and with gaps at the	
역 4 4 13 (주	plate cylinder. It was a minimalized coating	
	application of water.	
14 15	Q. And was that developed at Cotordry?	10:42
<u> </u>	A. Yes.	
□ ⊭17	Q. And also, was that a product that was sold	
18	there?	
19	A. No, we never	
20	Q. Okay.	
21	A we never brought it to market.	
22	Q. Okay. Exhibit 10, would you tell us what	
23	patent number that is and identify it, please?	
24	A. Exhibit Bird 10 is 4,939,992, date of patent	10 - 40
25	July 10, 1990.	10:42

1 This was flexographic high-velocity hot 10 air drying system used for the drying of flexographic inks at interstation positions or between flexo printing stations. Was that a product that was brought to 5 0. 10:43 market -- or did that patent relate to a product that 6 was brought to market? 8 Α. Yes, it was. 9 And what was that product? We call it "HVF," high-velocity hot air F □10 Α. 10:43 <u>1111</u> flexor. **I** 1 2 Okay. So at the time that you came to work Q. for Printing Research in 1991, what did you consider your experience had been in at that point in time? **T** 5 Coating and drying systems in particular. 10:43 Okay. And so you had been with companies that have both made and sold both coaters and driers? 18 Α. Correct. 19 Okay. Can you tell us the circumstances pursuant to which you came to be employed by Printing 20 10:44 21 Research? 22 Yes. 1986, '87, or thereabouts, we had a 23 very -- we had built into a very profitable, very -very nice corporation and employed, at that time, 13 24 individuals. And things were going along very nicely. 25 10:

However, two things happened almost simultaneously in '86, '87 in that the recession came about, and at the same time the recession came about, there was a major change at one of our, at that time, major OEM accounts.

10:44

10:44

And I had a \$5 million turnover corporation sales volume, and that company was responsible for \$3 million of my sales volume.

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10:45

They -- they had a management change, and during that management change, it was decided by the new management team that anybody associated -- any companies associated with that corporation would not now be considered or used as a supplier for that corporation.

10:45

So a sales volume of 5 million was reduced to 2 million overnight. And the at the same -- in the same period, the recession came along.

10:45

So I found myself struggling for the next three, four years trying to retain and to reaccomplish the market position that I had managed to gain in the previous years and found myself in a situation where the only way that I could see going forward, despite the fact that I felt that our product was certainly one of the leading products in the marketplace, was to align myself with someone to -- to sell the corporation,

10:46

essentially, and/or the ideas and the technology to move

10

10:46

10:47

10:47

10:48

10:

forward. And that's what we did in '91.

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重 ⑤14 Q. Okay. You say you did "that," would you describe what was done, then, when you made that change?

- A. In '91, we came to an agreement with Printing Research where we would sell the technology and -- and know-how of the -- of the products that we had, that we would help in any way possible to sell, market, those products and take Printing Research out of a -- a single product manufacturer into a multiproduct manufacturer.
- Q. In 1991, what was the product -- or what was the business of Printing Research in 1991?
- A. 1991, Printing Research made a -- an antimarking system, which they manufacture still today, which is known as Super Blue. It's an internationally known and accepted and is a very profitable product [sic].

Apart from that, they -- they had on the drawing board a -- a coater that was a -- let me say it was an impression cylinder coater anilox device.

And they also had what was called a "back vac." The back vac was an antimarking system, and it was -- it was related to Super Blue, very much related to Super Blue as a product.

Q. Was Printing Research interested then in acquiring the technology and products that you had?

		_
1	A. I believe so.	10:48
2	Q. And what kind of arrangement, if any, was made	
3	with Printing Research with respect to those products	
4	and technology?	
5	A. The we were we sold, essentially, the	10:48
6	rights to the to all our patents. And there was a	
7	licensing agreement that was struck between us. "Us"	
8	being Birow, the owners of the patents, and Printing	
9	Research.	
10 <b>=</b>	Q. All right, sir. Let's take a look at Exhibit	10:48
<u>I</u> 11	Bird 11, which is Production Numbers W988 through 990.	
-12 	(Documents handed to witness.)	
13 1	Ask you to identify that, please, Mr.	
貢14	Bird.	
<u> </u>	A. (Witness reviews documents.) Yes, this would	10:49
<u>[</u> ] 6	be the	
일 기 7	Q. Can you just tell us what that is?	
18	A. That is the licensing agreement.	
19	Q. And the licensing agreement was entered into	
20	between who, what companies?	10:49
21	A. Between Birow Corporation and Printing	
22	Research.	
23	Q. And that was at the time that you went	
24	became a consultant for Printing Research?	
25	A. Correct.	10:49
. 1		•

		l
1	Q. And what what patents and technology did	10
2	they obtain rights to? "They" being Printing Research.	
3	. A. The the four exhibits that we've previously	
4	discussed.	
5	Q. All right. And for the record, are those	10:49
6	Exhibits Bird 7, 8, 9, and 10?	
7	(Documents handed to witness.)	
8	A. (Witness reviews documents.) They are indeed,	
9	yes.	
<u>_</u> 10	Q. Okay. So at the time you came to work for	10:50
1 11	Printing Research, did Printing Research have a	
<u>-</u> 12	retractable coater	
3 3 4 5 6 mg ling ling and a second a second and a second a second and	A. No.	, '
14	Q that it was making and selling?	
<b>Q</b> 15	A. No.	10:-50
<u> </u> 16	Q. Okay. Did it have drying systems?	,
□ <sub>17</sub>	A. No.	<u>.</u>
18	Q. After you came to work for Printing Research	
19	as, you say as, a consultant and then as an employee,	
20	were the drying systems and the technology that you	10:50
21	brought developed so that they became products of PRI?	
22	MR. HARRIS: Objected to as leading.	`
23	Q. (BY MR. PINKERTON) Just tell us, what was	
2.4	developed from the technology, if anything, that you	
25	brought with PRI in regard to drying [sic]?	10:.

1	A. The the drying systems that exist yet today	10:51
2	were all led by the by that technology that I brought	
3	with me to Printing Research.	
4	That includes infrared drying systems.	-
5	It includes the UV drying systems. It includes any of	10:51
6	the drying systems that they that they manufacture to	
7	this date.	
8	Q. Okay. Now, if you could are there others	
9	besides the infrared and the UV drying systems?	
10	A. They've had they did have a high-velocity	10:51
	hot air drying system that I understand has been	
<b>1</b> 2	developed further but is nonetheless still using all the	1
* 3 * 4	same principles that we brought to Printing Research,	
<b>1</b> 4	yes.	
	Q. Okay. Now, in regard to the arrangement and	10:51
₩ ₩6	agreement that you had with Printing Research, you	,
刊 了	talked about the exclusive license	
18	A. Right.	
19	Q pursuant to which rights to the technology	
20	was acquired.	10:52
21	What was the the business arrangement	
22	with respect to licensing that you had with Printing	
23	Research?	
24	They acquired technology. Did you get	•
25	anything?	10:52
	·	

	1	Α.	Oh. Yes. Yes, I did. I was paid a	10
	2	percentag	ge of the of the sales made on those on	
	3	those lic	censes.	
	4	Q.	Okay. You were paid a percentage of the sales	
	5	and that	was pursuant to the agreement?	10:52
	6	Α.	Yes.	
	7	, Q.	And sales on what products are we talking	
	8	about?		
	9	Α.	On any of the patented products.	
1	0	Q.	Okay. And so were you, in fact, paid	10:52
<u>1</u> 1	1	royalties	by Printing Research?	
	2	Α.	Yes, indeed.	
	3	Q.	For those products	
		Α.	Yes.	
	5	Q.	that you've described?	10:52
	6	Α.	Yes.	٠,
	7	Q.	Okay. And what specific products were you	
1	8	paid roya	lties on?	•
1	9	Α.	Specifically, the Plate/Blanket Coaters;	
2	0	specifical	lly, the high-velocity hot air drying systems.	10:53
2	1	Q.	What about infrared drying?	
2	2	, A.	Infrared drying, we didn't have any patents,	
2	3	so it was	it only applied to the patented patented	
2	4	products.		
2 !	5	Q.	Okay. UV, was that patented?	10:
	- 1			

		7
1	A. It wasn't patented, no.	10:53
2	Q. Okay. So the patented items that you were	
3	paid royalties on were the Plate/Blanket Coater and the	
4	high-velocity hot air drying?	
5	A. Yes.	10:53
6	Q. Okay. Tell us, during the time that you were	
7	with Printing Research, the various positions that you	
8	had with the company.	
9	A. I joined the company, as previously stated, as	
_10	a consultant from March '91 through January '92.	10:54
11 1 11 2 11 2	January '92, I was made a product	
<b>H</b> 2	manager. The product manager was related to drying and	
3	coating systems and, in fact, was labeled "capital	
<b>#</b>	equipment."	
<b>5</b> 5	And I was promoted to the rank of	10:54
6	Director of Sales and Marketing of Capital Equipment in	
급 7 날	ninety I believe it was either '95, '96. It was	
18	towards the end of my tenure with Printing Research.	
19	Q. When when was the end of your tenure with	
20	Printing Research?	10:55
21	A. January the 3rd, 1997.	
22	Q. And what happened at that point in time to	
23	terminate the relationship?	
24	A. I was I came into office on the Monday	
25	morning to report for duty as normal, and I was asked	10:55
, <b>L</b>		

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into Mr. DeMoore's office and was presented a letter which I was asked to read in front of Mr. DeMoore, Mr. Garner and Mr. Schaffler.

And that letter stated that my position was no longer in existence in the corporation and that I would no longer be required to report for duty.

- Q. So what did you then do?
- A. I left.

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- Q. Left Printing Research?
- A. Left Printing Research. Drove around for a little while.
  - Q. Okay.
- A. Went home. Thought about my options and spent the next little while looking at what those options might be, recognizing that it apparently had -- that apparently the whole of the sales force had also been let go.

It occurred to me that it might be an opportunity to at least save some of my potential, I believe, that existed in selling and making a contribution by coming up with a plan that might be beneficial to both myself and to Printing Research. And at the same time, looked at other positions in the industry.

In June of '97, I went back to Printing

10:

Research and laid down a plan which they accepted, which 10:57 was that I would act exclusively as sales and marketing for them in the flexo printing industry exclusively and converting industry where applicable for drying systems. And did you then make an arrangement with 10:57 Printing Research to do that? Yes, I did. That arrangement was essentially that I would get a commission on every piece of equipment sold into that industry and that I would -since it was a startup, that they would certainly 10:58 advance me, but nonetheless, those advances would be -would be prorated against any commissions that -- that I might earn during that period -- during any period. And how long did that relationship exist? Oh, something like, I believe, nine months. 10:58 Q. And what happened to terminate that relationship? I was called into the office, and two points were made to me. Well, several points were made.

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wanted to reemploy me. And I have to say that I was somewhat mystified as to why they would want to reemploy me, certainly since we had parted in a rather strange manner previously and that things were going, I thought, particularly well at the time.

One was that they, Printing Research,

10:59

I'd outsold the entire sales force at that point, to my knowledge, and it seemed that things would -- would be good for Printing Research. 4 I was told that they wanted to reemploy me, and there was no -- no alternative. And the reason 10:59 that they wanted to employ me was that I was being paid too much. 8 Q. You're making too much in the commission arrangement? 10:59 . 10 Α. Yes. **@11** So what happened? ο. Okay. <u>∔</u> 12 I had no option because I certainly was not 252 L ~<sub>~</sub>1 3 prepared to rejoin a corporation that had seen fit to --**11** 4 to fire me for no reason, to this date, that I am aware 11:00 **1**5 of. 1 29 <u></u>4 6 Okay. So you left Printing Research, then, in Q. ₫7 approximately -- well, it would be March of '98? اط 18 Α. In March of ninety -- yes. March of '98. 19 Okay. And --Ο. 11:00 20 There was a 30-day notice period --21 0. Okay. 22 -- so I had never started doing any business 23 until May or so. It was 30 days minimum that -- before 24 I started doing anything at all. 25 So was it then that you set up and established 11:0 Q.

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1	J B Machinery?	11:00
2	A. That's correct.	
3	Q. Okay.	
4	MR. PINKERTON: This might be a nice time	
5	to take a short break, if we could.	11:00
6	THE VIDEOGRAPHER: Time is 11 o'clock	
7	a.m. We're off the record.	
,8	(Recess from 11:00 a.m. to 11:15 a.m.)	
9	THE VIDEOGRAPHER: Time is 11:15 a.m.	
<u> </u>	We're on the record.	11:15
1 1	Q. (BY MR. PINKERTON) Mr. Bird, let's go back	
12	once again to your various positions you had at Printing	
<b>Q</b> i 3	Research and just describe for me in a little bit more	•
	detail what your duties and responsibilities were in	•
14 	those various positions.	11:16
₩ ₩16	First of all, when you were a consultant	
<b>□</b> ▶17.	in from March '91 to January '92 as you testified,	
18	what basically were your duties then?	
19	A. We had to create a a product information	
20	sheet from ground zero since there clearly wasn't any	11:16
21	any product allied to the industry or the part of the	
22	industry that I was bringing to the company.	
23	So we had to create product information	
24	brochures that were computer generated, but nonetheless,	
25	we produced both brochures that would relate to the	11:16

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interstation drying for litho applications, infrared drying, and UV drying and the Plate/Blanket Coater. That we did.

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 That was -- that was a fairly lengthy task because, again, we had no -- no grounding within the corporation to do that, and it was also looking at other sources of production, since the UV system we were clearly not going to be purchasing from England, which is where I had previously been purchasing it from, and changed our source to a corporation called Amjo.

And we actually, at that time, also looked at Amjo as a source of buying infrared equipment. We would specify what it was that we wanted. I would, in fact, lead that part of it since, again, there was no background within the corporation for those types of pieces of equipment.

And the -- as far as the coater, we continued to deal with efforts towards the machines up · in New Jersey, so that wasn't -- that wasn't such a -- such a concern.

But certainly, the concern at that stage had been to get all the drying equipment associated purchased in from -- from new sources at the same time as creating product information brochures to try and create a marketplace for a company that essentially had

11:18

11:

11:18 been known for antimarking systems. Okay. Approximately when did that --2 Very well known for antimarking systems, but 3 nonetheless, that was their -- their claim to fame. 11:18 Approximately when did Printing Research, with 5 . 0. the work that you were doing, first offer the Plate/Blanket Coater as a product? 7 We -- I will say that Printing Research 8 purchased a Plate/Blanket Coater for a two-color 11:19 Heidelberg machine that had been purchased. ₽11 I can't be certain about the date, but we certainly purchased a two-color Heidelberg machine during my tenure. Was that in '91? I'm not sure. **M**14 might have been '92 that it was purchased, but we 11:19 **1**5 certainly put a Plate/Blanket Coater on it so that we 16 would have a demonstration site. **□**17 And we also installed an Amjo-supplied shortwave infrared drawing system on that machine, 18 again, for demonstration purposes and development 19 11:19 purposes. 20 Now, the coater that was put on the Heidelberg 21 Ο. machine, was that the first interpress coater that --2.2 MR. HARRIS: Objected to as leading. 23 -- Research had? (BY MR. PINKERTON) 24 11:20 25 You can go ahead and answer.

		1
1	MR. HARRIS: Excuse me, go ahead. But	11:
2	objected to as leading.	
3	. A. I believe it was there was a there was	
4	the in-house coater that I had alluded to previously	
5	that was on the drawing boards, which was, at that time,	11:20
6	being called an EZ Coater, which was an anilox roll	
7	applicator that fit in the delivery of the of a litho	
8	press	
9	Q. (BY MR. PINKERTON) Okay. I should have	
10	said	
1211 1211	A and Heidelberg in particular.	
12 112	Q. Okay. Let me change the question. Was	:
™ 13	that	
<b>5</b> 14	A. So which one came first as installation, I	- ,
<u> </u>	couldn't swear to, but	11:20
[] [416	Q. The the unit that was placed on the	
게 급1 7	Heidelberg press that you talked about	
18	A. Correct.	
19	Q was it a retractable unit?	
20	A. Yes.	11:20
21	Q. And it was the three-roll coater that you	
22	previously described?	
23	A. Yes.	
24	Q. Okay. And where did where did that	
25	retractable coater come from? Where was it purchased	11:2
i		

		1
1	from?	11:21
2	A. It was purchased from Effertz Tools and	
3	Machines in New Jersey.	
4	Q. And had that was that company the one that	
5	had previously made it for you?	11:21
6	A. Yes.	·
7	Q. And that's when you were with Birow?	
8	A. Yes.	
9,	MR. HARRIS: Objected to as leading.	
<u>_</u> 10	Q. (BY MR. PINKERTON) That's when you what	11:21
<u>#</u> W11	company were you with when you were buying those coaters	
اط آرا 2	from Effertz?	
13	A. Cotordry, which was the sales marketing	
្នា 14	company for Birow.	
<u> </u>	Q. Okay. And Birow, for the record, is spelled	11:21
16	how?	
16 17	A. B-I-R-O-W.	
18	Q. Okay. Now, you talked about developing a	
19	brochure when you were at Printing Research for the	
20	Plate/Blanket Coater?	11:21
21	A. Yes.	
22	Q. Take a look at Bird Exhibit 12 for me, please.	
23	(Documents handed to witness.)	
24	Can you identify that?	
25	A. That was the this is the eventual brochure.	11:21

The original brochures that we produced were photocopy 11 brochures. This came probably a year or two into my tenure with -- with Printing Research. 4 Q. So that's an evolution of the original brochure? 11:22 It's an evolution of the -- of the original Α. brochure, yes. 8 Does it depict the retractable printer coater Q. that you've described? 10 Α. Yes, it does. 11:22 ₫11 And could you point that out for us, please, 0. 12 on the brochure? 13 £ 1 That's the -- that's on the third -- third Α. **1**14 page in and -- and is -- in here, you're seeing the --the motion to either a plate or a blanket cylinder. 11:22 Okay. If you would, why don't you just circle <u>16</u> Q. \_17 that, please, on exhibit 12. 18 Α. (Witness complies.) 19 And if you want to -- is it -- would it be appropriate to circle the retraction mechanism as well, 20 11:22 21 as part of the device? 22 Α. It is part of the device, yes. 23 Okay. Let's go ahead and circle that, then. Q. 24 (Witness complies.) 25 MR. HARRIS: Counsel, let me see where 11:.

		7
1	it's been circled so	11:22
2	MR. PINKERTON: Okay. Sure.	
3	MR. HARRIS: I can circle	
4	THE WITNESS: Sure.	
5	MR. HARRIS: my copy.	11:23
6	Thank you, Mr. Bird.	
7	Q. (BY MR. PINKERTON) Basically, the would	
8	the circle go around the entire picture that's shown	
9	there?	
_ _10	A. It should, yes.	11:23
	Q. Okay.	
11 1 1 2 1 3 1 3 1 4 4 1 4 1 4 1 4 1 4 1 4 1 4 1	A. Yeah.	
1.3	It should be added, I believe, the	
Ħ	retraction system was one of several. That wasn't the	
<b>1</b> 5 <b>1</b> 6	only retraction system that we that we offered.	11:23
H 6	Q. How do you describe the retraction system	
百 7 片	that's shown there in Exhibit 12?	
18	A. We call that the slide just because you you	
19	had one motion slide up and down the up and down the	
20	press.	11:23
. 21	Q. Okay. What other retraction mechanisms did	
22	you have?	
23	A. We had a retraction system whereby we would	
24	have, essentially, three motions in that you would be	
25	sitting on top of the press here, and then you would	11:23

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11
     drive forward.
   2
                     And this is a more vertical delivery of a
     press, so you'd have it coming forward, down, and then
     into position. So there were three motions.
                                                     We would
                                                                  11:24
     prefer this motion because it's more simple.
                                  "This" -- excuse me.
   6
                     MR. HARRIS:
     say that the record --
                     MR. PINKERTON:
                                     Counsel --
   8
                     MR. HARRIS: Let me say this.
   9
                                                                  11:24
                     The record can't tell -- when you just
411
     say, "this," and you're pointing at something, the
     record can't tell what you're pointing at. So would you
₽13
     explain what you meant when you said, "this," and
¥ 14
     brought your hand down.
                                                                  11:24
515
                     THE WITNESS:
                                   Sure.
                                  Just explain where it is on
16 الم
                     MR. HARRIS:
     the drawing --
17
 18
                     THE WITNESS:
                                   Okay.
                                  -- that you're talking
                     MR. HARRIS:
 19
                                                                  11:24
  20
     about.
                     THE WITNESS:
                                   When the coater is not in
  21
     use, it would sit on top of the delivery section, and
  22
     the delivery section being the end of the gooseneck,
 23
     as -- as is commonly called in the industry.
                     And it would have to be -- because of
                                                                  11:
  25
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11:24 the -- the vertical arrangement of that delivery, it's not possible or not easily achieved to have a -- a one-motion slide of a -- of a coater down into position 3 without taking up a lot of room and -- and getting in 11:25 the way of the operator when he's trying to work between 5 6 units. So what you do is, you -- you make the 7 coater such that it will drive forward in the horizontal motion on top of the delivery. It will then drive down 11:25 vertically in the delivery, and then drive forward □10 horizontally to hit the blanket or plate position on 11 ليا 計 切12 that press. 실 급13 Does that --(BY MR. PINKERTON) Let me ask --Q. 11:25 THE WITNESS: -- justify it? I think that will due. MR. HARRIS: it won't matter whether or not we can find the place because you put it in words. 18 (BY MR. PINKERTON) Mr. Bird, the retraction 19 11:25 mechanism that you described that had the vertical 20 orientation and then also the horizontal movement, is 21 that relevant at all to Exhibit 7? 22 MR. HARRIS: Objected to as leading. 23 (Documents handed to witness.) 24 11:26 Let me withdraw the (BY MR. PINKERTON) 25 Q.

question. 2 What's the retraction mechanism shown, if 3 any, in Exhibit 7? In Exhibit 7 --4 11:26 5 Excepted to as using a MR. HARRIS: 6 leading question to suggest the answer and then 7 abandoning the question and asking it in another way to get exactly the same thing that was put in the leading question. 11:26 10 MR. PINKERTON: I'm not sure that's an Ŵ11 objection, Counsel. 12 MR. HARRIS: It is. It may not --I MR. PINKERTON: It certainly --面14 MR. HARRIS: -- be a good one, but it is 貫15 an objection. <u></u>16 MR. PINKERTON: It's a lousy -- lousy <u>\_</u>17 objection because there wasn't any intent to do that. was trying to accommodate your objection to the question to make it nonleading. Now, if you wouldn't want me to 11:26 20 do that, then don't object. (BY MR. PINKERTON) Go ahead, Mr. Bird. 21 Q. 22 MR. HARRIS: I will object from time to 23 time, though I think you ask marvelous questions as a 24 rule, sir. 11: 25 MR. PINKERTON: Thank you, sir.

		٦ .
1	Q. (BY MR. PINKERTON) Go ahead, Mr. Bird.	11:26
2	A. The the description here is exactly as	
3	you as you state. It is a description of the second	}
4	movement, which is not the same as we show in the	
5	brochure, yes.	11:27
6	Q. The second movement, did you characterize that	
7	in any way? Do you call it anything?	i
8	A. No. We they were always retraction	
9	systems. We always felt that it was immaterial. The	
10	the real motive and objective for us was to be able to	11:27
<u>-</u> 	put a coater into a press without being and to be	
₩ ₩12	able to retract that coater without being in the way of	
5 713	the operator.	
₫ ∰ 4	Q. Okay. Thank you.	
<b>型</b> 5	(Documents handed to counsel.)	11:27
[] [] 6	Did Printing	:
7	A. And incidentally making it possible for the	<u>.</u>
18	operator to work on when in the retracted position.	
19	Q. Okay. Did there come a time when Printing	
20.	Research developed a retractable coater with an anilox	11:28
21	roller?	
22	A. Yes.	İ
23	MR. HARRIS: Objected to as leading.	
24	MR. PINKERTON: Okay.	
25	Q. (BY MR. PINKERTON) When was that, sir?	11:28

		1
1	A. They the EZ Coater, that again we've	11
2	referred to several times, was an anilox-supplied	
3	coater. And that was that was on the drawing board,	E
4	as stated previously, in '91, March of '91, when I -	
5	arrived at Printing Research.	11:28
6	Q. Okay. Let me rephrase the question. I was	
7	asking you in regard to a retractable	
8	A. Oh, excuse me.	
9	Q system, okay? So let me rephrase the	
10	question.	11:28
Ī11	Did there come a time when Printing	
* 12 U	Research developed a retractable coater with an anilox	
13 4	roller?	,ª
· 🚮 4	MR. HARRIS: Objected to as leading.	ŧ
	MR. PINKERTON: Thank you.	11:28
ا 16 انتا	Q. (BY MR. PINKERTON) You can answer, Mr. Bird.	
7	Yes or no, did there come a time	
1 8	A. Yes.	
19	Q when they did it?	
20	A. Yes.	
21	Q. When was that, sir?	
22	A. That was again, I I couldn't swear to a	
2.3	date, but I would suggest it was around '94, that sort	
24	of that sort of time frame.	
25	Q. Did that particular product have a name, a	11:

description? 11:29 , 2 We called it -- internally, we called it EZB, which was marketing's preface. And with all of any 3 products that I've generally had anything to do with, I always like to have a number of letters so that it's 11:29 easy to -- to determine which one's which. EZB meaning EZ Blanket Coater. 8 Okay. For the record, you're saying "E" Q. the letters "E, Z," and then, "B" --10 Α. Correct. 11:29 **₫**11 Q. -- correct? <u>--</u>12 And that differentiated it from the original <u>≒</u>13 EZ Coater, which was the one that I was confused with a **514** moment ago. . <u>\_</u>15 Q. Okay. So there was the -- the original coater 11:30 that was retractable, as I understand it based on your 6 السا testimony, was the Effertz device, which was a three-roll coater; is that correct? 19 Correct. 20 And then the next one was the retractable 11:30 coater, which was what? With an anilox roller? 22 Α. That was the EZB. 23 EZB. Okay. Was a brochure developed for that 24 product? 25 Α. There was. 11:30

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0.
               Let me hand you what we've marked as Bird
                                                                   11:
     Exhibit 13 and ask you if that product is depicted in
     Exhibit 13?
   3
                     (Documents handed to witness.)
   4
                     MR. HARRIS: Objected to as leading.
                                                                  11:30
   5
               It is.
          Α.
   6
                     MR. PINKERTON: Let me with -- let me
     withdraw the question.
               (BY MR. PINKERTON) Identify, if you would
     please, Exhibit 13.
道 1 1
및
               Exhibit 13 is the EZ Print/Coat Family
     brochure. It was designed to show the variety of
J
<sup>1</sup> 13
     coating methods that we were offering to the marketplace
Ĭ
714
     as available.
215
               Okay. Is there, depicted in that brochure,
                                                                  11:31
the EZB Coater that you talked about?
51 7
          Α.
               There is, indeed.
               Okay. Would you -- if you would, tell us what
 18
     page that is on or we can circle where it's shown.
 19
                                                                  11:31
               In relation to the -- to the layout of
 20
          Α.
     these -- of these pinned together pages, it's page 3.
 22
          Ο.
               Page 3?
 23
               And it's at the top of the page on the right,
     and you'll see that it says, "EZ Blanket Coater." And
                                                                  11:
 25
     once again, hence the name EZB.
```

1	Q. All right. Can you circle the picture on the	11:31
2	brochure that shows the EZB Coater?	
3	A. (Witness complies.)	
4	Q. All right. Thank you.	
5	(Documents handed to counsel.)	11:31
6	MR. HARRIS: Will I have the opportunity	
7	to verify	
8	MR. PINKERTON: Sure.	
9	MR. HARRIS: how it's been marked?	
10	MR. PINKERTON: Sure.	11:32
豆 益11	MR. HARRIS: Thank you.	
[교 ]=12 <sub>.</sub>	Q. (BY MR. PINKERTON) Your testimony, I think,	
∭ `≟13	was that this was approximately the 1994 time frame?	
<b>₫</b> ∰14	A. I would estimate. The the brochure itself	
15	probably was later than that.	11:32
∭ ⊯16	Q. Okay.	
[] 6 [] 7	A. The product, certainly, was probably in '94,	
18	'94ish. '95, the brochure was put together so that we	
19	would have something to show at the drupa show	:
20	Q. Okay.	
21	A in Germany.	
22	Q. Were other companies, in 1994, manufacturing	
23	retractable coaters?	
24	A. Absolutely, yes.	•
25	Q. And what other companies were manufacturing	11:32
į		

```
retractable coaters, to the best of your knowledge, in
     1994?
   3
                In 1994, there was a Dahlgren Corporation;
     there was Rapidac, spelled R-A-P-I-D-A-C; there was
     Oxy-Dry, O-X-Y, dash, D-R-Y. There were others, but
                                                                    11:33
     they don't immediately come to mind. Epic, sorry, Epic.
     Epic International. There's at least four there.
  8
               Okay. Now, you've -- you also mentioned that
    when you got to Printing Research, I think you had said,
 10
     that there was a product on the drawing board.
                                                                   11:33
211
     product was what?
IJ.
≟12
               It's what was called the EZ Coater.
<sup>5</sup>√13
          Q.
               Okay.
Ţ,
114
          Α.
               And --
15
          Q.
               And was that product sold --
                                                                   11:33
I
<u>16</u>
          Α.
               -- which is depicted.
□ 7
               I'm sorry.
          Q.
 18
                    MR. HARRIS: Would you let the witness
 19
    finish testifying?
 20
                    MR. PINKERTON: I didn't -- I'm sorry to
                                                                   11:34
 21
    interrupt you, Mr. Bird.
 22
               And that is depicted in this brochure at the
 23
    bottom --
 24
         Q.
               (BY MR. PINKERTON)
                                    Okay.
 25
               -- of this page.
                                                                  11:
```

1	Q. Let's go ahead and put a circle around that.	11:34
. 2	And to distinguish these, let's go ahead and, in your	
3	handwriting, if we would, mark the one at the top, which	
4	was the EZB	
· 5	A. Right. (Witness complies.)	
6	Q and then the one at the bottom as what?	
7	A. The EZ.	
8	Q. EZ, okay.	
9	Now, the EZ Coater, I think you'd	,
<b>=</b> 10	you'd testified that it was, in fact, sold by Printing	. 11:34
1111	Research sometime after you started work?	
<u>1</u> 12	A. Yes.	
3	Q. Approximately when was that, do you recall?	,
¶ 4	A. I would guesstimate that the first EZ	
다 기 5	installation, EZ Coater installation, was probably	11:34
16	ninety '92, '93. I'm guessing.	
- - - -	Q. Was that a product that was patented by	
18	Printing Research?	
19	A. Yes.	
. 20	Q. And let me hand you Exhibit 14. Can you	11:35
21	identify exhibit 14, please?	1
22	(Documents handed to witness.)	,
23	A. This is the patent for that product, the EZ	
24	Coater. It is Bird 14, Patent No. 5,176,077.	
25	Q. And tell us what the purpose of that coater	11:35

was when it was sold to a customer? 11 2 The drawback with --Α. 3 Q. Just tell me what it was -- what it was designed to do. 5 11:35 It was designed to apply coating at the end of 6 a press to a sheet of paper over the top of wet ink. 7 Q. Okay. To somewhat eliminate the use of spray powder Α. 9 and give you a somewhat dry sheet at end of a press. 10 11:35 Do you know approximately how many of those EZ ₽11 Coaters were sold by Printing Research during the time ₩12 that you were there? ~ 13 Probably three or four. Α. **514** · Q. And was that a successful product? 11:36 ₫15 No. Α. 16 Why not? Ο. Α. It had inherent problems that were never able 18 to be overcome. And those problems were related to the 19 gripper assembly and the differential in speed between the takeoff cylinder, which we had turned into a plate 11:36 20 21 cylinder, and the impression cylinder where we were taking the sheet. 22 23 Ο. Is that EZ Coater different from a retractable 24 coater? 25 Α. Yes. 11:.

		1
1	Q. Okay. In what way?	11:36
2	A. In that it is not retractable.	
3	Q. Okay.	
4,	A. It's in a permanent position within	
5]	within within the confines of the press itself.	11:36
6	Q. To the best of your knowledge, what what	
7	happened to the EZ Coaters that were sold by Printing	
8	Research?	
9	A. They were either returned or trashed.	
10	Q. By the	11:37
<u>-</u> 11	A. To my knowledge, to my knowledge.	
1 2	Q. They were returned by the customers or trashed	
	by the customers?	
<u>1</u> 714	A. (No verbal response.)	
<u> </u>	Q. As best you know?	11:37
§¶ ₩ 6	A. As best I know.	
\ <b>Q</b> 7	Q. Okay. And when you're talking about disposed	
<u>⊨</u> ⇒ 18	of, what do you what do you mean? What's an example	
19	of that?	
20	A. They they didn't serve any purpose for	11:37
21	anybody since they they couldn't be made to work.	
22	They they didn't serve any purpose, and they would	
23	certainly become a hinderance in the delivery of the	
24	press.	
25	In fact, they they were in a position	11:37

11. such that they made it difficult for us to install drying equipment that would be tied in with -- with the coater itself. 4 Q. Okay. 11:38 5 I hasten to add, the concept was a very good Α. 6 one. 7 Okay. Mr. Bird, that kind of completes the Q. background that I wanted to go through. I want to, at this point in time, get into the patent that's involved in this lawsuit. 11:38 111 Α. Okay. Are you aware that there is a lawsuit pending 0. between Printing Research and Williamson Printing <u>5</u>14 Company? 11:38 A. I am. And are you aware that that relates to a patent that was obtained by Williamson Printing, U.S. 18 Patent No. 5,630,363? 19 Correct. Yes, sir. Α. Let me hand you Bird Exhibit 6. 11:38 20 Q. 21 (Documents handed to witness.) 22 Is -- is that that 363 Patent? 23 Α. Yes. Have you previously read that patent? 24 ο. 25 11:5. I've scanned the patent, yes.

1	Q. Okay. Are you aware that Williamson Printing	11:39
2	has filed what's known as a Reissue Application in the	
3	United States Patent Office to reissue the 363 Patent?	
4	A. Yes, I am.	,
5	Q. Okay. And in connection with that reissue,	11:39
6	were you asked to prepare and execute a Declaration?	
7	A. Yes.	
8	Q. Containing various facts?	
9	A. Yes.	
□10 □	Q. Okay. Let me hand you Bird Exhibit 2, and	11:39
111	that's Production Numbers W931 through 943.	
₩ [512	(Documents handed to witness.)	
13 213	Take a take a look at that, please,	
€ 14	sir, and identify it for me.	
	A. (Witness reviews documents.) It is the	11:40
<u>1</u> 6	Declaration that I made to to yourself back in May of	
Ė7	this year of last year.	
18	Q. Okay. You say to me, to me personally or are	
19	you it's a Declaration that I certainly had	
20	discussions with you about that; is that correct?	11:40
21	MR. HARRIS: Objected to	_
22	A. Correct.	
23	MR. HARRIS: as leading.	•
24	Q. (BY MR. PINKERTON) I did discuss that	
25	Declaration with you, did I not?	11:40
- 1	l l	

			52
1	Α.	Yes, you did.	11
2	Q.	Okay. Thank you.	
3		Would you look at the last page and see	
4	if it's	signed?	
5	Α.	Yes.	11:40
6	Q.	Is that your signature?	
7	Α.	That is my signature.	
. 8	Q.	And what's the date, please?	
9	Α.	12/11/99.	
10	Q.	All right. If I could grab that from you.	11:40
≟ ≟ 11		(Documents handed to counsel.)	
∏12 √		Subsequently, were you asked to complete	
1 1 1 1	another	Declaration, a Supplemental Declaration?	
14	A.	Yes, I was.	
15	Q.	Okay. And let me hand you what's been marked	11:41
416 ]	as Bird	Exhibit 3 and excuse me, just for the record,	٠.
- -17	Product	ion Numbers W880 through 930.	
18		(Documents handed to witness.)	
19		Can you identify Bird Exhibit 3?	
20	Α.	That's the that subsequent Declaration, and	11:41
21	it inclu	ides all my Day-Timer notes through '94	
22	through	'95	
23	Q.	Okay.	
24	Α.	the period in question.	
25	Q.	All right. And for the record, I've marked	11:

11:41 separately, as Bird Exhibit 4, the Day-Timers that were 1 attached to Bird 3. (Documents handed to witness.) .3 And if you'll take a look at Bird 4, 4 11:42 identify that for us, please? 5 6 Α. They are those same Day-Timer notes. 7 Okay. Q. 8 Through that period. Okay. Now, the -- the Day-Timer notes, were 9 Q. **1**0 11:42 these Day-Timers for the period of 1994 and 1995? ₩11 Α.. Correct. U1 2 Were these -- is a Day-Timer something that Ο. you kept when you were employed by Printing Research? M It's something that I keep today. **= 14** Α. 11:42 **U**15 Q. You still keep it today? . Yes. 16 Α. 17 ليا Same type of Day-Timer? Q. 18 Α. Yes. In connection with your work at Printing 19 Q. 11:42 Research, did you make entries of appointments in your 20 Day-Timer? 21 22 Α. Yes. And so if we take a look at Exhibit A, those 23 Day-Timer entries, were they prepared by you when you 24 11:42 25 were employed by Printing Research?

1	A. Yes, they were.	11:
2	Q. Were they done by you as part of your job at	
3	Printing Research in connection with your performance of	
4	your job?	
5	A. I considered them to be part of my the	11:43
6	performance of my job. They they gave me a record of	
7	where and what I was doing, and it made it possible for	
8	me not to have problems with appointments, etcetera,	
9	yes.	
<b>1</b> 10	Q. Okay. Were they prepared by you in the usual	11:43
	course of business when you were an employee of Printing	
[]12	Research?	
13 13	A. Yes.	~ .
" "14 []	Q. And were they prepared at or about the time of	
<b>j</b> 15	the various events reflected there?	11:43
1 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	A. Yes.	
<u> </u>	Q. And are all those entries entries that were	•
18	made by you?	
19	A. Yes.	
20	Q. Previously, I understand that you had been	11:43
21	requested to supply all of your Day-Timers for 1994 and	
22	1995; is that correct?	
23	A. Correct.	
24	Q. And you communicated that request to me before	
25	your deposition; is that correct?	11:

	5	5
1	A. Correct.	11:4
2	Q. Okay. I'm going to hand you this file.	
3	(Documents handed to witness.)	
4	Take a look at that please.	
5	A. In detail?	11:44
6	Q. No, just take a look at it.	
7	A. At a cursory glance, that is those.	
8	Q. And "that is those," those are the Day-Timers	
9	of yours from 1994 and 1995?	
<u>_</u> 10	A. Yes.	11:44
₩11 ₩	Q. Okay. And I'll take those back.	
	(Documents handed to counsel.)	
<u>国</u> 3	MR. PINKERTON: And for the record,	
= 14	Counsel, he had previously supplied those to us, and	
<b>国</b> 5 岸	we've copied them for you at your request. So we will	11:44
\ \   	produce those to you now.	
17	MR. HARRIS: Thank you.	
18	(Documents handed to counsel.)	
19	It's my understanding that the testimony	
20	is that that's all of them, every entry and every	11:44
21	page	
22	THE WITNESS: Yes.	
23	MR. HARRIS: for the two years?	
24	THE WITNESS: Yes.	
25	MR. PINKERTON: 1994 and 1995, yes, sir.	11:45
	· ·	r.

1 MR. HARRIS: 11: Yes. 2 MR. PINKERTON: Right. 3 (BY MR. PINKERTON) Let's go back and focus . Q. now on the 363 Patent, which is Exhibit Bird 6, okay? 5 (Documents handed to witness.) 11:45 6 Α. Okay. Q. Okay. Are you aware from your review of that patent that it describes and claims a process as well as a press for performing the process in which flexographic printing or coating is performed prior to offset 11:45 <u>\_</u>10 lithographic printing in a continuous in-line process? 1 ائيتا **[**] 2 Α. Yes. 3 1 4 5 5 E S ο. Okay. MR. HARRIS: Objected to as leading. MR. PINKERTON: Well, that's --(BY MR. PINKERTON) Is that your understanding, Mr. Bird? 18 Α. Yes, it is. 19 Okay. I'm going to refer to that process 11:46 20 throughout the deposition by a shorthand reference so 21 that we don't have to repeat all of that every time we 22 refer to the process described and claimed in the 23 patent, okay? 24 Α. (Nodding head affirmatively.) 25 11:. Q. And I'm going to refer to that process as the

1	flexographic/lithographic in-line process, okay? Is	11:46
2	that agreeable with you?	:
3	A. Sure.	
4	Q. Is that an appropriate characterization in	ļ.
5	your opinion?	11:46
6	A. I believe so, yes.	,
7	Q. And I will also use a shorthand reference and	
8	refer to it as the flexo/litho process, okay?	
9	A. (Nodding head affirmatively.)	
<u>_</u> 10	Q. Is that an appropriate	11:46
<u>네</u> 및 11	A. Yes.	
F4 LP12	Q reference as well?	
1 10 10 10	A. It is appropriate.	
<b>可</b> 14	Q. Once again, with the understanding that we're	ı
<u></u>	talking about here this process, flexographic printing	11:46
16	performed printing or coating performed prior to	
트 <sub>17</sub>	offset lithographic printing in a continuous in-line	
18	process, okay?	
19	A. Okay.	 
20	Q. We might also use the term as describing the	11:46
21	process of using flexography upstream of lithography.	ŀ
22	Is that also appropriate in your opinion?	
23	A. Yes.	,
24	Q. Okay. When did you first learn about the	
25	flexographic/lithographic in-line process as in the	11:47

11:

11:47

11:48

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11:

patent, Exhibit 6?

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- A. The process?
- Q. Yes, the process.

A. The process was first described to me after a visit of -- that occurred somewhere in July of ninety -- I'd have to look at my notes -- it's July '94, wherein Steve Baker, who was at the time one of our sales people, had gone to Atlanta to demonstrate both UV, and had gone to demonstrate a high-velocity hot air drying system and a Plate/Blanket Coater to both Jesse Williamson and Bill Davis.

When -- on Steve's return from Atlanta,

Steve was somewhat excited to tell us that Williamson

Printing had a patent pending, although that wasn't

always clear to me whether it was pending or it was

issued.

But certainly he talked of a process wherein WIMS, Williamson Integrated Metallic Systems, had been -- certainly had a patent applied for as a process patent, and that Williamson Printing was looking at an improvement on that method of application with metallics, and that they had felt that the -- and this was over a dinner meeting in the evening as sometimes happens in -- in situations like this -- and that they were looking for someone to work with them to produce

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11:49
     a -- a coater that would apply flexo, in particular,
  2 since they felt that flexo would be a better way of
     applying and would give them a more brilliant finish.
                    And they had previously seen some trials
                                                                  11:49
     wherein flexo had been applied with metallics that they
     felt that this, if we were interested -- if they could
     find someone interested enough and since we made
     coaters, that we might be someone that could work with
     and/or sell them a piece of equipment to achieve the
<u>_</u>10
                                                                  11:50
     goal of applying metallics in-line as part of their
     process and their process patent as part of this
     improvement that they were looking for.
1 3
               What was said, if anything, about where they
Ū
     were going to apply the flexo?
<u>-</u>15
                                                                  11:50
                    MR. HARRIS: Objected to as leading.
Į,
                    MR. PINKERTON:
                                     That's not leading at
17
     all.
                    MR. HARRIS:
                                 Well, then let the --
 18
     just -- you get to ask the questions. Remember the
 20
     Rules?
 21
                                     I just asked him -- I
                    MR. PINKERTON:
     just asked him --
 22
 23
                    MR. HARRIS:
                                  You get to ask him --
 24
                    MR. PINKERTON:
                                     -- "What, if anything,
                                                                  11:50
 25
    was -- what, if anything, was said about -- "
```

11:. MR. HARRIS: -- you get to ask the 1 questions, and I can't stop the witness from answering. 2 (BY MR. PINKERTON) You said they were going 3 to apply were to flexo, was there any discussion at all 11:50 about where the flexo was going to be applied in the 5 6 process? In fact, yes, the -- in the description of the 7 Α. process, of course. And if one has to -- is able to 8 understand the process, one has to understand that 11:50 the -- as part of the process that it has to be applied upstream. If you.--M Was that -- was that discussed -- told to you Q. by Baker? Α. Yes. Okay. Q. 16 Yes, yes. Α. <u></u>
17 And what did he tell you, if anything, about Q. how Davis and Williamson wanted to do that? 18 Oh, of course. They were looking for a 19 11:51 They would look for an retractable type coater. 20 application of that -- of that metallic with a -- with a 21 flexo plate. 22 Again, they had seen examples of litho 2.3 plates -- flexo plates in Germany. They had seen what 24 11:51 it was possible to do with flexo. There's -- there's 25

11:52

11:52

been some great, great strides forwards in the flexo
industry over the last 10, 15 years. And it became very
clear that resolution of dots, etcetera, which were
incumbent on -- on -- in use in this process, would
be -- would be very valid and very useful for the -- for
the process.

Q. Did Baker say anything more about the retractable mechanism, anything else about -- that they wanted included in that retractable mechanism?

A: When you say, "included in," nothing -- not anything that comes to mind immediately, but we had fairly protracted conversation that certainly got me particularly excited because of the fact that I knew that we did have a flexo -- at that time, we now did have a flexo coater in our plant.

Q. Okay.

1

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A. And this now looked like a wonderful opportunity, knowing that we were, in fact, very close to a -- to a deal with Williamson Printing. And I thought that this would enhance our abilities to supply, as has always been our goal, my goal, to produce the package.

Q. Was -- are you through? I'm sorry. Are you through?

A. Well, I was, but it's okay.

11:53

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11:
  1
                    MR. PINKERTON: Do you want to go off the
  2
    record?
  3
                    THE WITNESS:
                                   Yeah.
                    THE VIDEOGRAPHER: Time is 11:53 a.m.
  4
                                                                  11:53
  5
    We're off the record.
  6
                    (Off-the-record discussion.)
  7
                    MR. PINKERTON: For the record, Mr. Bird,
    are you being distracted by someone in the room?
  9
                    THE WITNESS: Yes.
                                                                  11:53
=10
                    MR. PINKERTON: What's happening?
O
1111
    don't know what's happening. I'm looking at you.
شية
I1 2
                    THE WITNESS: Mr. DeMoore, when I look
413
    over his way, is sitting there and chuckling in my face.
= 14
                    MR. DEMOORE: I'm smiling.
                                                                   3%
5
                    MR. PINKERTON: Okay. Let me state for
                                                                  11:53
16 the record that --
<u>1</u>7
                    THE VIDEOGRAPHER: Let me go back on,
    please.
 18
 19
                    MR. PINKERTON: Okay.
                    MR. JESSE WILLIAMSON:
                                            John, I don't
 20
    think that other one got on the record, either, that
 21
    first one.
 22
 23
                    THE REPORTER: I was writing.
 24
                    MR. PINKERTON: Well, the court reporter,
 25
    she got the --
```

1,

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1
                     THE VIDEOGRAPHER: The time is 11:54 a.m.
                                                                   11:54
  2
    We're on the record.
                    MR. PINKERTON: Let me just state for the
  3
    record that we would object to any distractions by
  4
    anybody in the room, and hopefully the witness can be
  5
                                                                  11:54
    allowed to testify without being distracted by any
  6
  7
    facial mannerisms or other actions.
  8
                    Can we have that agreement?
  9
                    MR. HARRIS:
                                 Well, I -- I can't make an
    agreement for what people will do for other people, but
10
                                                                  11:54
    I tell you, I will abide by that.
년
년 2
                    Every once in a while, I laugh at you,
3
5
1
4
  3
    Mr. Pinkerton, but I think you and I do that to each
    other. And I think you know you're talking at someone
Ī 5
    else other than me, so I can't really control that
                                                                  11:54
    situation, and I don't know that anything wrong is
    happening.
                I am sorry if --
18
                    THE WITNESS: I accept that apology.
19
                                  -- Mr. Bird is -- I am doing
                    MR. HARRIS:
20
    it?
                                                                 11:55
21
                    THE WITNESS:
                                  I said, "I accept that
22
    apology."
23
                   MR. HARRIS:
                                 Oh, okay.
                                             I am not doing
24
    it.
25
                   THE WITNESS:
                                  I know you're not.
```

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MR. DEMOORE:
                                    I'm not chuckling.
                                                                   11
   2
                     MR. PINKERTON: Well, there's something
     that is distracting the witness, and if it's distracting
     to the witness, I think we just need to control it.
  5
                     So I would make that request, and let's
                                                                   11:55
     go ahead and go back on the record, okay?
  7
                     THE WITNESS:
                                   Thank you.
  8
                    MR. PINKERTON:
                                      Okav.
               (BY MR. PINKERTON)
                                   Did -- did Baker talk to
    you at all about -- you say he talked to you about a
10
                                                                   11:55
L111
    retractable mechanism, a rack-back?
圓12
          Α.
               Yes, he did.
الْ عَدَ
直13
               Any discussion at all about the type of roller
    involved in the rack-back?
트
폐 5
               Of course, yes, that it would be an anilox
                                                                  11-:55
    roll because we talked about the flexo process, so of
    course that would -- that would include an anilox roll.
 18
         Q.
               Okay. Now, you --
 19
                    MR. HARRIS: I didn't understand.
 20
    sorry.
 21
                    THE WITNESS:
                                   It would include -- in the
    flexo process, it is required that you have an anilox
 22
 23
    roll --
24
                    MR. HARRIS:
                                 Oh, okay.
25
                    THE WITNESS:
                                  -- as a -- as a means of
                                                                  11:_
```

11:57

11:56 providing the ink to the plate. 1 (BY MR. PINKERTON) And what did Baker tell 2 3 you about Davis and Williamson's specification in that regard? 4 They talked about the -- the need for various 11:56 5 Α. types and requirements on those anilox rolls based on the amount and -- of color that would be applied -- when I say, "color," I'm referring to metallics -- the amount of color that would be applied and -- and the amount of 11:56 resolution that would be required. 12 11 المليا There's a -- there's a relationship between dot screens that are carried on a flexo plate and the anilox cell counts on a flexo plate. And it's very important that those -- those cell counts match --14 the ratios are correct. Otherwise, you can get clogging of your anilox. You can get -- you can get -- you can <del>|</del> 17 create problems for yourself. So those were situations where Bill and 18 Jesse had become aware, I don't know over what period, 19 11:57 but certainly had become aware, of those sorts of issues when you start to pigment flexo applicators. And -- and 21 they wanted to make sure that we had at least some understanding of what their requirements were going to 23 24 be.

25

Q.

Okay.

Now, the first time that you had

learned of -- of the WIMS, you said a pending patent, 11: was that in your discussion with Baker? 3 . A. That was in my discussion with Baker. Okay. Let me hand you what we have marked as Q. 11:57 5 Bird Exhibit 5. 6 (Documents handed to witness.) Take a look at that please, and can you . 7 identify that patent, please? 8 9 Yes. It's Bird 5. It is Patent No. 5,370,976 Α. 11:58 issued December 6, '94. So it was, in fact, pending. 11 لينا Okay. You say, "It was, in fact, pending," so Q. that patent was pending? **I** 2 **1**3 When the discussion between Steve and myself Α. m <sub>a</sub> 14 took place. 11:58 [] 5 Q. Do you understand that that patent relates to that WIMS process that you described ---16 **3**7 Α. Yes, I do. 18 -- the Williamson Integrated Metallic System? Yes, I do. 19 Α. 11:58 20 Okay. Thank you. . Q. 21 (Documents handed to counsel.) 22 When you had this conversation in July of 23 '94 with Mr. Baker --24 Α. Yes. 11:50 25 -- at that time, had you had any contacts with Q.

		o /
	Williamson Printing?	11:58
í	A. Yes.	
3	Q. Okay.	
4	A. Oh, yes.	
5	Q. When did you first start having contacts with	11:58
6	Williamson Printing when you were employed by	
7	Printing Printing Research?	
8	A. Well, it was soon after a lawsuit settlement	
9	that had occurred between Printing Research and	
출1 0 실	Williamson that I don't know the details of, but	11:59
集1 1 国	certainly, I was made aware that it was now possible for	
. [ 2 ] 3 ] 4 ] 4 ] 4 ] 4 ] 4 ] 4 ] 4 ] 4 ] 4	us to call on Williamson Printing.	
<b>司</b> 3	Up to that point, I'd always been told	
= 14	that we could not make make contact with that	
<b>4</b> 5	company. I was never quite sure why, but it became	11:59
16 16	apparent when the lawsuit was settled.	
<b>5</b> 7	I so I'd have to say that it was	
18	certainly a short time after that settlement that I went	
19	in to meet with Jesse and Bill Davis. And quite	
20	frankly, I was thrilled with the reception.	11:59
21	Q. And what did you meet with them about at that	
22	point in time?	
23	A. Excuse me?	
24	Q. What did you meet with them about then?	
25	A. We were talking about the product and the	12:00
L		

12:.

growth of products, the development of products that -that we at Printing Research had now grown into from the 2 corporation that I believe Jesse and Bill were more 4 acquainted with through their previous dealings. 12:00 5 We wanted to make them aware that we had 6 drying systems. After all, this was the -- and coating 7 systems -- this was the biggest, not at that time, but 8 certainly the biggest potential printer in Dallas --Q. Uh-huh. 9 -- and was, therefore, from a sales marketing 12:00 10 standpoint, a very important customer for me to make --<u></u> 12 I felt for me to make contact with. ъ∮13 So was this when you first met Bill Davis and Q. 14 Jesse Williamson? 12:00 **1**5 Yes, it was. Α. <u>16</u> And, again, approximately what time frame are we talking about there? 四17 I'd say probably late '93ish. 18 19 somewhere in there. So during that period, I had had 12:01 20 several conversations with Bill that related to the fact that Jesse was -- was concerned because he had an aging 21 2.2 range of machinery and that he was looking to purchase 23 new machines. 24 And the sort of numbers of machines that

2.5

were being spoken about was very exciting to someone in

12:01 a sales marketing position. Did you later learn that Williamson was 2 Q. looking to and actively seeking to replace their existing presses? 12:01 Yes, I was. And that was, again, part -- the 5 reason that we took Jesse and Bill -- I couldn't attend, I was -- I had to go to some other meeting. I did want to attend that meeting in July. But in fact, Steve and -- the purpose of 9 12:02 taking -- having Steve and Bill and Jesse going down to Atlanta was specifically to look at the machinery that Li 11 we were trying to sell them. <u>17</u>12 And what machinery was that that you were .<u>n</u>13 Q. trying to sell them? 14 12:02 We were attempting to sell the high-velocity 15 Α. hot air drying systems. We were trying to sell them the infrared drying systems along with UV drying systems. 17 18 Q. Okay. Now --UV drying and curing systems. 19 12:02 The drying systems, where would they actually 20 be placed, and how would they be used on these new 21 presses that they were talking about buying? 2 2 Well, it was interesting because the 23 high-velocity hot air drying systems were -- I know it 24 12:02 was exciting to -- to Williamson to install those 25

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between stations on the printing press, not so exciting
                                                                  12
     for the UV to go between printing stations at that time.
     and the infrared speaks for itself.
                                            That was to go at
     the end of the machine.
   5
          Q.
               Okay. So is that what's referred to as
                                                                  12:03
     "interstation drying equipment"?
  7
          Α.
               Yes, it is.
               Is that what you were -- "you," being
     Printing -- you as -- as and for Printing Research, you
                                                                  12:03
     and Steve Baker were trying to sell to Williamson?
411
          Α.
               Yes.
                     And that's why my -- at the time, it
     hadn't occurred to me, but after the meeting of July,
₫13
     that's when I became aware of and started to understand
# 14
     Williamson's excitement at the high-velocity hot air
I15
     drying systems. It did -- it wasn't so obvious up to
                                                                  12:03
416
     that point.
<u>1</u> 1 7
                    MR. PINKERTON:
                                     Okay.
                                            This is a good
     time to take a break, if we can break for lunch,
 19
     until -- when do you want to break to, Bill, 1:15?
                                                                  12:03
 20
                    MR. HARRIS:
                                  Yeah.
                                         An hour and a
 21
     quarter, whatever that is.
 22
                    MR. PINKERTON:
                                     Okay.
                                            It's five after.
     Hour -- 1:20?
 2.4
                    MR. HARRIS: You might give me some tips
 25
     out there in the hall. I'll talk to you about --
                                                                  12:
```

1 MR. PINKERTON: Okay. . 2 MR. HARRIS: -- where to go eat. 3 THE VIDEOGRAPHER: Time is 12:04. off the record. 4 13:50 5 (Recess from 12:04 p.m. to 1:50 p.m.) THE VIDEOGRAPHER: The time is 1:50 p.m. 6 7 We're on the record. MR. PINKERTON: Yeah, you've got yours 8 9 I'll put my mike on. on. 를 o 13:51 (BY MR. PINKERTON) Mr. Bird, I want to go 1 1 back and finish up with one thing that we got off of, and this was, you were describing your duties and 項 3 responsibilities when you were with PRI --. **= 14** Correct. **J**1.5 -- Printing Research? \* <u>4</u> 6 1992, you said you became Product **4** 7 Generally, what were your duties in that time. Manager. frame until '95, '96 when you became Director of Sales? 18 19 Sales marketing with the technical Α. 13:51 20 responsibility to help develop our own drying systems. 21 Q. Okay. Drying and coating systems. 22 23 And then as Director of Sales, how did your 0. responsibilities change, if they did at all? 25 13:51 A. Not really. It was a responsibility that

		ļ
1	wasn't ever an official responsibility, but it was a	13:
2	responsibility that I undertook.	
3	Q. Okay.	
4	MR. PINKERTON: Excuse me.	
5	Q. (BY MR. PINKERTON) Let's go back to we	13:52
6	were talking about summer 1994, okay? When,	
7	approximately, did you learn that Williamson had made	
8	the decision to buy Heidelberg presses?	
9	A. That would have been around, I guess would	
_10	have to be, late '93, somewhere in '93, early '94	13:52
1 1 1 1	period.	•
)   2	Q. Okay. Then you had you've already told us	
<u>1</u> 3	about the meeting you had with Steve Baker, July of	
14	1994, okay?	
<b>5</b>	A. Correct.	: 13:53
16	Q. I want to focus now on the time frame from	
16	August 1994 until the end of that year, okay?	
18	A. Okay.	
19	Q. Did you continue to have contacts with the	
20	people at Williamson during that time frame?	13:53
21	A. Yes.	
22	Q. Did you have meetings with them?	
23	A. Yes, I did.	
24	Q. Okay. Would you tell us what what the	
25	purpose of those meetings was in that time frame?	13:55

1	A. Ostensibly, to establish our the	13:53
2	possibility of us selling Williamson drying systems, in	
3	particular. And also, once the knowledge of the	
4	situation had come up in July of '94 relative to the	
5	to the WIMS process, to also look at and see where we	13:53
6	might be able to help with the coating aspects.	
7	Q. Okay. I think you said that the "WIMS	
8	prospect" [sic]. Are you talking about the WIMS or the	
. 9	improved WIMS, or what are you talking about there?	
<b>1</b> 10	A. I'm talking about improved WIMS process.	13:54
₩ ₩11	Q. Okay. In that time frame, did you have a	
T12	number of discussions, meetings with the people at	
<u>1</u> 13	Williamson?	
<b>14</b>	A. Yes.	• •
[] [] 5	Q. Is that the subject of your Supplemental	13:54
⊨ √]6	Declaration, which is Bird Exhibit 3?	
를 일 7	A. Yes.	
18	Q. Okay.	
19	A. Yes.	
20	Q. That's also the subject of Bird Exhibit 4,	13:54
21	which is the separate attachment, is it not, of your	
22	Day-Timer?	
23	A. Right.	
24	Q. Which depicts various meetings that you had	
25	with Williamson?	13:54

		}
1	A. Yes.	13:
2	Q. And of course, Bird 4 goes, I think, on into	
3	'95; is that right?	
4	A. Yes, it does.	
5	Q. You might just confirm that, if you would?	13:54
6	A. Yeah. (Witness reviews documents.) Yes,	
7	these are the various various meetings.	
8	Q. Okay. Tell us, if you would, about the	
9	substance of these various meetings. They're detailed	
10	here, of course, in the Day-Timer, Exhibit 4.	13:55
10 11 11 11	What was discussed in these various	•
12	meetings?	
<b>3</b>	A. We discussed among other things, of course,	:
<b>5</b> 4	we did discuss the drying issues, where and how we might	**
- - - - - - - - - - - - - - - - - -	be able to help Williamson Printing in their endeavors	13:55
<b>1</b> 6	with their new presses.	
₫ 7	Beyond that, we also discussed how the	,
18	we might be able to supply a rack-back type coater,	•
19	anilox type coater, that we might be able to supply and	
20	work with them to for them to let us know exactly how	13:55
21	and what sort of brilliance of of resolution,	
22	brilliance of color, etcetera, that they might be	
23	looking for.	
24	They were essentially telling us what	
25	they needed to get to to a product that would make	13:5
İ		

		ŀ
1	sense for us to be able to supply.	13:56
2	Q. Did they tell you what they were going to use	
3	in regard to the flexo/litho process?	
4	A. Yes.	<u> </u> ;
5	Q. What did they what, for example, would they	13:56
6	have told you?	
7	A. They would have told us that they wanted to	
8	use a flexo applicator, sealed doctor blade chamber type	
9	system as they'd seen similar similar applications	
10	and that it should be able to be movable on and off the	13:56
₫ <u>Щ</u> 11	press.	,
년 년 12	All of those things, as we've stated	,
ਪੂ ੂੰ13	before, seemed fairly simple only because it was a	
[] 14	well-known technology at the time.	
15	MR. HARRIS: I'm going to need part of	13:56
16	that read back because there were two or three words	
<b>1</b> 7	that I couldn't hear at all.	
18	MR. PINKERTON: Okay. Sure.	
19	MR. HARRIS: The last half, I think.	
20	THE WITNESS: Oh, okay.	
21	MR. HARRIS: Now, wait a minute.	
22	MR. PINKERTON: She's going to read it	
23	back.	
24	MR. HARRIS: She's going to read back to	
25	save you the trouble.	13:57

```
1
                    THE REPORTER: The last half, sir?
  2
                    MR. HARRIS: Just start, and I can tell
  3
    you, I think.
                    THE REPORTER: Okay. Let me get down
  5
    here.
  6
                    (Record read by reporter.)
  7
                    Was that what you needed?
  8
                    MR. HARRIS: I'm not sure.
  9
                    THE REPORTER: Okay. That was the last
_10
    half.
                    MR. HARRIS: How -- the question, I think
    was, "What did they have or want," and he -- the word
3 3 4
    "anilox" appears in due course. And then a little down
    from that is where I'm trying to get.
                                                                13:58
                    THE REPORTER: Okay.
                    MR. HARRIS: That's where I didn't hear
3 7
           "Anilox" and probably "chambered".
                    THE WITNESS: Yes, "chambered doctor
 18
    blade."
 19
 20
                    MR. HARRIS: Uh-huh.
 21
                    (Record read by reporter.)
 22
                    THE REPORTER: Is that what it is?
 23
                    MR. HARRIS: Let me get over here in
 24
    front of you where I can hear you.
 25
                    THE REPORTER: Okay. Do you want me to
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```
just read back the whole answer?
  2
                     MR. HARRIS:
                                  No.
                                        Try that.
  3
                     THE REPORTER:
                                     Okay.
  4
                     (Record read by reporter.)
  5
                     THE REPORTER: Was that it?
  6
                     MR. HARRIS: Uh-huh.
  7
                     THE REPORTER:
                                    Okay.
  8
          Q.
               (BY MR. PINKERTON)
                                    Was there discussion --
  9
                    MR. PINKERTON: I'm sorry, Tami.
<u>_</u>10
                    THE REPORTER:
                                    That's okay.
⊈
∐11
               (BY MR. PINKERTON) Was there discussion in
⊨
[=1 2
    the meetings of the various coatings or inks that they
3 4 5 6
    were going to try to use in this --
               Oh, yes.
          Α.
               -- new process?
                                                                  13:58
               Yes.
               What did they tell you about that?
18
               Well, they told us that they -- they had an
    agreement with a -- with a corporation out of England
19
    wherein -- Walstonhome [phonetic] -- that manufactures,
20
                                                                  13:59
    as speciality, metallic pigments and were a major
21
    supplier around the world, in fact, and that we should
22
    make contact with -- with them, in fact, to see that we
23
    could get ideas of particle size, etcetera, and their
24
    application and applicability to -- to the sort of
25
                                                                  13:59
```

anilox roll and cell counts that we would be -- be 13 2 wanting to use. 3 0. Any other type of coatings that you recall that were mentioned that they were going to use --4 5 Α. Oh, yes. 13:59 6 Q. -- in this new process? 7 Whites was certainly something that I know was of great interest, opaque white, where they would be printing on dark color materials that would normally take several passes to achieve. 13:59 4 4 1 It was certainly felt by Williamson it **月**2 would be a great advantage to be able to do that in one ·- 📲 3 pass using a flexo application. J **1** 4 Q. Any other types of coatings or inks that --**1** 5 Yes --Α. <u>⊭</u>16 -- they were going to use? **1** 7 -- I mean, we -- we variously discussed the --18 sometimes -- in fact, the discussions got somewhat out 19 of hand in my opinion in that we -- we got into a whole 20 slew of product that was easily achieved with flexo that 14:00 21 was never easily achieved with litho. 22 Okay. Take a look at your -- well, what about Q. scratch-and-sniff, that type of thing --23 24 Α. Scratch --25

--- was that mentioned?

Q.

		1
1	A. Scratch-and-sniff was mentioned, and scratch	14:00
2	and sniff was something that very clearly came out of	
3	Williamson and was something that they, in fact, used in	
4	a in a in a promotion that was used for the	
5	Weyerhaeuser Company and was somewhat of a surprise to	14:01
6	us when when it was proposed to be used.	
7	I do recall that garlic was was the	 
8	the flavor that they decided to use. And it was for a	
9	set of sneakers that when you scratch them you got a	I
10	beautiful garlic flavor coming off sneakers.	14:01
10 411	Q. Were these	
는 는 는	MR. HARRIS: Move to strike the answer	
2 3 3 4	Q. (BY MR. PINKERTON) Were these	
14	MR. HARRIS: on the basis that it is	
1 5 5	extended far beyond the question and irrelevant.	14:01
<b>14</b> 6	Q. (BY MR. PINKERTON) Is there some term for	
<b>1</b> 7	these scratch-and-sniff type coatings or	
18	A. They're microencapsulated materials supplied '	
19	by 3M Corporation.	
2 Ò	Q. Have you ever heard of the term "encapsulated	14:01
21	essences"?	
22	A. Yes.	
23	Q. Is that what they are?	
24	A. Yes.	
25	Q. Okay. And there was discussion of various	

; **‡** 

```
14:
     types of encapsulated essences that were going to be
  2
     used in the new flexo/litho processes?
               Yes, indeed --
  3
          Α.
                                  Objected to as leading --
                    MR. HARRIS:
  4
  5
               Yes, indeed.
          A.
                                  -- terribly.
  6
                    MR. HARRIS:
  7
                    MR. PINKERTON:
                                     Well, that was what his
  8
     testimony was.
                                     Is that correct, sir?
               (BY MR. PINKERTON)
  9
          Ο.
                                                                   14:02
110
               That's correct.
ū
                                 That may have been what his
                    MR. HARRIS:
1 النا
     testimony was, but it was -- your question was leading.
<u>m</u>12
<u>.</u>
1
               (BY MR. PINKERTON) Now, during this same
          0.
    period that we're talking about -- August '94 through
                                                                   14:02
     the end of the year, okay? -- what, if anything, did you
    do within Printing Research in regard to fulfilling any
     of Williamson's needs with respect to a retractable
    printer coater for the -- for the flexo/litho process?
 18
               Well, it would have been clearly my duty and
 19
          Α.
                                                                   14:02
          In fact, what I think I accomplished was to be
 20
     able to get the people at Printing Research excited
 21
     about the prospect of supplying such a coating device
 22
     that could, in fact, achieve the goals that -- that
 23
    Williamson were looking to achieve.
 24
                                                                   14:
               Was a retractable printer coater with an
 25
          Q.
```

		1
1	anilox roller for use in the flexo/litho process	14:03
2	actually developed by PRI for Williamson?	
3	A. There was indeed, yes.	
4	Q. And what was that device? Was it did it	
. 5	have a name?	14:03
6	MR. HARRIS: Move to strike the last as	
.7	leading, again.	
8	You sure are leading the witness.	
9	Q. (BY MR. PINKERTON) You can go ahead and	
10	answer.	14:03
다 취 1	A. Okay. It's it was what we called EZ	
₽ ₽2	Interstation Coater and is listed on this on this	
텔 3 텔 3	brochure here as such.	
Ū ₫4	Q. Okay. Which brochure? What exhibit is that,	
를 를 5	sir?	14:03
16	A. This is the EZ Print, slash, Coat Family of	ı
<u>1</u> 7	coating devices.	
18	Q. Okay. And if you would, identify the page	
19	A. It is the second page of those documents.	
20	Q. Okay. And once again, let's put a circle and	14:03
21	a notation around that.	
22	A. (Witness complies.)	
23	MR. HARRIS: What's the exhibit number?	
24	Remind me.	
25	MR. PINKERTON: That is Exhibit 13, Bird	14:04

13. 14 2 Q. (BY MR. PINKERTON) Now, you've put the designation "EZI," and that stood for what? 3 4 Α. EZ Interstation. 14:04 Okay. And who was it that that coater was 5 developed for? 6 That was developed for Williamson Printing. Α. Okay. The anilox roller, is it shown on the 8 Q. brochure? 14:04 10 Α. The anilox roller is shown on the brochure but not on the device, only because of our policy to be 直11 12 fairly ambiguous so that if changes were to take place 13 ايمة at a later date, we could -- we could make those changes. \_15 However, in the corner, the actual anilox 14:04 roll device is described. And is that in the lower right-hand corner? That's in the lower right-hand corner. 19 Okay. If you want to just circle that, and do you want to label that "anilox"? 14:05 20 21 Α. Yes. (Witness complies.) 22 Now, the EZI Interstation Printer Coater retractable device, does it have basic components as 24 part of it? 25 Yes, it does. 14: Α.

		7
1	Q. What are the basic components of it?	14:05
2	A. Basic components of an EZI Interstation	
3	Coater, very similar to the EZB, is that you have a	
4	retraction system, you have an anilox roll, you have a	
5	sealed doctor blade chamber assembly and a pumping	14:05
6	system.	
7	Q. Okay. Who did the actual mechanical, detailed	·
8	mechanical, design of the retraction system on the EZI	}
9	or EZ Interstation Printer Coater?	
<u>10</u>	A. That would be Ron Rendleman.	14:05
_ 	Q. And Ron Rendleman worked for PRI at that time?	<u> </u>
4 Tay 13	A. Yes, he did.	
<u>_</u> 3	Q. What was his position with PRI then?	
#	A. He was the supervisor of the machine shop.	
<b>5</b>	Q. He ran the machine shop?	14:06
6	A. He ran the machine shop.	
<b>9</b> 7	Q. Okay. What kind of a machine shop was it?	!
18	A. There was two C & C cutters, cutting	
19	devices I'm not sure what the exact title is. As I	
20	say, I'm not an engineer myself several aids,	14:06
21	drilling equipment.	
22	Q. Okay. Was the EZI Interstation Coater	
23	referred to by any particular name at PRI internally?	
24	A. It became known as the "Rendleman coater."	
25	Q. And why was that, sir?	14:06

		_
1	A. Because Ron was the gentleman that designed	14:
2	it.	
3	Q. Okay. And once again, what aspect of it did	,
4	he do the mechanical design of?	
5	A. The the main mechanical design that was	14:06
6	that Ron contributed to was was the retraction	'
7	system, what we referred to as the "Ferris wheel	
8	motion."	
9	Q. Okay. Switching now into well, before we	
_10	go to 1995, let's let's talk about late 1994, okay?	14:07
<b>9</b> 1	A. (Nodding head affirmatively.)	
	Q. Do you recall tests or experiments being	٠.
* ¥ 3	performed at PRI in regard to the flexo/litho process?	
22	A. In ninety	
5 6 E	Q. Late 1994. Again, specifically, December	14:07
6	1994.	
<b>4</b> 7 <b>4</b> €	A. We we were able to print flexo, but yes,	.*
18	we printed flexo.	
19	Q. Okay. Were those tests experiments?	
20	A. They were experiments, they were tests, they	14:07
21	were a combination.	
22	Q. Okay.	
23	A. This was done for for Williamson Printing.	
24	Q. Okay. Who had requested those tests be done?	
25	A. Williamson Printing.	14:6.

		ľ
. 1	Q. Anybody in particular at Williamson?	14:08
2 .	A. This was an amalgam of Jesse, Bill Davis	
3,	Jesse and Bill Davis in particular.	
4	Q. Okay. What was the purpose of those tests?	-
5.	A. The purpose was to establish the whether we	14:08
6	had the right means to produce, again, a coater that	
7	would that would satisfy their needs in terms of	
8	the the ability to apply opaque whites, metallic	
9 ,	silver, metallic gold, the the plethora of products	
[10 [10	that we had steadily come to realize could be could	14:08
1 1 1	be applied.	
12	Q. What about flexographic plates, was that	
13 13	involved at all in	
: 14	A. Yes	
5 H	Q the testing?	
<u>م</u> ياً 6	A very much so.	
	Q. Describe that, if you would, please.	
18	A. We had at that time, we had in-house, we	
19	had a flexographic plate that had been supplied for us	
20	by another customer that we used in testing. And	14:09
21	Williamson provided several plates that they had	
22	procured from, I believe, both Dupont and, I think at	
23	the time it was, W.R. Grace, but today would be	
24	Polyfibron.	
25.	And we were we were testing, again,	14:09

14: resolutions and the -- the acceptability of quality standards, etcetera, that we would be able to produce for Williamson. 3 4 Q. Who organized and directed these tests? 14:09 5 I did. Α. Anybody at Printing Research -- I mean, excuse 6 7 me, at Williamson Printing? Was [sic] people involved 8 from Williamson --Α. Oh, yes -ο. -- Printing? -- yes. And that would -- that would be Bill Α. 1 2 1 3 1 3 Davis in particular. So what was Bill Davis's role as far as Okay. what was to be done, that type of thing? 14:10 We would sit down and work out the spec. 16 "What -- what do you want us to do for you?" <u>-17</u> And when you say, "what do you," are you Q. 18 referring to Bill Davis? 19 I'm referring to Bill Davis. Α. 14:10 . 0. You would ask Bill what he wanted done? 20 21 Α. Yes. 22 Q. Okay. 23 And --Α. Go ahead. 24 ο. 25 14:10 -- and Jesse would be involved, but I don't Α.

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think in quite the same depth at that time that Bill
                                                                  14:10
    was.
  2
               Okay. Were records maintained at Printing
  3
    Research with respect to those tests you've talked
  4
  5
    about?
         A.
               Yes.
  7
               Let me hand you what's been marked as Bird
    Exhibit 16. It's PRI No. 00699.
                    (Documents handed to witness.)
40
               (Witness reviews documents.)
                                                                  14:10
                                               It's Bird 16.
ī
11
    It is a Information Demonstration form that, in fact, I
月2
    had been responsible for producing within the company so
₽ 3
    that we would always have record of tests of this nature
<sub>s</sub>14
    and/or demonstrations so that everybody that was
5
    involved in those tests and/or demonstrations would be
                                                                  14:11
÷16
    aware of what our goals were and what it was that we had
    to -- to achieve during the -- during those test
18
    periods.
19
              The Demonstration Information form, is that
20
    a -- a document that was used and prepared in the usual
                                                                  14:11
21
    course of business at Printing Research --
22
              It be -- it --
23
         Q.
              -- for tests and experiments?
24
         Α.
              It became so, yes.
25
         Q.
              Okay.
                                                                 14:11
```

	1		1
1	Α.	And I can't swear that this would have been	14:
2	the first	or the one of many, but it certainly was	
3	something	that I produced.	
4	Q.	Okay. And was this particular Demonstration	
5	Informati	on form, Bird Exhibit 15 [sic], was that	14:11
6	prepared	in the usual and ordinary course of business at	
7	Printing :	Research?	
8	Α.	Yes.	
9	Q.	And who was it prepared by?	
10	Α.	This was prepared by me.	14:12
₩ 1911	Q.	Okay. That's your your handwriting that	
<u>1-1</u> 1-12	appears?		;
₩ 13	Α.	Yes. Yes.	
重 []] 4	Q.	Was this prepared at or about the time of the	
2 	events de	picted herein?	14:12
₩ ₩ 6	Α.	Yes.	, .
1 7 d	Q.	Okay. What this document, Bird Exhibit 15	
18	[sic], she	ows testings on what specific dates?	
19	Α.	12/20, 12/21/94.	
20	Q.	And what what coater was used to or used	14:12
21	in these	tests?	l
22	Α.	What we loosely called the EZB, the EZ Blanket	
23	Coater		
24	`Q.	Okay.	:
25	Α.	was used to produce this.	14:12
		J	

1		1
1	Q. And that's one that we've already marked as an	14:12
2	exhibit; is that correct?	,
. 3	A. Yes, sir.	,
4	Q. Okay. Now, that EZB, what press was it on for	
5	these tests?	14:12
6	A. It was on the Heidelberg two-color	ŗ
7	Q. And where was it?	
8	A press machine.	
9	The Heidelberg two-color	
	Q. No, not the I'm sorry. Where was the	, 14:13
	coater?	
. 1 2	A. The coater was placed on as depicted here	
3	ın this in this drawing, this computer drawing, on	
<u> </u>	the delivery station of the of the machine	•
Ū 1 5 ↓	Q. Okay.	14:13
[ <del>]</del> 6	A applying ink and/or coating at the last or	
는 한축 7	second in this case, second printing unit of the	
18	machine.	
19	Q. Okay. And so you're saying that it was as	l
20	depicted here on Bird Exhibit 13 where you've got marked	14:13
21	"EZB"?	
22	A. Correct.	
23	Q. Okay. That EZB Coater, I don't know if I	
24	asked you this before, but was that a patented coater?	
2 5	A. It was not.	14:13

			7
1	Q.	Okay.	14
2	Α.	There is much prior.	
3	Q.	The you previously mentioned an EZ Coater,	
4	right?		t.
5	Α.	Correct.	14:13
6	Q.	And that we have seen that in that EZ	
7	brochure?		
8	~ A.	Right.	
9	Q.	That one I think we previously established was	
10	a patente	d device?	14:14
1 1	Α.	Yes. And that's this coater.	
	, Q.	Okay. And that's the coater shown lower	,
네 3 ①	right-hand	d corner of Bird Exhibit 13 where you've marked	
<b>1</b> 14	"EZ"?		
출 5 년	Α.	Correct.	14:14
-16 "√	Q.	Okay. And that one was patented.	•
□ 7 ►	,	Was that EZ Coater used at all in these	
18	tests?		
19	Α.	No.	
20	Q. T	Take the Exhibit 15 [sic], if you would,	14:14
21	please	it is I'm sorry, is that the wrong number?	
22	Α	16.	
23	Q.	16. Bird Exhibit 16 where it says, "Purposes,	
24		d objectives. What does the customer want to	
25	achieve?"		14:
	)		

		1
1	Would you read what that says, please?	14:14
2	A. "Apply metallic flexo type inks, including	
3	pearlescents, between printing units and overprint with	
4	regular inks all in-line."	
5	Q. Okay. That was where did it says, "What	14:14
6	did the customer want to achieve?" Where did you get	
7	what the customer wanted to achieve?	
8	A. From the customer.	
9	Q. And "customer" being here?	
<b>9</b> o	A. Williamson Printing.	14:15
14 1	Q. Okay. And who particular at Williamson?	,
[] 2	A. This would have, once again, have been Jesse	,
	Williamson and Bill Davis.	_
-14 C	Q. Okay. The next block there says, "Procedure."	
₩ 115°	Do you see that?	14:15
* <del>4</del> 6	A. Yes, I do.	
口 月 7	Q. Would you read that, please?	
18	A. "Apply water-based slurries and inks through	
19	the EZB at the blanket position primarily, and	
20	eventually from the plate position to compare."	14:15
21	Q. Okay. And then there's a notation	
22	A. "See over."	
23	Q "see over." And which "see over" do we go	
24	to here?	
25	A. Well, the you've got the asterisks where	14:15

we're looking at the -- we're just basically looking at the comparisons on the other side, so you're -- the 3 Results and Comments, we actually moved on to say, "We to print/coat white -- coat white, silver, and gold from the blanket position on varnished [sic] stock colors 10 14:15 of each. Using Rexham plate." 7 That was the customer referred to previously whose plate we were using. 9 Q. Okay. 10 "2. We to print/coat slurry on white stock 14:16 from the blanket position," etcetera. I don't know if you want me to read all that. Q. No, that's fine. So the documents there on the back side 14:16 where it says, "Results and Comments," that reflects what? That reflects the results and comments --**1**8 Okay. Q. 19 -- of the tests. And you'll note that they 14:16 20 are -- they are dated. You can see what we did on 12/20, and you can see what we did on 12/21. 21 2.2 Q. Okay. All right. 23 (Sotto voce discussion.) 24 MR. HARRIS: Are you off the record? 25 you off the record?

MR. PINKERTON: No. 1 MR. HARRIS: You're just mumbling, John. 2 3 MR. PINKERTON: I'm conferring with co-counsel here, trying to find an exhibit. I'm sorry. (BY MR. PINKERTON) Mr. Bird, let me show you 5 Q. what we've marked as Exhibit 17, and for the record, that's PRI Production Number 00673. (Documents handed to witness.) 9 A. (Witness reviews documents.) 14:17 Again, is that a demonstration form? Yes, it is. Okay. And can you identify that for us, please, sir, and tell us what it relates to? **4** A. It relates to a -- another test where we're 14:17 running a new silver, gold, and white provided by Williamson. And -- and we're referring to the viscosities and looking at what sort of drying speeds, 18 etcetera. 19 We're looking at what technical aspects 14:18 20 that we're likely to have to address as we proceed 21 with -- with the project with -- with Williamson 22 Printing. 23 Q. That test, who was that requested by? Bill Davis. 24 Α. 25 Q. And who would have -- did he organize the 14:18

test? 14: 2 Α. He organized the test with -- with myself, 3 in --Okay. Q. 5 -- cooperation with myself. 14:18 Α. 6 And once again, the Demonstration Information form, the same information you told us about the information form, which was Bird Exhibit 16, with respect to it being a document that was prepared in the 14:18 usual and ordinary course of business at Printing Research, all that same testimony holds true with F1 2 respect to Exhibit 17 as well? M · 3 The difference only being that this was Α. Yes. Q prepared by Warren Bird. <u></u> 5 Prepared by -- and who is Warren Bird? 14:.18 Q. Okay. 1 **4**6 Warren Bird is my son. Okay. Once again, it was the practice at Printing Research to have someone with knowledge of the 19 information that's reflected there to prepare that form? 14:19 20 Α. Yes. And that person, whoever it would have been, 21 22 your son or you, would have --23 Α. ·Correct. 24 -- prepared that form at or about the time of 14: 25 the events depicted thereon?

		]
1	A. Yes.	14:19
2	MR. HARRIS: You're leading when you	
3	suggest he did it like that, Counsel. I	ļ.
4	MR. PINKERTON: Excuse me?	
5	MR. HARRIS: object to the leading	14:19
6	question.	
7	Go ahead.	
8	Q. (BY MR. PINKERTON) Thank you very much.	
9	Let's go back to Bird Exhibit 16, okay?	
<u> </u>	(Documents handed to witness.)	14:19
I II 1	Once again, these are the December 20 and	
<b>L</b> 2	21, 1994, tests; is that correct?	,
	A. Correct.	
14	Q. Okay. Did Howard DeMoore have any substantive	
<b>L</b> 5	participation in these tests?	14:19
7	A. No.	
<b>T</b> 7	Q. Did he in any way organize, prepare, or direct	
18	the tests?	ŧ
19	A. No.	
20	Q. Did Ron Rendleman organize, prepare, direct,	14:19
21	or participate in the tests?	
22	A. No.	
23	Q. Did Mr. DeMoore make any suggestions at all to	
24	Bill Davis or Williamson in regard to these tests?	
25	A. No.	14:20
	<b>!</b>	

		]
1	Q. Okay. Let's let me have that. I'll take	14:
2	that off your hands.	
3	(Documents handed to counsel.)	
4	Thank you. Let's go now to 1995. Do you	
5	recall February 1995, approximately, when you might have	14:20
6	had discussions with printing excuse me, discussions	
7	with Williamson Printing about actually purchasing or	
8	buying the EZ Interstation Printer Coater?	
9	A. Yes.	
1 0	MR. HARRIS: Objected to as leading.	14:20
1 1	Q. (BY MR. PINKERTON) Okay. Specifically, at	
- -12	this time, do you recall the specific date when you	,
<u></u>	would have had these this particular discussion	- ,
14	concerning the business arrangement?	7
Ī 5	A. It would have been early February. If I may,	14:21
16	if I can refer to my Declaration.	
≖i 7	Q. Would it refresh you on the date?	
18	A. Yeah, I think so.	
19	Q. Okay. And that's Exhibit 2?	
20	A. (Witness reviews documents.) Exhibit 2, and I	14:21
21	believe that that's I think it was February 11.	
22	Q. Uh-huh. Let's see. Exhibit 2 is you're	
23	looking at the wrong exhibit.	•
24	A. I am?	
25	Q. Uh-huh.	14:_
	ı	

	· · · · · · · · · · · · · · · · · · ·	/
. 1	A. So let's take that away.	14:21
2	Q. That's it.	
3	A. Why don't you refer me to the	
4	Q. Specifically, paragraph 15?	
· 5	THE WITNESS: Well, it was five years	14:22
6	ago.	
7	MR. HARRIS: Well, I'm not laughing at	
8	you. I'm laughing at Pinkerton.	
9	THE WITNESS: My memory isn't as good	
<b>3</b> 0	MR. PINKERTON: We want to we do want	14:22
크 0 보 1	to move this	
U 2	MR. HARRIS: I know.	
型 2 型 3	MR. PINKERTON: we do want to move	
=14 □	this process along.	
<b>41</b> 5	MR. HARRIS: I'm not even going to	14:22
<u>⊨</u> 16	object.	
다 년 7	MR. PINKERTON: Thank you.	
18	MR. HARRIS: I will continue to sometimes	
19	when you're outrageous, but this is this is kind of	
20	funny.	14:22
21	MR. PINKERTON: We're trying to save	
22	time.	
23	Q. (BY MR. PINKERTON) Paragraph 15	
24	A. Yes.	
25	Q okay. Will that just take a look at	14:22
- 1		

paragraph 15 and see if it refreshes your recollection. 14: 1 Yes, it does. Yes, it does. 2 Just take a minute and read that. 3 Yeah. (Witness reviews documents.) 4 5 remember it well. 14:22 6 Q. Okay. February 11, what do you recall, then, about this February meeting -- or excuse me, February 11, 1995, meeting? 8 Jesse and Bill had called the meeting, and we 14:22 were shown samples of -- print samples that they had had produced in Germany I believe by M.A.N. Rowland [phonetic]. I could be wrong, but I believe it was Ā M.A.N. Rowland [phonetic]. And those print tests were showing -depicting a Rolex watch advertisement in which the gold 14:23 was produced with a -- with a flexo application. **-1**7 also there was -- if my memory is correct, also there was a Harley Davidson with a Lee jean image on those. 18 19 Williamson Printing, I think for obvious 14:23 reasons, were extremely excited because it -- it had 20 brought to the fore all of their theories that they'd 2.1 been expounding on, had clearly shown that they were 22 23 more than possible, and that we were moving down -- they 24 were moving down the right trail. 14: 25 And it was due to those -- those samples

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14:24
    that we moved forward with the -- with the whole project
    of -- of having a coater put on order and -- and for
 2
 3
    them to work with us --
 4
         Q.
               What --
                                                                  14:24
               -- in that regard.
 5
              What did you understand about the process that
 6
    was used to have printed that -- those Rolex pieces that
    you were talking about that they were excited about?
               They were essentially produced offline, but
                                                                  14:24
a 0
    nonetheless, they were produced with printing a gold
L 1
    and/or a silver, and then they were overprinted, taken
    back off, back in-line, and printed over the top of.
412
               Okay. Is that a simulation of the flexo/litho
道 3
         Q.
Ħ
    process?
= 14
14:25
               It's a simulation of the WIMS process, yes.
I 5
         Α.
               Is it a simulation of the flexo/litho process
6 إيا
    we talked about as an improved -- improved process?
7 ليا
               Yes, it is --
18
         Α.
19
               Okay.
                                                                  14:25
               -- very much so.
 20
                                  I move to strike that as
 21
                    MR. HARRIS:
 22
    unduly leading. I'm sorry.
                    MR. PINKERTON: Well, it's real important
 23
    when we talk about Williams [sic] that we be specific
 24
                                                                  14:25
 25
    about what we're talking about so --
```

ı		
1	Q. (BY MR. PINKERTON) Do you remember we talked	14:
2	about a Williams [sic] patent, the basic metallic	
3	integrated Williamson Integrated Metallic System?	
4	A. Correct.	
5	Q. The simulation, was that of the original	14:25
6	patent, or is this the new improved process?	
7	A. This was this was a simulation of the new	
8	improved process.	
9	Q. Okay. What we've called the "flexo/litho	
10	process"?	14:25
型 [ <u>1</u> 11	A. The flexo/litho process.	r. *
₩ ₩12	Q. Okay. Anything else what else was	
海 直13	discussed at that meeting in regard to going forward	
្ជា , 14	with the business arrangement?	
<u></u>	A. That we that we might we should look at	14:26
16	providing a a coater.	
교 발17	Q. Okay.	
18	A. That	
19	Q. It wasn't I'm sorry. Go ahead.	
20	A. That we should look at providing a coater.	14:26
21	Q. Was an agreement made for Williamson Printing	
22	to buy or acquire these retractable printer coaters for	
23	use in the process from	
24	A. Yes.	
25	Q Printing Research?	14:

i		
1	A. Yes, they were.	14:26
2	Q. Okay. And what was the agreement that was	
3	reached?	
4	A. The agreement that was reached was that we	
5	should supply a coater, a prototype coater, if you like,	14:26
6	simply because our principles had not at that stage been	
7	proven at Printing Research.	
8 .	And much to the chagrin, I know, of	
9	Williamson, we we were only able to look at providing	
다 410	what we called a "short-armed system" where we would	14:26
[₩  == 1 1	install it on the coating station of of their	
<b>U</b> 1 1 2 1 2	printing press.	
道 計13	That would, therefore, not be an in-line	
<u> </u>	process, but it would at least give us the opportunity	
14	to prove the engineering mechanics that that Ron	14:27
<u>1</u> 16	Rendleman had put into place might be able to be proven	
17	[sic].	
18	Q. So was this prototype short-armed device	
19	actually provided to Williamson?	
20	A. It was in the end. Yes, it was.	14:27
21	Q. Okay. And later, pursuant to these	
22	discussions, were actual EZI devices provided for	
23	interstation use?	
24	A. Yes, they were.	
25	Q. Okay. And what was the agreement between	14:27

that you reached with Williamson in regard to payment 14: for the prototype and the other units? 3 The -- the short-armed coater, since it was Α. a -- it was, one, a prototype and, two, was very much a manual -- manually operated device, would be provided 5 14:27 free of charge, since, again, we hadn't proven that we could do it; and that the second machine, which would then be a production machine, should be at half price; and then any other subsequent machines would be at full **1**0 price. 14:28 텔 1 Okay. So do you recall, other than the **I** 2 prototype, how many other actual EZI machines were ₫3 provided? Ü. <sub>=</sub> 14 I believe four in total, including the **[]** 5 prototype. 14:28 <u>⊢</u> √16 Okay. Q. So --를 7 Α. I believe. 18 So it would be one of the prototypes and then Ο. three EZIs would have been provided? 19 20 Α. I believe so. 14:28 21 And the first interstation, or EZI, as I understand your testimony, was going to be at half 22 23 price, the other two at full price? 24 Α. Right. 25 MR. HARRIS: Objected to, Counsel. -14:\_

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. 14:28
   You're not entitled to summarize the witness's
  2 | testimony.
 3
                   MR: PINKERTON: Okay. I just -- just
    trying to make the record clear.
 . 2
              (BY MR. PINKERTON) And --
         Ο.
 6
                   MR. HARRIS: Well, I think it was clear
 7
    enough.
 8
                   MR. PINKERTON: Okay. You understood?
                   MR. HARRIS: Don't quarrel with your own
\Box 0
                                                                14:28
    witness.
<u>L</u> 1
                   MR. PINKERTON: I'm not quarreling with
团 2
   him, certainly.
₫3
             (BY MR. PINKERTON) The price, what was the
         Q.
M
14 price for the -- the units --
5
         Α.
              They were --
ļ
         Q. -- as best you recall?
<u>1</u> 6
              They were in the 60s, 60 -- 60ish -
18
              Okay.
         Q.
              -- in thousands of dollars.
19
                                                               14:29
20
              Did Williamson pay Printing Research for the
         Q.
    EZI devices that were furnished?
              As far as I know.
22
         Α.
23
       · Q.
              Okay:
24
                   MR. HARRIS: I'm sorry. What was the
25
   answer?
                                                                14:29
```

```
THE WITNESS: As far as I know.
  1
                                                                   14:
  2
                    MR. PINKERTON: As far as he knows.
  3
                    THE WITNESS: I'm not privy to that.
  4
                    MR. HARRIS:
                                  Would you read back the
  5
                It was something about, "Did Williamson
                                                                  14:29
    pay" --
  6
  7
                    MR. PINKERTON: Yeah. "Did Williamson
 8
    pay for the EZI devices that were provided by Printing
    Research?" He said as far as he knows.
□ 0
               (BY MR. PINKERTON) I gather you weren't
                                                                  14:29
ű
<u>ij</u> 1
    involved in terms of the accounting function?
į.
LT 2
         Α.
              Occasionally, and occasionally not.
أيهة
₫3
                    MR. HARRIS: I kind of missed the
M
<sub>=</sub>14
    question.
               Was the question directed to just coaters
J 5
    that were sold or not sold but somehow that wound up in
                                                                  14:30
ķ
    Williamson's hands and came from PRI? Is that what it's
-16
    about?
18
                   MR. PINKERTON: I don't know how --
19
                   MR. HARRIS: You want -- you apparently
20
   want the --
21
                   MR. PINKERTON:
                                    -- I don't know how else
22
   to say it, Bill.
23
                   MR. HARRIS: -- record clear.
24
                   MR. PINKERTON: Yeah.
                                            I don't know.
25
         Q.
              (BY MR. PINKERTON)
                                   The first -- the
                                                                 14:_
```

prototype, the agreement was that it was not going to be 14:30 paid for, right? It was not --2 Correct. 3 Α. The second -- then there were three other 4 Ο. 14:30 devices provided other than the prototype? 5 MR. HARRIS: I'll go back to let you 6 7 summarize this time. MR. PINKERTON: Okay. 8 (BY MR. PINKERTON) Now, those three devices, 14:30 in terms of structurally, were they -- again, they were 止11 the EZI device? **U**n 2 Correct. Α. Okay. How did they differ from the prototype **₫**13 Q. primarily? **= 14** 14:30 They differed in that they were true Um 5 interstation EZ Coaters or EZI Coaters. **≒**16 Okay. You described the prototype as being a <u>-1</u>7 short-armed device. How would that compare to the EZI? The -- the short-armed device was because on 19 Α. 14:31 a -- on a coating tower station, which is where it 20 was -- was supplied and installed, is only half the 21 height of a -- of a -- approximately half the height of a full printing station since it doesn't have the plate cylinder and dampening and inking systems installed in 24 14:31 it. And it's, therefore, a very much lower profile 25

```
14
   1
     unit.
   2
                      And that in itself lent itself to us
   3
     making a coater more easily because we didn't have such
     a -- such a long sweep, and plus the fact that between
     those units, there's -- there's more space than there is
                                                                     14:31
   5
     between printing units. That differentiated it, made it
     a short arm as opposed to a long arm you would need for
     a -- for a full printing device.
   8
   9
                Okay. Now, the -- the three EZI devices that
          Q.
10
                                                                     14:32
     were provided by Printing Research to Williamson, the
₫11
     first of those was at half price as I understand you?
<u>1</u> 1 2
                     MR. HARRIS: I thought his testimony --
15
"-<sup>1</sup>/<sub>1</sub>13
     that's what bothered me.
F14
                                       Okay.
                     MR. PINKERTON:
                                              No --
<u></u>15
                                                                     14:32
                     MR. HARRIS: I thought he was saying he
     didn't know.
<u>---16</u>
 1 7
                     MR. PINKERTON:
                                       No.
                                            He said the --
 18
                     THE WITNESS: Oh, no.
 19
                     MR. PINKERTON: -- he said the deal
  20
     was --
  21
                     MR. HARRIS: Oh, the deal, I heard what
  22
     the deal was.
  23
                     MR. PINKERTON:
                                       Okay.
  24
                     MR. HARRIS:
                                   What actually happened, I
                                                                    14:
  25
     believe is what you asked him.
```

,		]
1.	MR. PINKERTON: Well, what I had asked	14:32
2.	him was he had a deal to pay for those. And I asked	
3	him, did Williamson actually pay for those three	{
4	interstation EZI devices that were supplied. And his	
5	testimony was, to the best of his knowledge, okay?	14:32
6 '	Q. (BY MR. PINKERTON) Did you get involved in	
7	regard to any efforts to determine whether or not there	
: 8	was payment for those or not?	
9	A. I only smile because things got somewhat fuzzy	
10.	within Printing Research as far as payments, etcetera,	14:33
11. 11.	and I I'm not sure. I just don't remember.	
12 17	I have no no clear knowledge of	
* 3 T	whether they were paid for.	
۵4.	Q. Okay.	
<u>1</u> 5	A. And I'm not going to say I do when I don't.	14:33
万 月 6	Q. Let me ask you to take a look at another	
<b>1</b> 7	exhibit we've marked. This is Exhibit Bird 15, and it's	
⊨ 18	PRI 00134.	
19	(Documents handed to witness.)	
20	A. (Witness reviews documents.)	14:33
21	Q. Can you identify this document, Mr. Bird?	
2,2	A. Yes.	
23	Q. Is this a document that you prepared?	
24	A. Yes.	
25	Q. And what's it dated, sir?	14:33

1	A. It is dated 2/11/95.	14:
2	Q. And is that the same date at this meeting that	<u> </u> 
3	you've been testifying about?	
4	A. Yes.	
5	Q. Okay.	14:33
6	MR. HARRIS: I I am not sure do I	
. 7	have that document?	
8	MR. PINKERTON: I hope you do.	
9	MR. HARRIS: What what number?	
<b>a</b> 10	MR. PINKERTON: I think it's the one	14:33
<u></u>	that's right there on the top, Bill.	
12	MR. HARRIS: The very	
13	MR. PINKERTON: The one that's turned	
<b>=</b>	over. No, next one down.	; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ;
15 F 6	MR. HARRIS: This one?	
<u> </u>	MR. PINKERTON: Yes, sir.	-
Ğ.7	MR. HARRIS: Okay. Let me see if I	
18	can	
19	MR. PINKERTON: There you go.	
20	MR. HARRIS: Yeah, fine.	
21	MR. PINKERTON: There you go.	
, 22	Q. (BY MR. PINKERTON) Does once again, is	
23	this document a document that was prepared by you at	
24	Printing Research?	
25	A. Yes.	14:_

		7		
1	Q. In connection with your duties and	14:34		
2	responsibilities there?			
3	A. Yes.			
4	Q. And was it prepared by you in the usual and			
5	ordinary course of business?	14:34		
6	A. Yes.			
7	Q. And it's your once again, it relates to			
8	this meeting of February 11, 1995; is that right?			
9	A. Correct.			
<u>-</u> 10	Q. And approximately when would this have been	14:34		
1 1	prepared by you?			
<u>F</u> j 2	A. Within the next 24 to 48 hours of that	}		
3	meeting.	}		
4 ·	Q. Okay. And what does this document reflect in	-		
<b>1</b> 5	regard to the test in Germany that you talked about that	14:34		
16 117	Jesse had told you about?			
豆 <sub>7</sub> .	MR. HARRIS: Objected to as objected			
18	to as leading concerning gross hearsay. Leading			
19	concerning gross hearsay.			
20	Q. (BY MR. PINKERTON) You may answer.	14:35		
21	A. It does state at Point B that "satisfactory			
22	tests have been run in Germany using a 300 anilox, where			
23	we hat PRI have run a 200. Jesse indicates that he			
24	would like the EZ Blanket Coater for March the 1st			
25	although Bill Davis indicates April 1st would be	14:35		

1 acceptable." 14: Okay. And your reference there to "EZ 2 Blanket," is that the -- the coater that became the EZI, 4 is that what we're talking about? 14:35 5 That's the coater that became the EZI, yes. 6 Okay. Now, Mr. Bird, at about this same time Q. 7 frame, early 1995, was there a time when you had a meeting at printing -- excuse me, at Williamson Printing where the subject of a patent application came up, 14:36 **11**0 filing a patent application? w <u>1</u>11 A. Yes. And who was at that meeting, sir? **11**12 Ο. <u>₽</u>13 Jesse Williamson. I believe Bill Davis. <sub>3</sub> 14 Q. And where was that meeting, as best you 14:36 **[** 1 5 recall? 16 It was in one of the conference rooms at Α. [] [17 Williamson Printing. 18 Q. All right, sir. Do you recall specifically which conference room it was? 19 14:36 20 Might I look at my Declaration? You don't recall? 21 Q. 22 . The exact meeting room, no. 23 Okay. Would referring to your Declaration refresh your recollection? 24 14:. 25 Α. Yes, it would.

· 1	Q. Okay.	14:36
2	A. (Witness reviews documents.) If you could	
3	guide me to a page.	
4	Q. Let's see.	
5	A. What page is that?	14:37
. 6	Q. Can you find it there, sir?	
7	A. (Witness reviews documents.) I have it here,	
8		
9	Q. Okay. Tell us what was discussed in that	
<b>1</b> 0	meeting with Williamson and Bill Davis in regard to the	14:37
型1	flexo/litho process.	
<u> </u>	A. Jesse told us that they they, Williamson	
3	Printing, were applying for a continuation, an	
Ā 4	extension, on the improvement on their present WIMS	
_ 	process patent, and that was to include flexographic	14:37
<b>1</b> 6	applications.	
<b>4</b> 7	Q. Was it to include the flexo/litho process that	
18	we've talked about	
19	MR. HARRIS: Objected to as leading.	
20	Q. (BY MR. PINKERTON) as you understood?	14:38
21	A. Yes. Yes, it was.	
22	Q. Okay. Did you, after that discussion, have	
23	occasion to discuss within PRI what you had been told by	
24	Jesse Williamson in regard to filing a patent	
25	application?	14:38
l		

14:,

Α. Yes. 14: 2 Okay. What was that discussion, sir? you recall? I would have discussed it with -- certainly with Steve Garner, who I believe at that time, I'm not 14:38 sure because he held various positions within the company, but he would have either -- in any case, he 7 would have been my direct boss at the time, and I would 8 have discussed with him the fact that there had been a 9 <u>=</u>10 conversation with Williamson where they were going to 14:38 patent -- they were going to set -- file an improvement <u>⊨</u> j 12 patent on their -- on their WIMS process. 3 MR. HARRIS: Objected to as hypothetical. Q. (BY MR. PINKERTON) Okay. In regard to filing **S**5 a patent application, did you understand whether it was 14:39 going to be related to a process? A. ` Absolutely. 18 MR. HARRIS: Objected to as leading. 19 (BY MR. PINKERTON) And, again, which process Ο. was it that was going to be the subject of the 14:39 21 application? 22 It was going to be the flexo/litho process. 23 I want to switch to some of the claims that are being asserted in this lawsuit by the Plaintiffs, 25 Mr. Bird.

There is a claim that is made in this case that Mr. Howard DeMoore, who is here in the room, one of the Plaintiffs, is the sole inventor of the flexographic/lithographic process as in the 363 Patent, okay?

14:40

14:40

Based on everything that you know and all of your knowledge from your work at PRI, do you know of any facts or information at all that would support that claim?

14:40

A. None.

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Q. There is also an allegation in this case that Mr. DeMoore -- if he's not a sole inventor, they've got a fallback position, that is, well, he's a joint inventor of the flexo/litho process.

14:40

Do you know of any facts documents, information, based on your knowledge and experience and the work there at PRI that would support the claim that Mr. DeMoore is a joint inventor of the flexo/litho process?

A. None.

14:41

- Q. Based on your knowledge and work, was the -- at -- at Printing Research, was the flexo/litho process jointly developed by PRI and Williamson Printing?
  - A. No.

Q. Was it jointly developed by Mr. Ron Rendleman

14:41

1 and anybody at WPC? 14: 2 Α. No. 3 Was it jointly developed by Mr. DeMoore and Q. anybody at Williamson Printing? 5 Α. No. 14:41 6 Q. There's also a claim in this case that's just 7 been added that Mr. Ron Rendleman is a joint inventor of 8 the flexo/litho process. 9 Based on your work at PRI, do you know of **[]** 0 any facts, any information, any documents that would 14:41 i.T. [i] 1 support the claim that Mr. Ron Rendleman is a coinventor <u>1</u> 2 of the flexo/litho process? **\_** 3 Α. No. To the best of your knowledge, was there any joint development agreement between Printing Research 14:42 and WPC for development of the flexo/litho process? No. 18 0. Did Printing Research and Williamson Printing share expenses in development of the flexo/litho 19 20 process? 14:42 21 Α. No. 22 As far as you know, were there any notebooks that were shared between Printing Research and 23 24 Williamson Printing in regard to development of the 25 flexo/litho process? 14:4\_

		7
1	A. No.	14:42
2	Q. To the best of your knowledge, based on your	
3	work at Printing Research, did Printing Research and	1
4	Williamson send each other technical memos, E-mails back	
5	and forth and regarding the flexo/litho process?	14:43
6	A. No.	
7	MR. PINKERTON: Let's take about two	
8	minutes. I think we're about ready to pass the witness.	
9	THE VIDEOGRAPHER: The time is 2:43 p.m.	
즐· 즐10	We're off the record.	14:43
1 1	(Recess from 2:43 p.m. to 3:00 p.m.)	,
1 2	THE VIDEOGRAPHER: The time is three	
	o'clock p.m. We're on the record.	
14	Q. (BY MR. PINKERTON) Mr. Bird, if the	
- 15 - 15	flexo/litho process had been invented either solely or	15:01
146 1	jointly by Mr. DeMoore or Mr. Rendleman, would you have	ļ
<u>−</u> <del>–</del> 17	known about that when you were at PRI?	
18	A. Yes.	
19	MR. HARRIS: Objected to as leading.	
20	Q. (BY MR. PINKERTON) Did at any time when	15:01
21	you were employed at PRI, either as a consultant or an	
22	employee, did anyone advise you that Mr. Bird [sic] or	,
2'3	Mr. Rendleman had invented the flexo/litho process?	•
24	A. No.	
25	MR. PINKERTON: Pass the witness.	15:01

		1
1	MR. HARRIS: Okay.	15:
_ 2	EXAMINATION	
3	BY MR. HARRIS:	
4	Q. Mr. Bird, I think you know that I'm Bill	
5	Harris. I'm happy to meet you and	15:01
6	A. Happy to meet you.	
7	Q have the opportunity to visit some since	
8	your name has shown up quite a bit on papers and various	
9	other things that we've gone over in this case in	
<u>_</u> 10	addition to just the Declarations you made for the	15:02
<u>.</u> 11	patent offer.	
112 112	Just some odds and ends as we go. Let me	
13	show you Bird 16. I'll just pass it over there.	
14	(Documents handed to witness.)	3
1 5	A. Thank you.	15:02
16	Q. And you had testified on Bird 16 some of the	٠.
<u>-</u> 17	details about its creation?	٠.
18	A. Yes.	
19	Q. What what's Baker's signature doing down	
20	there, or is that your signature?	15:02
21	A. No, that's not Baker's signature. You're	
22	Q. Is that your writing?	
23	A. It's my writing. It's not	
24	Q. Uh-huh.	
25	A not anybody's signature. We always wanted	15:ι
Į.		

	` `		7
1	to note	who the salesperson for the account would be.	15:03
2	Q.	That's not signed by anybody, is it?	,
3	Α.	No. No, sir.	
4	Q.	It's not signed by you, is it?	
5	Α.	No. It wasn't felt necessary.	15:03
6	Q.	Did Baker get any inputs on that at all?	
7	Α.	No.	
8	Q.	If I pass you No. 12 [sic], it's another	
9	simılar	report, isn't it?	
트10 설	,	(Documents handed to witness.)	15:03
11	Α.	It is, indeed.	
1712 141	Q.	And it also has Baker's name on it?	
13 213	Α.	It does.	ļ
± 14	Q.	Same situation?	
口 切15 山	Α.	Yes.	15:03
14 16	Q.	He had nothing to do with it?	
口 山17	Α.	Right.	
18	Q.	Now, in both of these situations, where the	
19	tests ar	e performed, I gather more or less in accordance	
20	with so-	called Demonstration Information reports	15:03
21	· A.	Yes.	
22	Q.	they have the statement, "To be completed	,
23	by sales	rep when arranging for demo"	
24	Α.	Yes.	
25	Q.	did you see that?	15:04

			_
1	Α.	Yes, I did.	15:
2	Q.	Is it just not done that way?	
3	Α.	It was not that was not done that way.	
4	Q.	Uh-huh.	
5	Α.	Once again, as you recall, I wrote that one,	15:04
6	and my so	n wrote the second one. My son worked for me	
7	at the ti	me.	
8	Q.	Were you present on the second one?	
9	Α.	Yes.	
_10	Q.	Are you sure? Do you mean the second one,	15:04
11 11	which is	the second one, No. 12 [sic]?	-
1 2	Α.	Number 12 [sic]. Positive.	
11 1 2 3 4	Q.	You were present?	
14	A.	Positive.	
<b>二</b> 15	Q.	And in both instances, we're not talking about	15:04
H 6	a single-	pass in-line operation, are we?	
口 7 户	Α.	Correct.	
18	Q.	In both instances, we're merely talking about.	
19	a coater 1	that's on the end unit and is a flexo working	
20	ın a flexo	mode. And after that's done, well, then	15:05
21	maybe some	ething else is done with the first print.	
22		It may be overprinted; is that correct?	
23	~ A.	It may be.	
24	Q.	And it may not be?	
25	Α.	And it may not be.	15:

		ר
1	Q. Right. And what was done in the Rexham test?	15:05
2	A. In the Rexham test, similarly, we ran metallic	
3	ink, and we ran pearlescent.	
4	Q. And it was done in the same way, really,	
5	wasn't it?	15:05
6	MR. PINKERTON: Objection in regard to	٠.
7	"same way." Vague.	
8.	Q. (BY MR. HARRIS) And the same way as these two	
9	that we've been talking about	
<b>1</b> 0	MR. PINKERTON: Vague.	
41 11 1	Q. (BY MR. HARRIS) 12 [sic] and 13 [sic]?	
131 2 131 2	MR. PINKERTON: Objection to the	
13	question. Object to form. Vague and misleading.	
<sub>≅</sub> 14	A. The you'd have	
<u> </u>	MR. HARRIS: I guess that's the form of	15:06
<u> -</u> 16	the question.	
□ □17	Q. (BY MR. HARRIS) Anyway, do you understand, do	
18	you think, what I'm asking you?	
19	A. No.	
20	Q. Okay.	
21	A. It needs to be defined what you mean by	
22	"same same way."	
23	Q. About what?	
24	A. It needs to be defined as to what you mean by	
25	"same way."	15:06

1	Q. In the same way	
2	A. Because there were different goals.	
3	.Q well, I believe I described the way. I	
4	two-pass type of an operation?	
5	A. That wasn't the goal.	15:06
6	Q. What?	
7	A. In that case, it wasn't the same goal and was	
8	not produced in the same manner, no.	
9	Q. Well, did the customer, Rexham, not make a	
10	successive run on the flexo print?	15:06
	A. Yes, they did.	٠.
12 41	Q. And so why was it not done in the same manner?	
13 13	A. Because you you qualified the first	!
<u>-</u> 14	statement by saying that it was then overprinted, may	٤
	have been overprinted. In the case of Rexham, that is	15:07
<b>-1</b> 6	not true. That wasn't the purpose of what they were	
] 급1 7 남	doing. Theirs theirs was a different purpose and	•
18	process.	
1 9	Q. But it was overprinted by them, was it not	
20	A. No.	15:07
21	Q Rexham?	
22	How do you know, sir?	
23	A. Because I was very much privy to the process	
24	and know the process.	
25	Q. Yes. Do you know the name, sir, of the person	15:

	12	1
1	who was really in contact from Rexham?	15:07
2	A. Yes. I know him intimately.	
3	Q. Yeah. And what is his name, sir?	
4	A. John Lapomarde.	-
5	Q. John Lapomarde is now retired, is he not?	15:07
6	A. He's retired.	
7	Q. And John if John Lapomarde told you that	
8	they did overprint, would you be surprised?	
9	A. Yes, I would.	
_ 	Q. Would you disbelieve John Lapomarde if he said	15:07
111 111	that?	
<u></u>	A. I would not disbelieve John Lapomarde, no.	
10 11 11 12 13 14	Q. Okay. So that's the difference between us as	1
14	to whether they were done the same, right?	
<b>1</b> 5	MR. PINKERTON: Objection to the question	15:08
<u>1</u> 16	as being	
7	Q. (BY MR. HARRIS) The same as was laid out in	
18	16 and 17?	
19	MR. PINKERTON: Wait a minute.	
20	Object to the form. Vague and	15:08
21	misleading. He's got testimony about different he's	
22	got other testimony, different process.	
23	A. There were different objectives in the two	
24	tests.	!
25	Q. (BY MR. HARRIS) I really don't care, sir,	15:08

about the objectives. I'm asking you, sir, whether the runs would be the same --2 3 Α. They wouldn't. 0. -- the overall process would be the same if after it had been through the flexo step, it was run 15:08 6 with lithographic steps. 7 To my knowledge, that wasn't done. Q. Yes, I know it wasn't. But I'm asking you to assume that Mr. Lapomarde knows what he's talking about. 10 MR. PINKERTON: I'm going to object to 15:08 **©11** asking this witness to speculate about something that L. **=**12 was done about which he has no knowledge. U, ≒≟13 I have no knowledge. ű **11**14 MR. HARRIS: As far as speculation's 15:09 concerned, I believe that's one of those things that's 16 reserved until the time of trial. It's not just form --17 MR. PINKERTON: Objection to form. 18 MR. HARRIS: -- of the question. 19 It's objection to the MR. PINKERTON: 20 1 form of the question. I'm objecting to the form of the 15:09 21 question, asking him to speculate. MR. HARRIS: Well, that's not the form of 22 the question. 23 I've asked him to speculate. 24 Q. (BY MR. HARRIS) Well, let's go back to it again. 15:0

15:09 1 What was being done -- maybe, sir, I should ask you, what was being done on the Rexham test? 3 The Rexham test was to run a gold specifically to see what the level of definition of imagery was --4 15:10 was capable of using the flexo process. 5 6 Q. And was it done with a flexographic plate? Yes, it was. 7 Α. Was it the same type of arrangement by having 8 it at the end of the press as was present in the 15:10 \_10 situation on 12 -- I beg your pardon, on 16 and 17? THE PARTY OF THE P MR. PINKERTON: Object to --(BY MR. HARRIS) -- the two reports? Q. MR. PINKERTON: Object to form. Yes, it was. Α. And in conducting the test of 15:10 (BY MR. HARRIS) ο. 16 and 17, it's true, is it not, that after the first pass and the flexographic step was taken, it's true that 18 it was run back through the press for the purpose of the 19 lithographic steps, is it not? 15:11 20 Α. No. Well, how was it done? 21 22 I -- I'm not aware that we ever did run them Α. 23 through for a second pass to put litho on top. 24 So all you did was just put the flexographic? . Q. 25 15:11 Yes, we did. Α.

	<u>'</u>	12	4
	Q	. I see.	15:
2	? A	. That's been my recollection.	
3	Q	Okay. And when was that?	
4	A	. When was	
5	Q	. Yeah. When was that done again?	15:11
6	Α.	. That was done	
7	Q.	You're not thinking about the Rexham test, are	
. 8	you?		
9	Α.	Yes.	
10	Q.	I'm not asking you about the Rexham test right	15:11
三 2 11 以 12	now.	I'm asking you	
12 15	A.	Well, you	
5 13	Q.	about the ones that are shown on 16 and 17,	2
亞 西14	your ex	chibits.	
= _15	A.	Well, I'm confused then.	15:11
16	Q.	Okay. Well, let me give you a second to get .	
17	unconfu	sed.	
18	A.	Sure.	
19	Q.	Are you unconfused?	
20	Α.	I'm now unconfused.	15:11
21	Q.	Let me start fresh.	
22		MR. PINKERTON: Yes. Could we have a new	
23	questio	n, please?	
24		MR. HARRIS: Yeah. Let me start fresh.	
25	Q.	(BY MR. HARRIS) What I'm asking you is that,	15:

		1		
1	it's true, is it not, that it was run through the press			
2	and a flexographic step was taken at the end of the			
3	press?			
4	A. Correct.			
5	Q. And it was just a, what, two-press unit?	15:12		
6	A. Two two-press unit.			
7	Q. And as a matter of fact, it was done at			
8	Printing Research, wasn't it?			
9	A. It was done at Printing Research.			
<u>_</u> 10	Q. And after it had been run through and the	15:12		
고 10 고 11	flexographic step taken, well, then, on those runs, it			
1 2 1 2	was run through a second time, and lithographic steps			
13	were taken.			
2 3 4	Is that true, sir?			
. II II	MR. PINKERTON: I want to object to the	15:12		
6	question as being vague and ambiguous because I don't			
<b>9</b> 7	know what time frame you're talking about. I don't know			
18	if you're talking about the December test, Bill, of 1994			
19	or some other time.			
20	MR. HARRIS: I'm talking about the tests	15:12		
21	that are indicated by 16 and 17.			
22	MR. PINKERTON: Okay. So specifically	•		
23	MR. HARRIS: And I say, it's in the			
24	question.			
25	Q. (BY MR. HARRIS) Isn't it in the question, Mr.	15:13		

			]
	1	Bird?	15
	2	MR. PINKERTON: I'll object to it because	
	3	it's not in the question, clearly.	
	4	MR. HARRIS: Yeah, it is.	
	5	MR. PINKERTON: You didn't refer to it.	15:13
	6	MR. HARRIS: I did.	
	7	MR. PINKERTON: Okay. If you did, then	
	8	I'm mistaken.	
	9	MR. HARRIS: Would you want her to go	
,	10	back and read it?	15:13
	11	MR. PINKERTON: No. I say, "if you did,	
	12	I'm mistaken." I'd like the question	
	13	MR. HARRIS: Okay.	
	14	MR. PINKERTON: to be clear.	
₩. 1 E	15	MR. HARRIS: It's clear.	
	16	MR. PINKERTON: Okay.	1
	7	Q. (BY MR. HARRIS) Do you understand the	**
I  =	8 8	question?	
1	9	A. I now understand the question.	
2	20	Q. Okay. Go ahead, sir, please.	15:13
2	21	A. I do not recall us running through those	
2	22	sheets at Printing Research. I do know that the sheets	
2	23	were taken to Williamson Printing for overprinting. But	
2	24	I do not recall us overprinting.	•
2	25	Q. Okay. So as you recall it today, sitting here	15:
	. 1		

```
today --
                                                                      15:13
   2
           Α.
                Sure.
   3
                -- the step taken at Printing Research was the
   4
     flexographic step, and then the product obtained was
     taken or transferred somehow over to the Williamson --
  6
           Α.
                Yes.
  7
                -- company, right?
  8
                Yes.
           Α.
  9
                I understand. And do you know as a fact that
           Q.
□10
                                                                     15:14
     they applied lithographic step or steps after that?
11 أينا
           Α.
                I do believe so.
I 1 2
                You believe so, or you know so?
13
                I believe so.
          Α.
<sub>=</sub> 14
                Does that mean you think it's logical, or did
          Q.
                                                                     15:14
     you see the end product?
                I can't recall.
          Α.
                Okay. This purpose that's stated on Bird
          Ο.
 18
     16 --
 19
                Uh-huh.
          Α.
                                                                     15:15
 20
          Q.
                -- the one you wrote --
 21
          Α.
                Yes.
 2,5
                -- it's true, is it not, that that purpose
 23
     couldn't all be tested at Printing Research --
 24
          Α.
                Correct.
 25
                                                                     15:15
                -- in view of what we've just discussed,
```

		_
1	right?	15.
2	A. Correct.	
3	Q. So why did you write that down, sir?	
4	A. Because that was the end product that our	
5	friends at Williamson Printing were looking for, and so	15:15
6	it was important for us to know why we were doing what	
7	we were doing.	
8	Q. Now, who was present at these tests and at	
9	different and "these tests," I'm talking about,	
_10	again, 16 and 17. Who was present at these tests?	15:15
<b>1</b> 11	MR. PINKERTON: Can we break the question	
1 2 U	down, Bill? Object to form.	
13	Q. (BY MR. HARRIS) Who was present at the test	3
14 a	of 16?	
15 41	A. The test of 16, you have a list of people that	15:15
16	were present.	
直17 国	Q. On here?	
18	A. Yes. It's on the front page. Turn it back .	
19	over, you'll see. It says	
20	Q. On front or the back?	15:16
21	A. On the front there, you'll see. On the	
22	Q. Yeah, I see it.	
23	A. Do you see it? Good. It says, "attendees."	
24	Q. Okay.	•
25	A. Okay?	

			-
	1	Q. Why don't you read it for the record?	15:16
	2	A. It's Jesse Williamson, Bill Davis, Bob Emrick,	
	3	Jim Johnson.	
	4.	Q. Now, who is Bob Emrick?	
	5	A. He's a he was a Williamson Printing Company	15:16
	· 6		
	7	Q. What was his position?	,
	8	A. I I'm not sure at the time. I would have	
	9	to defer to Williamson Printing. I'm not sure of his	
	10	title. I can't remember.	15:16
,	¥11	Q. Jim Johnson, what	
	11 12	A. He was	,
1	13	Q was his position, and who was he for?	
	14	A. He was Williamson Printing Corporation, and he	
1,0	15	was the production manager at the time.	15:16
	= -116	Q. And it doesn't have your name on it, but I	•
	≟1 7	guess that's	
	18	A. Correct.	
	19	Q implied, huh?	
	20	A. Correct. We never listed who who was	15:17
-	21	present from our side because that we didn't think	
	22	that that was important.	
	23	Q. And you don't know of any end product report	
	24	that exists that occurred after	
	25	A. (Indicating.)	15:17
	- 1	· 1	

		l
1	Q yes, on No. 16 that occurred after the	15.
2	product got back to Williamson? You don't know of any	
3	end product report?	
4	A. I know that Williamson Printing did come back	
5	and say that the the results looked very, very	15:17
6'	promising and that we we were to continue to move	
7	forward.	
. 8	Q. Well, then you do know, after all, or believe	
9	very deeply that they did perform the next step, huh?	
<u> </u>	A. That wouldn't necessarily be true.	15:17
11 11	Q. Okay.	-
11	A. It wouldn't necessarily be true.	
13	Q. Explain, please.	-
<sup>달1</sup> 14	A. Well, because it would depend on the cut sizes	, , ,
<u> </u>	of the sheets, it would depend on the imagery that we	15:18,
<u></u> 16	used, and how and whether they wanted to register those.	-
<b>1</b> 7	They may have been basing their	
18	conclusions on the precision and the and the image	
19	quality that they had seen plus the opacity levels and	
20	brilliance that they'd been able to see. They wouldn't	15:18
21	necessarily be basing that on how it was and whether it	
22	was printable on.	
23	Q. So you say it's possible they didn't	
24	overprint?	
25	A. It's possible.	15:

		٦
1	Q. Yeah. You think they did but	15:18
2	A. I I would suspect they did.	
3	Q. And would the same testimony in that regard	
4	apply to Exhibit 17?	
5	MR. PINKERTON: Object to the form.	15:19
6	Again, it's it's vague and indefinite and asking for	
7	speculation about what he might suspect.	
8	THE WITNESS: I need to see 17, anyway.	
9	MR. PINKERTON: It's right there.	
	(Documents handed to witness.)	15:19
11	Q. (BY MR. HARRIS) Yes. I believe that's the	
<u>J</u> 1 2	one that is it Warren Bird?	
型 <sub>1</sub> 3	A. Yes.	
<u> </u>	Q might have prepared. But you say you were	
5 5 7 6	there, right, sir?	15:19
16 16	A. Yes.	
<del>-</del> 17	Q. And was only Bill Davis there	
18	A. Yes.	
1 9	Q from out of house?	
20	A. Yes.	15:19
21	Q. Was the same type of test done by Printing	
22	Research as in Bird 16?	
23	A. No.	,
24	Q. What was the difference in the test?	
25	A. The difference here was that we were looking	15:20

at the different anilox roll cell counts and were 15: clearly trying to establish for ourselves what -- "for ourselves," for Williamson and ourselves -- what levels one could repeat and have a constant in terms of levels of metallic supplied. 15:20 5 6 Do you know anything about the results on 17 7 after -- well, let's be sure of one thing first. 8 Was the product of 17 taken to 9 Williamson? 15:20 It would have been taken to Williamson, but we 10 would have also definitely kept copies --11 12 Yeah. Q., -- of that product, yes. 14 (n)14 0. Well, that would have been true of 16, too, 15:21 wouldn't it? Ū16 Oh, yes. Yeah. Α. And do you know in the case of 17 whether or Q. not it was overprinted, please? 19 I would suspect not, only because, again, 15:21 20 we're looking at banding rather than -- or levels of -of luster. At that stage, we're not looking to produce 21 22 an image, per se. 23 What was being looked for in the Rexham test? Q. 24 The Rexham test, because of the application 25 15: \_ that they had, we were looking for image quality and

					_
	1	defi	nitic	on only.	15:21
•	2		Q	Do you recall the outcome of the Rexham test?	
	3 ,		Α.	Yes.	
	4		Q.	It was a satisfactory outcome, I gather?	
	5		A.	It was very satisfactory, yes.	15:21
٠	6		Q.	Who was present at the Rexham test?	
	7.		Α.	I was.	,
	8		Q.	Who else?	
	9	ŕ	Α.	John Lapomarde, Susan Seam [phonetic].	
	10		Q.	Who?.	15:22
. [J]	11			MR. PINKERTON: I'm sorry?	
	1 2		Α.	Susan Seam [phonetic], the salesperson. She	
	13	came	from	out of town. John Lapomarde and myself.	
	14	,	Q.	(BY MR. HARRIS) That's all you recall, huh?	
	15		Α.	That's all I recall.	15:22
	6		Q.	Do you recall that there had then there had	
	7	been	some	business done with Lapomarde over a period of	
1	8	a yea	ar or	so before that?	
1	9		A.	I guess it depends what you're referring to.	
2	20		Q.	I'm I'm	15:22
2	2.1		Α.	In terms of?	
2	2		Q.	I don't know whether it's efforts to sell him,	
· 2	3	sır,	or ac	tually selling him something, but I am talking	,
2	4	about	the	effort to market with Lapomarde.	
2	5	,	Α.	I had been talking with Lapomarde personally.	15:22

1	He was a personal friend, actually. And I had been	15:
2	talking to John Lapomarde for since probably 1984,	!
<sup>-</sup> 3	'85	
4	Q. Uh-huh.	
5	A when I first came to be an acquaintance of	15:23
6	his.	
7	Q. And you would have been interested in selling	
8	him something, too, would you not?	
9,	A. Oh, absolutely.	
10 <b>5</b>	Q. And did you sell him anything before the time	15:23
₩ ₩ 11 ₩	of the Rexham test?	f
₩ ₩ 12 <b>₩</b>	A. No. Not in terms of Printing Research.	
- *· · · · · · · · · · · · · · · · · · ·	Q. There was something when you	
₩ <b>1</b> \$714	A. As my own company.	
_ 15	Q before 1991	15:23
16	A. Before '91, yes.	
17	Q. Before '91?	
18	· A. Yes.	٠,
19	Q. How did you contact oh, yeah, it's a point	
20	on the Rexham test. Who ran the press for the Rexham	15:23
21	test?	
22	A. I believe Terry I can't think of Terry's	
23	last name, but there there was always a press	
24	operator on that test, yes.	r
25	Q. Did you contact Lapomarde about the test, or	15:.
	·	

•	did Lapomarde contact you?	15:24
2	A. (Indicating.)	
3	Q. I'm talking about the initial contact.	
4	A. Oh, the initial contact would have been, in	
5	fact, through our association over the years and just us	15:24
6	trying to find out what John, if anything, had any	
7	interest in purchasing. So I'm assuming that we would	
. 8	have made the initial contact.	
9	Q. Well, then	
□10 ↓□	A. I would have been introducing our salesperson	15:24
12 1 1 14	to John at some stage.	
. U12	Q. Yes.	
₫13 ጠ	A. With a view to seeing what products might	
: 14	might be of interest to him. It's the same we conduct	
<b>1</b> 1 5 <b>1</b> 1 5 <b>1</b> 1 5 <b>1</b> 1 5 <b>1</b> 1 5	all our business.	15:24
~ <u>i</u> 16	Q. But getting more specific on on the test,	
<u>–</u> 17	which apparently sooner or later was something he wanted	
1.8	to do	
19	A. Uh-huh.	
20	Q and something that I gather you thought	15:25
. 21	would further the company in some way?	
22	A. Sure.	
23	Q. So tell me, how did that happen? Did you	
24	contact him, or did he contact you, realizing you had	
25	this friendship?	15:25
į		

		]
1	A. I contacted him. I would assume.	15:
2	Q. Uh-huh. Do you know when you contacted him?	
3	A. I would have I would have been speaking to	
4	John about drying systems, etcetera, for for some	
, 5	time. And it could have been any time between, as	15:25
6	I've as I've previously said, any time between '84	
7	through through his retirement. I mean, I was always	
8	in contact with John Lapomarde. Not	,
9	Q. I'm talking about this	
10	A necessarily every month, but	15:25
· []	Q. Yeah. I'm talking about	-
1 2	A not every six months.	-
1 3	Q the Rexham test now.	
	A. Well, that that was something that in my	
· 15	opinion grew out of his interest in resolving an issue,	15:26
16	a problem, that he wanted to to resolve and me	-
7	presenting a product to him that he said he had an	
H 8	interest in.	· •
19	Q. And you presenting your product what?	
20	A. To him that he showed an interest in.	15:26
21	Q. And what product was that, sir?	
22	A. That was a product that you have listed on	,
. 23	the the EZ brochure. And we had previously had a	
24	coater that was the EZ Coater.	
25	Q. You're on what numbered	15:2

1	A. That's	
·		
2	Q exhibit now, sir?	
. 3	A. That's Exhibit No. Bird 13.	
4	Q. And you're talking about which circled item,	} 
5	how designated?	15:27
6	A. It is circled and designated "EZ," okay?	
7	Q. All right, sir.	
8	A. It happened that I had had an idea wherein we	
. 9	would sell simply because we had the we had a product	
10	that was somewhat of a failure. But out of that	15:27
	product, we had what I considered some very good	
1 2	experience in manufacture of a recirculation system and	
<u>"</u> 3	an enclosed doctor blade chamber system.	
14	I had spoken with John, not just about	
5	drying systems, but about whether this could be of	15:27
( <del>)</del> ( )	interest to him recognizing that he had and had always	
F1 7	stated a strong interest in both anilox roll	
18	applications as he had gravure application since his is	
19	a he's an ex-gravure flexo operator many, many years	
20	ago:	15:28
21	And we discussed the potential of	
22	employing such a device on his machinery.	
23	Q. Well, did did you know that it was flexo	
24	indeed that he wanted to run? In fact, that was a clear	ı.
25	objective, was it not?	15:28

		7
1	A. It became	15:
2	MR. PINKERTON: I'm going to object.	
3	Object to the question as being vague and indefinite.	
4	"That was a clear objective." I don't know what that	
5	is.	15:28
6	MR. HARRIS: Let me start again.	
7	MR. PINKERTON: Okay.	
8	Q. (BY MR. HARRIS) Were you aware of what mode	
9	of printing he wanted to accomplish?	
<u>1</u> 0	A. No. I was not initially, no.	15:28
¥ ¥11	Q. Did you learn in due course that he wanted to	
H J 12	make a flexographic application?	
11 12 13 13 14	A. Yes, I did become aware.	· ·
114 14	Q. And that's something he made you aware of?	
1 5 1 6 1 1 6	A. Yes, he did.	15:29
16	Q. And then in your discussions with him, the	. 42
<b>9</b> 7	idea of him letting the company try it out, that's	٠,٠
18	Printing Research, came up. Is that right, sir?	
19	MR. PINKERTON: Object to the form of the	
20	question. I don't understand it. Vague and indefinite.	15:29
21	Q. (BY MR. HARRIS) So what happened that	
22	resulted in a coming in for the test?	
23	MR. PINKERTON: Again, object to the form	<u> </u>
24	of the question.	!
2 5,	Q. (BY MR. HARRIS) The "it," sir, of course is	15:.
	•	

whatever stock that he was sending in. 15:29 He did send some stock in, didn't he? 3 Α. That was latter [sic]. Ο. Yeah. 5 That was latter to the order being taken and Α. 15:29 the -- and the project becoming a reality. Yeah. Well, what -- what happened? Q. Insofar as after you found out Lapomarde had this interest, what . 8 happened? **=**10 Α. John showed us -- John showed us some coating 15:30 applications where he was showing us that he was having **1**12 tremendous streaking problems on his OEM-supplied **⊕**13 coating applicator. And he had presented to us that the <sup>2</sup> 14 reason he was looking at flexo and/or a flexo applicator **4**15 system was to overcome those problems and issues. 15:30 ેં ધી 6 Because of his experience in gravure and flexo 17· technology, it was his belief that that would overcome 18 this problem. 19 And he demonstrated the problem to us during one of my visits to him wherein he took a 2.0 15:31 fugitive pigment, a fluorescent luminescent pigment, and 21 22 put the -- the product under a -- a UV lamp and showed 23 us -- low energy UV lamp -- and showed us the -- where the streaking was occurring on the sheets. 24. 25 He determined and told us that that was 15:31

15: his purpose for purchasing -- or wanting to purchase, were we interested in such a project? Once again, we -- I returned to Printing 3 We talk about it as a project because he --Research. 15:31 what it turns out is that he has Komori printing machine, and on the end of that Komori printing machine is a -- is a two-roll coating application. . 8 John is basically saying, "I would like you to supply me an anilox roll coater to install on 15:32 that machine." <u>u</u>h 1 We eventually determined that that's a J 2 little bit too big of a project for us and -specifically since one of the -- the plate cylinder ₫13 Ħ and/or the metering cylinder could be converted into an 15:32 **[**]15 anilox roll, we got John to convert, himself, that roller to an anilox roll. 17 None of this is at all any knowledge that 18 wouldn't be able to be got from pretty well anywhere at this stage. There are plenty of anilox coaters out 19 15:32 there at the end of machines. 20 And we then got into a contract with him 21 on the basis that he would convert his -- his applicator roll to make it into -- into an applicator to the plate 23 24 cylinder to an anilox, and we would supply that -- what it became, a product which was the recirculation and 15:2

sealed doctor blade assembly kit.

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15:33

That was the birth of that product. And it subsequently turned out after he placed the order that John wanted to run tests, needed to run tests to prove that what he'd done and what he'd put into action at his corporation was -- was valid.

15:33

And I might be wrong in the timing of that, but nonetheless, we ran a metallic, we ran a metallic gold, and we ran -- I believe we might even have run a pearlescent at that time. And we ran -- but that's all we ran.

15:34

And it was then became clear that -- with John that this was not -- the -- the original description to me was not the same description as that which he purchased the unit -- or was purchasing the unit to perform.

15:34

And in fact, he told us that his sole purpose was to apply a metallic at the end of a machine since they were in the business of producing cigarette carton packs. And in the -- in the production of cigarette carton packs, certainly in those days -- they've all gone offshore, of course, today -- but they were printing the colors, and then they were taking those same cigarettes offline, applying the gold, and then either coating or whatever.

15:34

15:34

15: 1 John saw this as a way to cut out a printing step, a step in the process. And so that's why I say to you, and I said to you earlier, that the two projects, if you like, are not related because --4 5 Well, you said they had different objectives. 15:35 6 Α. They had very different objectives. objective was to produce a gold at the end of a press as 7 8 a -- as a Phillip Morris logo, crown, whatever it may be, RJR, whoever. But you know that it was very common □ 10 for -- a small gold replica of some description would 15:35 <u>1</u>11 appear on a cigarette carton. **1**12 But in any event, it finally occurred because Q. ₫13 he wanted a test; is that correct? <sub>5</sub> 14 That's when we discovered he wanted to apply Α. 15:35 metallics, yes. **1115** 16<u>اي</u> And you made a test for him at his request? Ο. <u></u>17 At his behest using his plates, yes. Α. 18 And it was a successful test? 0. 19 It was a successful test. Α. 15:36 And did you learn anything from it, 20 Q. Okay. 21 sir? 22 Α. We learned that we could apply 23 metallics. 24 Ο. And you learned you could apply --15:\_ 25 Α. Not that that was new to anybody because

[				
1	Q. You learned you could			
2	A the flexo process is very well-known to be			
3	able to do that.			
4	Q. It was very well-known?	•		
5	A. That you could from a flexo plate, you	15:36		
6	could flexo process, you could apply			
7	Q. Right.			
8	A I mean, there's a lot of flexo presses out			
9	there doing it.			
<b>1</b> 10	Q. Did you have occasion to show the product to	15:36		
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	anyone?	ļ		
12	A. Yes.	ξη.		
	Q. Who?			
<b>2</b> 14	A. Now			
15	MR. PINKERTON: I'm going to object to	15:36		
¥ [216	the form of the question. When you said, "the product,"			
<b>⊨</b> 17	Bill, I don't know what "the product" is.			
18	MR. HARRIS: Have to look at the prior			
19	question.			
20	Q. (BY MR. HARRIS) The product of the test.	15:3€		
21	A. Well, I would have shown that off internally.			
22	Q. Huh?			
23	A. I would have shown it off internally and been			
24	very excited about the results of that test, yeah.			
25	Q. Did anyone from Williamson see it?	15:37		

15:5

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1	A. I'm not sure. I'm not sure that we ever did	15:
2	show those those particular tests to Williamson,	
3	because by now I believe that we the Williamson tests	
4	and the Williamson program was somewhat running	
5	together.	15:37
6	Q. When did the Rexham test take place, sir?	
7	A. I'd have to look in my calendars. I I	
8	don't have recollection.	
9	Q. Did you cover it in your Declaration?	
<b>_</b> 10	A. I don't believe so. I don't believe so.	15:37
111	Q. Why don't we both look?	jin .
12	A. (Witness reviews documents.)	
13	Q. In a broad sense, I'll be asking you as we	
-	look, did it occur in '94, '93, '95? What year did it	
15	occur in?	15:38
16	A. Well, it would have had to have been it	
7	would have had to have been late '94, '95.	-
18	Q. Late '95?	
19	A. Yeah, because we no. I said late '94 or	
20	'95 only because John did visit our booth, and we do	15:38
21	and that is in my Declaration that he visited our booth	
22	ın in Charlotte, North Carolina, where we discussed	
23	the very project because in fact, we were, at that	
24	stage, marketing that product that you see there as the	
i		

25 recirculation system, doctor -- enclosed doctor blade

		- 1
?	assembly.	15:38
2	Q. Now I'm not following you. Maybe I'm not	
3	listening good, but I'm not sure how you are relating	
4	the approximate date or computing the approximate date	
5	from what you're telling me.	15:38
6	A. Why not?	
7	Q. You said it had to be a certain time. Explain	
8	to me what you mean.	
9		
<b>1</b> 0	used the Rexham plate, and I know that within some of	15:39
<u>L</u> 11	the Williamson testing. And I happen to know that there	
1 2 إلى	wasn't a big discrepancy in the timing of those of	
重 丁 3	those tests.	
1 4	Q. Uh-huh. But the Rexham plate was was	,
<b>1</b> 5	there?	15:39
6	A. But the Rexham plate was there, and we used	
<u>•</u> 7	it.	
18	Q. And it was used?	
19	A. Yes. And we did ask Rexham if we could use	
20	it.	15:39
21	Q. Why did Williamson not want their plates used?	
22	A. They did use their plates. But	
23	Q. Well, then why do you state in these two	
24	reports, that is, Bird 16 and 17, about the use of	
25	Rexham plates?	15:39

A. Just because they were there, and they had	15
they had imagery that was very helpful in determining,	
once again, the precision and quality of the image that	
it was capable of producing.	
Q. Well, thinking of flexographic plates, is	15:40
that what is the patent number of the one we called	
WIMS, it's 9-something or another?	
MR. DAVIS: 976.	
MR. HARRIS: 976?	
MR. DAVIS: I think so.	15:40
MR. PINKERTON: Exhibit 4.	
MR. HARRIS: I've got it as Exhibit 5.	-
MR. PINKERTON: You're right, 5.	٠
MR. HARRIS: I'm not used to being right.	***
MR. PINKERTON: You're right this time.	-
It's 5.	٠٠,
MR. HARRIS: The witnesses are right	~. 
sometimes when they answer like they should, but I'm	
never right.	
MR. PINKERTON: I was mistaken. It's 5.	15:40
MR. HARRIS: Okay.	
Q. (BY MR. HARRIS) Do do you have a pretty	
good knowledge of this process of the 976 Patent	
that's	
A. Yes.	15:
	they had imagery that was very helpful in determining, once again, the precision and quality of the image that it was capable of producing.  Q. Well, thinking of flexographic plates, is that what is the patent number of the one we called WIMS, it's 9-something or another?  MR. DAVIS: 976.  MR. HARRIS: 976?  MR. DAVIS: I think so.  MR. PINKERTON: Exhibit 4.  MR. HARRIS: I've got it as Exhibit 5.  MR. PINKERTON: You're right, 5.  MR. HARRIS: I'm not used to being right.  MR. PINKERTON: You're right this time.  It's 5.  MR. HARRIS: The witnesses are right sometimes when they answer like they should, but I'm never right.  MR. PINKERTON: I was mistaken. It's 5.  MR. HARRIS: Okay.  Q. (BY MR. HARRIS) Do do you have a pretty good knowledge of this process of the 976 Patent that's

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0.
                -- Bird 5?
  2
                Yeah, fair.
                Is there a flexographic plate involved --
  3
          Q.
  4
          A.
                No.
  5
          Q.
                -- in that?
  6
                     No flexographic step is explained, is
  7
     there?
  8
          Α.
                No.
               In fact, it doesn't say anything about
    printing except maybe one or two words in the thing
                                                                    15:41
    said, "after all of this preprint preparation, print."
Ļħ
                     Is that true, sir?
               True.
-14
<u>-</u>
               Do you see a relation of any kind, sir,
I 5
    between the 976 and the 3 -- is it 63?
                                                                    15:41
ĥæ
*4 6
                    MR. PINKERTON:
                                      363.
C
₩7
         Q.
               (BY MR. HARRIS) -- and the 363?
18
         Α.
               Oh, yes.
                          363 --
19
         Q.
               What in the world -- yeah.
20
               363's an improvement.
                                                                    15:41
21
               Why do you call it an improvement, sir?
    me, what's it an improvement of?
22
               It's an improvement of the quality of the gold
23
         Α.
    and the metallics, etcetera, that are applied.
    that's explained in the patent, actually.
25
                                                                   15:42
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Well, I'm willing to admit, I didn't find it
          Q.
  2
     as being an improvement over that.
  3
          Α.
               Okay. I guess you would have to be able to
  4
     see the process to understand.
  5
               Have you seen it?
          Q.
                                                                   15:42
  6
          Α.
               Yes.
  .7
          Q.
               Maybe I should.
  8
                    MR. PINKERTON:
                                     See what, Bill?
  9
                    MR. HARRIS: Never mind. At this point,
    it's colloquy, more or less, between the witness and the
                                                                  15:42
1 1 2 3 3 4
    questioner.
                    MR. PINKERTON:
                                     I thought it might be.
                    MR. HARRIS: Yeah.
                    THE WITNESS: That's fine.
# 5
               (BY MR. HARRIS) But you agree with me that
                                                                  15:42
    there's a relatively material distinction between the
.I
    976 and the 363, would you not?
 18
                    MR. PINKERTON: I will --
 19
         Α.
               No.
 20
                    MR. PINKERTON: -- object to the form of
                                                                  15:42
    that question because it's -- "relatively material
 21
 22
    distinction," I don't know what you mean.
 23
                    MR. HARRIS: All right. I'll let the
 24
    question stand.
 25
                    MR. PINKERTON:
                                     There's a patentable
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15:43
     distinction.
  2
                    MR. HARRIS: You are coaching the
  3
     witness.
                    MR. PINKERTON: Well, I don't know what
     you mean by "relatively" -- what was his term?
                                                                 15:43
  6
                    MR. HARRIS: I think what he means is he
     didn't like your answer.
                    MR. PINKERTON:
                                    No.
                                          I didn't even hear
  8
    the answer. I'm objecting --
Q10
                    MR. HARRIS: He didn't like your answer.
ij.
                    MR. PINKERTON: I'm objecting to the
     question. I don't know what --
MR. HARRIS: You don't know what his
M
£ 14
    answer is, but you're objecting to the question?
I 5
                    MR. PINKERTON: Yeah, before the -- it
                                                                 15:43
`্ৰী 6
    was --
£=17
                    MR. HARRIS: I think we have an answer.
                    MR. PINKERTON: -- vague and ambiguous.
 18
     I don't think we got an answer, but in any event, I
 19
 20
     object --
 21
                    MR. HARRIS: We had an answer --
 22
                    MR. PINKERTON: -- to the question.
                    MR. HARRIS: -- what's the answer?
 23
 24
                    MR. PINKERTON: Object to the form of the
                                                                 15:43
6 2 5
    question.
```

```
THE REPORTER: Do you want me to --
                                                                  15:
  1
                    MR. HARRIS: Yeah, read back his answer.
  2
                    (Record read by reporter.)
  3
                                  Object what --
                    MR. HARRIS:
  4
                    MR. PINKERTON: See, I objected before he
  5
     answered, Bill. He didn't answer.
  6
                    MR. HARRIS: He didn't answer?
  7
                    THE REPORTER: If he answered --
  8
                    MR. HARRIS: Okay. Read back the
  9
 10
     question --
₫11
                    THE REPORTER: -- it was over each other.
<u>⊫</u>12
                    MR. HARRIS: -- read back the question,
∿_[13
    we'll note that Mr. Pinkerton is making that objection.
                    (Record read by reporter.)
賃14
                                                                  15:44
<u>_</u>15
                    MR. PINKERTON: Okay. Object to the form
    of the question in regard to vague and ambiguous.
                    MR. HARRIS: I couldn't even hear my own
    question there.
                    THE WITNESS: And I don't understand it.
 19
                                                                  15:44
 20
                    MR. HARRIS: I don't either from here.
                    THE WITNESS: So --
 21
 22
               (BY MR. HARRIS) Would you agree with me that
    there is a fairly substantial degree of distinction
 23
    between the 976 Patent, what it teaches, and the 363
 24
    Patent?
                                                                 15:4
 2.5
```

		<del></del> -
1	MR. PINKERTON: I'm going to	15:44
2	Q. (BY MR. HARRIS) And you have the two patents.	
. 3	MR. PINKERTON: Are you asking if the	
. 4	processes are different, Bill? Or I don't know,	
5	"material distinction."	15:44
6	I object to the form of the question	
7	because I don't know what you're asking him.	
8	A. I I don't understand you, still. I don't	
<u>,</u> 9	understand what you're saying.	
₩ ₩10	Q. (BY MR. HARRIS) So you don't know?	15:44
₩  -411  m	A. Well, I guess	-
加 河 2 亞	MR. PINKERTON: He didn't say that. He	
₩ ∰13	doesn't understand your question.	
<b>]</b> 4	A I guess I don't understand your question	
<b>J</b> 5	Q. (BY MR. HARRIS) Okay.	
<b>4</b> 6	A and that makes it tough to say I know or I	
17	don't know.	
18	Q. My question is, what improvement is there that	·
19	you find between the 976 and the 363? Of course, the	,
20	363 would be the improvement. What improvement do you	15:45
21	find, if it's an improvement?	
22	A. The improvement that I see?	,
23	Q. Uh-huh.	•
24	A. I see?	
25	Q. Yes, sir.	15:45

		ļ
1	A. As a layperson, I see the	15.
2	Q. I certainly agree you're a layperson, sir.	
3	It's okay.	
4	A. Thank you is that it's there is an	
5	improvement in the brilliance.	15:45
6	Q. In the what?	
7	A. In the brilliance of the gold, in the	
8	application when I say gold, metallics in the	
9	application of those metallics. And there is there	
10	is an overall quality improvement.	15:45
<b>4</b> 11	Q. Uh-huh. There is no	
11 12 13 14 14 14 14 14 14 14 14 14 14 14 14 14	A. That is clear.	
13	Q application I'm sorry. Did you finish?	
714	A. I believe so.	
<b>5</b>	Q. There's no application means provided in the	15:46
6	976, is there, sir?	
<b>1</b> 7	MR. PINKERTON: Objection to the form of	
18	the question. When you say, "There's no application	į
19	means," I don't are you talking about	
20	MR. HARRIS: Well, he used the word	15:46
21	"application." He just used it.	
22	MR. PINKERTON: I'm going to object	
23	because I don't know how you're using it. I don't know	
24	how he used it	
25	MR. HARRIS: I'm using it the way he used	15:40

```
1
     it.
   2
                    MR. PINKERTON: I don't know how he used
     it, so I'm objecting to the form of the question.
     and ambiguous.
                                                                  15:46
  5
                    MR. HARRIS: Well, you must be objecting
  6
     to his answer then --
  7
                    MR. PINKERTON: I don't know what --
                    MR. HARRIS: I'm using "application" the
     same way you're using it. I think we're --
                    MR. PINKERTON: I don't know what was
W 11
     meant.
<u>_</u>
12
          Α.
               The application by the flexo process, I
     believe, was an improvement over the application through
■ 14
     the litho process.
Un 5
                                                                 15:46
      · Q.
              (BY MR. HARRIS) The litho process as such,
16
     while your probably right, is not mentioned specifically
     in the 363, is it?
17
 1.8
                    MR. PINKERTON: Objection to the --
 19
     well --
                                                                 15:47
 20
               (BY MR. HARRIS) I beg your pardon.
          0.
                                                     Is not
     mentioned specifically in the 976, is it?
 21
 22
               As far as I know, you're correct.
          Α.
 23
               And when you -- when you say, "improvement
 24
     over the litho," it's a reasonable, what, a reasonable
                                                                 15:47
 25
    implication --
```

MR. PINKERTON: What's a "reasonable" --15. object to the form. (BY MR. HARRIS) A reasonable application 3 Q. being it was a litho step in the 976 that was utilized, or litho steps? 15:47 It -- it was probably reasonable at that time, 7 yes. Looking at the second page of the 363 Patent, 8 0. Bird 6, would you tell me what's shown in Figure 2, if 10 you know? 15:48 星 11 国 Α. Figure 2. It's a -- an interstation coating #12 application, I believe. \*\*<u>\*</u>13 And is a retractable or movable or 0. ũ **T**-14 displaceable coater shown? **2**15 A. Yes. 15:48 **1**6 And what type of a coater is that? Q. **2**17 It's a -- I believe an anilox roll coater. 18 Q. Is that what you would refer to as the 19 Rendleman coater? 20 It's what I would refer to as a flexo coater. Α. 15:48 21 And that was called the Rendleman coater around Printing Research, was it not? 22 23 Α. That was called the Rendleman coater at Printing Research. 25 And indeed, others have called it that, have Q. 15:

1	they not, outside of	15:49
2	A. Not that I'm aware of.	
3	Q. Do you know from these gentlemen over here,	
4	listening to them, that is, Mr. Williamson and	,
5	Mr. Williamson, if they have ever referred to it as the	15:49
6	Rendleman coater?	
7	A. Not that I'm aware of.	
8	Q. Not that you know?	
9	A. No.	
	Q. So your your belief is that it was called	15:49
<u>₩</u> <u>₩</u> 11	the Rendleman coater, but that was a company term; is	
<u>.</u> 12	that right?	
亚 ①13	A. Internal company term, yes.	
_ _14	Q. Uh-huh. Is there well, let me ask you	
15 15	this: It would be possible, would it not, to not use	15:50
_ _ 16	this Rendleman coater or some other similar device at	
<sup>1</sup> 17	all but just have what is known as a dedicated station,	
1 8 <sup>.</sup>	would it not?	
1,9	A. A	
20	Q. A dedicated station for the application of the	15:50
21	flexo step?	
22	A. Are you saying a	
23	Q. It would be possible?	
24	A dedicated flexo station?	
25	Q. Yes, yes.	15:50
	·	

1	A. Of course.	15:
2	Q. And why is that not done instead of going to	
3	the trouble to add some extra machinery to the press?	
4	In other words, the coater, the retractable coater?	
5	MR. PINKERTON: I want to object in terms	15:50
6	of time frame, Bill, or I mean it's just it's a	
7	broad, general question. I don't really know what time	
8	frame or what context you're putting that in.	
9	You said, "Why wasn't it done?" Who	
_10	didn't do it, at what time, or whatever?	15:51
10 111 111	MR. HARRIS: Well, let me try it again.	-
1 2 1 3	I'll talk about now.	
	MR. PINKERTON: Okay.	
<b>1</b> 4	MR. HARRIS: That's a good solid thing.	* .
<b>1</b> 5	MR. PINKERTON: Okay.	
13 6 7.	Q. (BY MR. HARRIS) Now why is it people don't	<b>∵</b> :
口 7 上	just have a dedicated station and not mess around with	
18	one of these coaters like the Rendleman coater?	
19	A. Because in the sheet-fed industry, it's my	
20	belief that there are the people that make litho	15:51
21	presses, make litho presses; and the people that make	
22	flexo presses, make flexo presses. And the two do not	
23	mix.	
24	Q. Never the twain shall meet?	-
25	A. Never the twain shall meet. That's what	15:5

```
Well --
  1
         ٠Q.
               -- I believe is --
  2
 · 3
               -- would it also be possible that -- that
    perhaps if you had a dedicated station on one of -- say,
                                                                    15:51
     for a first station --
               Uh-huh.
  6
          Α.
         Q. -- that you'd have to have another press that
  7
    was all lithographic stations?
  9
          Α.
                I _ - -.
15:51
                     MR. PINKERTON: I'm going to object to
     the form of the question. To do what?
1 2 <sup>1</sup>
                I don't understand.
2
□13
                                      Yeah. Object to the
                     MR. PINKERTON:
     form.
                                                                    15:52
                I don't understand. I don't understand your
<del>|--</del>15
          Α.
⊑16
     question.
                (BY MR. HARRIS) Have you ever seen a
 17
     lithographic press?
 18
                Several.
          Α.
 19
                                                                    15:52
                I bet.
 20
          Q.
                Several.
 21
          Α.
                Imagine a lithographic press sitting here. If
 22
     you don't do anything to it, it's not going to apply a
 23
     flexographic step, is it?
 24
                                                                    15:52
                You're absolutely correct.
 25.
          Α.
```

1	Q. All rig	ght. And so if I have the need	15
2, '	A. Except	it it might have a flexo coater on	
3	the end of it.	·	
4	Q. Well, i	it might on the end	
5	A. Yeah.		
6 '	Q but	let's talk about front.	
7	A. Okay.		
8	Q. Is that	all right with you?	
9	A. That's	okay with me.	
<u> </u>	Q. You wil	ll agree with me that you'd have to do	15:52
道 山	something, and th	nat's what's done here, in order to	٠.
12	provide a flexogr	raphic step at the front?	
13	A. Right.		
T 14	Q. One way	to do that would be to have a	•
<b>=</b> 15	dedicated station	n. Do you agree with me on that?	15:52
16	A. I agree	•	**
_ _ 	Q. And all	in the world I said is, I was just	
18	thinking about th	ne economics. We were puzzling about	
19	9 why, okay?		
20	Ir	$^1$ in one sense of the word, if you	15:53
21.	didn't have a coa	ater, this extra machinery you put in,	,
22	you'd have to hav	re a completely separate line; isn't	
23	that true?		
24	A. There's	s some truth to your statement.	
25	Q. Okay.	1	15:
	l		

1		i
1	A. Some.	15:53
2	Q. Do you want to dissect it quickly?	
3	A. If you've got the time.	
4	Q. No. You've absolutely educated me on that.	
·5	No, I I don't. I'm sure you could come up with some	15:53
6	way to dissect it.	
7	But the general idea you have is lithos	
8	make litho, and flexo manufacturers	
9	A. That's generally the case.	
1 10 1	Q make flexos, and that's the reason you have	15:53
=≛1 1	to do it.	
<b>對</b> 2	And all I'm doing is suggesting that	
<u>1</u> 13	there could be some need to have to have two different	•
14	press lines if you didn't have a device of some sort to	
.∏ ≟15	convert.	15:54
\;;; =16	Do you understand my thesis?	
17	A. I I do.	
18	Q. Is it a possible situation?	
19	MR. PINKERTON: Objection to in regard	
20	to the form of the question. Again, speculation.	15:54
21	MR. HARRIS: Well, I expect this man	
22	knows the answer to that.	·
23	A. It's possible, but it's also possible that you	
24	might build a press with a flexo unit in-line to	
25	dedicate it.	15:54

```
15
                                 Yeah. Well, isn't that what
                (BY MR. HARRIS)
  1
          Q.
     we said?
  2
               Well, I said it's possible.
  3
          Α.
               Oh, okay. You're agreeing, then, that what I
  4
          Q.
                                                                  15:54
  5
     said is possible?
               Anything's possible.
  6
                    MR. PINKERTON: I think -- he's saying --
  7
     you started talking about two separate lines, Bill, and
     then he said, "Yeah, you could do two separate lines."
_10
                                                                  15:54
     Then he said you could do a flexo station built into a
     lithographic press as a first station. Is that what I
     understood you to say?
13
1
                                    Yeah --
                    THE WITNESS:
MR. HARRIS: That's right. We agree on
                                                                  15:54
     that. .
<u>#</u>16
                    MR. PINKERTON:
                                     Okay. Okay.
□17
                    MR. HARRIS: And so maybe I should leave
     it there instead of --
 18
 19
                    MR. PINKERTON:
                                     Yeah.
                                                                  15:55
                    MR. HARRIS: -- get into a --
 20
                     THE WITNESS: Probably.
 21
                                     I think that's --
                     MR. PINKERTON:
 22
                    MR. HARRIS: It gets kind of hairy after
 23
     that, doesn't it?
 24
                                                                  15:
                                   Yeah, it does.
 25
                     THE WITNESS:
```

	<u> </u>	٦
1	MR. HARRIS: Okay.	15:
2	MR. PINKERTON: At which end of press.	
3	MR. HARRIS: I'll regard that as too	
4	theoretical.	
5	Excuse me while I retrieve something.	15:
6	(Sotto voce discussion.)	
7	MR. HARRIS: I'd like to mark this as	
8	THE REPORTER: Would you like to continue	
9	in order?	
10 10 11	MR. HARRIS: Yeah, just take his numbers.	
₩ ₩11	THE REPORTER: Okay.	
151 1412	MR. HARRIS: I think he stopped at 17.	
道 页13	THE REPORTER: Yes, sir.	
<u>1</u> 14	(Deposition Exhibit No. 18 marked.)	
14 115	MR. HARRIS: I've only got one copy, but	15:
<u>-</u> 16	I know you've gotten this from me recently.	
F 17	MR. PINKERTON: Sure have.	
18	MR. HARRIS: And I didn't even know we	
19	had the thing.	
20	Q. (BY MR. HARRIS) This is a brochure or a	15:
21	nonprinted copy of a brochure.	
22	(Documents handed to witness.)	
23	A. I'm familiar with it.	
24	Q. When did you become familiar with it?	
25	A. These these presses are produced and have	15:

```
been -- this type of press, not this particular press --
                                                                     15:
     have been produced for many years now, go back to
     mid-eighties.
                I see. Well, don't hand it back to me.
  5
          Α.
                Oh, sure, okay.
                                                                     15:57
  6
                Practically a gift.
          0.
                Oh, thank you.
          Α.
                You're welcome, sir.
  8
          Ο.
  9
          Α.
                Thank you.
 10
                Can -- can you tell me what it is that's
                                                                    15:57
<u>0</u>11
     illustrated?
1 2 Emil
               It's illustrated the -- the combination of
          Α.
    litho and flexo applications on a -- on a litho/flexo
<u>-__</u>:1 3
1 4
    press.
<u>_</u>15
               Well, is there any fixed pattern to where the
          Q.
                                                                    15:58
    litho is and where the flexo is?
               Not necessarily, no, not at all.
          Α.
          Q.
               Have such presses been operated -- and I'm
    referring to Exhibit 18 -- have such presses been
19
 20
    operated in the past with both flexo and litho in
                                                                    15:58
21
    operation?
22
         Α.
               Yes, they --
23
                    MR. PINKERTON: I'm going to object to
    the -- I'm going to object to the form of the question
25 | because "such presses" is vague and indefinite.
                                                                   .15:5
```

```
15:58
                    There is only one configuration of press
    depicted in Exhibit 18, and I think that's all this
    exhibit discloses. It's a litho press with a flexo unit
    at the end and -- now, if you want to talk to him about
                                                                 15:59
    something else --
  5
                    MR. HARRIS:
                                 Well, I'm going to talk to
    him about what I want to talk to him about.
                    MR. PINKERTON: I understand you will.
    understand you will. But I'm going to object if it's
                                                                 15:59
    vaque.
1211
                    MR. HARRIS: All right. Well, it
I1 2
    couldn't be vague if I asked him.
ٳۣٙٛؾ
43
                    MR. PINKERTON: It might be. You've had
Ō
= 14
    some of those.
11 5
                                                                 15:59
                    MR. HARRIS: It couldn't possibly be.
·- 16
               (BY MR. HARRIS) He says it's the same. Would
          Q.
    you look on the two different sides pretty carefully and
 18
    see if you can verify that he's correct?
 19
                    MR. PINKERTON: Well, when you say, "the
    same" --
                                                                 15:59
 20
 21
               I don't know what you mean by "the same,"
 22
    but --
               (BY MR. HARRIS) Well, he's the one that's
 23
         Q.
 24
    talking.
 25
                                                                 15:59
                    MR. PINKERTON:
                                    No.
                                          I said there is only
```

```
15
   1
     one --
   2
                There is only one --
   3
                    MR. PINKERTON: -- press configuration.
   4
                -- press configuration, and they show the
          Α.
     flexo unit on the last unit.
                                                                   15:59
                (BY MR. HARRIS) Well, that's what I want to
          Q.
   7
     know.
          Α.
                Okay.
          Q.
                Because he's trying to make you believe the
                                                                   15:59
 10
     other.
J 11.
                It's true.
          Α.
12
                No. About where the flexo units are, sir.
U
13
                It's in the last unit.
          Α.
£
               On both configurations?
314
          Q.
___15;
                                                                   16:00
          Α.
                I only see one flexo unit.
IJŦ.
16
               Well, why don't you turn to the other page and
     look at those little cans down there that are seated on
     the --
               Well, they -- that could be that they are
 19
          Α.
                                                                   16-00
     supplying flexo to those --
 20
 21
          Q.
               Well, that's where the ink's coming from,
     isn't it, sir?
 22
 23
          Α.
              Could be.
 24
               It is, isn't it, sir?
          Q.
 25
                                                                   16:
          Α.
               In this case, it is.
```

```
16:00
              Yes, it is. And so tell me now where -- tell
      me now where it's the first station, necessarily, and
      nothing else. You believe and I believe that the cans
      right there --
                                                                    16:00
                I believe that's true.
           Α.
                -- show the -- yeah, that's --
   6
           Q.
   7
                I believe that's true.
           Α.
                -- that's flexo station.
   8
           Q.
   9
           Α.
                Sure.
  10
                So is that at the beginning of the unit?
           0.
                It's --
           Α.
→ <u>1</u> 2
                      MR. PINKERTON: If you know from this
 <sup>ا</sup>ر 13 ع
      exhibit. Can you tell from this exhibit if it is?
 I 1 4
                      THE WITNESS: That's a good indication.
                                                                   16:01
     The cans are a --
 MR. PINKERTON: Can you --
                      THE WITNESS: -- good indication.
  18
                     MR. PINKERTON: -- can you tell one way ·
     or another?
  19
                     MR. HARRIS: He said it's "a good
  20
      indication."
  22
                     MR. PINKERTON: He said it's "a good
  23
      indication," but does he know for sure?
  24
                     MR. HARRIS: The objecter has asked and
  25 | answered and is trying to have redirect examination.
                                                                   16:01
```

```
16.
                    MR. PINKERTON: Well, I'm objecting to
    the leading form of the question, and I don't want the
  3
    witness to speculate about was there or not. It either
    shows it or it doesn't. It doesn't.
                                            It doesn't have to
    be speculated about.
                    MR. HARRIS: Quit leading the witness
  6
    here while you're defending, okay?
  7
                    MR. PINKERTON: I'm not leading the
  8
    witness.
  9
                                                                 16:01
 10
                    MR. HARRIS: I didn't do that to you.
1
1
                    MR. PINKERTON: I know, Bill, but I'm not
    leading the witness. I just don't want the record to
    have speculation in it.
<u>.</u>
1 4
                    MR. HARRIS: You don't want to record to
                                                                 16:01
    have anything in it that's harmful to you.
                    MR. PINKERTON: I want the record to have
    the truth. And this witness needs to not speculate
    about it. It's either shown there or it's not.
               (BY MR. HARRIS) Well, you can spend as long
 19
                                                                 16:01
    with it as you like.
 20
               The drawing shows that it's at the last unit.
 21
         Α.
 22
          Q.
               That's on one side. When you get to the other
    side, you get another illustration.
 24
             The photograph doesn't show you where the
                                                                 16:
    flexo units are.
 25
```

	·	
1	Q. But what what do the cans mean? You know.	16:02
2	You stated that you thought	
3	A. They could mean anything, actually.	
4	Q. Yeah. But you stated a minute ago, what?	
5	Until you were thoroughly coached by your friend over	16:02
6	there.	
7	MR. PINKERTON: No, we're just it's	
8	important not to speculate, and so that's what we're	
9	talking about here. "The cans." I mean, what are you	
	talking about on "cans"?	16:02
<u>.</u> 	THE WITNESS: (Indicating.)	
	MR. HARRIS: He's pointing his finger to	
1 3 C.	them. He sees them.	
:14 ©	Q. (BY MR. HARRIS) They're they're ink wells,	
J 5	so to speak, are they not, sir?	16:02
¥6	A. They are.	
다 다 7	Q. So at least they are ink wells, and being as	
18	such, the indication would be that's what I'm asking	
19	you is, the indication would be that the flexo the	
20	cartridges are so mounted that the flexo units are there	16:02
21	where the cans are?	
22	A. That would be a fair indication.	
23	Q. Okay. Now, are you aware that there's been	
24	such a mode of operation over the years off and on?	
25	A. Yes.	16:03
i		

MR. PINKERTON: Now, which "mode of 16. operation" are we talking about? 3 MR. HARRIS: A mode of -- the mode of operation that we, if you like, thought most likely from the side that has RDP Marathon Inc., reliable, durable, 16:03 precision printed in the left-hand corner. 7 MR. PINKERTON: Are you talking about a unit with cans or a unit with inks or -- it's vague and ambiguous as to what you're talking about and so --MR. HARRIS: You can talk all you want --10 16:03 **1**11 MR. PINKERTON: -- object to the form of 12 the question. 13 ايب MR. HARRIS: -- to. I'm satisfied with T. where we are. Let's -- I've gone on to another **1** 5 question. []. [\_16 MR. PINKERTON: Okay. Q. (BY MR. HARRIS) And the question I've gone on to is: have you seen units with random mountings or 19 mountings away from the last unit --20 Yes, I have, but they bear no relevance to 16:04 21 this case. 22 -- with RD [sic] Marathon type of 23 configuration? 24 And they bear no relevance to this case. Yes. Well, that's your opinion. I thought you told 25

16:04 1 me you weren't a lawyer here a minute ago? That's true. I'm not a lawyer. 2 Α. I thought you said you were a layman? 3 Q. 4 Α. I am a layman. 16:04 All right. 5 Q. I'm both. 6 Α. MR. PINKERTON: He's also --7 (BY MR. HARRIS) And a good one, I'm sure? 8 Q. He's also 40 years of MR. PINKERTON: 9 16:04 experience in the printing business. MR. HARRIS: Yeah. That's right, but that's -- I won't try to tell you how to print. I won't tell you how to sell printing devices. \_14 Thank you. THE WITNESS: 16:04 (BY MR. HARRIS) But I will ask you, leaning 16 P on that experience, what you have seen over the years in **⊨**1.7 the way of presses, whether or not you consider them 18 relevant. 19 And that's what I'm asking now, and I 16:04 believe you're telling me that you have seen this RD 20 [sic] Marathon type of a device -- RDP Marathon type of 21 device that's illustrated in the blue coloring? 22 Uh-huh. 23 Α. 24 With flexographic units mounted at spots other 16:05 than the end of the press? 25

7		}
1	A. Yes, I have.	16·
2	Q. What were they doing when in operation?	
3	A. Usually, they're used for lottery ticket	
4	production, in many cases.	
5	THE WITNESS: I'm glad I get your	16:05
6	approval on that.	
. 7	MR. PINKERTON: Whose approval is that?	
8	THE WITNESS: Mr. DeMoore's.	
. 9	MR. PINKERTON: Okay.	
10	MR. DEMOORE: I agree with what's true.	
<u>.</u> 111	THE WITNESS: Thank you.	
₩ ⊭12 ₩	A. I I know that they're used in in all	
13 الْيَحْ	not all, but many, many security print applications.	}
Ū ₫14	And in the security print operations, you're looking for	
<u> </u>	very high coverage inks and/or covering materials that	16:,06
∭ ⊭16	you're trying to obliterate.	•
일 기 7	As in the case of a lottery ticket,	
18	you're trying to obliterate a a lottery ticket number	
19	and/or hide a code, etcetera, and that is the purpose.	
20	They are only used in narrow web	16:06
21	applications. I have never seen them in anything wider	
22	than a 26-inch press. I've actually installed on such	•
23	machines	
24	Q. (BY MR. HARRIS) I bet you have.	
25	A and I can assure you that this is their	16:
j		

```
16:06
    application.
  1
                    THE VIDEOGRAPHER:
                                        We're going to need to
  2
  3
    stop and change the tape.
                    MR. HARRIS: Why don't we take a break so
  4
    Pinkerton and I can holler at each other.
                    THE VIDEOGRAPHER:
                                         Time is 4:06 p.m.
  6
    We're off the record.
                    (Recess from 4:06 p.m. to 4:25 p.m.)
  8
                    THE VIDEOGRAPHER: The time is 4:25 p.m.
  9
                                                                   16:25
    We're on the record.
               (BY MR. HARRIS) Mr. Bird, we had a little
          Q.
    break, and we're -- we're all back here to get this job
<u>4</u> 3
    done.
<u>1</u>4
                    My first question to you is going to
                                                                   16:25
    center around any conversations you had with
ء
1 6
    Mr. Pinkerton or Mr. Falk or anyone else that related in
-17
    any way to this case over the break.
                    Did you have any conversation that
 18
    related, for example, to the last exhibit that we were
 19
                                                                   16:26
 20
    discussing?
               Yes.
 21
          Α.
 22
               Would you tell me what -- who did the talking,
          Q.
    and who did the what? What happened in detail?
 23
 24
               I -- I expressed my opinion as to the
                                                                   16:26
    relevance of that brochure.
 25
```

1	Q. Well, it was about relevance that you were	16
2	talking rather than structure. Is that right, sir?	
3	A. Correct.	
4	Q. All right. And I suppose that for perpetuity,	
5	we should know what your opinion is that you expressed	16:26
6	and to whom you expressed it.	
7	A. I expressed to both Bob and to Bob Falk and	
8	to John Pinkerton that I thought that it was totally	
9	irrelevant.	
10	Q. Are you I'll be brief about this, but are	16,: 26
11. U	you able to interpret patent claims, sir?	
12	A. Not to any great degree, no.	
13	Q. In the courses of reissue, but we've got 363	
T14	here. And if you look at the claims, I would ask you	
<b>2</b> 15	now to tell me anywhere that it says anything other	16:27
16	than, in effect, flexograph and then lithograph?	ı
<b>□</b> 17	MR. PINKERTON: Based on his knowledge of	
18	the claims, I don't think it's appropriate that he would	
19	answer something like that. He doesn't have the	
20	knowledge to go through those.	16:27
21	MR. HARRIS: Well, he needs to tell me	
22	whether what you're telling me. He says, "Bill, I	
23	don't know. I don't know anything about claims," or "I	
24	couldn't do that because I'm not able to," I'll take	
25	that.	16:2

		1
1	MR. PINKERTON: He didn't say that he	16:27
. 2	didn't know anything about claims, but he has some	
3	limited knowledge. I don't think that he could legally	
4	read it. And his opinion, Bill, I think is, again,	
5	layman's side. He has a layman's opinion.	16:28
6	MR. HARRIS: I thought he	
7	MR. PINKERTON: Do you want his layman's	
8	opinion?	
9	MR. HARRIS: I thought he thought he was	
<b>1</b> 0	an expert, not in the area of law, but an expert.	16:28
un 1 Lin 1	MR. PINKERTON: The testimony is a lay	
₩12	opinion as I understand it on the record. He said that.	
1 1 1 1	If you want his opinion, he can put it on the record.	
<sub>≅.</sub> 14	MR. HARRIS: Well, what did he do, give	
	you that same lay opinion?	16:28
<u> </u>	MR. PINKERTON: Yeah.	
<b>.</b> 17	Q. (BY MR. HARRIS) Did you elaborate on the	
18	opinion	
19	A. Yes.	
20	Q you gave me earlier	16:28
21	A. Yes.	
22	Q which was, in your opinion, it didn't have	
23	any relevance?	
24	And you were talking about, as I could	
25	gather, more than anything else, what it was being done	16:28

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for ultimately. Is that true, sir?
               That's true.
  2
          Α.
               And that's what your opinion of relevance was
   3
     based on, was it not?
                                                                   16:28
  5
          Α.
               Yes, it was.
  6
               And my questions to you were more structural,
  7
     were they not?
  8
               You'd have to explain what you mean by that.
  9
               By "structural," I mean like things or
                                                                   16:29
__10
     methods, and I don't mean results.
1
1
               Then they were structured.
<u>上</u>
[月12
                     MR. HARRIS: Would you mark this, please?
     Is that 18 or 19?
 13
آ
14
                     THE REPORTER: 19, sir.
 15
                     (Deposition Exhibit No. 19 marked.)
 16
                     Would you like for me to hand it to him?
□ 1 7
                     MR. HARRIS:
                                 Yes.
                     (Documents handed to witness.)
 18
                     MR. PINKERTON: Bill, did I get a copy of
 19
            I'm sorry. Is this for me?
     that?
 20
 21
                     MR. HARRIS: Yeah. I -- I put it out.
     You know, Falk is some lazy, he won't reach out and pick
 23
     it up.
 24
                   MR. PINKERTON:
                                     I know.
                                               We have to get
     after him.
 25
                 Okay.
```

```
16:30
                (BY MR. HARRIS) All right. Would you briefly
  1
          Q.
    flip through that to familiarize yourself with it?
     trust, again, you've read that before, haven't you?
  3
                I have. I believe so.
  4
                                                                    16:30
                     MR. PINKERTON: And was this marked
  5
     as exhibit --
  6
                                  I don't mean 2.
                     MR. HARRIS:
  7
                     MR. PINKERTON:
                                     19?
  8
                     MR. HARRIS: 19. I'll get it in a
 . 9
               19.
     minute.
                (Witness reviews documents.)
                (BY MR. HARRIS) Are you through?
                                                     Tell me
          Q.
     when you are.
                Oh, I'm through. Yeah, sure.
 14
          Α.
                                                                    16:31
I 15
                Just passing the time of day?
****<u>*</u> 16
                (Indicating.)
                Now, sir, you executed that as an inventor,
<u>⊨</u> 17
     did you not?
  18
                Yes.
  19
           Α.
                And you, I gather, have decided you're not an
                                                                    16:31
  20
     inventor?
  2.1
                I'm glad to hear that.
           Α.
  22
                That's what you decided, isn't it?
  23
           Q.
                Excuse me?
  24
           Α.
                                                                    16:31
           Q. You decided you're not an inventor?
  25
```

1	A. Oh, I thought you said it had been decided.	16
2	Q. No, no. No, not at all.	
3	A. Okay.	
4	Q. I just wanted to know what you felt about it.	
5	Are you an inventor or aren't you insofar as the	16:32
6	application is concerned that's been marked 19?	
7 ′	A. I am named as an inventor, but I don't regard	
8	myself as an inventor of this application, no.	
9	Q. Why could you tell us well, you will	
10	agree, you signed it, and you took a declaration or oath	16:32
1 1 1	in so doing?	
12 12	A. Yes, I did.	
413 <b>4</b> 13	Q. And that is one that says it's subject to the	•
<b>f</b> 114	laws of the United States for penalty of perjury if you	
습1·5 네	misstate?	16:32
116 116	A. That's true.	
<b>1</b> 7	Q. Why, sir, have you put it this way, have	
18	you then changed your mind since you've signed it?	
19	A. I don't think I've changed my mind since I've	
20	signed it, but I feel that there's a when you are	16:32
21	asked by your employer to sign a piece of paper that	•
22	states that you are an inventor and that you are a	
23	coinventor on a piece of paper, I think that it's very	
24	difficult not to do that.	
25	Q. Well, sir, there's a procedure where we could	16:.

1 go before the Patent Office and have your name removed 16:33 from that if you would like. Did you ever hear of such a procedure? 3 4 No, I didn't, but --5 But everyone has to be agreeable to it. 16:33 would have to be agreeable, Printing Research would have to be agreeable, and Howard DeMoore would have to, and Mr. Rendleman would have to. 8 9 But it could be done, and you might think 16:33 **\_**10 about it a little more. 1 1 المنا Α. Sure. UŤ ≒.]1 2 In any event, you think it's very marginal at 而13 best, huh? 급1 4 I think it's marginal at best. 1 5 انظ 16:33 And I also gather from what you've said that Q. you think that the inventor is Ron Rendleman. I think the inventor of the Ferris wheel 18 movement -- I thought the inventor of the Ferris wheel movement was Ron Rendleman, yes. 19 16:34 20 Have you seen a line working with the 21 Rendleman coater on it at the front end? 22 Depends how you determine "working." Α. 23 0. Printing. 24 Α. I've seen it in operation on a machine, yes. 16:34 25 Where? Q.

		7
1	A. At Williamson Printing.	16
2	Q. When?	
3	A. Back in the mid to late '90s.	
4	Q. November or December of nineteen hundred and	
5		16:35
6		
7		
8	, , , , , , , , , , , , , , , , , , , ,	
9	that such an operation was conducted?	
二·10	MR. PINKERTON: "Such an operation" using	16:35
11 11	particularly a coater that had been made	4
는 년 1 1 2	MR. HARRIS: I'm calling it the Rendleman	; ,,,
기 교 13	coater. I think there's sufficient back background	
10 11 11 12 13 14	to call it that	•
15 16 17	MR. PINKERTON: Okay.	16:35
ļ 16	MR. HARRIS: whether everybody in the	
= 17	world does or not.	
1,8	· ·	•
19	question now.	
20	A. Yes.	16:35
. 21	Q. (BY MR. HARRIS) And getting away from all the	
22	legalism involved, from a technical point of view, was	
23	the operation you saw one that proved that the line	
24	would work to accomplish the given objective in the	
25	sense intended, that it was generally workable?	16:_
	I	

1	MR. PINKERTON: I'm going to object to	16:36
2	the form of the question in regard to vague and	
3	ambiguous as to "proved."	
4	Q. (BY MR. HARRIS) Did it show it ever worked?	
5	A. No.	16:3€
6	Q. What did it show?	
. 7	A. It showed that you could apply metallic to a	
8	sheet, but it didn't do it very successfully.	
9	Q. And that was when it was applied to the	
马 0 型 1	multistation Heidelberg and	16:36
<u>4</u> 1	A. Yes.	
1 2 X	Q. Why was that kill that.	
4 3 7 3	Do you have any knowledge of the extent	
= 14 	to which Mr. Rendleman and Mr. DeMoore may have had	
<b>5</b>	conferences that related to the topic of the Rendleman	16:37
* <u>-</u> . 6	coater?	ļ
다 일 7	A. I don't have knowledge of the amount of and	
18	I'm not prepared to speculate on that.	
19	Q. Right. So it could be there was a bunch, and	
, 20	it could be there was none, right?	16:37
21	A. Could be. Could be.	
22	Q. Could be anything?	
23	A. Could be anything, and it could be nothing.	
24	Q. Do you have a recollection of a meeting at	
25	some time or another with a patent lawyer from Dallas	16:37

1	where the notion was expressed of using something, which	16
2	turned out to be a Rendleman coater, in a printing line	
3	for the purpose of first laying down a flexographic step	
4	and then thereafter a lithographic step?	
5	A. Yes.	16:38
6	Q. Can you recall when that was?	
7	A. No.	
8	They would have been in-house meetings,	
9	and I don't keep notes didn't keep notes of in-house	
10	meetings.	16:38
1 1 1	Q. Did you have a vacation in July of '94?	-
12 U	A. I doubt it.	
413 4	MR. HARRIS: Are they July?	<u> </u>
Ū14	MR. WILSON: We're missing all of July.	
_ 	Q. (BY MR. HARRIS) We're missing all of July.	16:39
<u>1</u> 16	We understand maybe there's reason for that and that	-7
了 7 	nothing happened in July.	
18	A. July, if there's no no July, it's because '	
19	there was nothing that was happening within the,	
20	within the corporation or outside of the corporation.	16:39
21	But since it was not my habit to take vacations, I don't	
22	believe I was on vacation in July of '94 or '95.	
23	Q. Are you related to either one of Bird & Bird?	
24	I bet you know who they are.	
25	A. I have no idea who they are.	16:.

i		
1	Q. Oh, really? They're among the most famous	16:39
2	solicitors in London. Shows how much you think of	
3	lawyers.	
4	A. It shows an awful lot of how much I think of	
5	lawyers.	16:40
6	Q. Well, tell me, did you just stay in-house all	
7	of July? You didn't didn't go out to Williamson one	
	time during July?	
9	A. Probably.	
<b>5</b> 0	Q. Probably what?	16:40
1. 1.1	A. Probably went out to Williamson during July.	
<b>L</b> <b>1</b> 2	Q. Just didn't record it?	
·실 교 3	A. Just didn't record it.	
<b>m</b> . 14	Q. You mean there are times that you didn't	
<b>5</b> <b>5</b> 15	record?	16:40
الله الرياط	A. Oh, sure. You know, if I was	
ر آيا 7 آيا 7	Q. As I recall you were	
18	A as I would call as I would make a point	
19	to you of noting is that we were having some severe	
20	problems at Williamson relative to their equipment.	16:40
21	And so I would often get called out to	
22	Williamson at a moment's notice, and that wouldn't have	
23	necessarily been due to a a recorded appointment.	
24	And therefore, I would be over there to try and resolve	
25	problems that we had on their machinery, which were	16:41

```
Do you --
           Q.
                -- which were many.
   2
           Α.
                Do you distinguish the spontaneous from the
     planned?
                                                                      16:41
           Α.
                Would you like to explain that to me?
                Certainly. If you have an appointment with
   6
           Ο.
     somebody, it means you've made a plan.
           Α.
                Correct.
                If -- spontaneous means that you've just
   9
           Q.
                                                                      16:41
     dropped in like a thief in the night.
the first that the first that the first that
                I don't like that.
           Α.
                Well, let me try it again, then.
  12
           Q.
                      You just made a visit, a spot visit
  13
                I would -- I might be called --
           Α.
16:41
                -- or you got a quick telephone call?
16
                I might have got a phone call from Jesse.
□17
     might have got a phone call from Bill that it might be
     an idea if we go visit. Yeah, that could have happened.
  18
                Did you write anything -- understand I haven't
  19
                                                                      16:41
     had a chance to go over all those documents.
  20
                      Did you write anything in your notes
  21
      other than appointments?
  22
  23
                Correct.
           Α.
  24
           Q.
                Huh?
                                                                      16:
  25
                That's -- that's correct, pretty well.
```

			1
1	Q.	Well	
2	A.	I mean, I'm sure there were occasions when	
3	Q.	wait a minute. We're not communicating.	
4		Did you write anything in your notes,	
5	calendar,	whatever you call it what do you call it?	16:4
6	Α.	Calendar.	
7	Q.	Calendar.	
8	Α.	Day-Timer.	
9	Q.	Day-Timer other than appointments?	
	Α.	Yes, I would.	16:42
1	Q.	What, for example?	
12	Α.	I might write notes as to the fact that I	
<b>基</b> 3	if it was	an outside meeting, and I may have met with	<u> </u>
14	Williamsor	Printing, I may have made a note, and I may	
	not have.		16:42
<sup>1</sup> 6	,	It would depend on either the severity of	
一 7	the situat	ion and/or the the stress or strain that we	
18	might be u	under at the time.	
19	· Q •	Did you ever make what I call "eureka" type	
20	notes, not	es of good things that have happened, just	16:42
21	wanted to	make a little note on your journal or	
22	Day-Timer,	or whatever it is?	
23	Α.	I'm not sure that I would or not. It's a	
24	little bit	vague.	
25	Q	And I believe you testified you never made	16:43

			1
1	1 notes of internal conferences?	·	16
2	A. I wouldn't say "never," h	out	
3	Q. Never say never, you say,	right?	
4	A generally exactly,	exactly generally	
5	5 not, no.	•	16:43
6	6 Q. Was it just random as to	when you did and when	
7	7 you didn't?		
8	8 A. Internally?	,	
, 9	9 Q. Random, was it just rando	m?	
10	0 A. No.		16:43
型 型 1	1 Q. Well, then, what would ca	use you to make a	
	2 note or not make a note for an insi	de type of event or	
3	3 meeting?	,	
14	A. I would not normally make	notes in my	
5	5 Day-Timer for meetings internally.		16:43
16		ht have made some?	·
<b>9</b> 7	7 A. I indicated I might have	made some.	
18		red if you had any	
19	9 any rule to distinguish about when	you did and when you	
20	0 didn't?		16:44
2 1	1 A. No.		
22	2 Q. Okay.		
23	3 A. No rule.		
24	Q. Okay. Would you agree wi	th me that Howard	
25	5 DeMoore certainly has the capabilit	y to be an inventor?	16:-

		_
1	MR. PINKERTON: Objection to the question	16:44
2	in terms of vagueness, "capability." Got no idea what	
3	that means.	
4	MR. HARRIS: I'll	
5	MR. PINKERTON: Object to the form of the	16:44
6	question.	
7	A. I will admit that he has made an invention.	
8	Q. (BY MR. HARRIS) I'm not talking about here,	
9	necessarily.	
_10	A. I just said, "I will admit that he has made an	16:44
_10 11	invention."	
12 V13 V14 V15	Q. Would you admit that he had made two?	
<u>1</u> 13	A. I don't know that.	
<sup>1</sup> 14	Q. Would you be surprised to know that he has 50	
<u> </u>	United States patents, more or less?	16:45
16 17	A. I would be extremely surprised.	
<b>후</b> 7	Q. That's an impressive number if it's true,	
18	isn't it, sir?	
19	A. If it's true, it's impressive; but if it's not	
20	true, it's not at all impressive.	16:45
21	Q. And if it were 39 or 62, the answer would be	
22	the same, wouldn't it?	
23	A. It would be the same.	
24	Q. Have you ever noticed all of the patents up on	,
25	the wall?	16:45

			7
1	Α.	I have indeed.	16
2	Q.	What do you think they are?	
3	A.	I think	
4	Q.	And by "up on the wall," so the record's	
5	clear, we	're talking about out at Printing Research's	16:45
6	facility,	out where Mr. Bird, I guess you worked out	
7	there	$\cdot$	
8	Α.	I did.	
9	Q.	some, and where Mr. DeMoore holds forth and	
_10	the staff	generally.	16 <sup>-</sup> :~46
道 山11		You have indeed. Would you describe it	
12	to me?		
13	Α.	I'd rather not.	
T 4	Q.	Please do.	,
<b>5</b> 5	Α.	I'd rather not.	16:46
5 6	Q.	Please do. I ask that you describe it to me.	
7	It has to	do with inventive capability, and you've given	•
18	testimony	in that area. And as far as I'm concerned,	-
19	you're red	quired under the law to do so.	
20		MR. PINKERTON: Objection to any	16:46
21	relevance	to "inventive capability" with respect to	
22	that, but	certainly appropriate to answer the question.	
23		To describe the physical appearance?	
24		MR. HARRIS: Yeah.	
25		THE WITNESS: Oh.	16:4

MR. PINKERTON: That's what he's saying. 2 There's a wall covered with plaques. Α. 3 . Q. (BY MR. HARRIS) Were you aware that those plaques were the front seals of United States patents? 5 I'm absolutely aware of that. 16:46 Α. 6. MR. PINKERTON: Excuse me. 7 (BY MR. HARRIS) Sir, I'll just put it this 8 way to you. I'm -- I'm wandering in view of that what would cause you to be so surprised about Mr. DeMoore 16:47 having a large number of patents. 与1 Can you explain? <u>-</u>12 Α. The validity of him being the inventor on · 3 those patents is what I question. N a 14 Your notion is, then, that Mr. DeMoore 16:47 appropriates other people's inventions? J 5 Didn't say that. **-16** Α. 117 Well, sir, can you explain what you mean? 18 If you work for a corporation that assumes and Α. 19 takes the position of getting you to assign every and 20 any idea that you come up with to them and for the owner 21 of that corporation to be included on that invention, then in my opinion, I don't truly regard that person as 22 23 an inventor because it wasn't his idea. 24 Q. And it's your belief that that's the situation that prevails on those patents that Mr. DeMoore holds. 16:48

		_
1	Is that right, sir?	16
2	A. I believe that to be true.	
3	Q. Uh-huh. And while on such subjects, you very	
4	recently oh, I didn't finish.	
5	What else was said in the conversation	16:48
6	with the attorneys that you had at the break?	
7	A. Nothing else.	
8	Q. Nothing at all, just about that one reference,	
9	and that was all that was said, nothing else.	6
10	Did you meet with Mr. Pinkerton or	16:49
्र <u>म</u> ी 1 1 :	Mr. Falk yesterday?	٠,
12	A. Yes, I did.	
~	Q. For how long?	
五 丁14	A. For approximately eight hours.	
i 15	Q. What?	16:49
16	A. Approximately eight hours, nine o'clock	-
<u></u>	through until about five o'clock.	
18	Q. And have you met with both of them frequently	
19	or let me have you met with Mr. Falk previously	
20	before yesterday?	16:49
21	A. Yes.	
22	Q. And when and where and how often?	
23	A. I met with Mr. Falk on Sunday for	
24	approximately four hours.	
25	Q. And before that?	16:
	i e e e e e e e e e e e e e e e e e e e	,

		1
1	A. When the Declaration was made back in whatever	16:49
2	the date, and I'd have to refer to the date of the	
3	Declaration.	
4	Q. Was that with Mr. Falk?	
5	A. That was with Mr. Falk.	16:50
6	. Q. And prior to that, had you met with Mr. Falk?	i
7	A. No.	
8	Q. So you had the Sunday meeting with Mr. Falk,	
9	and then you had one prior meeting at Declaration time.	
10	Would you tell me the circumstances	16:50
1 1	around which the Supplemental Declaration was made?	
12	A. The Declaration was made. I I was given	
<u>1</u> 3	the opportunity to review it, review the notes,	
14	etcetera. And after reviewing those notes, there were	
<b>4</b> 5	changes that I requested, and those changes were made	16:50
` 16	and corrections made, which led to the	
7	Q. You didn't have an opportunity to look at	1
18	those notes in the first instance when you took the	
19	first Declaration?	
20	A. Oh, yes, but then but then they came back	16:51
21	to me, and we we went I went through them again.	
22	Q. As a matter of fact, Mr. Falk pointed out to	
23	you some things that you ought to consider, did he not?	
24	A. Not that I'm aware of, no.	4
25	Q. Well	16:51

			]
1	Α.	I don't recall.	16
2	Q.	Tell me those that you're not aware of.	
3	Α.	I don't recall.	
4	Q.	Okay.	
5	Α.	I don't believe that happened, either.	16:51
6	Q.	You don't believe it happened, but it could	
7	have?		
8	Α.	Anything's possible. You've said it yourself.	
9	, Q.	Well, I agreed with you on that.	
.10	`A.	Yes, you did.	16:51
111	Q.	How about Mr. Pinkerton? Had you met with him	k.
	before ye	sterday?	
13	Α.	I met with him Sunday, also.	<del>.</del>
14	Q.	The same four hours?	
15	Α.	Same four hours. And prior to that, the	. 16:51
16	Declarati	on. And prior to that, I believe there was a	4 E
4 317 i	meeting m	aybe a month or two prior to that,	-
18	Q.	So you've met many hours with these two	. •
19	lawyers,	if you compile them? And I realize "many" is a	
20	relative	term, so would you tell me how many hours that	16:52
2 1	you've me	t with them added up together?	
22	Α.	Oh, guesstimate, probably	
23	Q.	Yesterday was 16, was it?	
24		MR. PINKERTON: Are you talking about	
25	with respo	ect to each of us or total?	16:5
		i i	

			1
1		MR. HARRIS: No, to each of you put	16:52
2	together.	I mean, your if there's an hour passes,	
3	and the th	nree of you are together, well, that is meeting	
. 4	with both	of you, and it's okay to treat that as just	,
5	one hour.	But if you're with one of you, that's another	16:52
6	hour. And	d if you're with the other of you, that's	
7	another ho	our.	
8		MR. PINKERTON: And segregate it in those	
9	three ways	s?	
<u> </u>	Α.	Well, I'm looking at twenty	16:52
<u> </u>		MR. HARRIS: Combination of three things	
<u>.</u> 2	taken two	at a time. I don't know.	* *
温 3	Α.	Combination, 22.	
<u>:</u> 4	Q.	(BY MR. HARRIS) Twenty-two hours. That goes	
15	back to th	ne time with Mr. Falk?	16:53
المراسا 9	Α.	And John Pinkerton on no, the 22 hours in	•
17	combination	on with the two. You said they counted as one,	
18	so that's	22 hours with the two.	
1.9	Q.	They count as one only when they're together.	
20	Α.	Well, I'm agreeing with you.	16:53
21	Q.	You're agreeing with me?	
22	Α.	Yeah.	
23	Q.	Incredible.	
24	Α.	Yes, isn't it?	
25	Q <sub>:</sub>	Well, now, would you agree with me that you	16:53

16 weren't happy about the fact that Printing Research brought a lawsuit against you rather recently, were you? I wasn't happy, no. . A. 3 And is it fair to say that you weren't happy 16:53 about your termination, then your working something out with Printing Research, and then, in effect, another termination? Is that fair to say? That's very fair to say. 8 Α. Is it fair for me to say that if you had to 9 16:54 take your choice, just on the basis of who you like and <u>\_</u>10 who you don't like, who would you like to see win this lawsuit? `-』 ፲<u>፲</u>13 MR. PINKERTON: I'm going to object to that question. <u>\_</u>15 16:.54 MR. HARRIS: You can object to it all day long. **1**7 I have no animosity toward either party, either party. And as far as I'm concerned, as long as 18 the truth prevails, it would be real nice to see. 19 16:54 (BY MR. HARRIS) It would be real nice to 0. 20 what? 21 See the truth prevail. That's all. 22 Α. And is it fair to say that any time on an 23 equal basis that we need 22 hours or whatever, you have 24 16:5 it available? 25

		]
1	A. I would make it available.	16:54
2	Q. Yeah. And at what rate did you make it	
3	available in the case of the other side? How much did	·
4	you charge them for all of this?	
. 5	A. We charged out of pocket expenses, etcetera,	16:55
6	etcetera.	
· 7	Q. Well, what's the "etcetera, etcetera"? Aren't	
8	you charging yourself out as an expert or something?	
9	A. I'm charging myself out as a witness in a	
10	case.	16:55
11	Q. How much?	
[] [ 1 2	A. I don't know the relevance of that.	
三 三 13	Q. Sir, it is relevant, and I insist you answer	,
_ 14	it. And I don't have the power to enforce that	
15	insistence, but the judge does.	.16:55
16	MR. PINKERTON: I don't think he knows	, ,
17	that. Do you know the the question? Do you	• •
18	understand the question?	,
19	THE WITNESS: I'm not sure I do.	
. 20	MR. HARRIS: Well, maybe we are	·
21	MR. PINKERTON: He's asking he's	•
22	asking if you have charged us for the time that you've	•
23	spent with us.	
24	THE WITNESS: Oh.	
25	A. No.	16:55

		_
1	Q. (BY MR. HARRIS) Are you going to?	16
2	A. No.	
3	Q. Are you going to charge anything if you appear	
4	at trial?	
5	A. I don't know that.	16:56
. 6	Q. Okay. It hasn't happened yet?	
7	A. It hasn't happened, and I hope it doesn't.	
8	Q. Do you intend to come to trial?	
9	A. If I'm asked, I'm sure that and I'm	
10	subpoenaed, I'm sure.	16:56
<u>.</u> 11	Q. Well, there's nothing legally that makes you	
派 三年 2	come to trial unless you're in Texas. I'll practice	٠
<b>4</b> 3	that much law with you. So that will be up to you. It	-
্রী 4	will be up to you.	
<u>.</u> 5	I just want to be sure that you're happy	16:56
∭ ⊒6	about your answer on the no animosity. I'm not accusing	-
् <sub>य</sub> ची 7	you of holding a grudge, I'm just trying to find out	
18	what your feelings are.	`.
19	A. My feelings are, as I've already stated	
20	Q. Okay.	16:56
21	A I have no animosity towards either party.	
2,2	Q. We'll not kick that horse anymore.	
23	We're talking about just the truth coming	
24	out, right?	
25	A. Sure.	16:

			]
	. 1	Q. Did you feel that way about the truth when you	, 16:57
	2	signed the patent application as an inventor?	
	3	A. Yes.	,
	4	Q. So you thought you were an inventor, then?	
•	5	A. I felt that it was truthful that in the	16:57
	6	terms which I was asked to sign those and the terms of	
	7	it being the invention that it was portrayed to me, yes,	,
	8	I thought it was the truth.	
	9	Q. So you think you ought to be on the patent,	
	0	then?	16:57
	1	A. Not in the way it's being perceived and being	
	2	portrayed today, no.	
**************************************	3	Q. Whose portrayal is that, sir?	
	4	A. What I believe is your portrayal.	•
	5	Q. Is it possible that that's a portrayal that	16:58
	. I	you've had from Williamson's counsel?	
<u>.</u>	7	A. No, not at all.	
1	8	Q. Well, what was it that you thought your	
1	9	contribution was at the time, then?	
2	0	A. My contribution you know what? Might I	16:58.
2	1	renege on what I just said? The truth is that at the	4
2	2	time, you're right, I I didn't feel that I should	
2	3	have been labeled as an inventor, and that is the truth.	
2	4	Q. Well, the truth now	
2	5		16:58

```
1
                -- maybe it's better --
  2
                -- when you're employed --
  3
          0.
                -- maybe it's --
  4
                -- it's difficult when you're employed by
     someone.
                                                                    16:59
  6
             Sure. I'm note after you right now, sir.
  7
     truth now is what we were asking for --
  8
                     But I don't have those restrictions now.
               Yes.
  9
          Q.
               Yeah.
10
                    Now, the EZ Coater, as its been referred
                                                                    16:59
<u>- 1</u>
    to, was not --
<u>+1</u> 2
               Might I make one point just because I think
E.
43
    it's -- it might be relevant, and I'm not sure of the
1 4
    relevance.
₫5
               I have no idea. Would you let me withdraw the
                                                                   16:59
ij
⊭16
    freedom?
               I will give it to you for the moment.
.
.
₫7
         Α.
               Thank you.
18
               Because I'm supposed to ask the questions, and
    you're supposed to answer them --
19
20
         Α.
               Thank you.
21
               But I -- I'll --
         Q.
22
               Thank you.
         Α.
23
         Q.
               -- waive that for a moment.
24
               There's a gentleman in this room, a Mr. Ron
   Rendleman, that knows that at the time that I was asked
25
                                                                   16:5
```

		l
1	to come onto the patent that I actually went to him and	17:00
2	apologized for the fact that I was being drawn into and	
3	onto the patent because I didn't think that I should be	
4	on that patent. And for the record, I'd like that to be	
5	known.	17:00
6	Q. Well, I it is on the record. Thank you.	
7	The EZ Coater of about '91	
.,8	A. Yes.	
9	Q was not anilox-supplied, was it?	
1.0	A. Yes, it was.	17:00
11.	Q. It was?	
12	A. EZ Coater of '91, yeah.	
1 3	Q. That's your recollection anyway, huh?	
_14	A. Absolutely. Remember, it's on paper. It	
15 15	wasn't it wasn't a machine, but it was certainly	17:00
16	anilox.	
17	Q. You're saying on paper it was anilox-supplied,	
1'8	then?	
19	A. Uh-huh, correct.	
20	Q. And then what is the next thing, the EZB?	17:01
21	A. The EZB, yes, sometime later, but yes.	
22	Q. And tell me about the Dahlgren Corporation	
23	Rapıd [sic], Oxy-Dry, Essex [sic] retractable coaters.	
24	As a thinking of any one of the four,	
25	are any of them made with a Ferris wheel type of a	17:01

17 movement? 2 Α. None of them are made -- that I'm aware of that are made are made with Ferris wheel. ο. Are -- how many or which of them are made with 4 17:01 a circular movement? 5 With a --6 Α. Semicircular movement. 7 0. There was one made back in the '80s that the 8 Α. company doesn't exist anymore, but they made a circular 9 17:02 movement, made by a gentleman called Eric -- his last <u>∵</u>10 : 11 name escapes me but -- and he moved to Florida. probably the first anilox roll coater in the United 4.¶12 States, and it was back in about '82, '83. 14 What happened to the company and the concept? Q. 17:02 The company -- the gentleman -- and eventually I'll remember his name -- The gentleman moved to Florida, but he was getting on in years, and unfortunate for him, someone else took his invention as it was and 18 19 copied it and was producing it in the marketplace. 17:03 2.0 he didn't have the wherewithal to fight it. And that coater, I believe, is what was 21 22 the -- essentially, the Dahlgren coater of the early 23 '80s. 24 I'm a little confused now. Did the Dahlgren 17: 25 coater have a semicircular movement?

	19	9
1		
1	A. No. But it was an anilox roll coater.	17:03
_ 2	Q. Okay. So that was	
3	A. That's where the tie.	
4	Q the similarity?	
5	A. Yeah. That's where the tie.	17:03
· 6	Q. All right. All right. Was previously, the	
7	Dahlgren hadn't had an anilox coater.	
8	How about Rapid [sic], did it?	
9	A. Rapidac, no.	
10	Q. And how about Oxy-Dry?	17:03
1 1	A. No.	
1/2	Q. And what what is the other one, Essex [sic]	
1 2 1 3	or what?	
³1 4 ∷	MR. PINKERTON: Epic.	
14 5 5	A. Epic.	17:03
16	Q. (BY MR. HARRIS) Epic. Yeah, Epic.	
<b>4</b> 7.	A. They had a they had a same as most of us	
18	had, which was an up-down movement on the press.	
19	Q. Are you talking about these three-roller	
20.	configurations?	17:04
21	A. Not in each case, no.	
22.	Q. Not in each case?	
23	A. No.	
24	Q. They do it different ways, huh?	
- 1	i	
25	A. Yes. There was either there was the	17:04

	1
philosophy of flexo applications, and there was the	17
philosophy that ran with three-roll applications.	
Q. In place of the anilox roller, what was used?	
A. In place of the anilox roller?	
Q. Yeah. In place of, instead of the anilox	17:04
roller, what did these that did not use an anilox	
A. They would use a three-roll type system.	
Q. That's where the three roller	
A. Yes.	
Q comes from?	17:04
A. Yeah.	
Q. Okay. As to the EZ, '92 or '93, would you	
tell me those three or four where they were sold and the	
ultimate fate of each so I can check into them at	
another sitting?	17:05
A. Sure, sure. There was one sold to a	
corporation out in California whose name escapes me.	
I'm sorry, but Stoughton, Stoughton Printing,	
S-T-O-U-G-H-T-O-N, and that was on a Heidelberg 72	
four-color machine.	17:05
THE WITNESS: Do you have a problem with	
that, Howard?	
A. There was a Heidelberg 102 that went to a	
corporation in New York City whose name escapes me.	
That one the one in New York City definitely came	17:
	philosophy that ran with three-roll applications.  Q. In place of the anilox roller, what was used?  A. In place of the anilox roller?  Q. Yeah. In place of, instead of the anilox roller, what did these that did not use an anilox  A. They would use a three-roll type system.  Q. That's where the three roller  A. Yes.  Q comes from?  A. Yeah.  Q. Okay. As to the EZ, '92 or '93, would you tell me those three or four where they were sold and the ultimate fate of each so I can check into them at another sitting?  A. Sure, sure. There was one sold to a corporation out in California whose name escapes me.  I'm sorry, but Stoughton, Stoughton Printing,  S-T-O-U-G-H-T-O-N, and that was on a Heidelberg 72 four-color machine.  THE WITNESS: Do you have a problem with that, Howard?  A. There was a Heidelberg 102 that went to a corporation in New York City whose name escapes me.

17:06 back. 2 The one that went to Stoughton Printing was used for about a year, I guess, maybe a little bit more and was -- the press was sold off. And when they 17:06 sold the press off, they -- they discarded the coater because it didn't have a useful application. 7 There was one sold here in Dallas in Allen -- in the Dallas area in Allen. And the -- that's the -- Color Dynamics was the name of the company, Color 17:06 Dynamics. That was on a 102 or a 40-inch Heidelberg. believe we got that back, too. 1 2 There was one went to a company in 별3 중 Dallas, also, Riverside Press, which was on a =14 Heidelberg, and I do believe that that came back. ₹5 17:07 Other than that, it's a long time ago. 1:6 I'd have to go through notes. Well, I can't go through notes because I don't have notes of that nature. 18 (BY MR. HARRIS) Would you be shocked if there 0. 19 were ten or more that were sold? 17:07 20 Α. I would be shocked, yeah. I wouldn't be shocked if that ten or more weren't working anymore. 21 2.2 What was the lawsuit about with Printing 23 Research? 24 I'm not at liberty to disclose that to you. 25 That was part of the settlement. 17:07

		ļ.
1	Q. I have to think about that a minute. We	17:
2	for whatever it's worth, we'll look at that, if we have	
3	a confidentiality agreement here.	
4	A. We do.	
5	Q. And we do. Between all of us is what I wanted	17:08
6	to explain so you'd know that. Mr. Pinkerton will	
7	verify that.	
8	MR. PINKERTON: Oh, sure. I mean parts	
9	of the record can be designated as confidential.	
10	I understand what he's saying is that his	17:08
₩ (1) 1	settlement's confidential, and he has a contractual	
ائية ب <u>ن</u> ا 2	obligation with Printing Research not to disclose the	,
জু ুুুুুুুুুুুুুুুুুুুু	terms of the settlement.	l
्री द्वी 4	MR. HARRIS: Well, if Printing Research's	•
	counsel asks him in accordance with that agreement,	17:08
斯 山 6	which I hereby designate as confidential, then he can	· ;
27	say it.	,
18	THE WITNESS: Didn't Howard DeMoore sign	
19	the same confidentiality agreement?	
20	MR. HARRIS: Maybe I ought to ask	17:09
21	didn't what?	
22	THE WITNESS: Didn't Mr. DeMoore sign the	
23	same confidentiality agreement not to discuss it?	
24	MR. HARRIS: Yeah.	•
25	MR. PINKERTON: Do you mean in your	17:0

1	settlement?	,		17:09
2		קעי	WITNESS: Yes.	
		•		. 1
3	• •	MR.	PINKERTON: Is that I don't know.	,
4	,	MR.	HARRIS: I don't know. I wasn't	,
5.	their lawyer.			17:09
6		THE	WITNESS: Well, he was.	
. 7		MR.	HARRIS: Huh?	
8.	•	THE	WITNESS: Well, he did.	
9		MR.	PINKERTON: Are you saying on the	
0 (إِيَّةَ:	record that you	wil	l waive the requirement in the	17:09
.즐 네 1	settlement agre	emen	t that that not be disclosed?	
[= [f] 2		MR.	HARRIS: Only if it goes only to	, ,
3	those that are	subj	ect to the agreement here, and it's	
1,4	treated as a co	nfid	ence under the Protective Order in	
<u></u>	this case.			17:09
16		MR.	PINKERTON: So	
Ī7	,		HARRIS: That's what I'm saying, but	
-	i	<i></i>		•
18	I need to ev	en t	hat, I need to check.	
19		MR.	PINKERTON: Okay.	
20	* <u>* * * * * * * * * * * * * * * * * * </u>	MR.	HARRIS: And you may, you know, have	17:09
21	some			٠
2 2·		THE	WITNESS: And I will check with my	
.23	lawyers. I wil	l no	t discuss it without checking it with	
24:	my lawyers.			
25		MŔ.	HARRIS: Well, let me see if I we	17:09

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better take -- I hate to, but we're going to have to
                                                                  17
                     This could keep us from having to make a
     take a break.
     trip to New York or run real, real late tonight or
     having to come back or something.
   5
                     So let me talk a minute with them, see
                                                                  17:10
     what they feel like, then you can be -- if they feel,
     "Hell, Bill, we don't" -- pardon me, record -- "we don't"
     care about it one way or another."
                     THE WITNESS:
                                   But I do.
 10
                    MR. PINKERTON: Care about what, Bill?
                                                                  17:10
- == 1 1
     I'm sorry, you said you don't care about it.
12
                    MR. HARRIS: He says he cares.
≒13
                    MR. PINKERTON:
                                     Well, he's got a
. 1
. 14
    contractual obligation, and he's --
115
                    MR. HARRIS: Don't lecture me.
                                                                  17:10
ij.
16
                    MR. PINKERTON:
                                     I'm not.
                                               I'm just
<u>-</u>17
    telling you --
 18
                    MR. HARRIS: Let me go talk here, and
    then I'll find out what I want to --
 19
 20
                   MR. PINKERTON: Yeah, you need to clear
    it with him.
                   If they want to --
 22
                   MR. HARRIS: Before I have -- to find out
    whether I have anything to push or not.
24
                   MR. PINKERTON: Yeah, if they want to
   waive it, then that's fine, and that can be related to
                                                                 17:
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the witness.
                                                                   17:10
   2
                     MR. HARRIS: Well, I'm not sure that's
     fine with this gentleman, all right?
                     THE WITNESS: I'm not sure it's fine,
   5
     either.
                                                                   17:10
  6
                     MR. HARRIS: But that's step one, is to
     go right there. So let's go.
                     THE VIDEOGRAPHER:
                                        The time is 5:11 p.m.
     We're off the record.
10
                     (Recess from 5:11 p.m. to 5:28 p.m.)
                                                                  17:28
111
                    THE VIDEOGRAPHER: The time is 5:28 p.m.
112
    We're on the record.
(BY MR. HARRIS) Sir, we had discussed the
    matter that was at issue, and that being the terms or
<sub>e</sub> 14
    settlement or how the last suit came out between
1 5
                                                                 17:28
    Printing Research and yourself?
ু16
를 7
         Α.
               Uh-huh.
              And we have concluded that we don't need to
 18
    bother you with that if you don't like it. We won't ask
19
    you. I'll withdraw the question.
20
                                                                 17:29
21
                    Now, if the in the meantime you've
    decided you're dying to tell us, you can go ahead,
22
    but -- so it's an open deal. It's up to you. As far as
23
    I'm concerned, the question is withdrawn.
24
25
              Thank you. I accept.
                                                                 17:29
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17 (Sotto voce discussion.) 2 0. (BY MR. HARRIS) Did I hear you correctly, sir, say that if you are going to use a flexographic step and do it in anything like a successful manner, that anybody would know you had to do it upstream if 17:30 you're going to do it in a single pass? 7 MR. PINKERTON: I'm going to object to the characterization of the testimony, Bill. It's --9 MR. HARRIS: I asked if I understood him 17:31 to say -- all he has to say is, "No." 10 (BY MR. HARRIS) If your answer's "no," then 0. we'll go back later and see what you said the first time. Α. No. 17:31 So then it's your testimony -- let me Okay. try this: You probably know what I'm mixed up about, then, if you think I'm mixed up. 18 I understood you to say if you are going to try to do an overprint of any kind with a single-pass 19 20 line that the flexographic station will have to be 17:31 21 upstream. That's evident. 22 Α. 23 Q. So that anybody would know it if that's what you were trying to do, right? 24 25 Α. That's evident, yes. 17:

Q. Okay. About rack-back, it has other names,	17:32
does it not?	
A. Yes.	
Q. Pull-back or jerk-back or whatever. Anyway,	:
the idea is just to get it out of the way, huh?	17:32
	į
	17:32
,	
	,
	17:33
last unit, were they?	17.33
A. Patents?	
Q. No. Motion patterns of the coater were not as	i
important or significant when the coater had been	
mounted on the last unit?	·
A. They weren't as critical, correct.	17:33
Q. And did Williamson know that the standard	,
rack-back you you testified what you thought	
Williamson had said and done regarding some ideas,	
right?	
A. Correct.	17:33
	A. Yes.  Q. Pull-back or jerk-back or whatever. Anyway, the idea is just to get it out of the way, huh?  A. Correct.  Q. But most of the rack-backs didn't get out of the way by putting them up, most of them just came back or to a side, perhaps; is that true? I understand some went up.  A. I would say that more went up than than went to the side or just backed off.  Q. And those that went up motion patterns weren't as critical when you were putting flexo on the last unit, were they?  A. Patents?  Q. No. Motion patterns of the coater were not as important or significant when the coater had been mounted on the last unit?  A. They weren't as critical, correct.  Q. And did Williamson know that the standard rack-back you you testified what you thought Williamson had said and done regarding some ideas, right?

				]	
1		Q.	And that pursuant to that testimony, you	17:	
2	talked about rack-back with anilax [sic] anilox				
3	rolle	ers?			
4		A.	Uh-huh.		
5		Q.	Were they aware of the fact that you couldn't	17:33	
6	take	the	standard off the shelf, rack-back, and make it		
. 7	work	inte	rstation?		
8		A.	I don't believe that statement to be true.		
9		Q.	You can make it work, then, I take it?		
10		Α.	Yes, absolutely.	17:34	
1		Q.	Why did we go to all the trouble with Ferris		
12 =12	wheel	mov	ements and nonsense like that?		
≨[ 1⊴1 3		Α.	Beats me.		
⊡ (714	) 	Q.	Well, if I suggest		
		Α.	It was it was felt that it was a better	17:34	
』 ⊫16	metho	od.	•		
_ 		Q. '	If I suggested to you it had to do with		
18	safet	y, i	t had to do with obstructions, it had to do		
19	with	thin	gs of that nature if you used some other		
20	metho	ds,	would you agree that's possible?	17:34	
21		Α.	I would agree that it's possible, but I don't		
22	think	tha	t was the case.	ı	
23		Q.	Why didn't they do their own rack-back in		
24	devel	opme:	nt?		
25		Α.	Who?	17:34	

		1
. 1	Q. Williamson. They had all this big idea, why	17:34
2	did they want to share it with you?	i
· · 3	A. Because they're a printer, and we're an	
4	equipment manufacturer.	
5	Q. They don't invent things?	
6	A. Yes, they do. Of course.	
. 7	Q. Well, you said they're	
8	A. But I invented things, but I'm not an	
9	engineer.	
10	Q a printer. Do they invent printing things,	17:34
<u>-</u> 11	is that it?	
112.	A. Excuse me?	
1 3 1 3	Q. They invent printing things, but not	
1.14	mechanical things?	
<u></u> 5	A. Correct.	17:35
<u>1</u> 6	Q. So if you get over to the area of the	
7	mechanics, you don't expect to see much from	
18	Williamson	
19	A. Correct.	
20	Q since they're principally a printer. But	17:35
21	if you start talking about inks or something like that,	
22	that might be a different story; is that true?	
23	A. Processes, etcetera, yes.	
24	Q. Yeah. Separations and so on?	
25	A. Correct.	17:35
	· ·	

1	Q.	So it was dead clear that they weren't going	<sub>-</sub> 17·
2	to develor	o their own, they were going to get it	
.3	somewhere	, their own rack-back or whatever it was?	
4	Α.	They were going to purchase it from somewhere,	
<sub>, .</sub> 5	yes.		17:35
6	Q.	And you thought at the time that just ordinary	
7	rack-back	would work; is that right?	
8	Α.	I thought that a rack-back flexo coater would	,
9	work, yes		1
10	Q.	But it wouldn't interstation very well, huh?	17:36
្នាំ 1	Α.	I disagree with that statement.	
<b>#</b> 2	Q	Why did you go forward with it?	
·····································	Α.	We went forward with the motion that was	,
14	chosen, i	n my opinion, because there was thought to be	
5	prior art	in another method that I would have thought	17:36
<u> </u>	was actual	lly a better method.	# .
	Q.	Well, tell us	
18	A.	That I personally believe was a better method.	
19	Q.	Tell us about this prior art.	
20	Α.	Which was a vertical motion and would be	17:36
21	allied to	that print station. And you would drive up	
22	and down	on that print station and over the top onto	
23	that prin	t station.	
24	Q.	And then up?	
25	Α.	Up and then out of the way, but that would be	17:.

1	more costly, and there was prior art.	17:36		
2	Q. And that was an ordinary rack-back. You had			
3	one in the shop, right?			
. 4	A. Not no, no. I'm talking vertical. I			
·. 5	didn't say at an angle vertical. This was truly	17:36		
6	vertical, a 90 degree angle to the			
7	Q. Do you call that a rack-back?	,		
8	A. Of course. And you and you as you've			
9	described yourself, as long as it moves away from the			
<b>1</b> 0	press, whatever the motion is, it's a rack-back. You	17:37		
1 1	said that.			
∷∄ 2	Q. I've said a lot of things that were mistaken.			
· j ·	But it's been a, usually, good faith mistake.			
.14	A. I'm sure that's true.			
<u></u>	Q. When was it that you understood that Baker	17:37		
-16	met, I guess it was, Bill Davis and I don't			
<u>[</u> 7	remember and Jesse in Atlanta?			
18	A. In Atlanta? July.			
19	Q. When in July?			
20	A. I'd have to go back to my testimony,	17:38		
21	unfortunately.			
22	Q. How did you research that?			
23	A. How did I research that?			
24	Q. Yes, sir. How did you determine it? Now			
25	you're going to a secondary reference.	17:38		

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Yes, that's -- that's a good point.
           Α.
                                                                    17:
   2
           Q.
                What's your primary reference?
                That's -- that's a good point. I'd have to
   3
           Α.
     think about that. (Witness reviews documents.)
   5
                     It was -- it was July '94 that it
                                                                    17:38
   6
     occurred.
  .7
          Q.
                Are you looking at paragraph 10?
  8
          A.
                I'm looking at paragraph 10.
 . 9
                What?
          Q.
 10
          Α.
                I'm looking at paragraph 10, yes.
                                                                   17:39
iii 11
                And what does it say about the day? What does
          Q.
     this secondary reference say about the day?
1.3
                It says that "after conversations with Steve
I
514
     Baker."
              And he --
15
                                                                   17:39
          Q.
               What does it say about the date, sir?
L.
16
          Α.
               It says, "upon his return in July 1994."
二17
               Does it say anything else about it?
          Q.
 18
          Α.
               "From Atlanta, Georgia."
 19
               Uh-huh. Does it say anything else about it?
          Q.
 20
               "Of a meeting between Steve Baker, Jesse
                                                                   17:39
     Williamson, and Bill Davis."
 22
               Maybe I better look at it. See if I can find
          Q.
 23
              Here's one. (Counsel reviews documents.)
     a copy.
 24
                     (Sotto voce discussion.)
 25
          Q.
                                 Well, thank you for what
               (BY MR. HARRIS)
                                                                   17:4
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		ł
, 1	you've told me, an interpretation of what you have in	17:41
2	your Declaration.	,
3	Can you tell me where you got the	
4	information?	
5	A. Well, it may well be in my calendar,	17:41
6	Day-Timer. It may be. And I don't okay, I	
7	understand. It it may be through recollection, but I	
8	know the	
9	Q. You mean past recollection now gone?	
10	A. Yes. I'd have to say so.	17:42
<u>1</u> 11	Q. It wasn't very long past, was it? What, a few	
	months?	
1 3	A. True.	
14	Q. Okay. Did you talk to Baker about it?	
÷ 115 ≟	A. No.	17:42
16	Q. Would you accept the date that Baker gave if	
<del>-</del> 17	it was somewhere close along in there?	
18	A. Oh, sure.	
19	Q. So this date is the best you can remember a	-
20	date, and you don't have a document to go to to	17:42
21	establish the date; is that the case?	
22	A. That's the case. But it can be I'm sure it	
23	can be established.	
24	Q. Maybe an expense record could be located or	
25	something like that?	17:42

1	A. Yeah, something like that would be able to be	17:
2	found, I'm sure.	
3	Actually, probably Williamson Printing	
4	could confirm what date that was, too.	
5	Q. Do what?	17:43
. 6	A. Probably Williamson Printing could confirm the	
7,	date that was, also.	
8	Q. Yeah, I imagine they could.	
9	MR. JESSE WILLIAMSON: They can.	
10	Q. (BY MR. HARRIS) We kind of like not that	17:43
Ū11 -≟	we question their honesty, but we kind of like to have	
≟12 ⊹∂	an independent check if we can get one. If we can't get	
<u>[</u> 1 3	one, well, then it's a good number, I guess.	
<u>1</u> 14	So I guess the question is if you're	
	thinking about looking it up for us, when you were in	17:43
<u>1</u> 6	Atlanta in the summer of '94.	-,
7	MR. JERRY WILLIAMSON: I guess Printing	
18	Research has probably got the record, too. They sent a	
	man over there. They've got an expense record.	
20	MR. HARRIS: I'm talking the this man	17:44
21	down here now, though. And we might wind up handling it	
22	a different way through your records or the records we	
23	can find. I don't go from memories.	
24	Q. (BY MR. HARRIS) I trust that Printing	
25	Research nor Williamson has supplied you with anything	17:4

17:44 to determine that date? 1 You are absolutely correct. 2 And since --3 To what extent did you have help -- and I'm 4 17:44 just talking about editorial help to begin with here -did you have help in the writing of the affidavit you have? Did you have help? No. None at all, not even editorial help? 9 Q. <u></u>10 17:45 It depends on what you mean by "editorial help." I don't suppose I understand, really, what you're saying with "editorial help." Well, did Bobby Falk type it all up for you 0. I mean, really, what -- how did -when it was over? 17:45 how did it get done? <sup>1</sup>-416 I was interviewed, and I was asked if this is what I was -- was this a true representation of what I said. 18 And you were asked that, what, with the draft 19 Q. 17:45 back in Connecticut? 20 A. I was asked that on the day, and then that 21 was -- I was asked that on the day. And then -- and then that was faxed to me in Connecticut. And I 23 subsequently made changes where I thought they were 24 17:45 necessary and/or corrections, etcetera, and --25

		1
1	Q. Do you have any of the drafts?	17
2	A. No. No.	
3	Q. I'm not going to take the time on it now. We	,
4	have one draft here, but it's very, very nitpickish, the	
5	changes. And we might yet find one where the changes	17:46
6	are enormous, but that's	
7	A. I don't think so.	,
8	Q what I'm looking for.	
9	A. I don't think so.	
10	Q. So it's a fact that the lawyers did some of	17:46
<u>.</u> 11	language picking and whatnot, but you felt that it	
₩ ₩ 12	reflected what you had told them, and that's the reason	
<u>1</u> 13	you were satisfied?	
1.1		:
1522	A. Absolutely.	
<b>1</b> 5	Q. Uh-huh. This business arrangement there was	17:46
<u>.</u> . 16	testimony about, did it come through a firm a firm	
<u> </u>	contract, or was it negotiations?	
. 18	A. Would you mind repeating that? I didn't	
19	understand your question.	
20	Q. You testified some about a business	17:47
21	relation	
22	A. With?	•
23	Q between the companies in maybe February	
24	A. Between?	
25	Q of '95. And the companies, I only really	17:

17:47 know two involved, but one of them is Williamson Company the other one is Printing Research. 3 Α. Okay. My question: Was it, as you understood it, a 4 Q. 17:47 firm agreement, or was it proposals? 5 It was proposals. 6 Α. 7 And there never was really an agreements as such, them? 8 No, there was never a firm agreement, no. 9 17:47 10 10 And I think it's a detail, would you be <u>1</u>11 surprised if the first of the three interstage [sic] coaters drew zero dollars? I know you spoke of a coater ·413 that was placed, a short coater? 14 1. Short-armed coater. À. 17:48 **U**15 Yeah. You spoke of it, and you said it was a freebie, and it may have been. I'm moving away from it 16 and on to the other three you testified about, which, <u>-17</u> were supposed to be interstage [sic] coaters. And I just simply ask you if you'd be 19 17:48 surprised or if it refreshes your recollection to know 20' that the first of those three was a freebie? 21. I wouldn't be surprised, but --22 Α. 23 Q. Okay. -- I don't recall. But I wouldn't be 24 surprised. There had been an awful lot of problems in 25

1	it.	17
2	Q. What?	,
3	A. There had been an awful lot of problems at	
4	Williamson Printing.	
5	Q. Did you know that Williamson was or do you	17:4
6	recall, because your own correspondence do you recall	
7	that Williamson was seeking to negotiate for an	
8 '	exclusive of PRI's contribution, an exclusive for, first	
9	it asked, I think for, 18 months, and then there was	
-1 0	some jockeying around on how much it might be, and then	17:49
11	negotiation?	: • [
: :12	MR. PINKERTON: Objection	
. 3	A. We	• •
14	MR. PINKERTON: to the form of the	٠.
5	question. Leading and assuming a bunch of facts not in	 17:49
6	evidence. Go ahead.	
17	A. I was aware that we, Printing Research, was	,
18	looking for an agreement of that nature, yes.	
19	Q. (BY MR. HARRIS) That who was?	
20	A. That we, Printing Research, was looking for an	17:49
21	agreement of that nature.	
22	Q. Would you be surprised if it were one that	
23	Williamson were proposing at 18 months or	
24	A. I was a	
25	Q exclusive?	17:5

			7
1	Α.	party to all those negotiations, so	17:50
2	Q.	You what?	
3.	Α.	I was party to all those negotiations	
4	Q.	Yeah.	
5	Α.	with Jerry Williamson	17:50
6	Q.	Uh-huh.	
7	Α.	and Jesse Williamson, so of course I was	
. 8	aware.		
9.	. Q.	So what?	
£[10	Α.	Of course I was aware.	17:50
計1 日 日 日 2 月 2	Q.	(Indicating.)	Ì
: - : <u>1</u> 12		MR. PINKERTON: Of course he was aware.	
写 3 写 . ,14	Α.	Of course I was aware.	<u> </u>
,14	Q.	(BY MR. HARRIS) Okay. You were aware that	
5 5	Williamso	n was asking for 18 months exclusive?	17:50
16	Α.	Yes.	
[]7.	Q.	Would you tell me why Williamson would need an	
1 8	exclusive	?	
19	Α.	Because they considered the product and the	
20	and the -	- the product to be a proprietary product, I	17:50
21	suppose.		
22	, Q.	For who?	
23	^ A.	For Williamson at that time.	
24	Q.	For Williamson	
25	Α.	Uh-huh.	17:51

1	Q why do they need a proprietary to	17:
2	protect their own proprietary right, why do they need an	
3	exclusive on anything?	
4	A. Because they had they had a march on the	
<u>.</u> 5	marketplace, and and they were giving the time and	17:5
6	the and the money necessary to to involve	
7	theirselves [sic] in such an enterprise.	
. 8	Q. If it was let's put it this way	
9	A. How gain	
.=., 10 	Q if it was their invention, why in the world	17:51
1.1	would they need anybody else to give them an exclusive	· · · · · · · · · · · · · · · · · · ·
12	on anything?	1.31
13	MR. PINKERTON: Object to form.	; 
14	A. We were talking about a piece of equipment.	
15	We were not talking	17:51
16	Q. (BY MR. HARRIS) Well, you tell me what you're	
<u>−</u> 17	talking about, and I'd love to hear your answer.	
18	A. We were talking about a piece of equipment and	•
19 '	not about a process.	
20	Q. So you're telling me that it's because you	17:51,
21	interpret the dealings as being strictly around the	
22	equipment that was being supplied	
23	A. Correct.	
. 24	Q by Printing Research?	
. 25	How about the interstage [sic] heaters,	17:5

		7
. 1	were they involved, too?	17:52
2	A. Of course.	
. 3	Q. Were they involved in the dealings?	
4	MR. PINKERTON: Involved in what	
5	dealings? Object to the form of the question.	17:52
6	MR. HARRIS: The dealings where	
7	Williamson was going to get an 18-month or wanted an	
8	18-month exclusive.	
9	A. They had already purchased the the	
10	high-velocity hot air driers. And since it turned out	17:52
1 11	in the end that they really didn't work, I guess that's	
્રી 12.	almost a moot point, but but they were in, as I	
<u>.</u> 13	recall, part of that same exclusivity.	
14	Q. (BY MR. HARRIS) However it was regarded, you	
15 15	felt exclusivity went to basically went to hardware?	17:52
16 2	A. Absolutely.	
₩ 17	Q. And so therefore, the hardware, you assumed	
18	the rights to be in Printing Research. Is that true,	
19	sir?	
20	A. I regarded the hardware, the Ferris wheel	17:53
21	concept, as being a a Printing Research piece of	
22	piece of hardware, yes, correct.	
23	Q. All right. Do you have a recollection in the	
24	summer or the early fall, perhaps, but I believe the	
25	summer, of nineteen hundred and ninety-four taking down	17:53

1:	some gold sheet to Williamson?	17
2	A. Yes, I do.	
	Q. And do you recall them being pleased with the	
4.	product?	
5.	MR. PINKERTON: At what period of time	17:54
6	are you talking about?	
7	THE WITNESS: Summer of '94.	
8	MR. PINKERTON: Summer of '94.	
9	MR. HARRIS: Yeah.	
10	Q. (BY MR. HARRIS) Do you recall them being	17:54
11	pleased with the product?	
12	A. Yes, I do.	
13	(Sotto voce discussion.)	•
1.4	Q. (BY MR. HARRIS) I understand you knew they	
15 15	were going to file a patent application, "they" being	17:54
≒16. ⊒	the Williamsons?	•
<u> </u>	A. Yes.	
18	Q. We can find no record anywhere that that was .	
· 19	reported back. I heard your testimony	
20	A. Uh-huh.	17:55
2.1	Q and you said you told Steve Garner.	•
22	A. Yes.	
23	Q. You didn't say you told anybody else.	
24.	A. No, I didn't say that I told anybody else.	
25	Q. Now, who did you tell, if anyone, at	17:-
1	l	

	:	-
1	Williamson that we filed an application, "we" being	17:55
2	Printing Research?	
3	MR. PINKERTON: That's	
4	A. Nobody.	
5	MR. PINKERTON: objection to the form	17:55
6	of the question. Assumes something not in evidence, but	
7	go ahead.	
8	A. Nobody.	
9	Q. (BY MR. HARRIS) You never told them anything	i.
10	about it?	17:55
<u> </u>	A. No. But then, in my opinion, the two patent	
12	applications were totally divorce of each other.	
13	Q. Sir, I did not ask you that for your	
14	opinion on that. That's strictly volunteered. I asked	
15	you simply if you told them about the filing of the	17:55
16	application.	
—	A. And I volunteered an answer.	
18	Q. And you said, "No," right?	
19	A. I said, "No."	
20	Q. Okay. And you're sure?	17:56
21.	A. I'm sure.	
22	Q. Okay.	
23	A. As one can be.	
24	Q. As as I recall your your testimony,	
25	after February, there wasn't much. February of '95. I	17:56
	·	

17 may be remembering wrong. You just testified, and it seemed that way to me. Was that the end of most of the things 3 you noted or recall? 17:57 It was, I guess, the end of what was relevant to that which we're talking about and -- and discussions relative to this particular product. We ran into some serious, serious 8 problems with that product at Williamson's. As we did 17:57 with all our products at Williamson's. ~. 10. Sir, were there nothing -- no other things 11 that happened, other troubles with the product, after February of nineteen hundred and ninety-five? 14 Like I said, there was -- there were countless Α. 17:57 problems that we -- we were never able to make the coater work satisfactorily, certainly not to work in the <u>-17</u> manner in which it was designed to work. Is the coater -- I gather the coater's not 18 being used today; is that true? 19 17:58 I have no idea. 20 Α. The last time you saw it out at Williamson was 21 when you were with the company, with Printing Research? 23 Right. Α. 24 So it could be used today and maybe not, huh, 17:-25' you don't know?

1	A. It could be and it maybe not.	17:58
2	Q. What's the earliest that you knew that	
3	Rendleman was working on the creation of interstation	
4	coaters, the earliest that you knew that he was working	
5	on such an interstation coater of whatever form?	17:58
6	A. It would have to be late '94.	
7	Q. Would it surprise you if it was as early as	
8	the actual work was as early as early December?	
9	A. Early December '94?	
1 1 0	Q. Yeah.	17:59
11	A. No, it wouldn't surprise me.	
12	Q. Did you not review drawings at some time or	
13	another or see drawings some time or another that	
14	Rendleman was working on that really was on a path?	
<sup>][[</sup> 15	A. Was on a	17:59
16	Q. On a path toward the coater?	
= 17 <sub>.</sub>	A. Ron actually used to post them up on his up	
18	on his wall, so yeah, in his office.	
19	Q. You did see there were such drawings, didn't	
20	you?	18:00
21	A. Oh, yes.	
22	Q. So to the and when there were such	
23	drawings, if you will think, at least in December, did	
24	you not notice that they were made around the two	
25	stations as interstations showing the coater in between?	18:00
i		

	_
A. No. Because I don't think that they were at	18
that stage.	
Q. Then you would be surprised if they were,	
right?	
A. I would be very surprised if they were.	18:00
Q. And it would indicate that you can be wrong,	
wouldn't it?	
A. Oh, absolutely can be wrong.	,
Q. Okay. Do you know anything about a	
nondisclosure agreement regarding the interstation	18:01
coater that Williamson signed?	
MR. PINKERTON: Once again, objection.	
Assumes something totally not in evidence.	
MR. HARRIS: It's a question.	, <i>*</i>
MR. PINKERTON: Well, you	18:01
MR. HARRIS: It doesn't say he did or he	,
didn't	,
MR. PINKERTON: It assumes	•
MR. HARRIS: does he know about it?	
MR. PINKERTON: It assumes that there's	18:01
some signed writing and then you're asking him about a	•
signed writing.	
MR. HARRIS: Well, you're just wrong.	
MR. PINKERTON: I am?	
MR. HARRIS: Yes.	
	that stage.  Q. Then you would be surprised if they were, right?  A. I would be very surprised if they were. Q. And it would indicate that you can be wrong, wouldn't it?  A. Oh, absolutely can be wrong. Q. Okay. Do you know anything about a nondisclosure agreement regarding the interstation coater that Williamson signed?  MR. PINKERTON: Once again, objection.  Assumes something totally not in evidence.  MR. HARRIS: It's a question.  MR. PINKERTON: Well, you  MR. HARRIS: It doesn't say he did or he didn't  MR. PINKERTON: It assumes  MR. HARRIS: does he know about it?  MR. PINKERTON: It assumes that there's some signed writing and then you're asking him about a signed writing.  MR. HARRIS: Well, you're just wrong.  MR. PINKERTON: I am?

```
1
                     MR. PINKERTON: Okay. I'm right as far
                                                                    18:01
   2
     as I'm concerned.
   3
                     MR. HARRIS:
                                   Well, you go ahead and make
   4
     your --
   5
                     MR. PINKERTON: I just did --
                                                                   18:01
   6
                     MR. HARRIS: -- objection.
   7
                     MR. PINKERTON: -- objected to the
     question. That's totally improper. That's like, you
     know, "When did you stop beating your wife?"
10
                     MR. HARRIS: It's not at all like that.
                                                                   18:01
- 10
- 11
                     MR. PINKERTON: It isn't?
i et
√112
                     MR. HARRIS: Surely, Mr. --
- - <u>f</u>
₫13
                     MR. PINKERTON: It isn't?
1
MR. HARRIS: -- yeah. It's not at all
515
     like that.
                                                                   18:01
₹16
                    MR. PINKERTON:
                                     I disagree.
<u>[--17</u>
                    MR. HARRIS: That's called --
 18
                    MR. PINKERTON: I disagree.
 19
                    MR. HARRIS: -- a misleading -- that's
 20
    called a misleading question.
                                                                  18:02
 21
                    MR. PINKERTON: We disagree. I think you
    were probably into that, but why don't you move on?
 23
                    MR. HARRIS: I'm not going to move on. I
 24
    want an answer.
 25
                    MR. PINKERTON:
                                     That's fine, you know.
                                                                  18:02
```

			7
1	I've obje	cted to the question.	18
2		MR. HARRIS: Okay.	
3	Α.	Would you ask it again?	
4	Q.	(BY MR. HARRIS) I don't know.	
5		Are you aware of any agreement, signed or	18:02
6	unsigned,	involving nondisclosure of the interstation	
. 7	coater as	between Williamson and Printing Research?	
, 8	Α.	No, I am not aware.	
9	Q.	Was there talk about such an agreement?	
10	A.	I can't recall.	18:03
元 红11 红	Q.	Was Terry a Terry Britton?	
111 2	Α.	Was Terry Britton what?	
	Q.	You said Terry was present at something or	
[] 14	another.	Terry Britton, isn't it?	ı
, 14 []] []]15	Α.	There is a Terry Britton, yes.	18:03
	Q.	Isn't that the Terry you talked about earlier?	·
=17 <sub>.</sub>	Α.	Yes. He's the press he was the press	
18	operator.		
19	Q	And he was operating the test at the	
20	Williamso	n Printing that took place toward the end of	18:03
21	the year	and not anyone from Williamson, was he not?	
22	Α.	Oh, absolutely.	
23	Q.	I'm talking about	
24	Α.	Absolutely, yes.	
25	Q.	Yeah. He	18:\

		l
1	A. He ran he ran the press on every test, yes.	18:03
2	Q. Well, now, I'm talking I'm talking about	
·3	the they called it a test or whatever that was run at	
4	Williamson and that was run toward the end of 1995.	
5	And do you know	18:04
6	MR. PINKERTON: I don't think he knows	
7	anything about it.	
8	Q. (BY MR. HARRIS) the test I'm talking	
9	about?	
10	A. Oh, I actually, I think I do	18:04
.i <u>.</u> 1 1	Q. I know I know something	
1 2	A yes, I do	
1 3	Q different and all	
14	A oh, yes, I do. Yes, I do. Yes, I do. If	. ,
15	you're referring to the calendar, which I think may have	18:04
16	been '95, then, yes, I do.	
1 7	Q. "Yes," you do what?	
18	A. Recall that Terry Britton was at that test.	
1,9	Q. And Ron Rendleman was at the test?	,
20	A. I think Ron was pretty well present at any	18:04
21	test, at everything that ever ran at Williamson to try	٠
22	and make the coater work.	•
23	Q. And Ron by the direction of Ron, it was run	
24	by Terry. And the "it" I'm talking about is the	
25	interstation coater.	18:04

		7
1	Do you have any quarrel with that?	18:
2	A. No.	
3	Q. Is that true?	
· 4	A. I can't swear to the dates, but, yes, I	.
<sub>.</sub> 5	believe that happened.	18:05
·. 6	Q. And I believe you testified you're aware the	
7	Williamson 976 is prepress and has nothing to do with	
٠8	flex [sic] litho in-line?	
9	MR. PINKERTON: The "976" being can	
10	you identify the exhibit, please?	18:05
1 1	MR. HARRIS: You like to call it WIMS.	
12	MR. PINKERTON: Okay the WIMS. He's	
-13	asking you about the WIMS.	
☐ 4	THE WITNESS: WIMS 1?	·.
	MR. HARRIS: I can find the number here,	18:05
<b>4</b> 6	if you like	
7	MR. PINKERTON: That's	
18	THE WITNESS: That's okay.	
19	MR. HARRIS: it just takes	
20	MR. PINKERTON: I think he's clarified	18:05
21	on it now.	
22	MR. HARRIS: time.	
23	MR. PINKERTON: He's clarified on it now.	•
24	MR. HARRIS: All right.	
25	A. Would you ask the question again, though?	18:0

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(BY MR. HARRIS)
          Q.
                                 Huh?
  2
               Just because I can't remember the question.
  3
     I've got a real short memory.
          0.
               Sure. It gets pretty long sometimes.
  5
                     THE WITNESS: You know, they say short
                                                                   18:05
     memory goes real quick when you get my age but the long
     stays.
  8
                    MR. HARRIS:
                                  I don't know.
                                                  I'm such a
    young fellow.
10
2
                    MR. PINKERTON: Tami, would you read that
11
    question back or --
盾12
                    THE REPORTER: Yes, sir.
(Record read by reporter.)
T
÷14
          Α.
               I don't strictly agree with that, but -- but
算5 | it certainly doesn't include flexo/litho.
                                                                  18:06
`-J 6
               (BY MR. HARRIS) What?
          Q.
<u>117</u>
               I would agree that it doesn't include
          Α.
 18
     flexo/litho but --
 19
               Well, it is altogether prepress, isn't it?
                                                                  18:06
 20
               It's very much prepress, but there's also the
    actual process, which is to apply a metallic in-line as
 21
 22
    part of that.
 23
               There's not a word in the patent that suggests
 24
    anything other than prepress, though, is there?
 25
               It's the --
                                                                  18:07
         Α.
```

		_
1	Q. I'm not talking about common sense here.	18:
2	I'm	
3	A. Well, I think	
4	Q talking about what's in the patent.	·
5	A. I think it talks about the litho process, yes.	18:07
6	Q. I read it.	
7	MR. PINKERTON: Are you testifying,	i
8	Counsel, or do you want him to testify?	
9	MR. HARRIS: Well, I just thought the	
10	witness ought to know he's making a mistake.	18:07
- - 11	THE WITNESS: Well, if I am	
4 1 2	MR. PINKERTON: It's obviously he that	) · ·
<b>]</b> 3	you don't agree with him, but this is his testimony and	,.
14	not yours.	
<u> </u>	THE WITNESS: If I am, I apologize, but I	18:07
ا 16 أ	thought I read litho in there.	
17 17	Q. (BY MR. HARRIS) Go back and read it again.	
18	A. I should read it again.	
19	MR. PINKERTON: What he sees as someone	
.20	with knowledge in the art might be totally different	18:07
21	than you.	
22	MR. HARRIS: "Someone with knowledge in	
23	the art"? Oh, someone in the knowledge in the art would	
24	know to do something with it. That's not the question.	
25	Does four corners have it? That's the question.	18:6
1		

1	Q. (BY MR. HARRIS) Is it true that flexo/litho	18:08
2 '	two-patent [sic] printing has been performed by printers	
3	for years?	
4	A. Sorry. Say that again.	
. 5	Q. Is it true that flexo/litho two-pass printing	18:08
6	has been performed by printers for years?	
7	MR. PINKERTON: Objection	
8	A. No, it's not true.	
9	MR. PINKERTON: to form.	
110	A. To my knowledge, it's not true.	18:08
-=- -=-11	Q. (BY MR. HARRIS) How how far back do you	
12	think it goes?	, 1
3	A. Well, I've been in the business 40 years, as	
¥14	we've said. I don't	
1 1 5 1 5 1 5 1 5 1 5 1 5 1 5 1 5 1 5 1	Q. That's two-pass we're talking about.	18:08
`≈16	A. Yeah, but I I'd like to know where these	•
□ 147	flexo/litho applications are that you're referring to,	,
18	two-pass or otherwise.	
19	Q. Oh, okay. Well, that's interesting to me. I	
20	thought you said	18:08
21	A. Very interesting to me.	
22	Q. Huh? I thought	
23	A. Very interesting to me.	
24	Q that you said that was old stuff?	•
25	A. I don't recall saying that it was "old stuff."	18:09
	- 1	

!		
1	Q. Again, by two-pass, we're talking about, for	18.
2	example, placing it on the last station or using a	
3	dedicated station.	
. 4	A. Yes.	
5	Q. And then we're talking about taking that	18:09
6	product and running it through somewhere to overprint.	,
. 7	A. No, that's not been done for years, no.	
8	Q. That's what?	
9	A. That's not been done for years to my	
<u></u> 10	knowledge, no.	18:09
· <u></u>	Q. Then it you had never heard of it before	
,≟ ,	when? Before Rexham or about that time or what?	.,
型 13 型 14	A. Rexham, as I've explained, were not using it	
<sub>4</sub> 14	to overprint.	
<u>-</u> 15	Q. Well, that's what you've explained, yes.	18:09
	A. And that's what I've explained, and we beg to	
17	differ.	
18	Q. Yes, we do. I	
19	A. And I'm sure your better friendship and	,
20	knowledge of John Lapomarde would prove that to be the	18:10
21	case in your case.	,
22	But in truth, that was never practiced by	
23	them, that I am aware of, and was never explained to me	
24	that it would be practiced by them.	
25	Q. I'd like to spend a little bit of time now on	18:

		٦
1	some of the things that have appeared in your	18:11
2	Declaration. There was a little testimony about it.	
3	Sir, you have testified you believe	
4	that well, you haven't testified, I guess, really.	
5	It's a Declaration.	18:12
6	So you have sworn, then, you believe that	
7	"Bill Davis and Jesse Williamson are the first true and	
8	correct inventors of the claimed invention of the 363	
9	Patent as well as on the subject matter of the reissued	
10	claims."	18:12
111	How long did you spend on those reissue	
12	claims?	
13	A. Scanned them.	
14	Q. What? Scanned?	
15	A. Scanned them, yes.	18:12
16	Q. Scanned them. Did you tell me that you didn't	
-17	consider yourself an expert on claims?	
18	A. That's correct.	
19	Q. Can you interpret claims?	
20	A. Not as well as you, I'm sure.	18:13
21	Q. Well, if I give you one now, would you try to	
22	interpret it?	
23	A. I'd do my best for you.	
24	Q. You'd try?	
2.5	A. I'd try for you.	18:13

	· ·	
1	Q. Well, I may I may come back in a minute	18:
2	with one. Let's see where we go.	
3	So you think you have a hand on all of	
4	the reissue claims and all of the claims in the 363	
5	Patent; is that right?	18:13
6	A. I think I've got a fairly good idea, yes.	
7	Q. Would you agree with me that the claims in the	
8	363 Patent that's apart from the reissue are such that	
.9	they read on only the concept, broadly stated, of flexo	
· 10	followed by litho in a one-line one-pass operation?	18:13
<u>.</u> 11	Now, let me put it another way. There's	
<u>1</u> 12	not a one of those claims represented to you that has	
13	anything to do whatsoever with the mechanics. You could	
<u>1</u> 714	have dedicated stations.	
: =15	Do you agree with me that every one of	18:14
<u>1</u> 16	those claims could be such that it has a dedicated flexo	, , ,
17	station?	
1,8	A. I think I would agree with that.	
1 9	Q. And	
20	A. Remember, now, I'm not an expert.	18:14
21	Q. Tell me and so tell me whether that's true	
22	of the reissue claims or not.	<b>'</b> ,
23	A. I I can't tell you without looking at them.	
24	MR. HARRIS: Got the reissue claims with	
25	you, Mr. Falk?	18: ,
į,		

1	MR. PINKERTON: That that'll speak for	18:14
2	themselves, Bill. I don't think you need them out and	
3	have him look at them	
4	MR. HARRIS: No. He's he's	
5	been taking affidavits about who's an inventor. Can you	18:15
6	invent claims?	
7	MR. PINKERTON: Well, yeah. You're	
8	asking about something other than invention.	
9	MR. HARRIS: No.	
10	MR. PINKERTON: Yeah, you are. You're	18:15
 11 fi	asking what it reads on. You didn't ask him who	
√12 -	invented it. You asked him an infringement question.	
13	MR. HARRIS: You have to know what it is	
<u>.</u> 14	to begin with, okay?	
15 .:	MR. PINKERTON: Yeah. But not	
.i ≝16	MR. HARRIS: So we start off with that,	
17	and then then I'll know what to ask him.	
18	MR. PINKERTON: Well, you're asking him	
19	scope, claim interpretation, totally different question	
. 20	than who invented it.	18:15
21	MR. FALK: You're asking me, Bill, if I	
22	have a copy of the reissue claims that were one of the	•
23	exhibits to his Declaration, and it so happens I don't.	
24	They were Bates numbered, and you have had the same	
25	access that I have.	18:15

```
18:
                                  Yeah.
                                         There's no question
                    MR. HARRIS:
  2
    about that.
  3
                    MR. FALK:
                                I'm just having --
                    MR. HARRIS: No.
                                       I would --
  4
  5
                    MR. FALK:
                              -- I have a notebook on --
  6
                    MR. HARRIS: '-- say the same.
  7
    keep mine about ten feet away more from the desk than
  8
    you do.
  9
                    MR. FALK: Are they on the floor still in
10
21
11
11
                                                                  18:15
    the box?
                    MR. HARRIS: Certainly. I'm not going to
    tell you whether I've opened that box or not.
13
                    MR. FALK: I know you won't, but it so
    happens I don't have those claims, not with me right
15
                                                                 18:16
    here.
16
                    MR. HARRIS: Well, we're not going to be
17
    able to -- maybe tell me if this happens to be the --
 18
                    (Documents handed to counsel.)
 19
                    MR. FALK: If it's Bates numbered and it
    has -- looks like reissue claims -- this looks like this
                                                                 18:16
    could be the exhibit to Mr. Bird's Declaration.
 21
 22
                    And if Mr. Wilson says they are, I'll
 23
    accept that.
 24
                    MR. HARRIS: Okay.
 25
               (BY MR. HARRIS) Well, did you read these?
                                                                 18: 1
        ' Q.
```

1	You said glanced or something a minute ago?	18:16
2	A. Yes, I	
3	(Documents handed to witness.)	
4.	Q. I want you to focus on them a little more.	
5.	A. Okay.	18:16
6	Q. You see, my theory is one has to know what it	
7	is you're talking about before you know who invented it.	
8	A. Yeah.	
9	Q. Honestly, it's your theory, too.	
10	A. (Witness reviews documents.)	18:17
 11 }	(Sotto voce discussion.)	
-112 -112	A. What was your question?	•
1 3	Q. (BY MR. HARRIS) Do they look familiar to you?	. 1
<u>.</u> 14	A. Yes.	
=15	Q. All right. I wanted to know if any of them	18:19
16	involved any kind of interstation apparatus, and I'll	
17	break it down to two questions.	
18	The other question is: wouldn't each of	
19	them read on a printing line that had a dedicated	
20	station up front, a dedicated flexo station up front?	18:20
21	A. "Up front," do you mean upstream?	
22	Q. Yeah, upstream is what you would say. I'm	
23	I'm a layman.	
24	A. Just like me. Boy, we should get on well,	
25	shouldn't we.	18:20

1	There should be a an up-front station,	18
2	whether it be dedicated or not is debatable.	
3	Q. Did the claims have do all of the claims	
4	read on no more I'm putting it wrong.	
5	Would an up-front station satisfy all of	18:20
, 6	the claims, a dedicated up-front station?	
7	MR. PINKERTON: Dedicated upstream.	
, - 8	Q. (BY MR. HARRIS) Dedicated up-front	,
, 9.	flexographic station?	,
10	A. I think an up-front flexographic station would	18:21
្រី រៀវិ	meet most of the claims, yes.	
12 12	Q. And as far as the claim is concerned in this	er
13 13	invention, it doesn't matter whether there is any kind	
₹14	of retractable or removable or other device to convert a	
<u>1</u> 15	station; is that true?	8:21
‡=16	A. That is true, but I did say a flexo station up	, 7
<u>1</u> 17	front.	ţ
18	Q. A flexo station.	
19	A. Yeah. Okay. Yeah.	
20.	Q. To convert to a flexo station 1	8:21
. 21	A. Yeah.	
2,2	Q that's it. Once it's converted, you have a	3
23	flexo station, so to speak, for the time being	
24	A. Yes.	•
25 	Q right?	8:2

		1
1	A. But that could be achieved several	18:21
2	Q. But	
3	A ways.	
4	Q but I trust that there's nothing in there	
5	anywhere to tell you about that step of converting?	18:22
6	That's another way to put it. Did you find anything?	
7	A. I in my cursory look, no.	
8	Q. Would you need to take a bigger look?	,
9 1000 1000 1000 1000	A. Well, it depends how much time you think we	
Ē1 0	have.	18:22
11	Q. I've got an appointment day after tomorrow.	
i≅1 2	A. I didn't see anything with my cursory glance,	·
<del>1</del> 13	no.	
14 	Q. I'm trying to make a deal with you. I	
-15	certainly will accept that as a as a good answer, but	18:22
16	if you find a different answer that you will let us know	
17	promptly and make yourself available for	
1 8	cross-examination on the point?	
19	A. For sure.	
20	Q. Okay. Then we'll go on.	18:23
21	MR. PINKERTON: Yeah. Let's let's go	.:
22	off the record a second, Bill.	
23	THE VIDEOGRAPHER: Time is 6:23 p.m.	
24	We're off the record.	
25	(Recess from 6:23 p.m. to 7:06 p.m.)	19:06

		1
1	Q. (BY MR. HARRIS) Oh, yes, we've been	
2	THE VIDEOGRAPHER: The time is 7:06 p.m.	,
3	We're on the record.	
4	Q. (BY MR. HARRIS) talking about the first	
5	true and correct inventors. We've been through part of	19:06
6	that, and I say that merely to bridge over it.	
7	MR. HARRIS: Have you got us on the	
8	record yet?	
. 9	THE VIDEOGRAPHER: Yes.	
10	MR. HARRIS: All right.	19:06
11	Q. (BY MR. HARRIS) My question now is, is on	
12	what basis do you use to judge inventorship when you say	
13	they're "the first true and correct inventors"?	
14	A. Williamson Printing?	
15	Q. Huh?	19:06
16	A. Williamson Printing. Based on the fact that	•
17	they were the first people that I'd ever heard come up	
18	with such an idea, such an innovative idea, in my	
19	opinion, as to the use of metallics in-line in the WIMS	
20	process and then the improvement process, integrating	19:07
21	the the flexo process within that also.	
22	Q. Did	
23	A. And since I had heard nobody in my 40 years of	
24	experience ever talk of such methods and applications,	
25	it suggested to me	19:6

1	Q. Did you say "methods"? "Method"?	19:07
2	A. Methods.	
3	Q. (Indicating.)	
4	A. Methods.	
· 5	Q. What methods?	19:07
6	A. Well, the the okay, procedure, process.	
7	I'd never heard anybody talk of such a a procedure	
8	and/or describe such a procedure anywhere else in the	١.
9	industry.	
	So it seemed to me that with the little	19:07
÷ ÷11	experience I have of of patents that they had a	
£12	novel, unique invention. And it was it certainly	
13	appears to have been corroborated through the Patent	
14°	Office.	
15	Q. I'm correct, am I not, sir, you don't purport	19:08
16	to know the standard that's required for there to be an	
17	invention of such nature as to be patentable? Do you	
18	know the standard?	
19	A. I I believe I do, loosely, yes.	
20	Q. And did you take that standard in	19:08
21	consideration?	
22	A. Yeah.	
23	Q. And what is the standard?	
24	A. Well, I believe the standard is that it has to	
25	be unique and/or novel as an application to be	19:08

		7
1	patentable. That's what I believe.	19
2	Q. You're aware, aren't you, sir, that it takes	
3	more than that?	
4	A. (Nodding head affirmatively.)	
5	Q. You are or you aren't?	19:08
6	A. I guess I'm not aware.	
7.	Q. Did you ever hear "as opposed to novelty of	
8	obviousness"?	
9	A. I understand the term. I didn't think that	
10	this was obvious.	19:09
= 11	Q. But that wasn't within the standard you gave	
12	me a moment ago?	
13 2	A. No, it isn't. But like I said, I'm not a	
14	patent lawyer, either.	
15	Q. So you offer this it's fair to say, isn't	19:09
16	it, you'd agree with me, that you offer this as a lay	
	opinion by one in the printing field?	
18	A. Yeah. I'd say that was fair.	•
19	Q. Now, would you be able to identify the	
20	drawings and invention records of Williamson?	19:10
21	A. No.	•
22	Q. Did you see any?	
23	A. No.	
24	Q. Did Williamson provide you or anyone else that	,
2.5	you're aware of at Printing Research with any drawings	19:

1	or sketches or directions on just what it was that was	19:10
2	needed?	
3	A. No.	
4	Q. Have you ever seen any papers that purport to	
5	contain anything like that?	19:10
6	A. No.	
7	Q. Any kind of specifications as to what was	
8	needed in writing?	
9	A. Not in writing, no.	
<u>-</u> 10	Q. And I I simply you you excuse me.	19:11
111	Strike all that.	
<u>.</u> 12	In 4 of your Declaration	
1 3	A. Uh-huh.	
- - 14	Q which is exhibit	
<u>=</u> 15	MR. HARRIS: What?	19:12
≟. =_16	MR. WILSON: 2.	
= = =17	Q. (BY MR. HARRIS) which is Exhibit 2	
1 8	MR. PINKERTON: Paragraph 4?	
19	Q. (BY MR. HARRIS) you take the	
20	MR. HARRIS: Huh?	
21	MR. PINKERTON: Paragraph 4 or page 4?	
22	MR. HARRIS: Page 2.	
23	MR. PINKERTON: Page 2.	
24	MR. HARRIS: Exhibit 2, page 2, paragraph	
25	4.	19:12

	1	Q. (BY MR. HARRIS) You take the position that	19
	2	"Howard DeMoore didn't conceive or reduce," and it goes	
	3	on, but you can look at it if you want to. And you	
	4	state that "these various allegations are false."	
	5	A. Uh-huh.	19:12
	6	Q. Did you follow him around every minute?	
	7	A. No, not at all.	
	8	Q. How do you know what's in his mind?	
	9	A. I don't.	
	10	Q. How do you know those are false, just actually	19:12
1	11 1	know?	
-	±12	A. Because in the position that I was in within	
	413 3	the corporation, I know that it would have been	
	14	discussed or at least I feel that it would be	
	⊒15 ∏	discussed, and I think it's a fair assumption	19;13
100	-16 1	Q. Uh-huh.	-
î	_17 =	A therefore, that I would have known of that.	-
*	18	Q. But you didn't discuss the patent application	
•	19	with him that was going to be filed by Williamson, did	
	20	you?	19:13
	21	A. I don't know whether I did or not. I don't	r*
	22	recall. I may have done.	
	23	Q. You might have done a lot of things, sir.	
		What do you remember doing?	
	25	A. I don't remember I don't recall. I know	19:
	_		

```
for sure I spoke with Steve Garner, but I don't recall
  2
     speaking with Mr. DeMoore about it.
  3
          Q.
               Is it fair to say that as far as you know
     there is not one piece of paper to authenticate any
     claims that Williamson might have to conception and
                                                                 19:14
    reduction to practice in any way of the 363 invention?
  7
                    MR. PINKERTON: Objection as to form,
    and -- and he certainly hasn't seen all the papers.
  9
                    MR. HARRIS: I didn't say he had.
: 10
    asked him what he had seen, if he'd seen anything.
                                                                 19:14
Listen.
_12
                    MR. PINKERTON:
                                    I did listen.
                                                    I didn't
, ;
    hear it.
[]
[14
                    MR. HARRIS: I didn't say whether there
    was anything or not, I asked him ---
                                                                 19:14
                    MR. PINKERTON:
                                    I think that was --
                    MR. HARRIS: -- what he'd seen.
 18
                  MR. PINKERTON:
                                    No.
                                         I think that was the
    question, whether or not there are any, if I understood
 20
                                                                 19:14
    it.
 21
                    MR. HARRIS: Well, I've been wrong
    before. Let's read it back.
 22
 23
                    (Record read by reporter.)
                   MR. HARRIS: 363.
 24
 2.5
                   MR. PINKERTON: Yeah, it said -- you
                                                                19:15
```

```
didn't have anything that he's seen in there. Is that
    the question, that he's seen? He hasn't seen our --
  3
                    MR. HARRIS: Yeah, I think that's what it
    says.
                                   It didn't say that, Bill.
  5
                    MR. PINKERTON:
                   MR. HARRIS: Boy, I can't hear over here.
  6
                   MR. SWEENEY: It says, "as far as you
  7
    know." Read back the beginning of the question.
                    MR. HARRIS: "As far as you know."
  9
                                                               19:15
    That's it. I believe that's right.
 10
11
                    MR. SWEENEY: Read back the beginning of
£12
    the question.
13
                    MR. PINKERTON: Read it back.
                   MR. HARRIS: Thank you.
                   (Record read by reporter.)
                   MR. HARRIS: "As far as you know."
                   MR. SWEENEY: It's only the basis of his
    knowledge, John:
 19
                   MR. PINKERTON: Okay. As far as you
 2-0
    know.
 21
                    But it -- it shouldn't be implied that
    he -- he has seen all the documents because he hasn't.
                   MR. HARRIS: There's nobody trying to
 23
    imply anything. The question is the question, and the
 24
                                                               19:
    answer is the answer.
```

	1	MR. PINKERTON: Okay. With that	19:15
	2	objection clarification, we can go forward.	
	3	A. I had not been privy to documentation.	
	4	Q. (BY MR. HARRIS) To what?	
	5	A. Any documentation from from Williamson	19:15
	6	Printing Corporation.	
	7	Q. What was your significant experience in	
	8	flexography at the time you came in 1991?	
	9	A. I'd worked with flexographic printing	
	10	corporations in the art of drying techniques since	19:17
	1	nineteen-eighty I'd say probably about '81 through	
	-42 -42	through '91 one way or another and had a	
	43 4	Q. Uh-huh.	
	14	A patent on file that that related to	
	<u>†</u> 5	that.	19:17
	16	Q. Did you design or, for that matter, sell	,
	실건 -	coater equipment for the purpose of flexographic	
	18	conversion?	
	19	A. No.	
,	20	Tell a lie. Tell a	19:18
	21	Q. Huh?	
	22	A lie. Yes, I did. Yes, I did, as a matter	
	23	of fact. Yes.	
	24	Q. Do you want to explain?	
	25	A. I sold a system into into the Midwest where	19:18
	L		

19 we actually put a coater on a -- on a flexo machine. What did you put on it? We put a coating tower, built a coating tower, onto a flexo machine for applying of coating in-line on 19:18 that flexo machine and -- and the drawing equipment to provide that. Did it work? Q. Worked very well. 19:18 And it used an anilox roller, huh? ₫10 That particular coater did not, but it was in <u>;;</u> 11 line with the flexo machine, which I think was part of your question. Q. Did you -- did you ever work with an anilox <sub>±</sub> 14 <u>同</u> 5 15 19:18 roller before you came in 1991? Oh, absolutely, yes. 16 In coaters? . 17 Not in coaters, no. 18 Q. Is that the only instance you can think of for 19 19:19 the question I asked a minute ago? I have -- we had installed many and A. 21 several installations of -- of drying equipment on flexo 22 23 machinery. I was talking Now wait a minute. · 24 19: about -- I was talking about the coater or the 25

		}
1	conversion unit, either the coater or a conversion unit	19:19
2	to a coater?	
3.	A. We had not made a a flexo application	
4	coater, no.	
5	Q. Now, you indicated you found out that there	19:19
6	was something on the drawing board or being developed	
7	when you came in '91?	
8	A. Correct.	
9	Q. In the area of I don't know whether to call	
10	it a retractable or what.	19:19
₹ 111	A. No	,
ايا ا <u>ڪيا</u> 2	MR. PINKERTON: Not that.	
1 3 ·	A wasn't retractable.	
1 4	Q. (BY MR. HARRIS) Okay. What are we talking	
	about, anilox	19:20
<u>[</u> ] 6	A. It was	
7	Q roller?	
1.8	A an anilox roll applicator	' !
19	Q. Uh-huh.	
20	A that was that was built into the	19:20
21	delivery of a litho press	
22	Q. Uh-huh.	
23	A a Heidelberg in particular.	
24	Q. And was that built being built to practice	
25	flexography on that station?	19:20

		3
1	A. It was built to apply coatings on that	19: -
2	station.	
3 .	Q. So who else had some experience in	
4	flexography? Something was being developed, right?	
5	A. Yeah.	19:20 -
, 6	Q. So who was that? Who had experience?	
7	A. I think that there were a number of people	
8.	that had been involved in flexographic type coating	
, 9	applications, but flexographic printing and and the	
<u>_</u> 10	wherewithal, I don't think there were that existed at	19:21
[] _ <u>i</u> 1 1	Printing Research.	
<u> </u>	Q. Is that what you mean with that statement	
- ∰13	is that is that what you mean to say or imply by the	,
14 •	statement, "I believe I was the only person at PRI in	
<u></u>	'91 to '95 that had any significant experience in	19:21
<u>.</u> [16	flexography"?	·.
1 7	A. That's exactly what I mean.	
18	Q. You're not saying that nobody else did. You	, ',
19	just think you had an niche of it that nobody else did?	
20	A. Well, I believe that I had more knowledge	19:21
21	than	l
22	Q. Well, you had	
23	A than anybody else there.	
24	Q double niche, then, all right?	
25	A. Double niche, if you like.	19:-
	<u> </u>	1

		1
•	Q. You make the statement at the end of that	19:21
. 2	paragraph we've been working on on page 3, "A small	
3	manufacturer of auxiliary equipment for presses such as	
4	PRI, in my opinion, will not have such motivation other	
5	than to produce a product in response to an order."	19:22
6	Is that your suggestion that all of the	
· 7	work that the PRI ever did was just something that a	
8	customer ordered?	
9	A. Generally would be the case, yes. Remember	
,,,10 tani	that at that stage, they had essentially two products,	19:22
] [4] 1 [4]	which was Super Blue and rack-back.	
<u></u>	Q. Well, that may be. However, they had high	
2 3 4	hopes, huh?	
<b>1</b> 4	A. Well, they employed me, so I guess they did.	
<b>4</b> 5	Q. Yeah. They had high hopes, and they've got	19:22
<del>1</del> 6	all of those patents up on the wall that you don't	
<b>1</b> 7	exactly know how to evaluate, true?	
18	A. I think I can evaluate, yeah.	
19	Q. But they're there, right? The United States	
20	Government has granted them one way or another?	19:23
21	A. They're granted one way or another.	
.22	Q. And you had some others yourself you were on,	
23	didn't you?	
24	A. Yes.	
25	Q. Was it the same kind of situation, you didn't	19:23
Ŀ		ı

```
really invent anything, you just signed it to --
 2
              No, the ---
         Α.
 3
             . -- make the boss happy?
              No. My -- my inventions were my inventions.
                                                                19:23
   And they're up on that same wall, as a matter of fact.
 6
        0.
              Well, and that makes --
              They're up on the same wall.
            They're worthless?
 8
        Q.
             Not to me they're not, no, because they were
   my inventions.
                                                                19:23
        Q.
             Okay:
             And I know they were my inventions.
                   THE VIDEOGRAPHER: Mr. Harris, we're
   going to need to change tape real guick.
                   MR. HARRIS: Okay.
                                                                19:23
                   THE VIDEOGRAPHER:
                                      The time is 7:23 p.m.
   We're off the record.
18
                   (Off the record.)
19
                   THE VIDEOGRAPHER: The time is 7:25 p.m.
   We're on the record.
                                                                19:25
20
              (BY MR. HARRIS) I'd like to go to paragraph
21
22
   10 and --
23
             Same document?
24
             -- check out a point with you out of this
                                                               .19:
   same --
```

		ì
1	A. Same document?	19:25
2	Q Exhibit 2, page 4. On 10, "Steve Baker	
3	told me in July 1994 meeting in Atlanta restaurant which	
4	Davis and Williamson told him (Baker), in confidence, of	
5	Davis and Williamson's intent to improve the so-called	19:26
6	'WIMS' metallic printing process of WPC, 976, of which	
7	at that time I had some familiarity with the process,	
. 8	but not a lot." (As read.)	
9	Did Baker explain why he was willing to	
10	break the confidence that he had been told? You said he	19:26
्रा जुड़ी 1	was told "in confidence."	
<u>.</u> 12	A. Yes, but I think that "in confidence" in this	
3	situation was I assume that Steve, and as I, took it,	
<b>4</b>	this confidence to be held within Printing Research	
115	rather than confidence to put around the industry. And	19:27
<u>1</u> 6	seeing as I was, at that time, his direct senior, he	
17	wanted to share that with me.	
18	Q. (Counsel reviews documents.) And did in 12	
19	on page page 6 of this Exhibit 2, the experiments in	
2.0	the fall of 1994 were conducted, as you say, and as I	19:28
21	understand, at PRI; is that true?	
22	A. True.	
23	Q. And they were done on PRI's own press, which	
24	was a two-unit or two-color Heidelberg	
. 25	A. Correct.	19:29

			7
1	Q.	press?	19
2		And did were there some special	
3	occasions	or directions or something written up by WPC	
4	for these	runs you're talking about?	
5	Α.	I do believe we're referring to the exhibits	19:29
6	that we s	poke of earlier, which I believe is Bird 16.	
7	Q	Well, you say this was in 1994	
8	А.	Uh-huh.	
, . 9	Q -	one of them was in December, I think	
⊒10°	A.	Yeah, '94.	19:29
<b>411</b>	Q.	and one of them was in '95.	
년 년12 년	Α.	Yes.	
₹ 213	Q.	That was the one where your son	
s 14	Α.	Yeah, but no, '94 was myself, but	
<u> </u>	Q.	94 was you?	19:30
<u>-</u> 16	Α.	Uh-huh.	
트 17	Q.	And '95 was with your son?	7
18	Α.	Yes.	ı
19	Q.	So they didn't write out that sheet, did they?	,
20	Α.	No, but they but they told me what they	19:30
21	wanted to	have	
2 2	Q.	And it	
23	À.	achieved.	
24,	Q.	And it set the conditions out in there, right?	
25	Α.	It did indeed.	19:
		·	

.1		
1	Q. And it told you to use a flexographic station	19:30
2	and follow it with lithographic stations, right?	
3 .	A. No.	
4	Q. That's what it says on the	
5	A. No, no, no. That says what the objective is.	19:30
6	It doesn't say what we did.	
7 .	Q. That's what they told you to do, isn't it?	
8	A. No.	
9	Q. What did they tell you to do? Where does it	
= 1.0	show in there	19:30
÷- 11	A. It says	
្នាំ ធ្នាំ 2 '	Q what they told you to do?	
13131	A "apply metallic" it says, "apply	
14	Procedure, apply water-based slurries and inks through	
] ]1 5	the EZB at the blanket position primarily, and	19:30
.≟ . - <sub>4</sub> 16	eventually from the plate position to compare."	
17	That's what it says.	
18.	Q. Is that all the information they gave you?	
19	A. It was all the information that we could	
20	possibly have to be able to write up what a procedure	19:31
2 1	was going to be. The rest of the procedure is all the	
22	conditions and then specified in the rest of the	
23	document.	
24	Q. Yes, and the rest of those conditions are	
25	conditions of PRI, are they not?	19:31

			3
1	A.	No.	19
2	Q.	Well, what are they?	
3	Α.	They're conditions where the slurries, the	
4	inks, the	varnishes were either obtained or specified by	
5	Williamso	n Printing for us to obtain.	19:31
6	Q.	And you used Williamson's plates?	
.7	A.,	We used we did not use Williamson's plates.	
8	We used -	- sorry. We used Williamson's plates, sorry,	İ
9.	not PRI's	plates, yes.	Ē.
10	Q.	Did you use Williamson's	19:31
211 ·	Α.	Yes	
12	Q.	printer [sic]?	
13	A.	we did.	
114	Q.	I thought you used something else?	e'
	Α.	We used Rexham's plate in one	19:31
[±16	Q.	Well, now	
<u></u> 1.7	А.	occasion.	:
18	Q.	how many times did it say "Rexham" there?	
19	. A .	Once.	
. 20	Q. <b>.</b>	Uh-huh. And you you let me see	19:32
21	Α.	By all means.	
2.2	Q.	what you've got since you've got it out	
23	handy.		
24	Α.	Yeah.	
25		(Documents handed to counsel.)	19:

		-
1	You've got one there.	19:32
2	Q. Okay. Well, here all I see is on 16 all I	
.3	see is "Rexham"?	
4	A. Well, you see you you really need to	
5	read on because it says, "Rexham plates to be used.	19:32
6	Customer to supply relief plates for blanket and plate	
7	position"	
8	Q. Uh-huh.	
9	A "Customer to supply."	
10	I believe the customer in question is	19:32
	Williamson Printing.	
<u>.</u> 2	Q. So there, too?	
13	A. So there, too.	
<u>]</u> 14	Q. Let me have the other one, please.	
: <b>1</b> 5	A. I'm sure you have the other one	19:32
16	Q. I do?	
17	A but I'd be very happy to comply with that.	
18	You're a gentleman.	i
19	MR. PINKERTON: He's only going to help	
20	you so much, Harris.	19:32
21	(Documents handed to counsel.)	
22	Q. (BY MR. HARRIS) They I gather they made	
23	the anilox rollers that were used, right?	
24	A. No. No, they did not.	
25	Q. They didn't make any of the equipment they	19:33
į		

1	were using, and they didn't decide what sizes to use,	19
2		
3	A. Sizes of what?	
4	Q. I don't know, you tell me. There's sizes of	
· 5	things.	19:33
6	MR. PINKERTON: Ask him a question or	
7	don't.	
8	A. That's pretty vague. I really can't answer a	
9	question that isn't one.	•
10	Q. (BY MR. HARRIS) Well, who made the who	19:33
- - - 11	made the decision as to which anilox roll to use?	-
12	A. If you also read on.	٠.
\13 <u></u>	Q. Okay. I will read on. I'm asking a	
14	question	
₫ 5 Л	A. Okay.	19:33
	Q and it doesn't have anything to do with	
_ 7	"read on." Just give me an answer.	•
1.8	A. Yes. Anilox rolls were specified.	
19	Q. Were what?	
20	A. Were specified.	19:33
21	Q. Were specified. Where?	
22	A. In both documents.	
23	Q. Well, tell me where.	,
24	A. If you pass it back to me I might be	
25	Q. Okay.	
	· · · · · · · · · · · · · · · · · · ·	

•		7
1	A able to find it for you.	19:34
.2	Q. Okay.	
3	(Documents handed to witness.)	
4,	A. (Witness reviews documents.) Unfortunately,	
5 ,	we don't have the back side of this document; however,	19:34
6	we do say here, "PRI to supply PRI to supply," in	
7	this case on this case, "banded rubber roll anilox."	
8	And the purpose of the banded roll	
9	anilox, as we suggested earlier, was because we were	
10	looking for what the ideal setting would be for the cell	19:34
년. 설계 1	counts on the anilox.	:
追 <u>1</u> 12	MR. WILSON: What is the date on that	
- 1 - 1 3	one?	
<u>.</u>	THE WITNESS: This is in February of '95.	
=1 5	MR. PINKERTON: By the way	19:34
25 16	Q. (BY MR. HARRIS) In February of '95?	
7	A. Yeah.	1
18	Q. And so so there's not any specific	
19	specification made by the customer as to just which	
20	anilox roll to use, is there?	19:34
21	A. We talk about that in	
22	Q. Well, that this is the other	
23	A. I	   •
24	Q test.	•
25	A understand that, but this is in December.	19:35
	·	•

```
In December, we actually start to make those
  1
                                                                    19
    determinations, and we talk about that on the back page.
  3
               Well, this one's February the 13th.
          ο.
  4
          Α.
               Yeah, it's --
  5
               17th [sic] is --
                                                                    .19:35
  6
          Α.
               -- several -- several months further on.
  7
               17, February the 13th. And 16 is December the
          Q.
    20th, does that seem right to you --
  9
          Α.
               Uh-huh.
_10
型
到1
               -- and 21st?
                                                                    19:35
               Uh-huh.
          Α.
<u>-</u>42
               And what you're telling me is that you took
*·i 3
    down word for word here what was said by Williamson in
J
14
    these --
] 5
         A.
                                                                   19:35
               No, no.
16
               And you didn't use any of your technical
    knowledge to assist?
 18
               Of course, I did.
19
               And so part of these objectives and part of
 20
    the procedure, you helped in, or it came from you?
                                                                   19:36
 21
                    The objectives -- let's qualify.
    Objectives are the customer's objectives.
23
         Q.
               Okay.
                      I --
24
         Α.
               The --
25
         Q.
               -- hear you.
```

	1
A rational for the experimentation is myself	19:36
and Williamson	
Q. So	
A the customer.	
Q that when you get to the procedure for	19:36
experimentation and the details, that was a combination	
of your inputs; is that correct?	
A. That's correct.	
Q. Was Bob Emrick involved in that in any way?	
A. Bob Emrick was an employee of Williamson	19:36
Printing Corporation, and, yes, he was involved.	
Q. Was he involved in the specifications in any	
way?	
A. Not really, no. It was mainly Bill Davis and	
Jessé Williamson.	19:37
Q. Getting back to what was said here, did did	
you work on any experiments at PRI when Williamson	
personnel was not present but which related to this	
concept or assimilation of the concept?	
A. Not that I'm aware of.	19:37
Q. When you say, "to the best of my knowledge, no	
tests were ever conducted at PRI of the 363 invention,	
only at WPC," it's a fact, is it not, sir, that the	
first one that was at WPC was the one toward the end of	
the year in '95?	19:38
	A the customer.  Q. so  A the customer.  Q that when you get to the procedure for experimentation and the details, that was a combination of your inputs; is that correct?  A. That's correct.  Q. Was Bob Emrick involved in that in any way?  A. Bob Emrick was an employee of Williamson  Printing Corporation, and, yes, he was involved.  Q. Was he involved in the specifications in any way?  A. Not really, no. It was mainly Bill Davis and  Jesse Williamson.  Q. Getting back to what was said here, did did  you work on any experiments at PRI when Williamson  personnel was not present but which related to this  concept or assimilation of the concept?  A. Not that I'm aware of.  Q. When you say, "to the best of my knowledge, no  tests were ever conducted at PRI of the 363 invention,  only at WPC," it's a fact, is it not, sir, that the  first one that was at WPC was the one toward the end of

1	A. The first real real test on	19
. 2	Q. Yeah.	ı
3	A this was a it was a production run.	,
4	Q. As a matter of fact, although I suppose it	
. 5	might work, PRI was pretty glad to have a big unit,	19:38
, 6	multiunit, like the Heidelberg unit to try its	
7	A. Absolutely.	
8	Q product out on?	
9	A. Absolutely.	
10	Q. Its little two-station unit was not the best	19:38
111	for that purpose?	
<u>1</u> 12	A. Correct.	
្ឋា ្នា 3	Q. It says under 12 on this page 6 of Exhibit 2,	· ·
14	"Again, PRI, to the best of my knowledge, does not have	**
±15	any late 1994 or early 1995 record, notebooks, E-mail,	19:39
<u>1</u> 16	or memoranda concerning any conception by PRI of the 363	
7	claimed invention."	`. • .
F 8	Is it true that you could have made that	
19	statement and substituted the PRI in the sentence with	
20	the Williamson Printing?	19:39
21	A. Read it again, first.	·
22	Q. Then it would read, "Williamson Printing, to	
23	the best of my knowledge, does not have any late 1994 or	٠.
24	early 1995 record, notebooks, E-mail, or memoranda	`
25	concerning any conception by William Williamson	19:5

		_
1	Printing of the 363 claimed invention."	19:40
2	A. I wouldn't have be privy to that	
3	information.	
4	Q. Again, it's documentation, isn't it?	
5	A. I wouldn't have be privy to that	19:40
6	information.	
7	Q. It's fair to say you don't have documentation	
8	either way, for PRI or for Williamson?	
9	A. That would be fair to say. Although, it's	
10	much more likely that I would have it from Printing	19:40
11.		
12	Q. I understand from 13 that you did the	
12	short-armed device described there that was fit on the	
14	end of the press	
型 15 型	A. Uh-huh.	19:41
16	Q on speculation so to speak?	
<u>_</u> 17	A. Yes, somewhat.	
18	Q. And that speculation was primarily directed	
19	toward Williamson, you believe?	
20	A. Yes.	19:41
21	Q. And anybody else that would take it, I	
22	suppose?	
23	A. Yes.	
24	Q. And you say, "We had no firm orders" for this	
25.	business, and I guess that's what makes it a	19:41

. 1 speculation, right? 19 Α. Correct. You say later in there, "Had PRI had the prototype near ready for installation, it would have 19:42 been mentioned in a letter." Sir, it's fair to say, is it not, since 6 you didn't take those files with you, you -- your memory may be dim somewhat on it and, moreover, that you wouldn't necessarily know that? 19:42 I think that's fair. 10 Α. <sup>क्रो</sup> 1 1 And you note at the end of that paragraph that Q. "PRI had no commitment from WPC for any order of," quote, "such device." (As read.) 114 That is, one that -- with cantilevered ₫15 end of -- I beg your pardon. Kill that. I don't think 19:43 16 it makes any sense. ₫17 Here it says, "there was no established 18 market for an interstation, and no one at PRI 19 appreciated, much less knew of, the details of the 363 19:44 20 inventive process outside of the disclosure made to 21 Baker"? 2.2 Uh-huh. Α. 23 Does that mean to say that that disclosure got 24 scattered around, but they didn't know any other, quote, "details"? 25 19:5

		-
1	A. It means that, from a marking standpoint, I	19:44
2	don't believe that the personnel at Printing Research	
3	had an appreciation for the market potential or	
4.	otherwise of such a product.	
. 5	Q. Well, sir, what inventive notion was there	19:44
6	outside of the disclosure made to Baker that existed at	
7	that time? What was there?	
8	A. There there wasn't.	
9	Q. That was all of it, wasn't it?	
10 ئىر	A. Pretty well.	19:44
11.	Q. You would have it that way?	
<u>-</u> 12	A. Yeah, sure.	
ুট * 13	Q. So that's a way of saying they knew at that	
<u>2</u> 114	date what Williamson knew, that is, in December?	
_ 	A. I don't think that's true.	19:45
∰ ⊭≟16	Q. Why?	
실 (출17	A. Well	
18	Q. I'm going to give you a "why" question. That	
19	opens it wide up for you.	,
20	A. Clearly, Williamson knew exactly what their	19:45
21	objectives were and and knew what their market was	
22	for such a product.	,
23	And we at Printing Research knew that	,
24	there may be a market for such a product, and we were	•
25	preparing to see if we could manufacture such a product.	19:45
	-	

19 1 But since the market --2 I really wasn't asking about the marketing, I -- but I believe I understand better now what you meant. Is that what you meant when you made that 19:45 5 statement "no established market for an interstation and no one at" --8 Α. Correct. -- "PRI appreciated, much less knew the . 9 19:46 details of the 363 inventive process outside of the -≟11 disclosure made to Baker"? #=-12 And what you have done is to respond to 13 my question by pointing out that Williamson's knowledge of the market. Is that what you're trying to say there? ≟15 19:46 I'm saying their knowledge of what Α. No, no. £416 they wanted to achieve in the market was what we knew --<u>-</u>17 what I knew to be a fact and that we -- there was no 18 established market because there was no market other 19 than that which Williamson was striving to achieve. 19:46 20 Q. Well, we're talking about the details of the 21 inventive 363 process here. 2.2 Correct. Α. 23 Not the market, entirely, or are you? Yeah. You're talking about both. 24 Α. .25 ο. Okay. Well, you're talking about both? 19:4

	٦
A. Uh-huh.	19:46
Q. So, now, tell me what it was they knew that	
you didn't know then?	
MR. PINKERTON: In regard to what?	
That's a vague	19:47
MR. HARRIS: In regard to I'll get it	
here. For an interstation, as he said, and "no one at	
PRI appreciated, much less knew, the details of the 363	
inventive process outside of the disclosure made to	
Baker."	19:47
MR. PINKERTON: Do you understand the	
question?	
THE WITNESS: Sort of. It's it's	
convoluted, but	
Q. (BY MR. HARRIS) Huh?	19:47
A. I think it's somewhat convoluted as a	
question, but it's I'm not sure I understand why you	
don't understand the answer.	İ
There was a need, a requirement, from a	
customer for a market that they had, and they were	19:47
they had disclosed to us as a means of wanting to be	
able to achieve.	
So there clearly wasn't a market because	
there was nothing out there that would do such a thing	
or was able to do such a process.	19:48
	Q. So, now, tell me what it was they knew that you didn't know then?  MR. PINKERTON: In regard to what?  That's a vague  MR. HARRIS: In regard to I'll get it here. For an interstation, as he said, and "no one at PRI appreciated, much less knew, the details of the 363 inventive process outside of the disclosure made to Baker."  MR. PINKERTON: Do you understand the question?  THE WITNESS: Sort of. It's it's convoluted, but  Q. (BY MR. HARRIS) Huh?  A. I think it's somewhat convoluted as a question, but it's I'm not sure I understand why you don't understand the answer.  There was a need, a requirement, from a customer for a market that they had, and they were they had disclosed to us as a means of wanting to be able to achieve.  So there clearly wasn't a market because there was nothing out there that would do such a thing

```
And so there's no market established, and
   1
     we're looking at it, I'm looking at it, and saying,
     "Okay. There's the one use. Now there may be other
     uses for this, and now we need to do our market research
     to -- to find out what those other markets are," which I
                                                                   19:48
     did go on to; do.
  7
                     Does that help?
                                      I hope it does.
                What didn't you know about the purported
  8
          Q.
     invention that they did that doesn't relate to sales or
10
                                                                   19:48
     marketing?
<u>1</u>11
               Who -- who is "they."?
               I guess "they" is Williamson.
                     MR. PINKERTON: I'm going -- I want to
     object to the form of the question.
                                            It's convoluted and
<u>-</u>15
     vague and ambiguous.
                                                                   19:49
-16
          Α.
                I -- I don't know how to answer it because
 17
 18
                (BY MR. HARRIS)
          ο.
 19
               -- don't understand the question.
 20
          Q.
               -- I don't think you can answer it, sir.
                                                                  19:49
     put it to you several ways, and you keep talking about
 22
     marketing.
 23
                     I want to know what it was that you
 24
     didn't know about the invention. I don't want to hear
     anything about marketing. My question is directed to
```

that. 19:49 2 MR. PINKERTON: Object to the form of the question. He's going to tell you what he didn't know? 3 I don't understand the context or the --5 THE WITNESS: That's pretty tough. 19:49 6 (BY MR. HARRIS) Well, you seem to have taken Q. 7 an affidavit on it --MR. PINKERTON: No one at PRI --9 Q. (BY MR. HARRIS) -- and "no one at PRI appreciated, or much less" -- you were at PRI then. -⊒11 I explained to you what I meant by that, and L. -≐12 you cannot accept my explanations. ^ <u>=</u>1 3 Q. Not as long as it has the word "market" in it. تيًا: **14** Well, I'm sorry, but that's what I meant. Α. **1** 5 Q. Okay. So if you struck the words "there was 19:50 no established market for an interstation". --\_\_\_\_17 Α. I think that says "market," doesn't it? 18 It does, absolutely. But suppose you struck Q. 19 Look at it. 20 "There was no established market for an 19:50 interstation." Let's strike it. And just suppose that 21 22 you didn't have the conjunctive there, "and," and it said, "No one at PRI appreciated, much less knew of, the 23 details of the 363 inventive process outside of the 24 25 disclosure made to Baker." 19:50

	·				
1	Now you don't have that in the answer	19			
2	then. Is it not applicable unless there's something				
3	said about the market, or is it applicable or is it				
4 '	applicable anyway by itself?				
5	A. Tough one to answer.	19:50			
6	Q. Huh?	. ,			
7	A. It's a tough one to answer. I've got to think				
8	about it.				
9	(Sotto voce discussion.)				
10	MR. PINKERTON: I think it's kind of	19:51			
11	well				
12.	Q. (BY MR. HARRIS) My colleague has suggested				
13	that perhaps my question is not pretty and that I say to				
7 14.	you this: Looking in the context of that paragraph	4			
15 T	A. Okay.	19:51			
16	Q and the end of that paragraph, now we write	,			
	the word "did" in before "no one" on the third line from				
18	the bottom of it, and we strike the "and" out or put a	-			
19	period or something over there, and say, "Did anyone at				
20	PRI appreciate, much less know of, the details of the	19:52			
21	363 inventive process outside of the disclosure made to				
2,2	Baker?"				
23	A. Okay. So what's the question?	٠			
24	Q. That's the question.				
·2 5	MR. WILSON: Did anyone?	19:-			
	,				

· · 1	Q. (BY MR. HARRIS) I read it again	19:52
2	THE WITNESS: I think that	
, 3	Q. (BY MR. HARRIS) "Did anyone at PRI	
4	appreciate, much less know"	
5	A. I think	,
6	Q "of the details of the 363 inventive	
7	process outside of the disclosure made to Baker?"	
8	A. I guess if anybody had cared to read, and I	1.
9.	don't know how many people did care to read, but if	
10	anybody had of cared to read this statement, they	19:52
型111	they would have been aware of what it was we were our	
12	objective was.	
્રી 3	It was my belief, strong belief, that not	
114	too many people read memos and papers that were sent	
2. 	round to individuals.	19:52
15 16	Q. Well, what about yourself, sir? Were you at	
17. 18	PRI?	
18	A. At that time, I was.	·
19	Q. Yes. And you knew, didn't you?	
20.	A. Yes.	19:53
21	Q. Well, is this statement correct, then?	
22	A. Well, I mean, we're we're playing with	
23	words, aren't we?	
24	Q. What?	
25	A. We are playing with words, aren't we?	19:53

1	MR. PINKERTON: We really are because	19
2	I want to object.	
. 3	Q. (BY MR. HARRIS) Did you know words are the	.
4	way we communicate in this world?	
. 5	A. Well, that's normally the case.	19:53
6	Q. Yeah.	
7	A. That is normally the case, I'd agree.	· .
8	MR. PINKERTON: There's a great deal of	
9	confusion. The disclosure to Baker is a disclosure that	
10	he's talked about having been made to him also. So	19:53
提 第11	it's it's difficult to deal with it in the context	
្នា ម្នាំ	that you're talking about because he doesn't know if	; ;
≒ 13	you're including him in this or not.	
-G ∰14	MR. HARRIS: Well, he does now. Anyone	
15 15	at PRI.	19:53
16	THE WITNESS: Well, I mean, you're	
្នាំ17 =	playing with words. And that's	, , ~
18 :	MR. HARRIS: And also huh?	٠-, ,
19	MR. PINKERTON: I mean, we've had	
20'	MR. HARRIS: Sir, I'm going to ask that	19:53
21	question over and over again until you quit playing with	
22	words.	
23.	MR. PINKERTON: I'm going to object	
24	MR. HARRIS: Don't be please don't be	
25	evasive with you [sic], I'm not being nasty with you.	19:.

		]
1	I'm doing my best to ask a question. Somebody else	19:54
2	tried to word it over here, and it seemed very hard for	
3'	you to handle.	
4	Q. (BY MR. HARRIS) Are you not capable of	
5	answering that question?	19:54
6,	A. Probably not.	,
7,	Q. Why?	
8	A. Because you're asking for an an impossible	
9	task.	
10	Q. Why did you put it in this	19:54
<b>1</b> 11	A. You're you're	
15- 12 13-	Q paragraph?	
13	A misconstruing what it's saying. And you're	
<b>14</b>	purposefully misconstruing it in my opinion, okay?	
	Q. Well, you can say anything you like. I could	19:54
<u>=</u> 16	accuse you of purposefully doing a lot of things, but	
<u></u>	I'm not going to	
18	A. Good.	J
1 9	Q and you better not do it to me.	
20	A. Or?	19:54
21	MR. PINKERTON: Okay. I'm going to	
22	object to the	
23	Q. (BY MR. HARRIS) Or I won't like it.	
24	MR. PINKERTON: I want to object on the	
25	record to the argumentative nature of the question.	19:54
1		

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19
                     The witness has done his best to try to
     answer your question. It's -- it's difficult because,
     you know, in terms of PRI, he's talked about this
     disclosure to Baker was a disclosure to Baker --
                    MR. HARRIS: Uh-huh.
   5
                    MR. PINKERTON: -- was a disclosure made
   6
     to him, and we've talked about that at great length.
     So --
                    MR. HARRIS: I know that.
   9
                                                                  19:55
                    MR. PINKERTON: -- so obviously he knew
  10
√2 11·
     about it.
<u>F</u> 12
                                  That's not what he means by
                    MR. HARRIS:
1/3
     the paragraph or the sentence. He doesn't mean that,
5 14
     either.
                                                                  19:55
15
                    MR. PINKERTON: He's saying -- he's
     saying that no one appreciated at PRI other than what
16
     Baker had told him and what he had gotten from
二 17
                   That's what he's saying.
 18
     Williamson.
 19
                (BY MR. HARRIS) I think that what you were
     saying, sir -- I'm trying to get this straightened out.
                                                                  19:55
  20
  21
                     I think what you're saying, sir, is that
     that amount of information that Baker had was all that
  22
     PRI had and that there was more information some place,
  23
     like at Williamson, that extended beyond that.
  24
                                                                  19: -
  25
                     And that's the way I read it in the first
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19:55
   place, and then I wanted to question you with that
  2 thought in mind.
               Okay. But that's not how I interpreted your
  3
         Α.
    question.
                                                                  19:56
               Let me ask you an unusual question. Let's get
  5
    off this thing. How did you interpret my question?
  7
    Mr. --
               I -- (Indicating.)
  8
         Α.
               Is he right?
  9
          Q. '
                                                                  19:56
10
               John Pinkerton is absolutely ---
          Α.
Okay.
          Q.
1 2
               -- on the mark.
          Α.
               And so if you put your name in there, too, in
    it --
                                                                  19:56
               Then it's -- then it's fine.
                                              Then it reads
-16
    fine.
17
                      So -- so he and you --
         0.
               Okay.
 18
          Α.
               Correct.
 19
              -- knew?
          Q.
                                                                  19:56
          Α.
              Yes.
 20
               Okay. You'd have that much. And to some
 21
          Q.
 22
    extent, then, I suppose, the persons that -- did he
    report to you, Baker?
 23
 24
          A. Yes, at that time.
                                                                  19:56
 25
               And who did you report to, Steve, Steve
          Q.
```

1	Garner?	19.
2	A. I was never sure, but, yes, I I think, at	
3	that time, Steve Garner. One never knew.	
4	Q. Would you have reported to your superior?	,
5	A. I would report to my superior.	,19:56
6	Q. Would you keep them abreast of this situation?	
7	A. Yes, I would.	
8	Q. So they might know as much as	
9	A. They	
10	Q the two of you	19:57
<u>.</u> 11	A they	: .
}12 ·	Q know?	
ī [13	A they should and would, yes. I would have	
14	let if Steve was my boss at the time, I would have	
15	discussed it with Steve, yes. So he should be in there,	19:57
16	too.	
1 1 1 1 1	Q. So there's a limit a rather limited number	×
18	of people, but now we've got it up to Steve, too, right?	
19	A. We've got three now as opposed to one.	
20	Q. Okay. Maybe we can avoid an impasse like that	19:57
21	again.	
22	MR. PINKERTON: Bill, we're at eight	
23	o'clock. Can I have a little time with the witness? Do	
24	you want to go until 8:30 yourself? You're not going to	
25	let me any redirect?	19:
	· · ·	

1 I don't think that that'll MR. HARRIS: 19:57 do you any good tonight. All it'll do is muddy the water. We're going to -- we're -- we're going to get into a situation where we have to go forward with it Instead of me coming back and breaking it in anyway. 19:57 the middle -- John, believe it or not, it's like your daddy used to say, "I'm doing it for your own good." 7 8 MR. PINKERTON: Well, you might think that, but I don't because I thought our deal was that I \_10 was going to have part of this time if we stayed here 19:58 -111 and worked until this time. I thought that was our 1 2 deal, that I was going to get to ask some questions. Was that not our deal? <u>-14</u> MR. HARRIS: No, I didn't think it finally was, John. I know that was what you offered to 19:58 begin with was an hour and an hour. And I told you =16 about my problems with redirect that I'd have to have 18 and everything. 19 How -- how would it hurt you, John, to wait and give you time to go over all the testimony if 19:58 21 you want to? 22 MR. PINKERTON: That -- that's fine. 23 That's fine if you -- that's fine. It's just different than what I thought our deal was, but that's fine. . 24 25 MR. HARRIS: You and I honestly disagree 19:58

1	there, you know?	19
2	MR. PINKERTON: Okay.	
, 3	MR. HARRIS: We've done that before, but	
4	we've also honestly gotten along pretty well.	
5	MR. PINKERTON: That's fine.	19:58
6	MR. HARRIS: Let's keep it up, you	
· . . 7	know	
8	MR. PINKERTON: That's fine.	:
9	MR. HARRIS: I don't want to	
10 <sup>.</sup>	MR. PINKERTON: Go ahead. We'll my	19:59
<u>-</u> - 11	questions will just be reserved.	
≟ ≛12	MR. HARRIS: Sure.	٠,
13 13	MR. PINKERTON: They'll have to be.	•
ī 1.4	MR. HARRIS: Sure.	•
= 15	MR. PINKERTON: Okay.	
= 16	MR. HARRIS: Well, they would be anyway.	
i []17	Got to be.	
18	I doubt what I'm saying is, I doubt	
19	that I'll pass the witness, but if I did, I don't think	
20	you'd get very far on him.	19:59
21	Q. (BY MR. HARRIS) Very very quickly, are you	
22	still amazed or surprised, as it here says in 14, that	
23	you can patent a process?	
24	A. No, not anymore.	
25	Q. Is that just because of what's happened here?	20:

1		Α.	Just because of what I've seen out	20:00
2		Q.	Uh-huh.	
3		Α.	in the market place.	
4		Q.	And it was true, though, on the other hand	
. 5		Α.	I wouldn't have believed you could patent a	20:00
6	doub	le cl	ick, a single click on a computer, either, but	
7	you o	can.		
8		Q.	But but it is true that at the time you	
9	took	this	affidavit that you believed that?	
<u>-</u> 10		A.	Yes.	20:00
111		Q.	And at the time that you	
12		A.	Well, no when I took the affidavit	
1 3		Q.	Maybe not even that, huh?	
14	į.	Α.	it was I believed it at the time.	
<b>1</b> 5		Q. ,	Then just to make it even, back when you	20:00
16	were	going	g back to the car and the offices, as it says	
<u>디</u> 7	here,	you	thought it was amazing at that time, then	
18		Α.	Yes.	
19		Q.	that anybody	-
20		Α.	Yes.	20:00
21		Q. ·	could patent a process apart from	
22	equip	ment?		
23		A	Yes. Yes, I did.	
24		Q.	So that was after that January meeting, huh?	
25		Α.	If that's the date, yeah. Yeah.	20:00

		_
1	Q. Yeah. That's what you put down.	20
. 2	Again, under 15, it talks about	
3	information in confidence from Jesse Williamson and	
4	and Bill Davis.	
5	What did you do with the information?	20:01
6	A. I talked to my superiors within the	
7	corporation, within Printing Research.	
8	Q. Now who who was that?	
9	A. That would have been, in fact, I know that I	
10	showed it to Howard DeMoore. I know I showed it to	20:01
후 11 그	Steve Garner. Actually, anybody that was involved in	
12 4	the in the coating aspects of this project. Because	.,
13 24	it was startling.	
0-14 ∷	Q. Well, would you be surprised to know that	
<b>二</b> 15 近	there's a sketch of the interstation coater very	20:02
-16	similar, that is a Ferris wheel type, to the one to	:
<u></u> 17	provide Williamson that antedates this March '95 date	
18	considerably?	,
19	A. Not that surprised, no.	
20	Q. December? '94, December?	20:02
21	A. Is that right? I don't know. But it doesn't	
22	surprise me.	
23	Q. You wouldn't be too surprised, huh?	
24	A. No, no.	
25	Q. And you say, "let alone completed blue	20:0
L		

	200	
· 1	prints." And that wouldn't be too big of a surprise,	20:02
2	either, if there were some of those?	
3	A. Yeah. Probably be more of a surprise,	
4	actually.	
. 5	Q. Well, it's always a matter of	20:02
· 6	interpretation	
7	A. Sure.	
8	Q about what a completed blue print is.	
, 9	A. Big difference, yes.	
10	Q. It might it might have a purple corner and	20:03
141.1°	not be a blueprint.	
- 12	A. You're correct.	
] [] []	Q. And are you confident about this statement in	
1,4	No. 15 where it is said that this sketch of Rendleman	·
<b>自</b> 5	coater "was put in the 363 process as Figure 2"?	20:03
j≟16	A. (Witness reviews documents.)	
<b>3</b> 7	Q. It's toward the end	
18	A. I see it at the end, yeah.	
19	Q of the paragraph.	
20	A. Yeah.	20:04
21.	Q. Do what?	
22	A. Yeah. That was a computer-generated	
23	Q. Yeah.	
24	A drawing that we produced.	
25	Q. Uh-huh. But that's still accurate, whatever	20:04

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it was, right?
                                                                    20
          A. Oh, yeah, it's accurate.
          Q. Okay.
                       (Counsel reviews documents.)
     Rexham test, did that involve the production of
     flexo-applied gold sheets?
                                                                   20 - 05
   6
          Α.
                I believe so.
  7
               And under paragraph 17, do you still believe
          Q.
     that in '95 you and Steve Garner showed Jesse Williamson
  9
     a flexo-applied gold sheets [sic]?
10
          Α.
               Yes.
                                                                   20:05
               When do you believe the Rexham test was run?
          Ο.
               I believe it was run at that -- at that time.
1 3 and 1 4
               Would you be surprised to find out that it was
          Ο.
    run a number of months earlier?
<u></u> 5
               I would.
         Α.
                                                                   20:06
               But it --
          Q.
<u>-1</u>7
                    MR. PINKERTON: I think there's been --
    excuse me. For the record, I think it mischaracterizes
 18
19
    his testimony. I think he had previously talked about
 20
    when that was run, so the record will state what he's
                                                                  20:06
    already testified about when there was a Rexham test.
 21
 22
                    MR. HARRIS: I'm willing to accept both
 23
    what he has said and what he's now saying.
24
                    MR. PINKERTON: Okay. Yeah, well, I
    think it's getting late, he's testified about it once,
                                                                  20:
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you're now coming back to it again.
                                                                  20:06
  2
                    MR. HARRIS: I'm not going to go any
    further on it now.
  4
                    MR. PINKERTON: Okay.
  5
                    MR. HARRIS: I'll accede to that.
                                                                  20:06
  6
               (BY MR. HARRIS) When you showed Jesse
    Williamson and Steve -- I beg your pardon -- you and
    Steve Garner showed Jesse Williamson the gold sheets, is
    it a fact that that was your "first inkling of the
    potential and subsequent idea to install such device
                                                                  20:07
    upstream on a litho press"?
1 2 1 3 3 4 4
               It was the first time that we realized through
    discussion with -- with Jesse that that was a real
    potential.
              And all I want to know is that was -- that was
                                                                 20:07
    soon after the Rexham --
         Α.
              Yes.
18
              -- test?
19
              Yeah.
20
              Okay. (Counsel reviews documents.) You were
                                                                 20:07
21
    involved pretty heavily in this negotiation about
    exclusivity and the license and so on as it relates to
22
23
    the subject matter supplied by -- by Printing Research,
24
    weren't you?
25
         Α.
              Yes.
                                                                 20:09
```

```
Now, you make the statement under 24, "The
                                                                   20
     Rendleman coater was developed at the suggestion of Bill
     Davis and Jesse Williamson for WPC."
                     It -- it's fair to say, isn't it, that by
     that statement you're not saying that the Rendleman
                                                                   20:09
     coater, as such, is not an invention as far as Printing
     Research is concerned, the Rendleman coater?
   8
                Is not an invention?
   9
                You're not saying that here, are you?
__ .10
                                                                  20:09
     say, "The Rendleman coater was developed at the
     suggestion of Bill Davis and Jesse Williamson for WPC."
ļm
Ų
 12
                     Does that mean to say that they told him
 13
     how to do it?
  14
          Α.
               No.
                     No.
z .
 15
                                                                  20:10
               And so it was the doing of himself, and they
     were talking about a function, right?
Ū17
               Oh, absolutely.
 18
               Now, under 26, paragraph 26 on page 12 of this
 19
     Exhibit 2, you say here, "the tests conducted at PRI in
 20
     October of '94 were at the suggestion of Williamson and
                                                                  20:11
 21
     Davis and did not illustrate potential applications of
     that technology."
 22
                        (As read.)
                     If it should be that those tests you're
 23
     talking about were for Lapomarde, would you still make
                                                                  20:
 25
     that statement involving Williamson and Davis at that
```

```
20:11
    point? Rexham, in other words?
                   MR. PINKERTON: Objection to form.
  2
    don't -- it's vague and ambiguous --
                    MR. HARRIS: I can restate it --
  4
                    MR. PINKERTON: Yeah.
  5
                    MR. HARRIS: -- it just takes more time.
  6
  7
                 MR. PINKERTON: Okay.
  8
         Α.
               Okay. Would you?
  9
                    MR. PINKERTON: It's just vague.
               (BY MR. HARRIS) Sure.
€10
          Ο.
11
                    If the October 1994 PRI tests were
    actually at the suggestion of John Lapomarde or
    Rexham --
         Α.
              Right.
                                                               20:12
          Q. -- would you still hang on to the language
     "Williamson and Davis" in the fourth line of that
1=17
    sentence under 26?
                    MR. PINKERTON: Object to the form of the
 18
    question.
 19
                                                               20:12
              I don't understand what you're saying. I
 20
     really don't.
 21
          Q. (BY MR. HARRIS) Well, did Williamson and
 22
    Davis direct the Rexham tests?
 23
 24
          Α.
               No.
                                                               20:12
               They weren't there, even, were they?
 25
          Q.,
```

		_
1	A. No.	20:
2	Q. Okay. And so	
. 3	A. But we	
4	Q if the Rexham test was the test you're	
5	talking about in October, there's just some kind of	20:12
. 6	confusion, isn't there?	
7	MR. PINKERTON: Object to the form.	
8	A. We're not talking about the same thing at all.	, at
9	Q. (BY MR. HARRIS) Well, what are you talking	
. 10	about?	20:12
1	A. What I'm talking about is a situation where	
4 2	the Williamson test, if you like, and what we did for	
<u>.</u> 1 3	Lapomarde was for a different for a totally different	
14	application to that of Williamson. And therefore, the	
<u> </u>	two cannot be at all intermixed.	20:13
16	How can you how can you intermix the	
<b>1</b> 7	two situations? They're not related. The only	•
18	relationship	
-19	Q. What	
2 0	A is that it's flexible. End of story.	20:13
21	Q. What is the statement that you make here in	
22	that sentence, then, where you say, "did not illustrate	
23	potential applications of that technology"?	
24	I believe you're saying that right here.	
25	I believe you're saying that the test that was done in	20:1
ı	•	- 1

		]
1	October	20:13
2	A. That's correct, it didn't. It didn't.	
3	Q didn't illustrate it, but you're saying it	
4	was done at the suggestion of Williamson and Davis.	
<u></u> , 5	A. No, no, no. I don't think I'm saying that.	20:13
6	Q. Well, would you take a look?	
7	MR. PINKERTON: Counsel, this relates to	,
8	an allegation in the Complaint, and I'm going to object	
9	to the form of the question.	
10	MR. HARRIS: Okay.	20:13
11	MR. PINKERTON: In terms of has to do,	
12	obviously, with these paragraphs of the Complaint.	
1 3	That's what's referred to, and I think it's improper to	
14	ask him about it without showing him what statement is	
15	in the Complaint.	20:14
16	MR. HARRIS: Well, I'm willing to take	
17	you know we are going to have to take more time, so I'm	
18	certainly willing to take time, too.	
19	MR. PINKERTON: Okay. I think it's	
20	appropriate. I I because it's confusing to what	20:14
21	we're talking about.	٠
22	MR. HARRIS: Well, I intended to bring	
23	it, but I guess I'm just too weak to carry it.	
24	MR. PINKERTON: Paper's heavy.	-
25	MR. HARRIS: Uh-huh.	20:14

1	MR. HARRIS: Have you got one easy?	20				
2	MR. PINKERTON: I don't have one easy,	,				
3						
4	MR. HARRIS: Well, if it takes a long					
5	time, I'm not very interested in it. And if we're	20:1				
6	having to					
7	MR. PINKERTON: There ain't nothing easy					
8	right now.					
9	MR. HARRIS: if we're having to go on					
10	anyway, I can always come to that with a copy of it.	20:1				
-111	MR. PINKERTON: Let's do that. That's					
5412 165	appropriate.	`				
្រូវ សូ13	MR. HARRIS: Well, let me mark it.					
<u>.</u> 14	MR. PINKERTON: That's appropriate to					
=-15	reserve that.	20:15				
16	MR. HARRIS: I'm just going to fence off					
<u>2</u> 17	all of 26 here.					
18	MR. PINKERTON: That's fair enough.					
19	MR. HARRIS: While I'm not sure you're					
20	right, I understand you've got a right to make that					
21	objection for me to consider, at least, and the court to					
22	consider.					
23	MR. PINKERTON: Thank you.					
24	MR. HARRIS: (Counsel reviews documents.)					
25	(Sotto voce discussion.)	20:				

, 1	MR. PINKERTON: It's marked as an exhibit	20:16
2	here. It's 3.	
3	MR. WILSON: Okay. Yeah, I've got it.	
4	MR. HARRIS: While we're on the record,	
5	as I understand, you were going to send us a complete	20:16
6	copy of the Day-Timer, and we appreciate that very much.	
7	MR. PINKERTON: For the month of are	
8	you talking about for July?	
9	MR. HARRIS: Two years.	
10	MR. PINKERTON: Two years. Well, no,	20:17
<u>.</u> 11	we've provided you copies of everything.	
-12	MR. HARRIS: Well, then that's fine if	
- ) - 13	it's whatever whatever day there is that's we	
[] []14	don't have, we want.	,
	MR. WILSON: The copies you provided us	20:17
<u>.</u> 16	is missing a lot of days. It's not just the one month.	
-17	Each month is missing some dates.	
18	MR. HARRIS: We realize some of them may	
19	be blank.	
20	MR. PINKERTON: He explained they're	
21	blank. So you want you want to	
2,2	THE WITNESS: They want all of them.	
23	MR. PINKERTON: Yeah. Do you still have	
24	the	
25	THE WITNESS: (Shaking head negatively.)	

```
MR. PINKERTON: I've got the originals?
  2
                    THE WITNESS: You've got --
  3
                    MR. PINKERTON: I thought we sent those
  4
    back.
                                                                20:17
  5
                    THE WITNESS: -- the Day-Timers.
  6
                    MR. PINKERTON:
                                    We sent those back.
                                                          I'm
  7
     sure we did.
                    MR. HARRIS: I don't want --
  8
  9
                    MR. PINKERTON: I'm sure we did. I'm
    sure we did, but that's -- that's no problem. The thing
                                                                20:17
 10
    we'd like -- one of the things we'd like to request is,
-- 12
    if there is, in fact, a back side to Exhibit --
                                 Yeah. I'll look for it.
                    MR. WILSON:
1 1 3
514
                    MR. PINKERTON: -- 17, is that the one --
15
                    MR. WILSON:
                                 Yeah.
16
                    MR. PINKERTON: We would like that, like
<u>-</u>17
    a copy of that.
 18
                    MR. WILSON:
                                 Yeah.
                                        I was going to say,
 19
    on the calendar, can we get the -- you know, on the
                                                                20:18
    Day-Timer, it's got both like a work page, note page,
    and a schedule page. I'd like both those pages on every
 22
    day.
 23
                    MR. PINKERTON: Isn't that what we --
    didn't we shoot that?
 24
 25
                                                                20:16
                    THE WITNESS: You know what, we'll send
```

```
20:18
 1 you them, you can copy them.
  2
                   MR. WILSON: That -- that'll be fine.
    We'll do it at our expense.
                   MR. PINKERTON: That's fine.
                                                                20:18
  5
                   THE WITNESS: That would suit me fine.
                   MR. WILSON: Okay. Thank you.
                   THE WITNESS: Not a problem. Would you
    give me a card so that I know where to send them?
                   MR. WILSON: I'll write it down for you.
                                                                20:18
                    (Sotto voce discussion.)
 10
              (BY MR. HARRIS) (Counsel reviews documents.)
    Under paragraph 9 of your Supplemental Declaration,
    which I take it to be Exhibit 3, I'd like for you to
    look at paragraph 9.
.
15 العصر
                                                                20:21
         Α.
             (Witness reviews documents.) Okay.
              When you say, "I notice that the priority date
    of EP 741 025 A3, Exhibit B hereto -- hereto, is May 4,
    1995, which is consistent with my recollection that
    Printing Research filed a patent application on the
 19
                                                                20:22
 20
    cantilever device, or a Ferris wheel, in the spring of
 21
    1995," where did you get this priority date? How did
    you get it, the one in the first line that's referred
 22
23
    to?
         A. I would guess with the -- with the information
24
                                                                20:22
25 that was sent to me by Printing Research. I assume.
```

1	Q. By Printing Research?	20·
. 2	A. Uh-huh. Since I was named on the	
3	Q. Oh, okay	
4	A. Since I was named on	
5	Q do you think so?	20:22
6	A. Well, I'm guessing so.	
7	Q. Did you and Mr. Falk and/or Mr. Pinkerton	
8	discuss that?	
9	A. We may have done.	
10	Q. Did you discuss that point?	20:22
] ] 1 1	A. I I don't recall.	•
12 12	Q. Whether you did or not?	.:
13 13	A. I don't recall whether we did or not.	5 F,
- - - -	(Sotto voce discussion.)	
- 15	Q. (BY MR. HARRIS) In the Supplemental	20:23
16	Declaration, Exhibit 3	1
17	A. Uh-huh.	·
18	Q page 3, paragraph 9, starting on the fifth	
1 9.	line oops, the fourth line, "I did not intend to	
20	claim that Davis-Williamson process, and to the best of	20:24
21	my knowledge, no one at PRI indicated in 1995 they	
22	intended to claim the Davis-Williamson 363 process.	•
23	Those 363 process aspects taught in EP 741 025 A3 as	
24.	opposed to the teachings concerning the cantilever	
25	device or Ferris wheel came from the discussions with 2	0:.

20:24 Bill Davis and/or Jesse Williamson indicated the above, starting in August 1994." 3 I -- I find that complex. Could you simplify or make some comment on what that means? All that means is that in -- when we -- we 20:24 5 were -- I was asked, we were brought in to write up a -an application, patent application, it was my understanding that we were applying for an application for the Ferris wheel device. 10 And I had no forethought relative to the 20:25 actual process applications that were being taught and/or filed by Williamson Printing. -12 <u>~</u>13 Did it come to your attention, then, at some 114 time by some means that this -- the May 4th U.S. 20:25 application of Printing Research for an EP 741 025 A3, **1**5 #16 did it come to your attention at some time that there was some subject matter in it that you considered the <u>1</u>17 teachings or information from discussions with Bill 19 Davis and/or Jesse Williamson? 20 Α. I can't say without relooking at that to -- to 21 be sure. To begin with --22 Q. But I think that's true. I think --23 24 -- would you relook at those lines just to be 20:26 25 sure. I had a little trouble with them.

	İ
A. Yeah. But I I'd have to relook at the	20
at the exhibit to to be sure.	
Q. Uh-huh.	
A. But that is clearly what I'm saying.	
Q. Pretty sure whether there's anything in there	20:26
at all or not; is that right?	
A. At this stage, yeah. It's	
Q. And then backing off of that	
A 8:30 at might.	-
Q. Backing off from that, you're not too sure one	20:26
way or another about whether some of the 363 information	
was included?	
MR. PINKERTON: Objection to the form of	
the question.	
Q. (BY MR. HARRIS) I believe you understand the	20:27
question.	i Ç
A. I believe I understand the question.	
You you could make that connotation.	
Q. But you're just not you can't put your	
thumb on how much or what, is that it?	20:27
A. Yeah, because I need I'd need the documents	
once again in front of me to to be sure.	
MR. HARRIS: 29? I'm not quitting. I'm	
just I suggest we go.	
MR. PINKERTON: Yeah, it's a good time to	20:.
	at the exhibit to to be sure.  Q. Uh-huh.  A. But that is clearly what I'm saying.  Q. Pretty sure whether there's anything in there at all or not; is that right?  A. At this stage, yeah. It's  Q. And then backing off of that  A 8:30 at might.  Q. Backing off from that, you're not too sure one way or another about whether some of the 363 information was included?  MR. PINKERTON: Objection to the form of the question.  Q. (BY MR. HARRIS) I believe you understand the question.  A. I believe I understand the question.  You you could make that connotation.  Q. But you're just not you can't put your thumb on how much or what, is that it?  A. Yeah, because I need I'd need the documents once again in front of me to to be sure.  MR. HARRIS: 29? I'm not quitting. I'm just I suggest we go.

```
break.
                                                                20:27
 1
 2
                   MR. HARRIS: Yeah, we'll break.
 3
                   MR. PINKERTON:
                                   Good time to break.
   We'll reserve -- reserve --
 5
                                                               20:27
                   MR. HARRIS:
                                I -- I -- I certainly won't
   be long when we reconvene.
 7
                   MR. PINKERTON: We're going to agree to
 8
   reserve my redirect and your recross.
 9
                   MR. JESSE WILLTAMSON: Are we quitting?
10
                   MR. PINKERTON: Excuse me?
11
                  MR. JESSE WILLIAMSON: We want to finish.
   Why don't we finish this thing?
13
                  MR. PINKERTON: It's impossible to do.
   It's impossible to do --
14
15
                  MR. JESSE WILLIAMSON: We'll stay here
   all night. We want to finish the thing.
17
                  MR. PINKERTON: Well, we've got a -- we
   have a court reporter here who can't stay.
19
                  MR. JESSE WILLIAMSON: Well, maybe she
20
   needs to find someone to replace her.
21
                  MR. PINKERTON: We've already been
   through that. She called the company, and they don't
22
23
   have a replacement for her. And that's -- we'd already
   figured that out before.
24
```

ļ=

25

Does she have to

MR. JESSE WILLIAMSON:

20:28

```
leave?
                            2
                                                                                                                                       MR. PINKERTON:
                                                                                                                                                                                                                                          Excuse me?
                           3
                                                                                                                                      MR. JESSE WILLIAMSON: Does she have to
                                          leave?
                                                                                                                                    MR. HARRIS: Yeah. She has a daughter
                                                                                                                                                                                                                                                                                                                                                                                                                     20:28
                          6
                                        she's got to pick up.
                         7
                                                                                                                                    THE VIDEOGRAPHER: Ready to go off the
                                       record now?
                                                                                                                                    MR. PINKERTON:
                                                                                                                                                                                                                                       Yeah.
The state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the s
                  10
                                                                                                                                    THE VIDEOGRAPHER: The time a 8:28 p.m.
                                                                                                                                                                                                                                                                                                                                                                                                                 20:28
                  11
                                      We're off the record.
                 1.2
                                                                                                                                   (Deposition adjourned at 8:28 p.m.)
                13
                                                                                                                                    (Deposition Exhibit No. 20 marked.)
14
              15
             .16
              17
               18
               19
              20
              21
              22
              23
              24
             25
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STATE OF TEXAS

COUNTY OF DALLAS

I, Tami L. Slater, Certified Shorthand Reporter, duly qualified in and for the State of Texas, do hereby certify that, pursuant to the agreement hereinbefore set forth, there came before me JOHN W. BIRD who was by me duly sworn to testify the truth, the whole truth and nothing but the truth of his knowledge concerning the matters in controversy in this case; and that he was thereupon carefully examined upon his oath and his examination reduced to typewriting by me or under my supervision; that the deposition is a true record of the testimony given by the witness, same to be sworn and subscribed by said witness before any Notary Public, pursuant to the agreement of the parties.

I further certify that I am neither attorney not counsel for nor related to or employed by any of the parties to the action in which this deposition is taken, and further that I am not a relative or employee of any attorney or counsel employed by the parties hereto or financially interested in the action.

In witness thereof, I have hereunto set my hand this \_\_\_\_\_\_, day of \_\_\_\_\_\_, 2000.

Reporter in and for the State of Texas. Certificate No. 7383, expires December 31, 2001. 5050 Quorum Drive, Suite 700, Dallas, Texas 75240. (214) 341-4000. 1.8 ,23 

## CHANGES AND SIGNATURE

(Di	Reference No.
	sregard if signature waived]
PAGE LINE CHANGE	REASON
	,
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,	
I,my signature that same is true a	, have read the foregoing deposition and hereby a and correct, except as noted above.
	Signature of Witness
THE STATE OF	Signature of Witness
THE STATE OF	Signature of Witness
THE STATE OF  COUNTY OF  Before me,	Signature of Witness  (insert name of officer), on this day
THE STATE OF  COUNTY OF  Before me,  personally appeared	Signature of Witness  (insert name of officer), on this day known to me (or proved to me on the contract of th
THE STATE OF  COUNTY OF  Before me, personally appeared oath of or identity card or other documents.	Signature of Witness (insert name of officer), on this day, known to me (or proved to me on the continuous for through

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## **EXHIBITS**

CIVIL ACTION FILE NO. 3-99CV1154-M
PRINTING RESEARCH, INC., ET AL.
VS.
WILLIAMSON PRINTING CORPORATION, ET AL.
EXHIBIT NOS. 1 TO 20
FOR THE DEPOSITION OF JOHN W. BIRD
September 12, 2000

MBA Reporting Services, Inc. \* Dallas, Texas (214) 341-4000

ORIGINAL

1977 - 1982

## Colordy Ltd. (Spectral Ltd., and now owned by Nordson)

### Partner & Technical Sales Director

- . Founding panner for LV and IR drying systems
- Directed development and sales marketing efforts for drying systems from \$75K in 1977 to \$1.5M in 1981

1974 - 1977

### Print Dimensions Ltd.

#### Technical and Sales Director

 Developed and marketed proprietary three-dimensional vacuumformed plastic products

1970 - 1974

# McCorquodale Plastics/Associated Trapinex Ltd.

#### Works Manager

 Managed production of litho, screen-printing and plastic laminating in the manufacture of credit cards and plastic point of purchase display products

1965 - 1970

## Sericol Group Ltd.

### **Development Chemist**

- Developed various ink systems for the screen-photographing industry.
- Developed coating methods and photographic film for the screen pinnting industry

1960 - 1965

#### Ault & Wiborg Ltd.

### **Development Chemist**

Manufactured ox for litholl and developed some of the first web offset heatset links in the UK.

EDUCATION:

1960 - 1965 London College of Printing

1956 - 1960 St. Gerard's RC Secondary School

#### ACHIEVEMENTS (US):

Nine patients issued, two GATF (Graphic Arts Technical Foundation) Intertech Awards, Special Mention A CC Technical Ment Award for HV Drying. Articles published in "Boxboard Containers", "Graphic Arts Monthly" "TAPP! Journal" and "GATF Technical Manual", Introduction and development coating litholand Fex.2, technical presentations made to AICC, GATF, TAPPI, University of Wisconsin and various Litho Class.

ACHIEVEMENTS (UK):

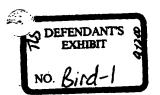
City and Suilds Printing Ink Technicians Certificate, Member Institute of Printing (M.F.O.P.), Charman Screen Printers Association, Six Patents Issued, Articles published in "Professional Printer", "Folding Carton". "British Printing and Screen Printing" trade magazines, Introduction and Development of shortwave infrared and "Cold" UV Drying Systems.

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#### PERSCNAL:

Date of binn, August 10, 1945. Married with three children (ages 33, 20, and 26).

W000944



INDUSTRY REFERENCES AVAILABLE UPON REQUEST.

The state of the s

PATENT Our File: WILL 2501

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of:

BILL L. DAVIS and JESSE S. WILLIAMSON

For Reissue of U. S. Patent 5,630,363

Issued May 20, 1997 Serial No. 08/515,097

Filing Date: May 20, 1999 (Reissue)

Serial No.: 09/315,796 (Reissue)

For: COMBINED LITHOGRAPHIC/

FLEXOGRAPHIC PRINTING APPARATUS AND PROCESS

Examiner:

Group Art Unit: 2854

DECLARATION OF JOHN W. BIRD

TO The Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

- I, John W. Bird, declare on my oath the following:
- I am over twenty-one (21) years of age, have never been convicted of a felony,
   and am competent to make this testimony. I am President of JB Machinery Incorporated. 9
   Sasqua Trail, Weston, CT 06883. My curriculum vitae is attached hereto as Exhibit 1.
- 2. I have read U.S. Patent 5,630,363 to Davis and Williamson and am familiar with its specification, drawings, and claims. A copy of the '363 patent is attached hereto as Exhibit 2. I am aware that Davis and Williamson filed a reissue application seeking to make corrections in some of the claims of, and also seeking to add new claims to, the '363 patent, specifically claims 42-87. A copy of what I understand to be the reissue claims, which I have read, is attached as Exhibit 3.

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DEFENDANTS & EXHIBIT &

- 3. For the reasons that follow, and in view of my personal knowledge of the events which occurred at Printing Research, Inc. ("PRI") and Williamson Printing Corporation ("WPC") between 1991 and 1995, I believe that Bill Davis and Jesse Williamson are the first, true and correct inventors of the claimed invention of the '363 patent, as well as the subject matter of their reissue claims. Furthermore, based on my more than 35 years of experience in the printing industry, I believe that the printing methods and presses claimed in the '363 patent, as well as in the reissue claims, were a significant advance in the mid-1990s.
- PRI filed a lawsuit in the Northern District of Texas styled Printing Research, Inc. v Williamson Printing Corporation, Bill L. Davis and Jesse S. Williamson, Civil Action No. 3:99CV1154-D (Exhibit 4). In paragraph 10 of Plaintiff's Original Complaint, it is alleged that Howard W. DeMoore is the sole inventor of the claimed invention of the '363 patent, and that DeMoore himself conceived and developed a single-pass printing process "for selectively applying printing inks and coatings to paper and other substrates, in which one of the stations utilizes a flexographic process and at least one of the successive stations utilizes a lithographic process." These allegations are each false. Based upon my personal experience obtained while working at PRI, these allegations as well as similar allegations in the Complaint are false: Howard W DeMoore did not conceive or reduce to practice the process invention broadly characterized as combining a flexographic step with downstream offset lithography. That simply did not happen in 1994 or 1995 or before.
- 5. To the best of my knowledge, there are no 1991-1995 conception memoranda, invention memoranda, notes, e-mails or memoranda of a conception of the use of a flexographic station prior to offset lithography authored by DeMoore, me or Rendleman or anyone else at PRI.
- 6. I was employed by PRI from early 1991 until early January 1997 when I was terminated as an employee. I was exclusively retained as a manufacture's representative for flexographic and converting products in June 1997. I was terminated still again as a sales agent in March 1998, and recently I settled a lawsuit with PRI who sued me and my new company (JB

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Machinery) for alleged trade dress infringement and copyright infringement over my company's new brochures concerning drying equipment. Prior to early 1991, I was a principal (President and CEO) in Birow, Incorporated, located at 8 Clover Lane, Westport, Connecticut 06880. Shortly after arriving at PRI in early 1991, as part of the negotiations with PRI, I was required to grant PRI an exclusive license in Birow's proprietary methods and apparatus developed by me. See Exhibit 5. That license included U.S. Patent Nos. 4,796, 556 (Exhibit 6), 4,841,903 (Exhibit 7), 4,895,070 (Exhibit 8), and 4,939,992 (Exhibit 9), as well as a patent application, Serial Number 07/336,435, filed the same day as the application leading to the '992 patent, which I believe never issued. My experience that I brought to PRI was in the graphic arts, lithography, flexography, screen printing and coating applications, including the construction of coaters and driers. As of 1991, I do not recall flexographic applications existing in the offset lithography art other than end-of-press specialized applications. The arts were different. Flexography was used in the manufacture of boxes, bags and labels. I also brought with me to PRI a retractable, end-ofpress coater, or "rack-back" coater as the term is often used in the industry. As I recall, we sold very few of these at PRI. A copy of a PRI brochure (printed about 1994) depicting this technology, which I brought to PRI, is attached hereto as Exhibit 10. At the time I arrived at PRI in early 1991, PRI was developing the so-called "E-Z" coater, which was developed in the early 1990s, and which used a chambered doctor system, the subject of several PRI patents (U S Patent Nos. 5,176,077, 5,207,159, and 5,335,596, attached hereto in a group as Exhibit 11). I believe I was the only person at PRI in 1991-1995 that had any significant experience in flexography. In hindsight, the only people anywhere in the world which would have had the motivation in 1994-1995 to go "upstream" with flexography in an offset lithography press would be a printer or a manufacturer of inks or coating, probably metallic inks or coatings. A small manufacturer of auxiliary equipment for presses, such as PRI, in my opinion would not have such motivation other than to produce a product in response to an order.

 When I joined PRI in early 1991, the principal efforts of PRI were involved in the field of anti-marking technology. The company was heavily financially dependent on selling

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specially-tailored sheets of cheesecloth as an anti-marking tool (U.S. Patent No. 4,402,267, Exhibit 26 hereto), the so-called "Superblue<sup>TM</sup>" netting, to expire in September 6, 2000. I feel my contribution to PRI was primarily in the development of drying equipment, including end-of-press and interstation drying equipment and to introduce them to a retractable or "rack-back" coater.

- 8. In February 1991, at about the time I arrived at PRI, Howard DeMoore filed a lawsuit against WPC, styled *Printing Research, Inc. and Howard W. DeMoore v. Williamson Printing Corporation, Jerry B. Williamson, Jesse Williamson and Buford Roy Williams*, Civil Action No. 3:91-CV-0389-X (Northern District of Texas, Dallas Division), which was settled on or about October 1, 1993. The basic terms of the settlement had been worked out several months before October 1, 1993 (actually sometime in May 1993, as I recall), and accordingly, I started approaching Williamson in the early summer of 1993 to start purchasing PRI's products (see letter of June 25, 1993, authored by me, Exhibit 12). On several occasions in late 1993 and the first half of 1994, I dropped by the offices of WPC, providing brochures and handouts of PRI products I thought WPC might possibly be interested in.
- 9. I was aware in 1993 and 1994 that WPC was seeking to replace its aging printing presses with new, state-of-the art presses, and I was aware by July of 1994 WPC had more or less decided to go with Heidelberg U.S.A., Inc. and purchased several different presses, to be installed starting in late 1994 and running well into 1995. This presented PRI, in my opinion, with a significant opportunity, as PRI sold good auxiliary drying equipment. I was a major contributor at PRI into the invention, research and developing of drying equipment.
- 10. I became aware from Steven Baker, one of PRI's salesmen, upon his return in July 1994 from Atlanta, Georgia, of a meeting between Steven Baker, Jesse Williamson and Bill Davis of WPC. Steven Baker told me of a July 1994 meeting in an Atlanta restaurant in which Davis and Williamson told him (Baker), in confidence, of Davis and Williamson's intent to improve the so-called "WIMS" metallic printing process of WPC, U.S. Patent No. 5,370,976 (Exhibit 13), of which at the time I had some familiarity with the process, but not a lot. Baker

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told me in July 1994 that WPC had already committed orally to purchasing dryer equipment from PRI for the line of Heidelberg printing presses, and that Baker had shown Jesse Williamson and Bill Davis a PRI-constructed HV interstation dryer at James River carton printing plant in NEHNAN 78. Newman, Georgia, and that Baker had been told of a pending WPC patent application for the "WIMS" process. Baker told me that as part of these discussions, they confided in Baker that they wanted to use flexography at a station they designated "up-stream" - perhaps even the first station - of one or more offset lithography presses that they would receive from Heidelberg. Baker mentioned to me at the time in July 1994 that they mentioned several ways in which this could be done -- most preferably, a retractable or "rack-back" mechanism, which would have to be modified for "upstream" use. Baker told me that with respect to the "rack-back" option told him by Davis and Williamson, they would have to have the retractable mechanism have an anilox roller, a chambered doctor, and the use of state-of-the-art flexographic plates. Baker told me that Davis and Williamson indicated they had just seen the use of some of these flexographic (BASF) plates in Germany, and that a number of companies sold high-resolution plates which would work in their new process. Baker told me that Davis and Williamson inquired whether PRI was interested in supplying these types of "rack-back" or retractable mechanisms, and that he (Baker) told Williamson and Davis of the PRI "rack-back" and provided a brochure. Exhibit 10. Effertz Tool Company, Franklin Lakes, New Jersey, made these "rack-backs" for me while at Birow, Incorporated, and Effertz continued to make these "rack-backs" for PRI for the few units PRI sold when I brought the technology to Dallas.

equipment from PRI, I passed along product information in detailed form to WPC regarding the drying equipment WPC had promised to purchase from PRI on August 31, 1994 (Exhibit 14). WPC had signed an agreement on October 1, 1993 with PRI to purchase a significant amount of drying equipment, including interstation drying equipment (note my memorandum of September 6, 1994 (Exhibit 15), and Howard DeMoore's acknowledgment on the very same day that the terms of the Settlement Agreement had been complied with contingent on completion

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of the purchase (Exhibit 16)). I supplied WPC with a final purchase agreement schedule on September 15, 1994 (Exhibit 17).

- 12. Steve Baker also told me on his return to Dallas in July 1994 that Davis and Williamson wanted some experiments run at PRI using my "rack-back" (note again brochure, Exhibit 10). I recall such experiments at PRI conducted in the fall of 1994. These tests were done on PRI's two-color Heidelberg R&D press utilizing an existing "rack back" coater of my design at the end of the press, at the direction of WPC, with WPC supplying most of the flexographic inks and the flexographic plates for the experiments. The tests were chiefly designed to determine the resolution that was possible with the PRI coater, and supplied plates and coatings. No one-pass tests of the claimed '363 process were done in the fall at PRI. In fact, to the best of my knowledge, no tests were ever conducted at PRI of the '363 invention, only at WPC. In fact, to the best of my knowledge, no off-line simulated tests (flexography done first with a second pass of performing offset lithography in a pass-through) were ever performed at PRI. I never collaborated with Bill Davis or Jesse Williamson or anyone else at Williamson concerning the '363 invention in 1994 or 1995. Again, PRI, to the best of my knowledge, does not have any late 1994 or early 1995 record, notebooks, e-mails or memoranda concerning any conception by PRI of the '363 claimed invention.
- 13. I suggested that my colleagues start working toward an acceptable flexographic printer coater for use with the Davis-Williamson '363 process. In the late fall of 1994, pursuant to my recommendations, PRI did start working on what was termed in-house as the "Rendleman coater," the first prototype being a cantilevered, "short-arm" device that would fit on an end-of-press. Heidelberg-manufactured coating tower of the first Heidelberg press to arrive at Williamson the so-called "7 color Heidelberg CD." The purpose of our development of the device was clear: we did this to try to get all of WPC's business. We had no firm orders from them for this equipment. That prototype was actually not installed at WPC until late February 1995. The following documents illustrate the timing of development of this short-armed device, which was not intended for interstation deployment, but for use on the low profile of the tower

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coater with the intention of going upstream at a later date. On December 16, 1994, I wrote a memorandum to Bill Davis of Williamson (Exhibit 18), in which construction of the proposed short-arm device was not even mentioned. As of that time, only parts of it had been developed by Ron Rendleman, and sat on the floor at PRI. I did not mention the "short arm" device in the December letter. Steve Baker did not even mention the short-arm prototype in his late January 1995 letter to Jesse Williamson (Exhibit 19). Had PRI had the prototype near ready for installation, it would have been mentioned in a letter. In my opinion, the time to develop shortarm prototype of the "Rendleman coater," which was a crude, manually operated device, which took more than 90 days, taken even at a causal pace. Working back from a late February installation, it is clear work on the "short-arm" experimental coater started no earlier than December 1994, which is consistent with my recollection. The "short-arm" device was never intended to perform as an interstation flexographic coater, and could not have. The reason why PRI started working on an experimental, cantilevered end-of-press printer-coater, rather than an interstation unit to perform the '363 process, was that in December 1994 PRI had no commitment from WPC to order such devices, there was no established market for an interstation, and no one at PRI appreciated, much less knew of the details of the '363 inventive process outside of the disclosure made to Baker.

WPC attended by Steve Baker, me, Bill Davis and Jesse Williamson. At this meeting, Jesse Williamson told Steve Baker and me that he (Williamson) and Davis were going to file for a patent on their new process. I recall commenting to Steve Baker going back in the car to the offices at PRI that I thought it was amazing that anyone could patent a process apart from the equipment – the so-called "iron," which is a term used by many people in our business. I thought it was a brilliant move, but did not know whether such patenting could take place. I had several patents issue to me as of January 1995 (Exhibits 6-9), but didn't know that such a process could be patented, however meritorious

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11.

I recall another meeting which took place on February 11, 1995. Jesse 15. Williamson and Bill Davis told me that they had gone to Germany to the Heidelberg Company. They informed me in confidence that they had had tests conducted on a simulated reduction-topractice of the new process to be patented, using state-of-the-art BASF plates at the Heidelberg Company with German and British flexographic inks. They indicated they had compared in Germany the results of a gold and silver Rolex advertisement they had previously made using the "ordinary" WIMS process, with a simulation of the new process, using multiple passes comprising flexography performed first, followed by offset lithography. They indicated to me on February 11, 1995 that the German tests confirmed the advantages and benefits of their new process. Accordingly, they committed not only to installing the existing short-arm prototype still in production at PRI, but for PRI to install a long-arm device for interstation use at WPC if PRI could come up with a workable design. Accordingly, I sent them a confirmatory memorandum on February 16, 1995 (Exhibit 20), indicating that the "short-arm" end-of-press unit was to be provided for no cost. We actually installed the "short-arm" unit at the end of February, 1995 We did not even have a sketch of the interstation coater to provide Williamson until March of 1995 - let alone completed blueprints -- and our development of the interstation coater was just a concept in late April 1995 when we had brochures printed in gold and silver - not even with the improved process (Exhibit 21). We provided an incomplete sketch of the prototype interstation "Rendleman coater" to Bill Davis in March 1995, which was apparently completed by Davis and Williamson, modified and put in the '363 process as Fig. 2. The first of the interstation units was not installed until late August, or early September 1995, as I recall.

In late March of 1995 I observed as part of a team of employees at PRI a 16. simulated reduction of the '363 process using the "short-arm" device - i.e., "offline" (as Bill Davis and Jesse Williamson called it) - for a customer in Washington, D.C. (Brian Liester, Hi Fi Color, Mills Davis)). The simulated reduction was conducted at WPC, using state-of-the-art plates and flexographic inks, under the direction of Bill Davis. The work done for Liester later won an industry prize in the fall of 1995 (PIA's Premier Print Awards), at Chicago, Illinois. To

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the best of my knowledge, no one at PRI ever claimed that PRI should share in the recognition of that prize.

- In March 1995, I test marketed a closed doctor blade chamber recirculation system at a graphics show held biannually in Charlotte, NC. John Lapomarde (retired) previously with Rexham Corporation, had purchased such a unit. PRI sold a system to Lapomarde for installation at the end of his Komori multi-color press, replacing an application roller with an anilox roller, and installing PRI's recirculation closed doctor blade system, on or about mid-to-late 1994. Prior to the installation, we ran tests at PRI to apply metallics and coating using the retractable coater at the end of PRI's two-color Heidelberg press. Howard DeMoore and Ron Rendleman had no input into the tests or our process. Sometime in the spring of 1995 Steve Garner and I showed Jesse Williamson the flexo-applied gold sheets shortly thereafter. That was my first inkling of the potential and subsequent idea to install such device upgream on a litho press. I do not recall writing any memorandum, notebooks, e-mails, or other writings at PRI describing this concept. I never told anyone at WPC about this process.
- with Jerry Williamson, Jesse Williamson, Bill Davis and Woody Dixon. The issue of who had what exclusive rights to what part of these marvelous inventions the process and the "Rendleman coater" came up for the first time, as I recall. No one from PRI questioned WPC's and Davis' and Williamson's rights to patent the process, if they could after all, they had told us about the process back in July 1994. This meeting was the first in a series of meetings to discuss potential exclusivity in WPC to sell the interstation "Rendleman coater" which had not even been developed yet, let alone reduced to practice. Our original proposal was that PRI would agree to give WPC some degree of exclusivity on selling the "Rendleman coater" to others. In this same time frame, Ron Rendleman, Howard DeMoore and I signed a U.S. patent application to the "Rendleman coater" on May 4, 1995 or a day before, without telling WPC about it. WPC never claimed in our meetings, or in any letter to PRI to the best of my knowledge that any of their people invented the "Rendleman coater." They just wanted us to

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.

come up with an interstation coater to perform their process, which we did. They could have gone to any one of a number of manufacturers of end-of-press auxiliary coaters and had these devices modified in a relatively short amount of time for interstation deployment. It is my belief that WPC chose PRI because of the October 1, 1993 settlement agreement. Our May 4, 1995 application, as I understood it then and understand it now – did not claim the '363 process. We could not have claimed the process. First, we were not the inventors of the process, and second, we had insufficient information about conducting the process or the results to be expected to make a good disclosure. As of May 4, 1995, PRI knew that WPC intended to file a process application, if it had not already done so. On May 12, 1995 I wrote a confirmatory letter concerning the first interstation unit for WPC (Exhibit 22), which we promised would arrive in mid-August. In fact, it was several weeks late. The short period of 90 days for completion indicated in my May 12, 1995 letter was a reasonable time for the development and installation WFC could have obtained from any other examing competent manufacturer of an auxiliary unit modified for interstation deployment.

- 19. After my May 12, 1995 letter, PRI and WPC went back and forth in negotiations concerning the extent to which WPC could sell exclusively the "Rendleman coater." To the best of my knowledge, the parties were close but never reached an agreement in writing. It was a failed cross-licensing negotiation, as the correspondence clearly shows.
- 20. Four cantilevered "Rendleman coaters" were delivered to WPC. To the best of my knowledge, PRI delivered two interstation "Rendleman coater" units to WPC in 1995-1996, the first of which was delivered in late August 1995. End-of-press units were delivered in late February 1995 (the experimental prototype) and early 1996.
- 21. To the best of my knowledge, WPC never gave PRI a license to make, use, or sell the "Rendleman coater" for performing interstation '363 process. I am not aware of any effort on the part of PRI to approach WPC at any time for such a '363 process license for PRI or the Hallmark Company or anyone else.

DECLARATION OF JOHN W. BIRD

- 22. On October 2, 1995 Rendleman, DeMoore and I filed a second, now series of four patent applications directed to interstation use of flexography where the flexographic stations were <u>not</u> auxiliary units, but dedicated the press units would have to be substantially modified. To the best of my knowledge, this invention was never actually reduced to practice by PRI, let alone sold. Of the four applications filed in the United States, three were carried forward overseas in Europe and Japan, and three have issued in the United States U.S. Patent Nos. 5,598,777 (<u>Exhibit 23</u>), 5,651,316 (<u>Exhibit 24</u> and very recently, 5,960,713 (<u>Exhibit 25</u>). These patents have as originally filed the same specification. They have nothing to do with the "Rendleman coater" and did not claim the '363 process.
- 23. The European counterpart of the May 4, 1995 "Rendleman coater" application was published about 18 months after May 4, 1995, i.e., November 6, 1996. (Note EP 741 025 A3, item (43), Exhibit 27).
- Accordingly, when I review PRI's complaint, I find no important factual ment to 24. it whatsoever. The invention of the '363 patent has never been installed or used outside of WPC The "Rendleman coater" was developed at the suggestion of Bill Davis and Jesse Williamson for WPC. Neither Ron Rendleman or I ever developed the '363 process, let alone Howard DeMoore. Had PRI invented the process, PRI would never have taken prototypes outside the offices of PRI or told a customer about it without detailed secrecy agreements. Moreover, in my opinion, PRI had no motivation to come up with the process invention because it did not utilize the WIMS process out of which I believe the '363 patent originated. To the best of knowledge, no one at PRI ever told the '363 invention to Davis and Williamson - the reverse I know occurred in July 1994. PRI did not even have the facilities to reduce the '363 invention to practice - even by simulation. If PRI had the capability to use or to simulate the '363 process, the 1995 brochure would have been printed by the new '363 process. The brochure was not. I know intimately the details of the development of the "Rendleman coater" in 1994-1995, had numerous discussions on a week-to-week basis with Rendleman, kept DeMoore informed as to the progress of its development and the installations of the "short arm" (late February 1995) and

DECLARATION OF JOHN W. BIRD

long-arm devices, and attended the few experiments in the fall of 1994 and the few meetings in 1995 where employees of the two companies met. No experimental or developmental work – no collaboration – occurred between PRI and WPC. Howard DeMoore was never involved in the conception or development of the interstation "Rendleman coater" – he was virtually never in PRI's offices. To the best of my knowledge, the '363 invention is the genius of Jesse Williamson, who is a visionary, and Bill Davis whose printing process experience made it possible to bring it about.

- 25. Contrary to the allegations in the Complaint, Exhibit 4, Howard DeMoore did not conceive, invent, reduce to practice, or develop the '363 invention, or any individual or team at PRI. I was the one responsible at PRI for trying to get the Hallmark business, and no one at WPC ever told anyone at Hallmark, to the best of my knowledge, not to do business with us. As far as I know, neither I nor Hallmark approached WPC for a license to the '363 technology. The '360 patent issued in May 1997 and of course, such a license would have been appropriate had Hallmark wanted to practice the '363 process.
- ?6. As indicated by the testimony and Exhibits above, DeMoore and PRI have misrepresented the true facts, or are simply mistaken, in paragraphs 10-17 of the Complaint. The errors are too numerous to list here. I will give some examples. First, the tests conducted at PRI in October 1994 were at the suggestion of Williamson and Davis, and did not illustrate "potential applications of that technology." Second, DeMoore did not conceive and begin development of the "Lithoflex system," which is described in the Complaint to include the '363 process. I was not "contacted by Williamson employees" to learn the "Lithoflex" process in November 1994 (paragraph 13). Third, I did not describe the "Lithoflex system" to anyone at Williamson (paragraph 13) let alone "details" (paragraph 14). Fourth, to the best of my knowledge, no written confidentiality agreement was in place (paragraph 15). Fifth, Williamson never agreed to let us test generally the "Rendleman coater" at the offices of WPC (paragraph 16), let alone

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SUBJECT TO
PROTECTIVE ORDER

to give PRI a broad-based license to practice the '363 invention. Sixth, the first or "short-arm" experimental "Rendleman coating unit" was delivered in late February 1994, and was end-of-press. Seventh, the first "long-arm" or interstation unit was delivered in late August or early September 1995. Thus, the date given in paragraph 17 of the Complaint of November 1995 is wrong. Again, these are just examples of the misinformation in the Complaint.

The undersigned Declarant stated further that all statements made herein of Declarant's own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

John W Bird

12-11-99

Date:

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In re the Reissue Application of.

BILL L. DAVIS and JESSE S. WILLIAMSON

For Reissue of U. S. Patent 5,630,363

Issued May 20, 1997

Senal No. 08/515,097

May 20, 1999 Filing Date:

Examiner: S. Funk

Group Art Unit. 2854

J. Hilten

Senal No.: 09/315,796

For. COMBINED LITHOGRAPHIC/

FLEXOGRAPHIC PRINTING

APPARATUS AND PROCESS

# SUPPLEMENTAL DECLARATION OF JOHN W. BIRD

The Honorable Commissioner of To Patents and Trademarks Washington, D.C. 20231

Sır

I am the same John W. Bird who executed a Declaration on December 11, 1999,

and reaffirm the statements made therein.

Attached hereto as Exhibit A are notes taken from various days of my monthly

"Pocket Day Timer(s)" for August 1994 through May 2, 1995

- (A) August 18, 1994; .
  - (B) August 29, 1994;
- (C) September 12, 1994;
- (D) October 5, 1994;
- November 14, 1994, (E)
  - (F) November 15, 1994;
  - (G) November 18, 1994;
  - (H) November 21, 1994;
    - (I) December 20, 1994;
    - January 4, 1995; (J)
  - January 30, 1995; (K)
  - (L) February 9, 1995;
  - (M) February 11, 1995;
- February 13, 1995; (N) (O) February 15, 1995;
- (**P**) February 24, 1995;

  - (Q) March 1, 1995;
- (R) March 7, 1995;

**DEFENDANT'S** 

- (S) March 10, 1995
- (T) April 4, 1995
- •• (U) April 6, 1995;
- (V) April 25, 1995,
- \*\* (W) May 2, 1995,

From my day-timer, I recall having a number of meetings at Williamson and, at other times, telephone conferences, sometimes with both Bill Davis and Jesse Williamson (marked "\*\*" above), and sometimes with Bill Davis (marked "\*"), following the revelation to me by Steven Baker of Printing Research, in late July 1994 of the Davis-Williamson process [what became the '363] see paragraph 10 of my prior declaration. The unasterisked pages may have some relevance.

- 3. In these meetings and conferences, which started on or about August 18, 1994. Bill Davis and/or Jesse Williamson conveyed to me details of the process they wanted implemented by a modified "rack-back" device to go upstream, together with tests they wanted run in the fall of 1994, end-of-press at the two-color experimental test press at Printing Research.
- Specifically, among other things, they discussed (a) the resolution requirements for their flexographic plates, (b) requirements for anilox rollers, including linescreening count ranges and minimums, the availability of anilox rollers having their desired features. (c) the WIMS process (now U.S. Pat 5,370,976), (d) the problems with the printing of metallics whites/opaques/encapsulated essences/and various other coatings with WIMS' '976, (e) their desire that the flexographic plates be mounted to the blanket cylinder, (f) their uses of and requirements for flexographic inks, and (g) half-tone printing, all using the new process. These matters were discussed in various meetings in August 1994, and ending, as I recall, in very late 1994.
- 5. The information which was conveyed to me by Bill Davis and Jesse Williamson, at the dates indicated above, often came in meetings where other printing problems of Williamson Printing Corporation were also discussed, as well as at social outings. I took this information and passed it on to various PRI personnel in order to help them design the coating device suitable to accomplish Davis-Williamson's desired process. At various times, I spoke

with Ron Rendelman, sometimes Howard DeMoore, Steve Garner, Steve Baker and Dave Douglas, although Ron Rendleman was certainly the principle person to whom I discussed Williamson's specific requirements and the information given to me in the meetings indicated above.

- The entry on February 15, 1995 mentions that UK flexographic metallic coating manufacturer Wolstenholme [International] is going to visit April 1, 1995 "onwards". On April 4, 1995 another entry occurs where metallic coating manufacturer "M.D. Both" arrives at Williamson Printing Corporation with both employees Marshall and Glass, M.D. Both are owned by Wolstenholme, and these entries relate to meetings concerned specific requirements for metallic coatings to be used in the new '363 process in order to deliver the highest brilliance.
- 7. The cantilevered or "ferris wheel" device started to be worked on at PRI, in earnest, in very late 1994 following the discussions from August 1994 November 1994. I note the frequency of the meetings with both Jesse Williamson and Bill Davis starting on August 18, 1994.
- 8 My conference with Lapomarde (see my first declaration ¶17) and my "inkling" occurred well after I learned of the new Williamson process. By that time I had already seen the result of the Brian Liester "medieval poster" which occurred in March 1995.
- I notice that the priority date of EP 741 025 A3, Exhibit B hereto, is May 4, 1995, which is consistent with my recollection that Printing Research filed a patent application on the cantilevered device, or "ferris wheel", in the Spring of 1995. I note the priority application is Serial No. 435,798. I did not intend to claim the Davis-Williamson process and to the best of my knowledge, no one at PRI indicated in 1995 they intended to claim the Davis-Williamson '363 process. Those '363 process aspects taught in EP 741 025 A3 as opposed to the teachings concerning the cantilevered device or "ferris wheel" came from the discussions with Bill Davis and/or Jesse Williamson indicated above, starting in August 1994.

The undersigned Declarant stated further that all statements made herein of Declarant's own knowledge are true, and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

John W. Bird

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Date:

Exhibit "A"

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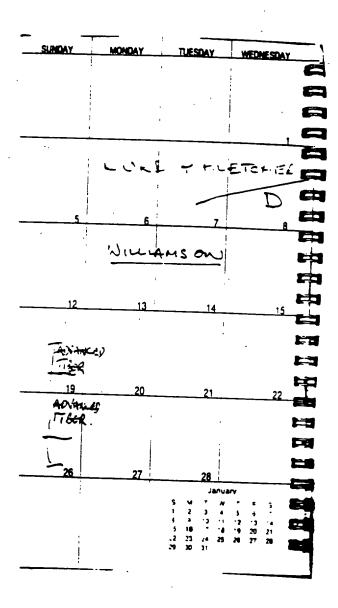
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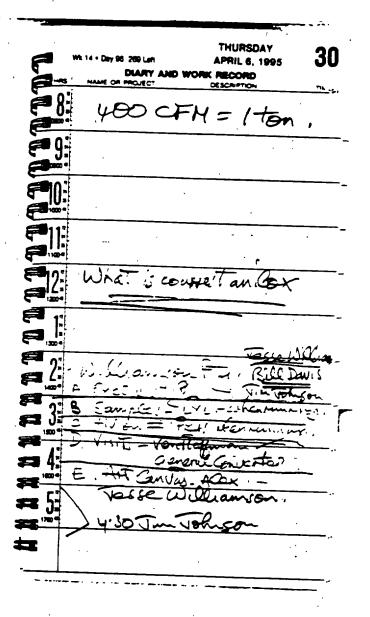
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Exhibit "B"

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## **EUROPEAN PATENT APPLICATION**

(88) Date of publication A3: 28,05,1997 Bulletin 1997/22

(51) HL C.<sup>4</sup>: **B41F 31/30**, B41F 5/24, B41F 23/08

- (43) Date of publication A2: 06.11.1896 Builletin 1996/45
- (21) Application number: 95303135.4
- (22) Date of fling: 03.05.1996
- (84) Designated Contracting States: DE FR GB IT SE
- (30) Priority: 04.05.1995 US 435798
- (71) Applicant: Daliloors, Howard W. Dalilas, Taxas 75220 (US)
- (72) Inventors:
  DeMoors, Howard W.
  Dellas, Texas 75220 (US)

- Rendleman, Remaid M.
  Dellas, Taxas 75229 (US)
   Bird, John W.
  Carrollton, Taxas 75007 (US)
- (74) Representative: Qure, Henry Alan et al MEWBURN ELLIS York House 23 Kingsway London WC28 6HP (G5)
- (54) Retractable inling/coating apparatus having ferris movement between printing units
- (57) A retractable in-line inking/costing apparatus (10) selectively applies either spot or overall inkroating material to a blanket (B) or flexographic plate (P) on a blanket cylinder (34), or spot or overall inkroating to a flexographic printing plate (P) on a plate cylinder (32) in a rotary offset printing press (12). The inking/coating apparatus is pivotally mounted on a printing unit (32, 24,

26, 28) or dedicated coating unit, and is extendable into and retractable out of an operative inling/coating position by a carriege assembly (56) which is pivotally coupled to the printing unit. Because of the pivotal support provided by a carriflevered support arm (88, 90), the inking/coating apparatus is extended and retracted through a Farris wheel arc between adjacent printing units.

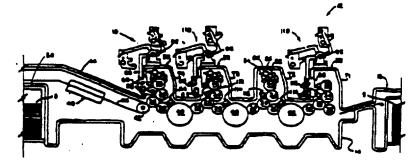


FIG. 1

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## European Search Report

Applement Name EP 96 38 3136

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## EUROPEAN PATENT APPLICATION

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- Retractable inling/coeting apparatus having ferris movement between printing units (54)
- A revectable in-line inking/costing appendix (10) selectively applies either spot or overall infocesting material to a biarriest (B) or flexographic plate (P) on a bisniust cylinder (34), or spot or overall inidoosting to a flexographic printing plate (P) on a plate sylinder (32) in a rosary offeet printing press (12). The inking/coating appearants is photally mounted on a printing unit (22, 24, 25, 28) or dedicated coating unit, and is extendable into

and retractable out of an operative inking/coating pocition by a carriage assembly (58) which is pivotally coupled to the printing unit. Because of the pivotal support provided by a certifievered support arm (88, 90), the ink-Ing/coating apparetus is extended and retracted through a Ferris wheel are between adjacent printing units.

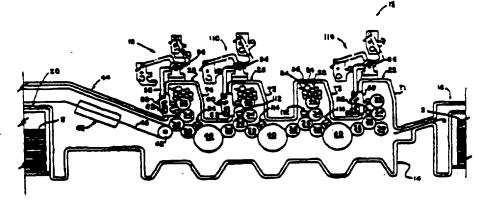


FIG. I

This invention relates to sheaf-fad or web-fed, rotary offsiet or flexographic printing presses, and more persoularly, to a new and improved inlang/coating apparatus for the in-line application of printing tries or protective or decorative coatings to sheat or web substitutes.

Conventional sheet-led, rotary offset printing presses typically include one or more printing units strough which instituted enects are fed and printed with wat link. Since the intis used with rotary offset printing presses typically remain wat and tacky for some time after printing, special precautions must be taken to insure that the freshly printed sheets are not marked or smeared as the sheets are transferred from one printing unit to another, and while being conveyed to the sheet delivery stacker. The printed surface of the freshly printed sheet offse relatively slowly and can be ameared during subsequent transfer between printing units. In order to reduce emearing and offsetting, spray powder is applied on the printed sheet.

in some printing applications, offset and smearing are prevented by applying a protective and/or decorative coating over all or a portion of the freshly printed sheets. Various arrangements have been proposed for applying the protective or decorative coating as an in-line operation by using the last printing unit of the press as the coating application unit. However, when such in-line coating is performed, the last printing unit cannot be used to apply link to the sheets, and can only be used for the coating operation. Thus, while coating with these types of in-line coating apparatus, the press loses the capability of printing its full range of colors since the last printing unit is converted to a coating unit.

It will be appreciated that the time required to reconfigure a press for costing or non-costing is non-productive and costly. Accordingly, there is a need for an in-line costing apparatuse that minimizes the time to clean-up from one primiting run and set-up and run the next job. Where consecutive jobs require the same type of coating, particularly blanket costling, it may not be necessary to clean-up the coater between jobs. However, the coating metarial cannot be allowed to dry on the rollers. Therefore, especially when switching from blanket to spot coating or vice versa, or if there is a delay between jobs. It is necessary to wash-up the coater after each job is completed.

In addition, coater wash-up is necessary when switching between different coating compositions, such as aqueous and ultra violet (UV) curable coatings. Such coating materials are not interchangeable, and comequently, the coater must be washed between applicanges of different coating media.

The foregoing fimitations are overcome, according to the present invention, by a retractable, in-line inkling/coating apparatus which is mounted on a printing unit for pivotal. Forts wheel movement between an operative inking/coating position and a retracted, overhead idle position. The inking/coating apparatus

cylinder by a carriage assembly which includes a cantilevered support arm. The support arm is photoally coupled between the inking/coating head and the printing unit tower. This cartilevered, photosi mounting arrangement allows the inking/coating unit to be used between two printing units, as well as on the last printing unit of the press.

in the preferred embodiment, the applicator head includes vertically spaced pairs of cradie members with one crade pair being adapted for supporting a metal or coramic coating ratior in alignment with a bishoot cylinder, and the other crade pair supporting a resilient anilox coating roller in alignment with the plate cylinder. respectively, when the carriage assembly is in the operative position. Because of the cartilevered, pivotal support provided by the support arm, the applicator head can be lifted and iswered through an arc, similar to Ferris whasi movement. In the limited space between asjacent printing units. When fully retracted, the applicator heed and certage assembly are lifted to an elevated. retracted overhead position, preferably an overhead position overlying the printing unit tower, thus providing complete access to the interstation space and the printand unit cylinders without causing the printing unit to ione its printing capability. The initing/coeting applicator roller of the applicator head can be inspected, cleaned or replaced and the doctor blade assembly can be washed-up automatically while the inking/coating apparatus is in the retracted position.

When the inking/costing apparatus is used in combination with a flaxographic printing plats and equeous link or equeous costing, the water component of the aqueous link or coating on the freshly printed sheet is evaporated by a high velocity, hot air interstation driver and a high volume heat and moisture extractor assembly so that the freshly printed ink or coating is completely dry before the sheet is printed on the next printing unit. This quick drying flexographic printing/coating arrangement permits a base coat of ink, for example opaque white or metallic ink (gold, silver or other metallics) to be applied in the first printing unit, and then overprinted by a lithographic process on the next printing unit.

Exemplary embodiments of the present invention are illustrated in the drawing figures wherein:

PICLIFIE 1 is a schematic side elevational view of a sheet-fact, rotary offset printing press having into-inglocating apparatus embodying the present invention:

PIGURE 2 is a perspective view of the printing press of FIGURE 1 in which a dual head inking/coating apparatus is in the operative coating position and a single head coater is in a retracted, overhead position;

FIGURE 3 is an enlarged elimpified perspective view showing one side of the single head ink-

position.

FIGURE 4 is a simpleted side elevational view showing the dual head inteng/coating apparatus in the operative coating position for apot or everall coating from the blanker position;

FIGURE 6 is a simplified elde elevational view showing the eingle head interplopeding apparatus in the operative coating position for spot or overall coating from the plate position; arid,

PIGURE 6 is a simplified side elevational view of the dust head inking/coating apparatus of PIGURE 4, partially broken away, which illustrates the hydraulic drive assembly and doctor blade assembly.

As used herein, the term "processed" refers to yerious printing methods which may be applied to either side of a substrate, including the application of UV-curable and aqueous inks and/or costings. The term "substrate" refers to sheet or web meterial. Also, as used herein, the term "waterless printing plate" refers to a printing plate having non-image surface areas which are hydrophobic and also having image surface areas which are hydrophilic, wherein the non-image surface areas are characterized by a surface tension value which is less then the surface tension of equeous link, and the image surface areas are characterized by a surtace tension value which is greater than the surface tension of equeous link. "Flexographic" refers to flexible printing plates having a refief surface which is wettable by equeous ink or equeous coating material.

As shown in the exemplary drawings, the present invention is embodied in a new and improved in-line inking/costing epperatus 10, for applying inits or protective and/or decorative coatings to sheets or webs printed in a sheet-fed or web-fed, rotary offset or flexographic printing press, harein generally designated 12. In this instance, as shown in FIGURE 1, the intendedeling appearants 10 is installed in a four color printing press 12, such as that manufactured by Heidelberger Druckmaschinen AG of the Federal Republic of Germany under its designation Heidelberg Speedmester 102V. The press 12 includes a press frame 14 coupled at one and, herein the right end, to a sheet feeder 16 from which sheets, herein designated &, are individually and senally fed into the press, and at the opposite and, with a sheet delivery stacker 20 in which the freshty printed sheets are collected and stacked, interposed between the sheet feeder 16 and the gheet delivery stacker 20 are four substantially identical rotary offset printing units 22, 24, 25 and 28 which can print different ooler inks onto the sheets as they are transferred through the press 12. The printing units are housed within printing towers T1, T2, T3 and T4 formed by side frame members 14, 15,

As flustrated, the printing units 22, 24, 26 and 28 are substantially identical and of conventional design. The first printing unit 22 includes an in-lead transfer cyl-

parallel alignment between the press side trames 14, 15. Each of the first three printing units 22, 24 and 26 have an interunit transfer cylinder 38 disposed to transfer the freehily printed sheets from the adjacent impression cylinder to the next printing unit via an interstation transfer cylinder 40. The last printing unit 28 is shown equipped with a delivery cylinder 42 which guides each freehily printed sheet 18 as it is transferred from the last impression cylinder 36 to a delivery conveyor system. pression cylinder 36 to a delivery conveyor system.

The delivery conveyor system 44 as shown in PKG-URE 2 is of conventional design and includes a pair of continuous delivery gripper chains 46, only one of which is shown carrying at regular spaced locations along the chains, laterally disposed gripper bars having gripper fingers for gripping the leading adge of a freshly printed sheet 18 after it leaves the rip between the delivery cylinder 42 and impression cylinder 36 of the last printing unit 28. As the leading edge is gripped by the grippers, the delivery chains 46 pull the freshly printed sheet sway from the impression cylinder 36 and deliver the freshly printed sheet to the sheet delivery stacker 20.

Prior to reacting the delivery sheet stacker, the treshly printed anti/or coated sheets S pass under a delivery driver 48 which includes a combination of infra-red thermal radiation, high velocity hot air flow and heat and moisture excrection for drying the link and/or the protective/decorative coating on the freshly printed sheets.

in the examplary embodiment shown in FIGURE 1. the first printing unit 22 is equipped with a flexographic printing plate, and does not require an inling roller train or a dampening system. If an ink roller train is mounted on the first printing unit, this form rollers are retracted and bodged off when the printing unit goes on impreselon. Flexographic equatus ink is supplied by the inking/coating unit 110. The remaining printing units 24, 26 and 28 are equipped for Pthographic printing and Include an inking apparatus 50 having an Inking roller train 62 arranged to transfer ink from an ink fountain \$4 to the plate cylinder 32. This is accomplished with the aid of a fountain roller 56 and a ductor roller. The fountain roller 58 projects into the link fountain 54, whereupon its surface is wetted with printing tak Q. The printing ink Q is transferred intermittently to the inlong roller train 52 by the ductor roller. The inling roller train 52 supplies printing ink Q to the image area of a printing plate P mounted on the plate cylinder 32.

The printing ink Q is transferred from the printing plate P to an ink receptive blanket B which is mounted on the blanket cylinder 34. The inked image corried on the blanket B is transferred to a sheet S as the sheet is transferred through the mip between the impression cylinder 36 and the blanket B.

The inking roller arrangement S2 illustrated in FIG-URE 1 is exemplary for use in combination with lithographic ink printing plates. It will be understood that used in combination with the flexographic plate of printing unit 22.

Reterring now to FIGURE 4, FIGURE 5 and FIG-URE 6, the in-line kilding/coating appearable 10 includes a carriage assembly 68 which supports an applicator head 60. The applicator head 60 includes a hydraulic motor 62, a lower gear train 64, an upper geer train 65, an applicator roller 66 and a doctor blade assembly 68. The external peripheral surface of the applicator roller 56 is inserted into wetting contact with liquid coefing material or ink contained in a reservoir 70. The reservoir 70 is continuously supplied with link or coating which is circulated through the reservoir 70 from an off-press source by a pump (not illustrated). The hydraulic motor 62 drives the applicator roller 66 synchronously with the plate byfinder 32 and the blanket byfinder 34 in response to an RPM control signal from the press drive (not illustrated) and a feedback signal developed by a techometer 72. While a hydraulic drive motor is preterred, an electric drive motor can be used.

The applicator roller 66 is preferably a fluid metering anilox roller which transfers measured amounts of printing ink or coating material onto the printing plate or blankst. The surface of an anilox roller is engraved with an array of closely spaced, shallow depressions referred as "celle". Ink or coating meterial from the reservoir 70 flows into the cells as the anilox roller turns through the reservoir. The transfer surface of the anilox roller is scraped with a doctor blade 73 to remove excess ink or costing. The ink or coating remaining on the anilox roller is the measured amounts contained within the cells.

The applicator roller 68 is cylindrical and may be constructed in various diameters and lengths, containing calls of various sizes and shapes. The volumetric capacity of an anilox roller is established during manufacturing and is dependent upon the selection of cell size, shape and number of cells per unit area. Depending upon the intended application, the cell pattern may be fine (many small cells per unit area) or coarse (fewer larger cells per unit area).

By applying the ink or coating material through the inking/coating applicator head 60, more link or coating material can be delivered to the sheet S as compared with the inking roller train of a lithographic printing unit. Moreover, color intensity is stronger and more brilliant because the flexographic link is applied at a much larger film thickness than can be applied by the lithographic process and is not diluted by dampening solution.

The inling/coating applicator head 60 includes side frame members 74, 76 that support the applicator roller 66, gear train 64, gear train 65, doctor blade assembly 68 and the drive motor 62. The applicator roller 66 is supported at opposite ends on a lower cradis formed by a pair of end plates 78, 80 which hold the applicator roller 66 in parallel alignment with the blanket cylinder 34 (FIGURE 5). The side frames 74, 76 are also pro-

the lower side pletes 78, 80. Each credie has a pair of societis 79, 81 and 83, 85, respectively, for holding the applicator roller 66 for spot costing or inlining engagement against the plate P of the plate cylinder 32 (PIG-URE 4) or the blanket 8 of the blanket cylinder 34.

Preferably, the applicator roller 55 for the upper cradie (piete) position is an anflox roller having a resilient transfer surface. In the dual cradie arrangement, the press operator can quickly change over from blantex inling/coating end piete inling/coating with minimum press down time, since it is only necessary to remove and reposition or replace the applicator roller 56, and wesh-up the doctor blade assembly if changing from ink to coating or vice versa. The capability to selectively operate in either the flasographic mode or the lithographic mode and to prim or cost from either the plate or blanlest position is referred to herein as the "LITHOFLEC" process.

Referring again to FIGURE 2 and FIGURE 2, the applicator head 60 is supported by the carriage essembly 58 in a cantilevered, pivotal amengement which allows the dual cradie inting/coating apparatus 10 to be used between any two adjacent printing units, as well as used on the first and last printing units of the prees. This is made possible by a pair of cartilevered support arms 88, 90 that are pivotally ocupied to the side plates 74, 76, respectively, on a pivot sheft 77. Each support arm has a hub portion 88A, 90A, respectively, and an elongated shark portion 88B, 90B, respectively.

The cantilevered support arms are pivotally mounted on the priming tower by pivot blocks 92, 94, respectively. The hub portions 98A, 90A are journalled for rotation on pivot shafts 96, 98, respectively. The pivot blocks 92, 94 are securely fastened to the tower 14D, so that the carriage assembly 86 is pivotally suspended from the pivot shafts 96, 98 in a cantilevered Ferris support arrangement. The shark portions 888, 908 are pivorally coupled to the pivor shaft 77, so that the carriage assembly 52 and the applicator head 60 are capable of independent rotation with respect to each other and with respect to tee pivot shaft 77. By this arrangement, the applicator head 60 is pivotally suspended from the pivot shaft 77, and remains in an upright orientation as the support arms rotate from the operative position to the fully retrected position, and vice verse.

Thus, the cradles 78, 80 and 82, 84 position the applicator roller 66 in vertical and horizontal alignment with the plate cylinder or blanket cylinder when the applicator head is extended to the operative position, for example as shown in FIGURE 4 and FIGURE 5. Moreover, because of the transverse relationship between the hub portion and shank portion of the support arms, the applicator head, 80 and carriage assembly 58 are capable of rotating through a Ferris are without touching the adjacent printing tower. This makes it possible to install the inleng/coating apparatus 10 on any intermediators.

V." .

first printing unit tower ii 1 and the test printing unit tower T.A. Additionally, when the intenglocating unit 10 is in the operative position, the lateral projection of the applicator head 60 into the intenstation space between printing units is minimized. This assures virtually unrestricted operator access to the intenstation space between adjacent printing units when the applicator head is engaged in the operative position, and completely unrestricted access when the carriage assumpty 58 is retracted.

Rotation of the carriage assembly S8 is counterclockwise from the retracted, Idle position (shown in pharmom in FIGURE 1) to the operative position (FIG-URE 4 and FIGURE 5). The carriage assembly S8 can be adapted for clockwise notation from the retracted position to the operative position for angagement of the applicator roller to either the plate or the bianten on the dampener side of the tower, assuming that access to the plate and biantest is not restricted by dampener rollers or the like.

Rotational movement of the support arms 88, 90 is assisted by counterweights 100, 102 which are secured to the support arms, respectively, for concurrent rotation with respect to the pivot blocks 82, 94. With the passive assistance of the counterweights, the press operator can easily move the inking/coating assembly 10 from the engaged operative position as shown in FIGURE 4 to the fully retracted, idle position as shown in pharmom in FIGURE 1. Preferably, rotation of the certiage assembly 58 at assisted by a torsion spring, electric motor or hydraulic motor.

The Inking/coating apparatus 10 is releasably locked into the operative position as shown in FIGURE 4 by releasable latch couplings 103, 105 that secure the support arms 88, 90 to the press side frames 14, 18, respectively, of the printing unit tower T4 in the operative position. Coating angagement of the applicator roller 86 against the blanket cylinder 34 is produced by power actuators, preferably pneumatic cylinders 104, 106 which have extendable/retractable power transfer arms 104A, 106A, respectively. The pneumatic cylinder 104 is pivotally coupled to the support arm 88 by a pivot Enkage 108, and the second pneumatic cylinder 108 is plyorally coupled to the support erm 90 by a pivot linkage 109, in response to actuation of the pneumatic cylinders 104, 106, the power transfer arms are retracted. As the transfer arms retract, the inling/coefing head 60 is rotated counterclockwise on the pivot shaft 77, thus moving the applicator roller 66 into coating engagement with the blanket cylinder 34.

The pivot linkage 108 includes a bell crarkt 111 which is mounted for pivotal movement on a pin 113. The pin 113 is supported by a clevis plate 115 which is attached to the support arm 88. One and of the bell crank is pivotally coupled to the actuator arm 104A, and a carm roller 117 is mounted for rotation on its opposite end.

The carn roller 117 is engagable against an adjustable stop 119 which is rigidly secured to the side plate cam rollower i.e., we a miss proper i.e. or a receiver block 125 as the cam roller 117 is moved into engagement with the adjustable stop 119 in the interlocked, operative position. Referring to FIGURE 4, FIGURE 5 and FIGURE 6, the receiver block 125 is secured to the delivery side of the printing unit tower by machine accesses.

When the plate P goes on impression, power is applied to the pneumetic actuetor 104 and the power transfer arm 104A retracts, thus causing the bell crank 111 to rotate counterclockwise about the pin 113. The torque applied by the pneumatic actuator 104 is transmitted to the applicator head 60 through the cam roller 117 and the adjustable stop 119. Counterclockwise movement of the applicator head 60 relative to the support shaft 77 carries the applicator roller 68 into engagement with the plate P.

The adjustable stop 119 has a threaded bott 119A which is engagate with the carn roller 117. The striking point of angagement is preset so that the applicator roller 65 is properly positioned for angagement with the plate P or blanket B in the operative position when the applicator head 60 is interlocked with the press trame 14 and the printing unit goes on impression.

Referring to FIGURE 5, an Inking/costing apperatus 110 having a single head is Rustrated. The construction of this alternative embodiment is identical in all respects with the dual head arrangement, with the exception that only a single gear train and a single cradie for holding the applicator roller is provided. In both embodiments, the inking/coating head 50 remains upright as it swings through an arc, comparable to the movement of a Ferris wheel. Because of the upright onentation of the Interplepating head 60 as it moves between the extended and retracted positions, the usual pletform spacing between printing unit towers provides adequate diseasers to permit extension and retraction of the carriege assembly 58 without interference with operator access to the printing units. This is a significant adventage in that it permits the in-line inling/coating appearance 10 to operate effectively in the interstation space between any adjacent printing units, and without blocking or obstructing access to the cylinders of the printing units when the inking/costing apparatus is in the retracted position (as Indicated in phantom in FIGURE

Moreover, when the In-line inteng/costing apparatus is in the fully retracted position, the applicator roller 86 is conveniently positioned on the dampener side of the printing unit for inspection, clean-up or replacement. Additionally, the doctor blade assembly is also conveniently positioned for inspection, removal, adjustment or clean-up. Also, the doctor blade reservoir and costing circulation lines can be cleaned while the press is running as when the press has been stopped for change-over from one type of ink or costing material to another. rial, the water component on the freely printed sheet S is evaporated by a high velocity, hot air intensiation driver and high volume heat and moisture extractor units 112 and 114, as shown in FIGURE 1, FIGURE 4 and FIGURE 5. The dryer/extractor units 112 and 114 are oriented to direct high velocity heated air onto the treely printed/costed sheets as they are transferred by the intermediate transfer cylinders 26, 40. By this arrangement, the freely printed aqueous link or costing material is completely dry before the sheet is overprinted in the next printing unit.

The high velocity, hot air dryer and high performance heat and moisture excrector units 112, 114 utilization velocity air jets which scrub and break-up the moist air level which clings to the surface of each freshly printed sheet. Within each dryer, high velocity air is heated to a high temperature as it flows across a resistance heating element within an air delivery baffle tube. High velocity jets of hot air are decharged through multiple airflow apertures through an expocure zone Z (FIGURE 4 and FIGURE 5) onto the freshly printed/coated sheet S as it is transferred by the transfer cylinder 36 and intermediate transfer cylinder 40, respectively. Each dryer assembly includes a pair of air delivery dryer heads which are arranged in spaced, side-by-side religion as shown in FIGURE 4 and FIGURE 5.

The high velocity, hot moisture-toden air displaced from each freshly primed sheet is extracted from the dryer exposure zone Z and completely exhausted from the printing unit by the high volume extractors. Each extractor head includes a manifold coupled to the dryer heads and draws the moisture, volatiles and high velocity hot air through a longitudinal gap between the dryer neads. According to this arrangement, each printed sheet is dried before it is run through the next printing unit.

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The water-based inks used in flexographic printing dry at a relatively moderate drying temperature provided by the interstation high velocity hat air dry-ensemble control 112, 114. Consequently, print quality is substantially improved since the aqueous ink is dried at each printing unit before it enters the next printing unit. Moreover, back-trapping on the blanket of the next printing unit is completely eliminated. This interstation drying arrangement makes it possible to print equeous into such as metallic ink and opeque white-ink at one printing unit, and then overprint at the next printing unit.

This arrangement also permits the first printing unit to be used as a coster in which an aqueous costing is applied to low grade paper, for example recycled paper, to map and seal in first, dust, apray powder and other debris and provide a smoother, durable surface that can be overprinted in the next printing unit. The first down costing seals the surface of the low grade, rough substrate and improves overprinted dot definition while preventing strike-through and show-through. A UV-curable

printing until

Preferably, the applicator roller 65 is constructed of metal or ceramic when it is used for applying a costing metal to the biantest B on the cylinder 34. When the applicator roller 65 is applied to the plate, it is preferably constructed as an artifax roller having a realient trensfer surface for engaging a flasographic printing plate. Substitle realient roller surface materials include Buna N synthetic rubber and EPDM (terpolymer electomer).

It will be appreciated that the Intergrooming apparatus 10 is capable of applying a wide range of ink types, including fluorescent (Day Glo), pearlescent, metallics (gold, silver and other metallics), gitter, scretch and review, funion-encapsulated fragrance), ecretch and reveal, luminous, pressure-censitive adhesives and the flux.

The press operator can eliminate the dampener roller assembly altogether, and the inking/coating apparatus 10 can selectively apply aqueous into and coatings to a flexographic or waterless printing plats and the blanket. Moreover, overprinting of the aqueous into and coatings can be carried out in the next printing unit since the aqueous into and coatings are completely dried by the high velocity, hot air interstation dryer and high volume heat and moieture extractor ascerbily.

The aqueous into and coatings as used in the present invention contain colored pigments and/or soluble dyes, binders that fix the pigments onto the surface of the printed sheet, and wexes, defoamers and thickeners. Aqueous printing into predominantly contain water as a solvent, discent end/or vehicle. The thuckeners which are preferred include algonates, starcts, pellulose and its derivatives, for example cellulose esters or celluiose others and the like. Coloring agents including organic as well as inorganic pigments may be derived from dyes which are insoluble in water. Also, the printing ink may contain water and can be predominantly glycol or the like, with the pigment being bound by an appropriatte resin. When metallic inks are printed, the calls of the anilox roler must be appropriately sized to prevery the metal particles from getting stuck within the colls. The cell size is critical, and for metallic cold ink, the anilox roller should have a screen line count in the range of 175-300 lines per inch (66-118 lines per cm).

The iniding/coating apparatus 10 can also apply UV-curable inics and coatings. If UV-curable inics and coatings are utilized, utine-volet dryers/extractors are installed adjacent the high velocity hot air dryer/extractor units 112, 114, respectively.

It will be appreciated that the inking/coating appearance 10 described herein makes it possible to selectively operate a printing unit in either the flatographic printing mode or the lithographic printing mode, while also providing the capability to print or coat from either the plate or blanket position. The dual cracks support arrangement of the present invention makes it possible to quickly change over from inking/coating at the blanket

position with minimum press down-time, since it is only necessary to remove and reposition or replace the applicator roller, 65 while the printing/inleng apparatus is in the retracted position.

Moreover, the press operator may elect to exort or overall cost with aqueous ink/coating from the plate during one job, and then spot sind/or overall cost from the plantest during the next job. Since the doctor blade assembly can be flushed and washed-up cuickly and the applicator roller can be replaced suicidy, it is possibie to spot cost or overall cost from the piste position or the branket position with aduleous inles or coatings during the first press run and then spot cost or overall cost with LIV-curable inlies or coatlings from the plate position is or from the biarriest position during the next press run. The inlang/coating apparetus 10 is completely out of the way in the retracted position; consequently, the doctor trace reservoir and supply lines can be flushed and printing unit is printing another job.

The positioning of the applicator head and roller assembly relative to the plate and blanket is repeatable to a predetermined, preset impression position. Consequently, no printing unit adjustment or attention is required, except for flushing the doctor blade assembly and cleaning or replacing the applicator roller to accommodate a different kind of link or coating metarial. Attrough manual extension and retraction have been described in connection with the examplary embodiment, extension to the operative position and retraction to a non-operative idle position can be carried out automatically by hydraulic or electric motor servomectanisms.

The Ferris whoel support arrangement allows the unlong/coating apparatus to operate effectively in the interstation space between any adjacent printing units, as well as on the first or last printing units of the press, without blocking or obstructing the interstation space or restricting operator access to the cylinders of any of the puniting units.

Finally, because the inling/conting apparatus of the present invention is mounted on a printing unit tower and is extendable to the operative position without requiring adjustment or attention of the printing unit cylinders, it can be used for applying printing ink or coating material to the blanket cylinder of a rotary offset web press, or to the blanket of a dedicated coating unit.

## Claims

 Inking/coating apperatus (10) for use in a printing press (12) of the type having a printing unit (22, 24, 25, 28) on which a plate cylinder (32), a blanket cylinder (34) and an impression cylinder (36) are mounted for rotation, wherein the inking/coating apparatus is characterized by: my material to a place (r) mounted on the place cylinder or to a blanket (6) mounted on the blanket cylinder, either separately or simultaneously when the intenglocating apparatus is in an operative position relative to the plate and blanket cylinders; and.

a carriage essentialy (SS) for moving the applicator head to the operative position in which the applicator head is disposed laterally adjacent to the plate and blanket cylinders and for moving the applicator head from the operative position to a retracted position in which the applicator head is elevated with respect to the plate and blanket cylinders.

 Inlang/coating apparatus (10) as sat forth in claim 1, wherein the carriage assembly (68) is characterized by:

> a support arm (88, 90) having a first end portion (88A) constructed for pivotal attachment to the printing unit and having a second and portion (88B) pivotally coupled to the applicator head (80), the applicator head being movable on the support arm to the operative position.

- Inlong/costing appearatus (10) as set forth in claim 1, characterized in that a counterweight (100, 102) is coupled to the carriege assembly.
- Inking/coating apparatus (10) as set forth in claim 1, wherein the applicator head (50) is characterized by:

a doctor blade assembly (68) having a reservoir (70) for receiving link or figuld coating material; and,

an applicator roller (86) coupled to the doctor blade assembly in fluid communication with the reservoir, the applicator roller being engageble with a printing plate (P) on the plate cylinder or with a blanket (6) on the blanket cylinder when the applicator head (60) is in the operative position.

- Iniding/coating appearatus (10) as set forth in claim 4, characterized in that the applicator roller (66) is an anilox roller having a resilient transfer surface.
- Iniding/coarting apparatus (10) as set forth in claim 1, characterized in that:

a power actuator (104, 106) is movebly coupled to the applicator head (60), the power actuator having a power transfer arm (104A, 106A) which is extendable and retractable; and, movement converting apparatus (106) is coupled to the power transfer arm 100 converting

cator head (60) reletive to the carriage assembly.

 Interp/costing apparetus (10) as set forth in claim 6, wherein the movement conventing apparetus (108) is characterized by:

> a bell crank plate (111) having a first end pontion coupled to the power transfer arm and having a second end portion for engaging a stopmember:

a stop member (119) secured to the applicator head (60); and,

a clevis plats (115) secured to the carriage assembly (55) and pivotally coupled to the bell crank plate.

8: Inking/coefing apparatus (10) as set forth in claim 1, wherein the applicator head (60) is characterized by:

first and second side frams members (74, 76) photolly coupled to the carriage assembly (55); a doctor blade assembly mounted on the first and second side frame members, the doctor blade assembly including a reservoir (70) for receiving ink or liquid coefing material:

a cradia assembly (78, 80), (82, 84) mounted so on the first and second side frame members, respectively;

an applicator roller (66) mounted for rotation on the cradic assembly and coupled to the doctor blade assembly for rolling contact with ink or as coating material in the reservoir, the applicator roller being engageble with a printing plate (P) on the plate cylinder (32) or with a blanket (B) on the blanket cylinder (34) when the applicator head (60) is in the operative position; and, as drive motor (62) coupled to the applicator

 Intring/coeting apparatus (10) as set torth in claim 8, characterized in that:

roller for rotating the applicator roller.

the cracile assembly (79, 80) has first and second societs (79, 81) disposed on the first and second side frame members respectively; and, the applicator roller (86) to mounted for rotation on the first and second societs.

 Inking/coating apparatus (10) as set forth in claim 8, characterized in that

the cracle assembly (78, 80), (82, 84) includes first and second societa (79, 81) disposed on the first and second side frame members, respectively, and third and tourth societs dis-

the applicator roller (65) is selectively mountable for rotation on either the first and second socious or on the titrd and tourth socious for applying ink or coating material to either the plate or blanket when the applicator head is in the operative position.

7 11. Inking/coating apparatus (10) as set forth in claim 1, wherein the applicator head (60) is characterized by:

e first credie (78, 80) for supporting an applicator roller (65) for engagement with the plate when the intendedesting apparatus is in the operative position; and

a second credie (82, 84) for supporting an applicator toder (85) for engagement with the blanket (8) when the inking/costing appearatus is in the operative position.

 Inidep/coating apparatus (10) as set forth in claim 1, wherein the carriage assembly is characterized by;

> a support arm (88, 90) having a first and portion pivolatly coupled to the printing unit (88A, 90A) and having a second and portion (88B, 905):

> a common pivot shelf (77) on which the export arm second end portion and the insting/coating apparetus are pivotally mounted, and,

male and female latch members (103, 105) coupled between the common pivot shaft and the printing unit, with one of the latch members being secured to the common pivot shaft and the other latch member being constructed for stachment onto the printing unit, the latch members being metable in interlocking engagement when the applicator head (60) is in the operative position.

 Iniding/coeting apparetus (10) as set forth in claim 1, wherein the applicator head (60) and the priming unit are characterized by:

mals and female latch coupling members (103, 105) mounted on the certiage assembly (56) and on the printing unit for releasably latching the certiage assembly in interlocking engagement with the printing unit when the applicator head is in the operative position.

14. Inking/coating apparatus (10) as set forth in claim 1, wherein the carriage essentialy (58) is characterized by an elongated shark portion (888, 909) and a hub portion (86A, 90A), the elongated shark portion being pivotally couples; to the applicator head.

a dryer (112) mounted on the first printing unit adjacent the impression cylinder (36) of the first printing unit for discharging heated air onto a freshly printed substrate while the freshly printed substrate is in contact with said impression cylinder.

 A rotary offset printing press (12) as defined in cight 15, characterized in that:

> an extractor (112E) is disposed edjacent the dryer for extracting hot air, moisture and volatiles from an exposure zone (Z) between the dryer and the freshly printed substrate.

17. A rotary offset printing press (12) as defined in claim 15, characterized in that:

an intermediate transfer cylinder (40) is coupled in sheet transfer relation with the impression cylinder (35) of the first printing unit (22); 30 and

an interestation dryer (114) is disposed adjacent the intermediate transfer cylinder for discharging heated air onto a freshly printed or coated substrate after it has been transferred from the impression cylinder of the first printing unit and write it is no contact with the intermediate transfer cylinder (40).

18. A method for rotary offset printing in a printing press (12) of the type including first and second rotary offset printing units (22, 24), and using aqueous or UV-curable printing ink or coating material in the operation of at least the first printing unit, offseacterized by the following steps performed at each printing unit in succession:

spot or overall coating a plate (P) with aqueous intraqueous coating material or UV-curable intra-voluments; spot end/or overall coating a blanket (B) with aqueous intraqueous coating material or UV-curable entering material or UV-curable intering the printing link or coating material; transferring the printing link or coating material from the printing plate (P) to the blanket (B); transferring the intend or coated image from the blanket to a substrate (S) as the substrate is transferred through the nip between the

and,

drying the link or coating material on the treshly printed substrate before the substrate is subsequently processed.

 A method for rotary offset printing as defined in claim 18, wherein the drying step is characterized by:

discharging high velocity, heated air onto the treatily printed/coated substrate (5) while the freehly printed/coated substrate is in contact with the impression cylinder (36) of the first printing unit (22).

 A method for rotary offset printing as defined in claim 18, characterized by the stape;

transferring the freshly printed substrate (S) from the first priming unit (EZ) to an intermediate transfer cylinder (40); and, drying the freshly printed substrate while it is in contact with the intermediate transfer cylinder.

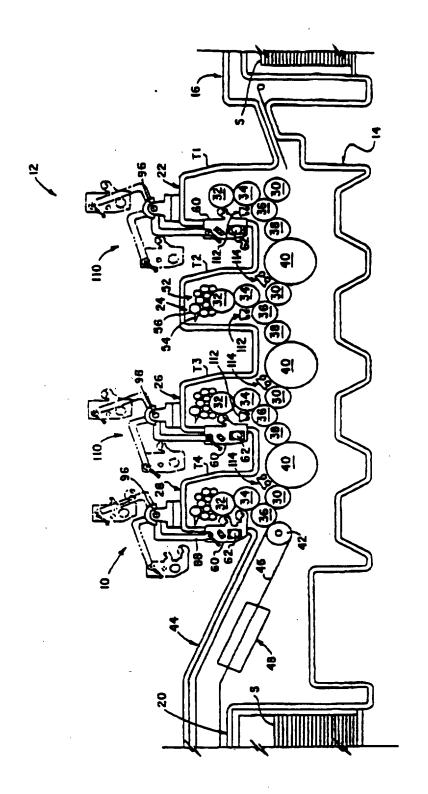
 A method for rotary offset printing as defined in claim 18, characterized by the step:

> extracting hot air, moisture and volatiles from an exposure zone (2) above the treshly printed/coated substrate (5) while the freshly printed/coated substrate is in contact with the impression cylinder (36).

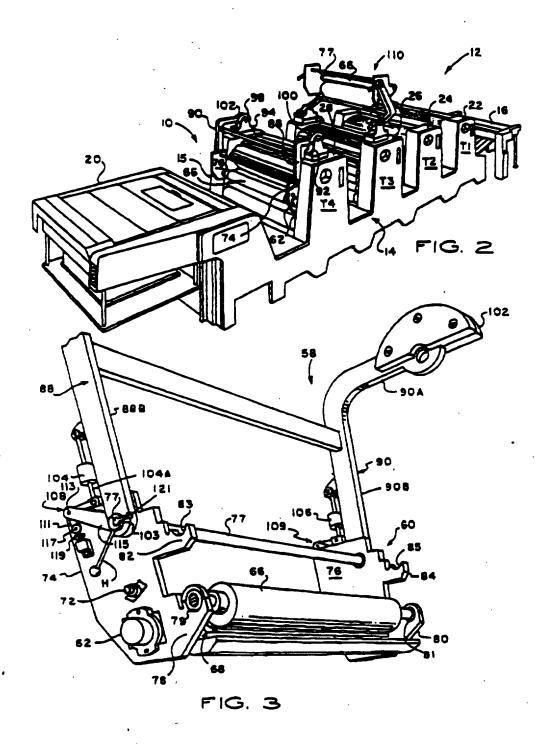
22. A method for rotary offset printing as defined in daim 18, characterized by the steps:

applying a primer coating of an aqueous coefing material or UV-curable coating material to a substrate (5) in the first primting unit (22); and, drying the primer coating on the substrate before the substrate is processed in the second printing unit.

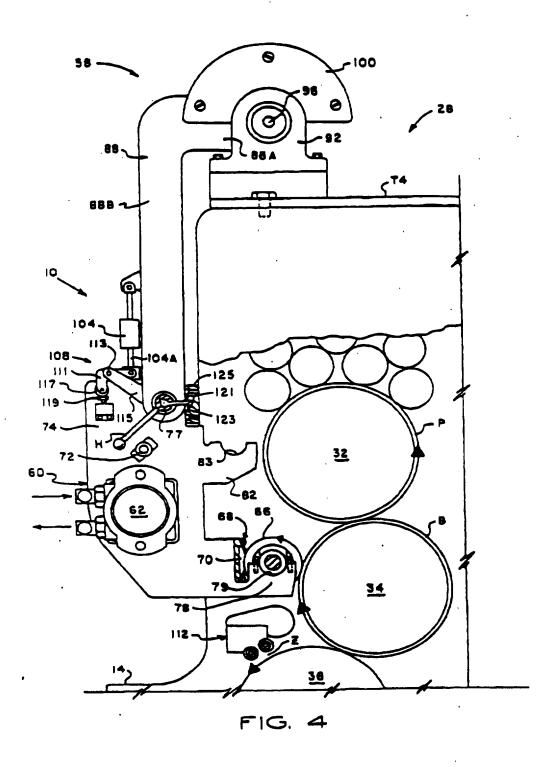
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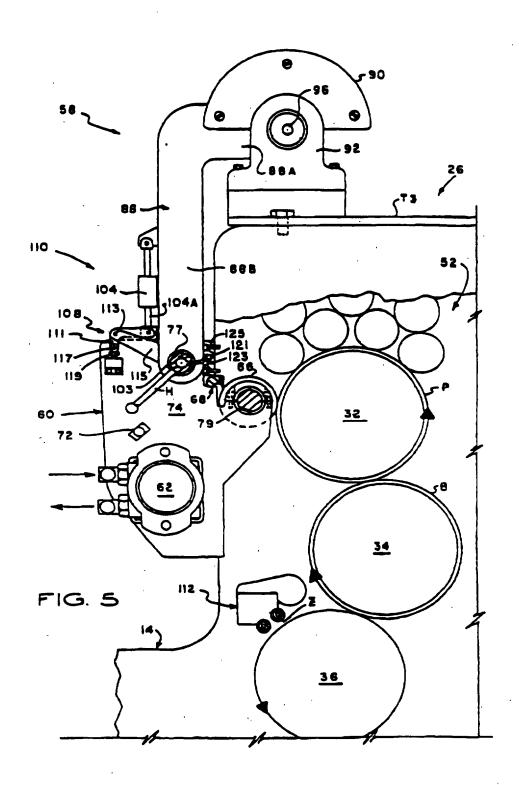


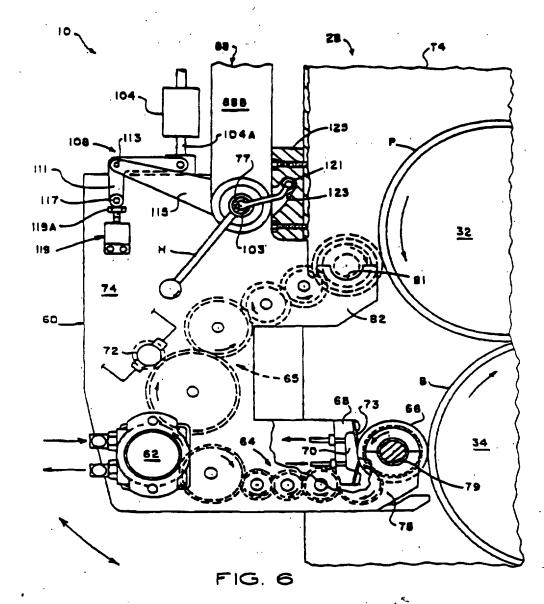
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Exhibit "A"

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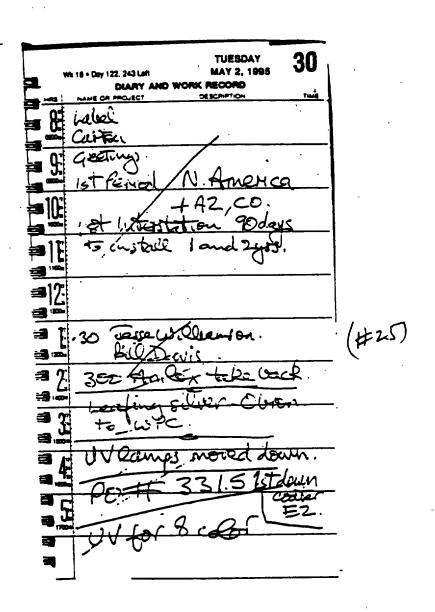
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US005370976A

[11] Patent Number:

5,370,976

[45] Date of Patent:

Dec. 6, 1994

# United States Patent [19]

Williamson et al.

[54]	METALLIC COLOR PRINTING PROCESS	
[75]	Inventors:	Jesse S. Williamson, Dallas: George V. Barnaby, Irving; Gary V. Doughty, Dallas, all of Tex.
[73]	Assignee:	Williamson Printing Corporation, Dallas, Tex.
[21]	Appl No.:	887,510
[22]	Filed:	May 22, 1992
[51]	Lot. Cl. <sup>3</sup>	G03C 7/00; G03C 5/00; G03F 9/00; H04N 1/21
[52]		430/358; 430/359; 22; 430/30; 358/798, 358/534; 358/536
[58]		arch

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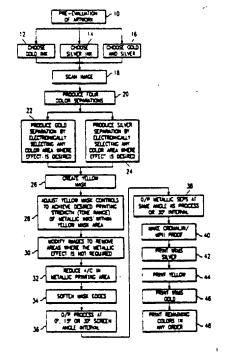
Primary Examiner—Charles L. Bowers, Jr Assistant Examiner—J. Pasterczyk Attorney, Agent, or Firm—Jones, Day, Reavis & Pogue

ABSTRACT

. [57]

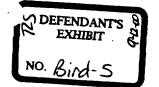
A method of reproducing on a substrate an image incorporating metallic inks involves scanning (18) the image to be reproduced and creating (20) four color separations of the scanned image. Metallic gold and/or metallic silver color separations (22, 24) are created by electronically selecting any color area where the effect is desired. Next, the color separations are edited by creating (26) an electronic yellow mask of the image and adjusting (28) the desired tonal range of the metallic areas. The mask edges of each color separation can also be softened (34). The scanner then outputs (36, 38) the separations to film. The image is then reproduced by printing each of the process color separation films (44, 48) and the metallic separation films (42, 46) onto a substrate.

## 12 Claims, 2 Drawing Sheets



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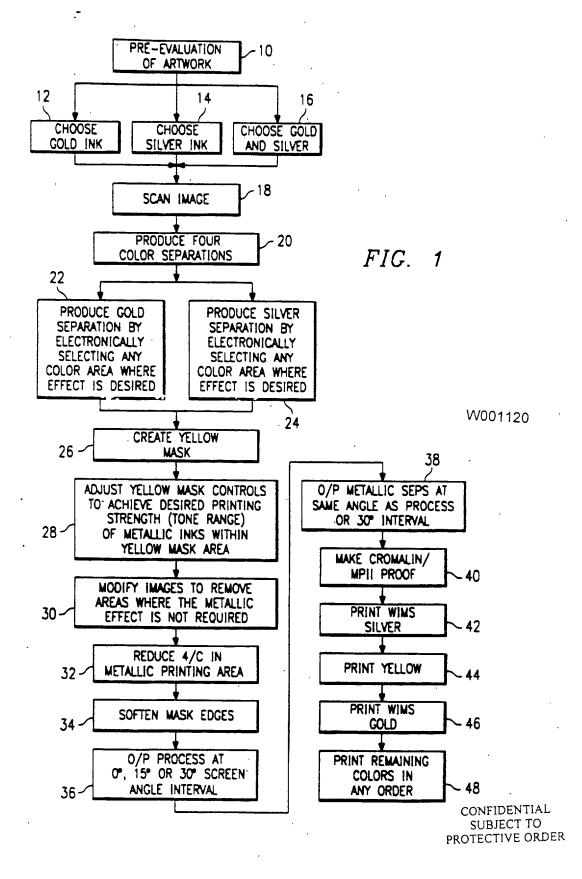


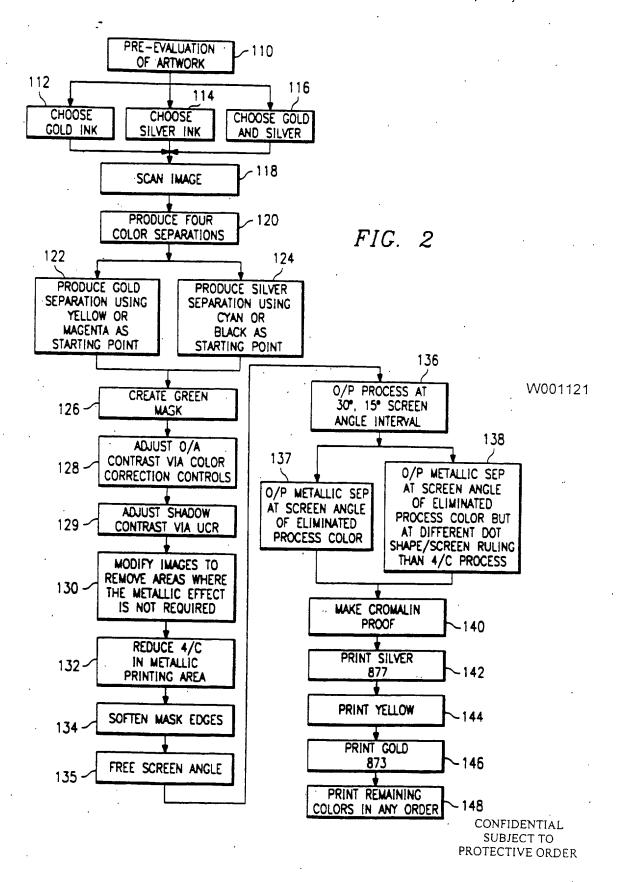
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Sheet 1 of 2

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### TECHNICAL FIELD OF THE INVENTION

The present invention relates to a metallic color printing process. Specifically, this method produces an improved metallic image by printing the subtractive primary colors, black, metallic gold and/or metallic silver at four screen angles

#### BACKGROUND OF THE INVENTION

The reproduction of color was first achieved by Scottish physicist James Maxwell in the mid 1850's. Maxwell photographed a scene three times, once through a red filter, once through a green filter, and once through a blue filter. These black-and-white negatives were contacted to produce positives that were then mounted as slides. Each slide was placed in a different projector and the images were focused together on a screen. A red, green, or blue filter was placed over the lens of each respective projector, thus producing a color image on the screen.

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The first single film image for color photography was produced by Louis Ducos du Huron in France in the 25 late 1860's. In his system, the image on a black-andwhite panchromatic emulsion was broken up by a series of red, green, and blue transparent dots or lines that formed a screen in front of the emulsion. The dots and lines were so small that they could not be resolved by 30 the eye. After exposure, the film was reversal-processed to yield a colored positive transparency. The additivecolor transparency is still used by the Polaroid Corporation with their 35-ram Polachrome slide process.

The development of the subtractive color systems 35 was also pioneered by du Huron. He suggested making separation negatives through red, green, and blue filters, then making positive transparencies from each, dyeing them with colors that absorb each respective primary color (i.e. cyan, magenta, and yellow). This 40 subtractive method is difficult to use because it requires the accurate registration of the colored positives or the accurate registration of images from dyed positive matrices. The solution was a three-emulsion film, each layer made sensitive to a different color (red, green, or 45 blue) and then dyed a different color (cyan, magenta, or yellow) in processing. The first successful film of this type was Kodachrome, introduced by the Eastman Kodak Company in 1935.

Printed color reproduction is based on many of the 50 same principles as film color reproduction. Instead of a continuous image, allowed by the film medium, a series of dots are printed on a substrate. These dots are printed in the subtractive primary colors of cyan, magenta, and yellow. Additionally, black is used to adjust the con- 55 trast of the image. In the subtractive process, a white substrate is used and red, green, and blue are essentially subtracted to achieve black. By contrast, in the additive system, a black background (i.e. a blank TV screen) is used, and red, green, and blue are added to achieve 60 white. In the additive system the following combinations create the following results.

Red + Green = Yellow Red + Blue = Magenta Green + Blue = Cyan Red + Green + Blue = White In the subtractive process, the following is true-White + Yellow + Cyan = Green

White + Magenta + Cyan = Blue

White + Magenta + Yellow = Red

White + Yellow + Magenta + Cyan = Black

Moreover, each subtractive primary color when added with white produces that same subtractive primary

The objective in printing is to produce yellow, magenta, and cyan printing plates that are negative records of the amounts of blue, green, and red in the original. This is achieved by first photographing the original, in turn, through blue, green, and red filters. These films may then be converted into a halftone dot image suitable for a given printing process. The films are then used to make the image carners, which may be plates. evinders, or stencils. Each plate is inked with its appropriate ink, which is then transferred to a white substrate

The image produced is largely dependant upon dot size and orientation. Orientation is defined primarily by the screen angle of the dot. The screen angle is the angle at which the rulings of a halftone screen are set when making screened images. In other words, the screen angle of a dot is the angle of the line which bisects the often elliptical dots. Standard screen angles have been established for various colors of dots: Magenta (45"). Cyan (75"), Yellow (90"), Black (105") The interaction of screen angle, color, and dot size effect the quality of the reproduction.

Printing metallic colors, such as metallic gold and metallic silver, poses additional problems. Gold has typically been treated as a shade of yellow, while silver has been treated as a shade of gray. Thus the brilliance of these colors is diminished by the blending of hues which occurs in a four color printing system.

A system known as Metallic Integrated Printing Process (MIPP) has been developed for the reproduction of metallic colors by Eckart-Werke Metal Pigments and Powders of Furth, Bayern, Germany This system requires numerous steps. First, a designer marks-up the artwork to be copied to designate those areas where the MIPP system is required, i.e metallic colored areas Next, a conventional four color separation is produced of the artwork. Each separation is then compared to the original artwork to see which separation gives the best representation of the metallic colors. Based on the object color in the original photograph and the color requirements of the final print, a determination is then made whether gold or silver is required. Most shades of gold can be obtained from silver and yellow. However, a high percentage of yellow on silver greatly reduces the metallic brilliance. In addition, silver has a grey value of approximately 30% that tends also to reduce the metallic brilliance and thereby durty colors

After the four color separations are made, two separations used to print the metallic inks must be developed from two of the four sepatations. Typically the cyan or black separation will give the best basis for developing the silver separation and either the yellow or magenta for the gold separation. The selected separations are then duplicated to become the gold and silver separations. These separations may require modification to remove image areas where a metallic effect is not required. Comparison with the original transparency may indicate the need to enhance some image areas so as 10 65 improve the final metallic effect. The MIPP system anticipates the softening of mask edges of the metallic colors to avoid sharp cut-out effects when the final result is printed. In practice, the task of softening of CONFIDENTIAL SUBJECT TO PROTICTIVE OB NEE

With the MIPP systemic, a screen angle must be freed for each of the metallic inks to avoid problems of screen clash and resulting moire effects. This can be accom- 5 plished by using achromatic or Under Color Removal, ("UCR") color separation techniques where the process color with the lowest value is eliminated in favor of black. UCR involves the technique of reducing the cyan, magenta, and yellow content in neutral grey 10 shadow areas of a reproduction and replacing them with black ink so that the reproduction will appear normal but will use less process color ink. (From the Complete Color Glossary by Miles Southworth. Thad McIlroy and Donna Southworth, Copyright 1992, Pub- 15 lished by The Color Resource, Livonia, N.Y. ISBN 1-879847-01-9) Often the cyan will have the lowest value and is the color to eliminate. Since both gold and silver have a process color value, the four conventional separations will need to be modified if the finished print 20 is not to look over-colored or dirty. For example PAN-TONE 873, the MIPP gold standard, has a process color value of approximately 65% yellow, 25% magenta and 5% cyan. So if the gold areas are to look realistic these colors must be reduced proportionately 25 The separations may also require modification as the metallic inks have a grey scale value and a failure to take this into account may result in a dirtying of the final colors due to a reduction in their metallic bril-

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A MIPP image is printed using standard screen angle intervals of 30° or 15°. The screen angle used for a metallic ink is the same as that for the process colors eliminated in favor of a metallic ink. The MIPP system may use different dot shapes to reduce the risk of screen 35 reduction in metallic brilliance of the final colors. These clash A round dot, with no preferred direction, is typically used for the metallic ink, while an elliptical dot works for the standard process inks. The color standards chosen for MIPP come from the PANTONE System of matched metallic inks, with PANTONE 873 40 as the gold standard and PANTONE 877 as the silver standard

Because metallic inks are opaque, they are normally printed before the transparent process colors. But with MIPP the sequence is changed slightly so that the first 45 three colors down are silver, yellow, and gold, respectively. The remaining three process colors are printed in any order. The first three colors, in this order, are very important if the finished print is to look realistic. The use of yellow on silver is necessary to obtain yellow, 50 green and orange metallic effects. Yellow, under gold, is also necessary to maintain the correct tonal values in the highlight areas. Yellow, printed in this way, provides a transition from gold to non-metallic pans of the image. On the other hand, if yellow is printed on top of 55 tailed Description taken in conjunction with the accomthe gold, there is a loss of metallic sheen without any compensating color benefit.

In summary, the MIPP system presents several disadvantages. First, it requires excessive handwork to create the color mash. Second, the MIPP system requires the 60 System. elimination of one of the subtractive process colors to free up a screen angle for a metallic color. Third, the MIPP system only allows the printing of four screened colors in any given area. Last, the PMS 873 standard brilliant gold ink. This dirty look limits the gold color reproduction to the inherent dirty look even if no other color ink is printed in that area. This durty look also

necessitates additional color correction of the sub tractive primaries. Therefore, a need exists for a printing process which maximizes the appearance of metallic colors. Such a process should allow the use of six colors printed at four screen angles. Moreover, such a process should not limit the number of colors in any given area to four as with the MIPP System.

#### SUMMARY OF THE INVENTION

The present invention relates to the Williamson Integrated Metallic System (WIMS) developed to allow six color printing using yellow, magenta, cyan, black, metailic silver, and/or metallic gold. The WIMS System creates a realistic metallic gold or metallic silver effect using the subtractive primary colors, black, silver andfor gold. The WIMS method comprises a number of steps. The subject to be reproduced is first scanned by a standard scanner and four color separations are created The original art is then edited to achieve the required metallic effect. Editing comprises the steps of creating a yellow mask, reviewing an electronic version of the image produced by the scanner, determining the amount of contrast between heavy and light metallic regions on the image by one skilled in the art based on past experience, and then sending that contrast information back to the scanner. A "yellow mask" is created to isolate areas where a metallic effect is desired. This "yellow mask" allows the operator to select these areas based on the color and tonal region of the original. For 30 example, those areas appearing neutral are appropriate for silver metallic, while those areas appearing high yellow with a red component are appropriate for the gold metallic. Additional modification of dot size in these isolated areas may be required to avoid motire and colors can be printed at four screen angles cyan (75°). magenta (45°), silver (45°), gold (75°), yellow (90°), and black (105°).

In the WIMS System, a cleaner, or more brilliant gold color ink is used, wherein the process color value is less than 25% for magenta and less than 5% for cyan This should diminish any dirtiness caused by the process color values of adjacent primary colors. Additionally, any harsh edge effects caused during printing may be softened during the electronic masking stage. During printing, the silver separation can be printed at the same screen angle as the magenta, while the gold separation can be printed at the same screen angle as the cyan separation.

# BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and for further details and advantages thereof, reference is now made to the following Depanying drawings, in which:

FIG. 1 illustrates a flow chart of the WIMS System for reproduction of metallic color, and

FIG. 2 illustrates a flow chart of the prior art MIPP

#### DETAILED DESCRIPTION OF THE DRAWINGS

The present invention relates to a metallic color gold ink used by the MIPP system is a durty, or less 65 printing process, also known as the WIMS System, that overcomes many of the disadvantages found in the prior art. Referring to FIG. 1, a flow chart illustrates the steps involved in the present method.

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The original artwork is evaluated in a well known 20 manner by one skilled in the art to determine the color areas in which the metallic effect is desired. A gold separation can be produced at step 22 by electronically selecting any color area where the effect is desired. Likewise, a silver separation can be produced at step 24 25 by electronically selecting any color area where the effect is desired. Typically, the cyan or black areas of the original art will be the basis for developing the silver printing whereas yellow or magenta areas of the original art will form the starting point for creating the gold 30 printing It is emphasized that either the gold or silver separations may be produced by selecting any color area where the effect is desired

Using the Crosfield Imagedit, a "yellow mask" can then be created at step 26 to isolate the areas where a 35 metallic effect is desired from the rest of the separation. The "yellow mask" function gives the ability to select the desired areas electronically based on the tonal region or bandwidth of the original as well as the desired color region. Creating a yellow mask entails several 40 steps. First, an electronic version of the image produced by the scanner displays the contrast between a heavy metallic region and a light metallic region on the image. For example, neutrals are appropriate for silver, while high yellows with a red component are appropriate for 45 gold. The yellow mask controls can be adjusted at step 28 to achieve desired printing strength (tonal range) of metallic inks within the yellow mask area. These controls allow the adjustment of slope, gain, and rolloff of the image within the yellow mask area.

Next, the Imagedit computer creates six revised color separations in a well-known manner; one each for yellow, cyan, magenta, black, gold and silver. Once these electronic masks are created, further modification at step 30 of the isolated area may be required. For exam- 55 ple, such modifications may increase or reduce the printing dot size of the metallic separation and/or adjust at step 32 the amount of four color process ink printing over the newly created metallic to compensate for the reduction in brilliance caused by the additional metallic 60 color in the reproduction. Additionally, in a given original, there may be areas of similar color where a metallic effect is desired in one area but not the other. For example, a gold watch requires a, metallic gold, while a golden retriever would not. Due to this anomaly, fur- 65 ther electronic manipulation of the image may be reourred to eliminate metallic ink in unwanted areas Moreover, because all masking is performed electroni-

cally, it is possible to soften at step 34 any harsh edge effects in the final reproduction via mask smoothing or tonal integration techniques.

Next, this information is sent back to the scanner which outputs at step 36 the subtractive process colors and the metallic separations. The MIPP standard for screening is to eliminate (by hand masking) one of the process colors in metallic areas to free-up a screen angle, or to produce the metallic separations at a line ner acts as both an input device and an output device. In 10 screen resolution different than the process colors to reduce moire effects. However, in the WIMS process, the subtractive process colors are output at step 36 at 0°, 15°, and/or 30° screen angle intervals. An interval is the spacing between any two screen angles. The metallic color separations are output at step 38 at the same angles as the subtractive process colors or at 30° intervals The gold separation can be produced at the same screen angle as the cyan separation. Likewise, the silver separation can be produced at the same angle as the magenta separation. Therefore, with WIMS reproductions, six colors can be printed at four screen angles. For example, cyan can be printed at 75°, magenta at 45°, silver at 45°, gold at 75°, yellow at 90°, and black at 105°. Both process and metallic separations are produced at the same line screen resolution. Typically, there are no problems with more effect.

The next step involves metallic inks, a gold ink, a silver ink, or both gold and silver. The Pantone MIPP standard for gold ink is PMS 873. This ink printed solid has a process color value of approximately 65% yellow 25% magenta and 5% cyan For WIMS reproduction. however, a much more brilliant gold ink is used. wherein the magenta and cyan process equivalents are greatly reduced. This was selected under the rationale that a pure gold ink area of WIMS gold could be reduced in brilliance, but a pure PMS 873 ink area could not be made any more brilliant than the inherent bronze color of the ink. This same color compensation theory also applies to silver areas where a calculated reduction in cyan or black generally occurs.

Prepress proofing at step 40 is accomplished via a combination of 3M Matchprint II (for process colors) and Dupont Cromalin (for metallics). After proofing, the artwork is reproduced by first printing at step 42 the WIMS standard for silver, then printing at step 44 yellow, then printing at step 46 the WIMS standard for gold, and finally printing at step 48 the remaining subtractive primary colors in any order.

FIG. 2 provides a flow chart of the MIPP process which is discussed in greater detail in the Background Section. In sum, the designer marks up the artwork to be reproduced to show where MIPP is required and the image is scanned at step 118. Based on the object color in the original photograph and the color requirements of the final print, a determination is then made whether to choose at step 112 gold, choose at step 114 silver, or to choose at step 116 both silver and gold. The artwork is then scanned at step 118 by a scanner and a standard four-color separation is produced at step 120. Each separation is compared to the original to determine which gives the best representation of the metallic colors. A gold separation is next produced at step 122 using the screen angle of the process color that was eliminated in that area, as will be discussed in greater detail Likewise, a silver separation can also be produced at step 124 using the screen angle of the process color that was eliminated in that area.

Next, a screen angle must be freed at step 135 for each of the metallic inks to avoid problems of screen clash and resulting moure effects. In other words, in any one area where a metallic ink is used, the subtractive primary color with the same screen angle must be elimi- 15 nated or made solid. Thus, no more than four screened colors may appear in any one area of the reproduction The scanner outputs at step 136 the subtractive process colors to film at 30° and 15° intervals. The scanner can then output at step 137 the metallic separations at a 20 screen angle of an eliminated process color. Alternatively, the scanner can output, at step 138 the metallic separations at the screen angle of the eliminated process color but at a different dot shape and/or screen ruling than the four subtractive process colors. Prepress proof- 25 ing at step 140 is accomplished. After proofing, the arriwork is reproduced by first printing at step 142 the PMS 877 standard for silver, then printing at step 144 yellow, then printing at step 146 the PMS 873 standard for gold, and finally printing at step 148 the subtractive 15 ther comprising the steps of primary colors in any order

Although preferred embodiments of the invention have been described in the foregoing Detailed Description and illustrated in the accompanying drawings, it will be understood that the invention is not limited to 35 the embodiments disclosed, but is capable of numerous rearrangements, modifications, and substitutions of parts and elements without departing from the spirit of, the invention Accordingly, the present invention is intended to encompass such rearrangements, modifica- 40 tions, and substitutions of parts and elements as fall within the scope of the invention

We claim:

1 In a method of half-tone dot printing a reproduction of a scanned image on a substrate with the four 45 subtractive process colors of magenta, cyan, yellow, and black in a given area of the scanned image at only four screen angles, an improved method of incorporating metallic colors in said reproduction, the improvement comprising the steps of.

printing at least one metallic color in said given area at a selected one of the only four screen angles; and printing at least one of said four subtractive process colors in said given area at the same screen angle as said at least one metallic color such that said at least 55 one metallic color and one process color are printed in said given area at the same one of said four screen angles so as to enable at least five colors to be printed at only said four screen angles.

2 A method as in claim 1 further including the steps 60 of:

printing a second metallic color in said given area at a second one of said four screen angles; and

printing a second one of said four subtractive process colors in said given area at the same second one of 65 further comprises the steps of. said four screen angles as said second metallic color so as to have an additional metallic color and an additional process color printed in said given area

at said second one of said four screen angles so that up to six colors are printed at only said four screen angles

3 The method of claim 1 of reproducing a scanned image on a substrate including incorporating metallic colors and further comprising the steps of

producing four process color separations of the scanned image, each at one of said four screen angles.

producing at least one metallic color separation at the same screen angle as a corresponding first one of the four screen angles of the process color separations in said given area;

editing each process color separation and the at least one metallic color separation to obtain metallic color separation information;

outputting each process color separation to film creating a process color separation film.

outputting the at least one metallic color separation to film creating a first metallic color separation film. and

printing a reproduction of the scanned image on a substrate using the process color separation films and the at least one metallic color separation film such that both a metallic color separation and a process color separation are produced at the same screen angle.

4 The method of claim 3 of reproducing a scanned image on a substrate including metallic colors anti fur-

producing a second metallic color separation at the same screen angle as a corresponding second one of the four screen angles of the process color separations in said given area,

editing the second metallic color separation to obtain metallic color separation information.

outputting the second metallic color separation to film creating a second metallic color separation film; and

printing a reproduction of the scanned image on a substrate using the process color separation film and the first and second metallic color separation films such that said first metallic color separation and a first process color separation are produced at an identical first screen angle and the second metallic color separation and second process color separation are produced at a second identical screen angle so as to enable up to six colors to be printed in the given area in only four screen angles

5 The method of claim 4 wherein the step of producing a first and a second metallic color separation further comprises the steps of:

producing a gold metallic color separation as the first metallic color separation, and

producing a silver metallic color separation as the second metallic color separation

6 The method of claim 4 wherein the step of producing a first and a second metallic color separation further comprises the steps of:

producing a silver metallic color separation as the first metallic color separation; and

producing a gold metallic color separation as the second metallic color separation.

7 The method of claim 4 wherein the step of editing

reviewing an electronic version of the scanned image to determine regions of the image where metallic color is to be added.

CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER creating a yellow mask for the given area to enable isolation of any region therein where metallic color is to be printed,

electronically adjusting the amount of contrast between the isolated regions to achieve a desired 5 metallic color contrast between said isolated regions so as to obtain metallic color separation information and

sending the metallic color separation information

8 The method of claim 4 wherein the step of outputting the at least one metallic color separation further comprises the step of outputting the first metallic color separation at the same screen angle as a first process 15 color separation or at a 0°, 15°, or 30° interval therefrom

9 The method of claim 4 wherein the step of outputting the second metallic color separation further com-

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prises the step of outputting the second metallic color separation at the same screen angle as a second process color separation or at a 0°, 15°, or 30° interval there-

10. The method of claim 3 wherein the step of editing further comprises softening an image edge of the process color separations and metallic color separations.

11. The method of claim 3 wherein the step of outputting the process color separations comprises outputting back to the scanner to provide half-tone dot sig- 10 the process color separations onto film at 0°, 15°, or 30° screen angle intervals.

12. The method of claim 1 wherein the step of printing comprises.

(a) printing the metallic silver onto the substrate.

(b) printing yellow onto the substrate;

(c) printing the metallic gold onto the substrate.

(d) printing the remaining colors onto the substrate in any order

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# US005630363A

### United States Patent [19]

Davis et al.

[11] Patent Number: 5,630,363

[45] Date of Patent: May 20, 1997

[54]	COMBINED LITHOGRAPHIC
	FLEXOGRAPHIC PRINTING APPARATUS
	AND PROCESS

- [75] Inventors: Bill L. Davis. Irving: Jesse S. Williamson. Dallas, both of Tex.
- [73] Assignce: Williamson Printing Corporation. Dallas, Tex.
- [21] . Appl. No.: 515,097
- [22]. Filed: Aug. 14, 1995
- [51] Int CL6 ... \_ B41M 1/18; B41M 7/00; B41M 1/04; B41F 23/00
- .. **101/141**: 101/181; 101/183; 101/424.1; 101/424.2; 101/479; 101/483; 101/491; 101/DIG. 49
- [58] Field of Search ... 101/135-138. 101/141-143. 450.1. 174. 180. 181. 183. 416.1, 424.1, 424.2, 479, 491, DIG. 29, DIG. 49, 483

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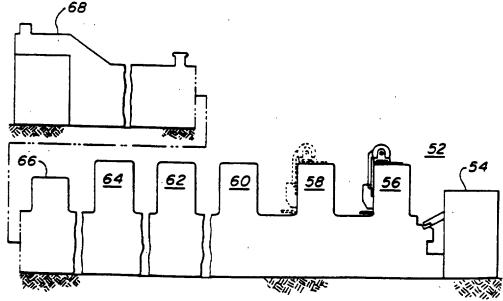
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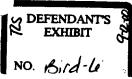
Primary Examiner-Stephen R. Funk Anomey, Agent, or Firm-Jones, Day, Reavis & Poque

#### **ABSTRACT**

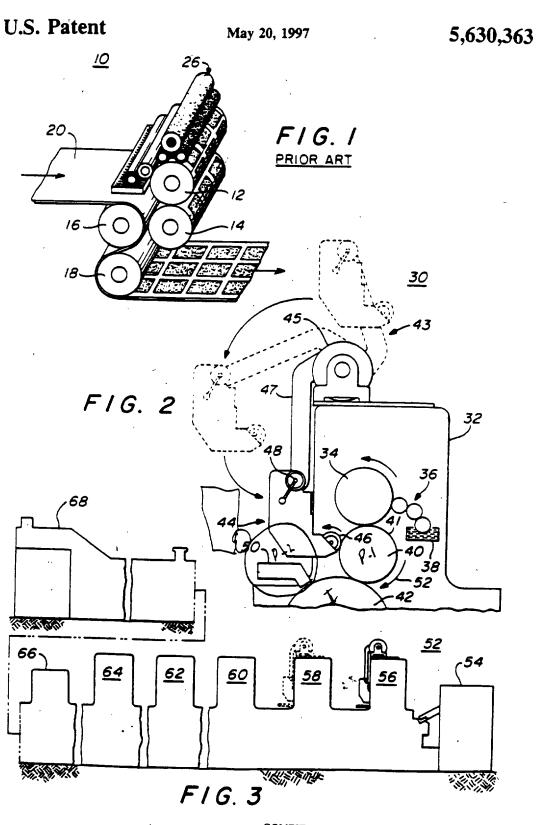
A combined lithographic/flexographic printing process having a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process. One of the stations prints a first color image using the flexographic process and at least one of the successive printing stations prints a second color image over the first color image using an offset lithographic process in the continuous in-line process.

#### 41 Claims, 1 Drawing Sheet





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#### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates in general to printing machines and processes and in particular to a combined 10 lithographic/flexographic in-line printing apparatus and pro-

#### 2. Description of Related Art

As used herein, the following terms have the meanings indicated:

#### ANTLOX ROLLER

A steel or ceramic ink metering roller. Its surface is engraved with tiny, uniform cells that carry and deposit a thin, controlled layer of ink film or coating material onto the plate. In flexo presswork, anilox rollers transfer a controlled ink film from the rubber plate (or rubber-covered roller) to the web to print the image. Anilox rollers are also used in remoistenable glue units and to create "scratch-and-smiff" perfume ads.

#### ANILOX SYSTEM

The inking method commonly employed on flexographic presses. An elastomer-covered fountain roller supplies a controlled ink film from the ink pan to the engraved metering roller. After ink floods the metering roller, the fountain roller is squeezed or wiped usually with a doctor blade to remove the excess ink. The ink that remains on the metering roller is then transferred to the rubber printing plate. COATER

A device with a pan to contain the coating material, a pan roller partially immersed in the coating material contained in the pan, and a coater roller to meter off a uniform film of the coating material and apply it to the printing plate. COATING

An unbroken, clear film applied to a substrate in layers to 40 protect and seal it, or to make it glossy. FLEXOGRAPHIC INK

A quick-drying, fluid ink that is highly volatile or an ink that can be water based and nonvolatile. FLEXOGRAPHY

A method of rotary letterpress printing characterized by the use of flexible, rubber, or plastic plates with raised image areas and fluid, rapid-drying inks. HALFTONES

Dot-pattera images that have the appearance of 30 continuous-tone images because of the limited resolving power of the human eye. This limitation accounts for an optical illusion; small halftone dots, when viewed at the normal reading distance, cannot be resolved as individual dots but blend into a continuous sone.

#### LITHOGRAPHIC PLATES

A lithographic plate is precoated with a light-sensitive or otherwise imageable coating, and the separation between the image and nonimage areas is maintained chemically. The image areas must be ink receptive and refuse water and the 60 nonimage areas must be water receptive and refuse ink. The wider the difference maintained between the ink receptivity of the image areas and the water receptivity of the nonimage areas, the bester the plate will be, the easier it will run on the press, and, consequently, the better the printing. There are 65 several types of lithographic plates. The plate is an image carrier that is said to be planographic, or flat and smooth.

#### LITHOGRAPHY

A printing process in which the image carrier or plate is chemically treated so that the image areas are receptive to

#### 5 OFFSET PRINTING

An indirect printing method in which the inked image on a press plate is first transferred to a rubber blanket, that in turn "offsets" the inked impression to a press sheet. In offset lithography, the printing plate has been photochemically treated to produce image areas receptive to ink. SLURRY

A water suspension of fibers or the suspension of pigment and adhesive used to coat papers. It may also include a suspended metallic material such as uniform-sized metal particles or nonuniform-sized metal particles. ULTRAVIOLET INKS

Printing inks containing an activator that causes the polymerization of binders and solvents after exposure to a source of ultraviolet radiation.

Offset lithography is a process that is well known in the art and utilizes the planographic method. This means that the image and nonprinting areas are essentially on the same plane of a thin metal plate and the distinction between them is maintained chemically. There are two basic differences between offset lithography and other processes. First, it is based on the principle that grease and water do not mix. Second, the ink is offset from the first plate to a rubber blanker and then from the blanket to a substrate on which printing is to occur such as paper.

When the printing plate is made, the printing image is made grease receptive and water repellant and the nonprinting areas are made water receptive and ink repellant. The plate is mounted on the plate cylinder of the press which, as it rotates, comes in contact successively with rollers wet by a water or dampening solution and rollers wet by ink. The dampening solution wets the nonprinting areas of the plate and prevents the ink from wetting these areas. The ink wets the image areas which are transferred to the intermediate blanker cylinder. The inked image is transferred to the substrate as it passes between the blanket cylinder and the impression cylinder. Transferring the image from the plate to a rubber blanket before transfer to the substrate is called the offset principle.

One major advantage of the offset principle is that the soft rubber surface of the blanket creates a clearer impression on a wide variety of paper surfaces and other substrate materials with both rough and smooth textures with a minimum of press preparation.

Offset lithography has equipment for short, medium and long runs. Both sheetfed and web presses are used. Sheetfed lithography is used for printing advertising, books, catalogs, greeting cards, posters, labels, packaging, folding boxes, decalcomanias, coupons, trading stamps, and art reproductions. Many sheetfed presses can perfect (print both sides of the paper) in one pass through the press. Web offset is used for printing business forms, newspapers, preprinted newspaper inserts, advertising literature, catalogs, long-run books, encyclopedias, and magazines.

In offset lithography, the rubber blanker surface conforms to irregular printing surfaces, resulting in the need for less pressure and preparation. It has improved print quality of text and halftones on rough surfaced papers. Further, the substrate does not contact the printing plate thereby increasing plate life and reducing abrasive wear. Also, the image on the plate is right for reading rather than reverse reading. Finally, less ink is required for equal coverage, drying is speeded, and smudging and setoff are reduced. Setoff is a

Thus, in summary, conventional lithographic offset printing machines or presses comprise one or more image printing stations each having a printing roller or a plate cylinder to which is fastened a thin hydrophilic, oleophobic printing plate having image areas which are oleophilic and hydrophobic and background areas which are oleophobic and hydrophilic. The plate surface is continuously wested with an aqueous damping solution which adheres only to the background areas and inked with oleo-resinous inks which adhere only to the image areas of the plate as wet ink. The ink is offset transferred to the rubber surface of a contacting blanket cylinder and then retransferred to the receptive surface of a copy web or a succession of copy sheets, such as paper, with an impression cylinder and the ink air dries by oxidation and curing after passing through a drying station.

It is also known to provide the printing machine with a downstream coating station having a blanket roller associated with a coating application unit for the application of an overall protective coating over the entire printed area of the

copy sheets or web.

It is known to apply pattern coatings of protective composition by means of blanket rolls by cutting into the rubber surface of the bianket to create raised or relief surface areas 25 which selectively receive the coating composition from the application roll for retransfer to selected areas of the copy sheets in form of pattern coatings. See U.S. Pat. No. 4,796.

Lithographic inks are formulated to print from plano- 30 graphic surfaces which use the principle that grease and water do not mix. Lithographic inks are generally very strong in color value to compensate for the lesser amount applied. They are among the strongest of all inks. The average amount of ink transferred to the paper is about half 35 that of letter press because of the double split of the ink film between the plate cylinder and the blanket cylinder and the blanker cylinder and the substrate on the impression cylin-

Problems occur in the offset lithographic process when 40 attempting to print certain colors such as white and in particular white on other colors such as yellow because the color white will be faint and not sufficiently strong. In such cases, the sheet or paper or substrate requiring the white ink usually has to be run through the same printer several times 45 before the white becomes sufficiently strong.

Further, such colors are not generally printable in an offset lithographic printing process. This mesns that the sheets or substrate must be removed and transferred to a second type of machine using the flexographic process to apply greater 30 amounts of ink in successive printing runs to achieve the

desired print quality.

A like situation occurs with the printing of slurry-type materials such as "scratch-and-sniff" materials which is a liquid vehicle with a shurry containing an encapsulated 55 essence. Such liquid vehicles, because of the nature of the slurry, must be pristed with a flexographic process because the anilox roller can supply greater amounts of ink to the flexo plate on the plate cylinder.

Again, when a liquid vehicle with a slurry having sus- 60 pended material therein such as metallic particles is to be printed, an offset lithographic process cannot be used withour the mixing of the aqueous solution with metallic inks which cause a dulling of the image. Further, the abovementioned double split of the ink film adds to the dulling of 65 the image. Therefore, to achieve desired results, the printing must take place with a flexographic printing machine.

Thus, liquid opaque coatings or inks such as white colored ink, scratch-and-sniff vehicles, and slurries with metal particles do not achieve desired results when printed in an offset lithographic process and must be transferred from the offset lithographic in-line machines to a separate machine for printing in a separate run.

Such requirements not only hunder the speed of the printing process but also require additional time and thus

increase the cost of the printing.

It would be advantageous to have a continuous in-line process in which not only offset lithographic printing could take place but in which, in the same in-line process, liquid printing vehicles including opaque coatings, such as white ink and slurries containing encapsulated essences or metallic particles could also be printed and dried not only before the printing of the offset lithographic inks but also in which. after the liquid opeque coatings have been applied, an overcoating could be applied to the printed liquid vehicle image using the lithographic process in the continuous in-line process.

#### SUMMARY OF THE INVENTION

The present invention provides for a continuous in-line printing process having a plurality of successive printing stations for printing color images on a substrate. At least one of the stations prints a liquid vehicle image on a substrate with an opaque coating using the flexographic process and at least one of the successive printing stations printing a second color image over the liquid vehicle image on the printed substrate using the lithographic process in the continuous in-line process.

In the novel inventive system, a single in-line continuous printing process is used. One of the stations may print a liquid vehicle image on a substrate that contains a slurry with an encapsulated essence therein utilizing the flexographic process. Another one of the stations may apply an overcosting over the liquid vehicle image on the printed substrate using a lithographic process. Still another of the stations may print an aqueous-based vehicle image including a suspended metallic material therein using the flexographic process to form a metallic coating and thereafter at least one of the successive printing stations prints a color image over the aqueous-based vehicle image using the lithographic offset process in the continuous in-line process.

Whenever a station is used for flexographic printing. a flexographic plate image is placed on the blanket cylinder for receiving the liquid vehicle and transferring the liquid vehicle to the impression cylinder for printing. An anilox roller is associated with the flexographic plate for supplying the liquid vehicle which may be an aqueous-based vehicle.

In addition, in such case, a high-velocity air dryer is associated with the impression cylinder of one or more of the printing stations where the printing on the substrate is occurring to assist in drying the ink or liquid vehicle printed on the substrate while it is on or near the impression cylinder, before the substrate arrives at the next successive station for additional printing, or before printing occurs at the next successive station.

Thus, if a liquid vehicle such as white ink is to be printed. it is printed with a flexographic process which deposits a greater amount of ink on the substrate, the ink is dried with a high-velocity air dryer while the substrate is on or near the impression cylinder and prior to the substrate being received by the next successive station. If desired, at the next successive station the printing of the white liquid vehicle may again take place thus ensuring the desired intensity of

Thus, it is an object of the present invention to provide a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process and in which some of the stations print using the flexographic process and other of the stations print utilizing the offset lithographic process.

It is also an object of the present invention to print an aqueous-based vehicle image including a suspended métalhe material therein using the flexographic process at one printing station and at least one successive printing station printing a color image over the aqueous-based vehicle image using a lithographic process in a continuous in-line process or placing an overcoating over the aqueous-based vehicle image using the flexographic process and then printing at successive stations using the lithographic process.

It is yet another object of the present invention to provide a continuous in-line printing process in which one of the stations prints a liquid vehicle image on the substrate with a siurry containing an encapsulated essence using the flexographic process and at least one of the successive printing stations applies an overcoating over the liquid vehicle image on the printed substrate using the offset lithographic process in a continuous in-line process.

#### BRIEF DESCRIPTION OF THE DRAWINGS

These and other features of the present invention will be more fully disclosed when taken in conjunction with the following DETAILED DESCRIPTION OF THE PRESENT INVENTION in which like numerals represent like elements

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FIG. 1 is a schematic view of a prior art offset lithography printing station;

FIG. 2 is a generalized depiction of a printing station that may be used either as an offset lithographic station or a flexographic printing station and illustrates how the station may be converted from an offset lithographic station to a flexographic station; and

FIG. 3 illustrates the continuous in-line process of the present invention comprising a plurality of printing stations. each of which can be converted from an offset lithographic printing station to a flexographic printing station as well as a final coating station.

#### DETAILED DESCRIPTION OF THE PRESENT INVENTION

FIG. 1 is a schematic representation of a well-known offset lithography printing station 19 having a plate cylinder 12. a blanket cylinder 14. and an impression cylinder 16. The printing medium or substrate, such as paper 28 either in sheet form or web, is fed over the impression cylinder 16 in printing contact with the blanket cylinder 14 to receive the is age and then passes over the paper transfer cylinder 18 with the image printed thereon. An inking system 26, well plate cylinder 12. This is a typical offset lithography printing STATION.

As disclosed in U.S. Pat. No. 4,796.556, offset lithographic printing machines generally have a plurality of in-line liquid application stations at least one of which is an ink image printing station for printing lithographic ink images on to suitable receptive copy sheets. The final

downstream liquid application station is a coating application station for printing a protective and/or aesthetic coating over selected portions of or over the entire ink-image printed surface of the copy sheets and can also be used to print metallic coatings or slurry. As stated in U.S. Pat. No. 4.796.556. two liquid application stations are shown, the latter including a coating apparatus and the first station being a conventional offset image printing station. The coating application printing station is one that can be modified to convert it either permanently or intermittently to a coating station from an offset lithographic station.

Such a station is illustrated in FIG. 2 herein. The station 30 comprises a housing 32 which includes therein a plate cylinder 34 that is fed with an ink system of rollers 36 that take ink from an ink supply 38 and transfer it to the plate cylinder 34. A blanket cylinder 40 is in ink transfer relationship with the plate cylinder 34 and the impression cylinder 42 where the image is transferred to a substrate passing between blanket cylinder 40 and impression cylinder 42 as blanket cylinder 40 rotates in the direction of arrow 52. This is a conventional offset lithographic printing station. When it is desired to convert that station into a coater station, the coater apparatus 43 has a coater head 44 including a supply of liquid costing and an anilox roller 46 that can be moved such that it can be in contact with either the blanket cylinder 40 for direct printing or the plate cylinder 34 for offset printing. In this case, the ink rollers 36 for the lithographic system are removed from engagement with the plate cylinder 34 in a well-known manner. The coater unit 43 30 includes a motor device 45, an arm 47, and a pivotal connection 48 that connects the coater head 44 with the remainder of the assembly.

As stated previously, the offset lithographic machine of FIG. 2 is converted as shown therein to a coater that is used only in the last stage of an in-line printing process. It has not been able to be used in stages other than the last printing station because the ink that is placed on the blanket cylinder by means of an anilox roller is still wet when it arrives at the subsequent stations, thus causing smearing of the printed material and causing a general unpossibility of printing other information thereon. However, applicant has modified the station shown in FIG. 2 by the addition of a high-velocity air dryer 50 that is associated with the impression cylinder 42 directly after the ink is transferred from the blanket cylinder to the substrate on the impression cylinder. Thus by using flexographic inks. or aqueous coaungs which are naturally quick-drying inks, and the high-velocity air dryer 50 located at the point where the tak is applied to the substrate on the impression cylinder, the ink is sufficiently dried when it passes to the next station that further printing can take place on the printed substrate.

Thus, as shown in FIG. 3, a conventional in-line offset lithographic printing machine 52 is shown having an apparatus to feed paper into the said machine, referred to as a feeder 54, printing stations 56, 58, 60, 62, and 64 and a costing station 66. A delivery station 68 receives the printed material or substrates. Thus there are a piurality of successive printing stations 56, 58, 60, 62, and 64 for printing color images on the substrate in a continuous in-line process. Any known in the art, transfers the ink from the ink supply to the 60 one of the printing stations 56-64 can be modified as generally shown therein and as illustrated in FIG. 2 to print a first color image using the flexographic process. The succeeding printing stations can then print a second color image over the first color image using the lithographic process in the continuous in-line process. As illustrated in FIG. 2, the flexographic process printing station includes the blanket cylinder 46 and the impression cylinder 42. A

flexographic plate 41 on the blanket cylinder 40 has an image thereon for receiving the first color from the anilox roller 46 and transferring that first color image to the impression cylinder 42 for printing on the substrate. The high-velocity air dryer 50 thus dries the flexographic ink on the substrate and passes the substrate to the subsequent printing station. Thus in FIG. 3, station 56 may be modified as generally shown therein and as illustrated in FIG. 2 and a flexographic ink can be printed thereon at station 56, dried by the high-velocity air dryer 50, and coupled to subsequent in-line stations 58-64 for further printing a second or more color images over the first color image using the offset lithographic process in a continuous in-line process. The flexographic printing station shown in FIG. 2 may print a liquid vehicle image on the substrate with a slurry containing an encapsulated essence. At at least one of the successive 15 printing stations 58-64 an overcoating may be applied over the liquid vehicle image on the printed substrate using the flexographic process in the continuous in-line process. The overcoating may be an aqueous overcoating, or an ultraviolet overcoating. In addition, the substrate may be a sheet or 20 a web 20 as illustrated in FIG. 1 or it may be single sheet fed in the continuous in-line process from the stack sheets shown at 54 in FIG. 3.

Further, the modified flexographic printing station 30 stanons 56-64 in FIG. 3. and as illustrated by stations 56 and and may prope an aqueous-based vehicle image including a suspended metallic material therein using the flexographic process to form a metallic coating. Again, after it is dried by successive printing stations for printing a color image over the aqueous-based vehicle image using the offset lithographic process in the continuous in-line process. The suspended material may include uniform-sized metal particles to form the metallic coating or it may include nonuniform or 35 multiple-sized metal particles to form the metallic coating.

The present invention is especially useful when a liquid opaque coating must be printed such as a white color ink. In that case, it may be desirable to have both stations 56 and 58 modified as shown in FIG. 3 and as illustrated in detail in 40 FIG. 2. In such case, the anilox roller 46 at each station delivers the white ink in the same pattern to the flexographic plate 41 on the blanket cylinder 40 for transfer to the substrate on the impression cylinder 42. As the substrate passes the high-velocity drying station 50, the ink is dried 45 and the second station may again print the same white pattern on the substrate to increase the quality of the white ink appearance after it is applied to the substrate.

Thus, the station or stations that are converted to flexographic printing stations may have an ink-providing means 50 46 at the printing station for applying a flexographic ink to the blanket cylinder to form the image. A substrate receives the flexographic ink image transfer from the blanket cylinder and at least one subsequent printing station in the in-line process receives the image-printed substrate and prints an 33 additional coated ink image on the substrate on top of the flexographic ink image using offset lithography. The additional colored ink images that can be printed on top of the flexographic ink images can be conventional lithographic inks or waterless inks.

Further, the colored ink images may be printed with halftone screening processes. The flexographic ink image and the colored ink images may also be printed in solids and/or halftone printing plates in sequence and in registry in successive printing stations to produce a multicolored image 65 on the substrate. Further, the printing apparatus may include a sheetfed press or a web press.

In the present invention, at least one of the flexographic printing stations prints an image with liquid vehicle slurry containing an encapsulated essence. In another embodiment, at least one of the printing stations prints an image with a water-based liquid vehicle containing suspended particles that are either uniform or nonuniform in size. The suspended particles may be metallic particles up to substantially 16 microns in diameter.

The present invention may also use the metallic color printing process as disclosed in commonly assigned U.S. Pat. No. 5.370.976 incorporated herein by reference in its

In one aspect, the novelty of the present invention is to create a flexographic printing station that can be used at one of a plurality of printing stations in a continuous in-line process and in which, at a subsequent printing station, a lithographic process may be used to print over the liquid vehicle printed by the flexographic station.

Thus, there has been disclosed an apparatus for a combined lithographic/flexographic printing process that includes a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process and wherein one of the stations prints a first color image using the flexographic process and at least one of the shown in FIG. 2. as stated previously, may be any one of the 25 successive printing stations prints a second color image over the first color image using the lithographic process in the continuous in-line process.

While the invention has been described in connection with a preferred embodiment, it is not intended to limit the the high-velocity air dryer 50, it may be passed to one of the 30 scope of the invention to the particular form set forth, but, on the contrary, it is intended to cover such alternatives, modifications, and equivalents as may be included within the spirit and scope of the invention as defined by the appended claims.

We claim:

- 1. Apparatus for a combined lithographic/flexographic printing process comprising:
  - a substrate:
  - a plurality of successive printing stations for printing color images on the substrate in a continuous in-line process:
- one of said stations comprising a flexographic printing station for printing a liquid vehicle image on said substrate with a slurry containing an encapsulated essence using the flexographic process;
- at least one of said successive printing stations being a lithographic printing station; and
- an overcoating applied over the liquid vehicle image on the printed substrate at at least one of said successive lithographic printing stations using the lithographic process in said continuous in-line process.
- 2. Apparatus as in claim 1 wherein said overcoating is an aqueous overcoating.
- 3. Apparatus as in claim 1 wherein said overcoating is an ultraviolet ink overcoating.
  - 4. Apparatus as in claim 1 wherein: said substrate is a paper sheet; and said apparatus includes a sheet feeder. 5. Apparatus as in claim 1 wherein:

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said substrate is a web; and

- said apparatus includes a web feeder.
- 6. Apparatus for a combined lithographic/flexographic printing process comprising:
  - a plurality of successive printing stations for printing color images on a substrate in a continuous in-line DFOCESS:

a suspended metallic material being included in said aqueous-based vehicle image; and

- at least one of the successive printing stations comprising an offset lithographic printing station printing a color image over the aqueous-based vehicle image using the offset lithographic process in said commuous in-line process.
- Apparatus as in claim 6 wherein said suspended material includes uniform-sized metal particles to form said metallic coating.
- Apparatus as in claim 6 wherein said suspended material includes nonuniform-sized metal particles to form said 15 metallic coating.
- 9. Apparatus as in claim 6 further including: said flexographic printing station including a plate cylinder having a flexographic plate thereon, a blanket cylinder, and an impression cylinder:
  - a flexographic plate image transferred from said plate cylinder to said blanket cylinder, said image being formed of said metallic coating, said blanket cylinder transferring said metallic coating to said impression cylinder for printing said flexographic plate image on 25 said substrate; and
  - an anilox roller associated with said flexographic plate for supplying said aqueous-based vehicle containing said suspended metallic material to said flexographic plate.
- 10 Apparatus for creating a combined lithographic/ 30 flexographic printing process comprising:
- a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process:
- one of said stations comprising a flexographic printing 35 station for printing a first color image using the flexographic process; and
- at least one of the successive printing stations comprising an offset lithographic printing station for printing a second color image over the first color image using the offset lithographic process in said continuous in-line process.
- 11. Apparatus as in claim 10 further including:
- said flexographic printing station including a plate cylinder, a blanket cylinder, and an impression cylinder;
- a flexographic plate on said plate cylinder:
- an anilox roller associated with said flexographic plate for supplying a first color to said flexographic plate to form said first color image; and
- said blanket cylinder receiving said first color image from said plate cylinder and transferring said first color image to said impression cylinder for printing on said substrate.
- 12. Apparatus for creating a combined lithographic/ flexographic printing process comprising:
  - a substrate;

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- a plurality of successive printing stations for printing color images on the substrate in a continuous in-line 60 process;
- at least two successive ones of said printing stations being flexography stations and comprising:
  - (1) a supply of liquid coating;
- (2) a plate cylinder associated with a blanket cylinder. 65 said plate cylinder having a flexographic plate thereon:

- (3) an anilox roller associated with said liquid supply coating and said plate cylinder for delivering said liquid coating to said flexographic plate to form an image for transfer to said blanker cylinder;
- (4) an impression cylinder for receiving said liquid coating image transferred from said blanket cylinder and printing said image on said substrate, said at least two flexography stations printing the same liquid coating image in sequence and in superimposed relationship; and
- at least one offset lithographic printing station for receiving said substrate and printing over said liquid coating image.
- Apparatus as in claim 12 wherein said liquid coating
   image printed on said substrate is a white color ink.
- 14. Apparatus as in claim 12 further including an air dryer associated with each of said impression cylinders on said flexography stations, said air dryer having sufficient air velocity for drying said liquid coating before the substrate is transferred to the successive printing station in said continuous in-line process.

15. Apparatus for a combined lithographic/flexographic printing process comprising:

- a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process, said printing stations including both lithographic and flexographic printing stations.
- a blanket cylinder at at least a first one of said flexographic printing stations.
- flexographic ink-providing means at said at least first one of said flexographic printing stations for applying a flexographic ink to said blanket cylinder to form an image;
- a substrate for receiving said flexographic ink image transferred from said blanker cylinder; and
- at least one subsequent lithographic printing station in said in-line process for receiving said image printed substrate and printing an additional colored ink image on said substrate on top of said flexographic ink image using offset lithography.
- 16. Apparatus as in claim 15 further comprising:
- a plate cylinder at said at least first one of said flexographic stations:
- a flexographic plate on said plate cylinder for receiving and transferring said flexographic ink to said blanker cylinder; and
- said flexographic ink-providing means including a flexographic ink supply and an anilox roller associated with said flexographic ink supply for transferring said flexographic ink to said flexographic plate.
- 17. Apparatus for a combined lithographic/flexographic printing process for printing a multicolored image comprision.
  - a plurality of successive printing stations for printing color on a substrate in a continuous in-line process, said printing stations including both lithographic and flexographic printing stations;
  - at least one of said flexographic printing stations having:

    (1) a plate cylinder and a blanket cylinder, said plate cylinder including a flexographic plate having an image thereon for transferring a flexographic color ink image to said blanket cylinder;
    - (2) an exched anilox roller for applying a flexographic color ink to said flexographic plate on said plate cylinder.

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- (3) an impression cylinder in ink-transfer relationship with said blanker cylinder for transferring said flexographic color ink image from said blanker cylinder to said substrate; and
- at least one of said succeeding printing stations being a lithographic printing station using offset lithography for printing additional colored ink images on top of said flexographic ink image.
- 18. Apparatus as in claim 17 wherein said additional colored ink images are formed with lithographic inks.
- 19 Apparatus as in claim 17 wherein said colored ink images are formed with waterless inks.
- 20. Apparatus as in claim 17 further including an air dryer adjacent to said impression cylinder for drying the flexographic ink image transferred to said substrate before said additional colored ink images are printed thereon.
- 21. Apparatus as in claim 17 further including halftone printing plates for printing said colored ink images.
- 22. Apparatus as in claim 17 wherein said flexographic 20 ink image and said colored ink images are printed as solid colors and/or with halftone printing plates in sequence and in registry in said successive printing stations to produce said multicolored image on said substrate.
- 23. Apparatus as in claim 17 wherein said printing apparatus includes a sheet-fed press.
- 24. Apparatus as in claim 17 wherein it least one of said flexographic printing stations prints sail flexographic infilmage with liquid vehicle slurry continuations and apparated essence.
- 25. Apparatus as in claim 17 wherein 'least one of said printing stations prints said flexographic ink image with a water-based liquid vehicle containing suspended particles.
- Apparatus as in claim 25 wherein said suspended 35 particles are uniform in size.
- Apparatus as in claim 25 wherein said suspended particles are nonuniform in size.
- 28. Apparatus as in claim 25 wherein said suspended particles are metallic particles.
- 29. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:
  - providing a plurality of successive lithographic/ flexographic printing stations for printing colored ink images on a substrate;
  - printing a flexographic ink image on said substrate at at least one of said flexographic stations;
  - transferring said printed substrate to at least one subsequent printing station in said continuous in-line process; and
  - printing colored ink images on top of said flexographic ink image at at least one of said subsequent lithographic printing stations with an offset lithographic process.
- 30. A method as in claim 29 further comprising the step of drying said flexographic ink image on said substrate with an air dryer prior to printing said colored ink images thereon.
- 31. A method as in claim 29 further including the step of 60 printing a coating on top of said colored ink images at one of said plurality of subsequent printing stations.
- 32. A method as in claim 29 wherein said colored inks forming said colored ink images are waterless.
- 33. A method as in claim 29 wherein said colored inks 65 opaque white color. forming said colored ink images are in a solvent-based liquid vehicle.

- 34. A method as in claim 29 further including the steps of printing a slurry on said substrate at any of said printing stations in said continuous in-line process:
- using an encapsulated essence in said slurry; and
- printing an overcoating over said sturry at a subsequent printing station in said in-line process to protect said essence.
- 35. A method as in claim 34 further including the step of printing an aqueous-based coating over said slurry.
  - 36. A method as in claim 34 further including the step of printing an ultraviolet coating over said slurry.
  - 37. A method of combining offset lithography and flexographic printing in a continuous in-line process comprising the steps of:
    - providing a substrate;
    - applying a flexographic ink to a blanket cylinder in a pattern with a coating head at a first flexographic printing station;
  - transferring said pattern of flexographic ink from said blanket cylinder to the substrate, and
  - printing a waterless ink pattern over said flexographic ink pattern on said substrate at at least one subsequent offset lithographic printing station in said continuous in-line process.
  - 38. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:
  - printing in aqueous-based valuate areas having suspended particles therein on a substrate at a first flexographic printing station;
  - transferring said image printed substrate to at least one additional printing station in said continuous in-line process; and
  - printing additional colored ink images on said printed substrate over said aqueous-based vehicle image in an offset lithographic process at said at least one additional printing station in said in-line process.
  - 39. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:
    - providing a plurality of successive printing stations for printing liquid vehicle images on a substrate in said in-line continuous process:
    - (2) utilizing an anilox roller to transfer a liquid ink as said liquid vehicle to a flexographic plate image at at least one of said printing stations:
    - (3) printing said liquid ink from said flexographic plate image to a substrate;
    - (4) transferring said printed substrate with said liquid ink image to a subsequent printing station in said in-line printing process;
  - (5) repeating steps (2)—(4) at subsequent printing stations in said in-line process to achieve a desired opacity ink image on said substrate; and
  - (6) printing an ink pattern over said flexographic ink image using an offset lithographic process.
- 40. A method as in claim 39 further including the step of additionally printing colored ink images over said liquid ink image on said substrate at subsequent ones of said printing stations in said in-line process.
- 41. A method as in claim 40 wherein said liquid ink is an

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## United States Patent [19]

Bird

[11] Patent Number:

4,796,556

[45] Date of Patent:

Jan. 10, 1989

[54]	ADJUSTABLE	COATING	AND	PRINTING
	APPARATUS			

[75] Inventor: John W. Bird, Westport, Conn.

[73] Assignee: Birow, Inc., Westport, Conn.

[21] Appl. No.: 65,954

[22] Filed: Jun. 24, 1987

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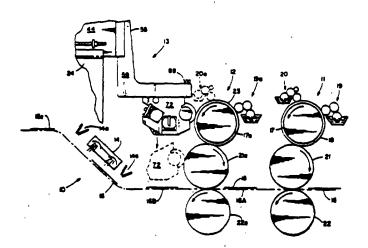
Primary Examiner-Shrive Beck

Assistant Examiner-Alain Bashore
Astorney, Agent or Firm-Peaman & Green

[57] ABSTRACT

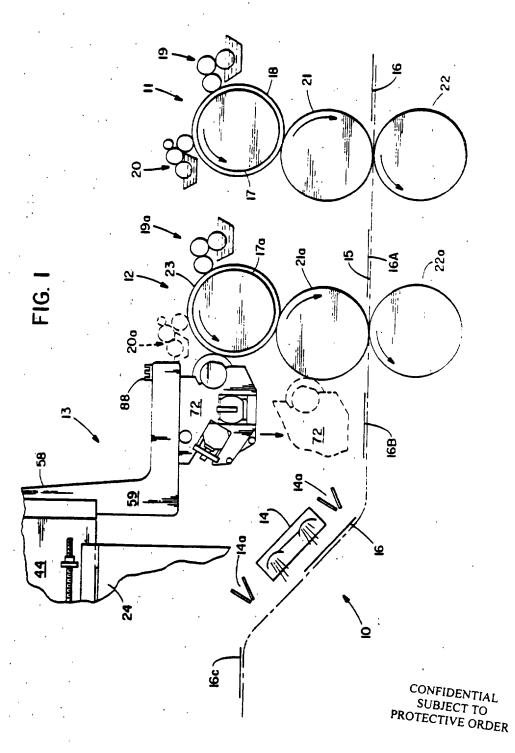
An offset lithographic printing machine having a plurality of in-line liquid application stations, at least one of which is an ink image printing station for printing lithographic ink images onto suitable receptive copy sheets. and the final downstream liquid-application station being a coating application station for printing a protective, and/or aesthetic coating over selected portions of, or over the entire ink image-printed surface of the copy sheets. The costing application station comprises a plate cylinder adapted to print liquid coating composition onto predetermined selected areas of the ink imageprinted copy sheets by offset-transfer to an intermediate blanket cylinder, a said blanket cylinder adapted to receive said liquid coating composition from the plate cylinder for retransfer onto predetermined selected image-printed areas of the image-printed copy sheets. and also adapted to receive a continuous liquid coating composition for retransfer as a continuous overall coating over the image printed areas of the image printed copy sheets. An adjustable coating-application carnage is supported for movement into coating association with either the plate cylinder blanket cylinder desired, for the application of a printed coating over either preselected limited areas or over the entire image-printed surface of the copy sheets.

23 Claims, 4 Drawing Sheets



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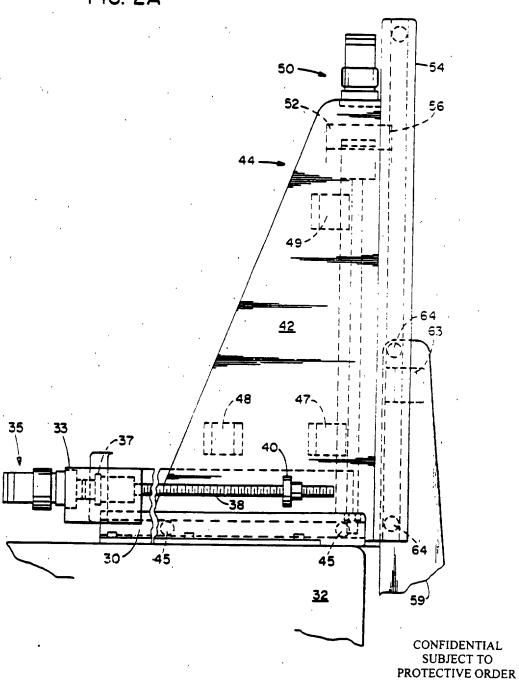
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FIG. 2A

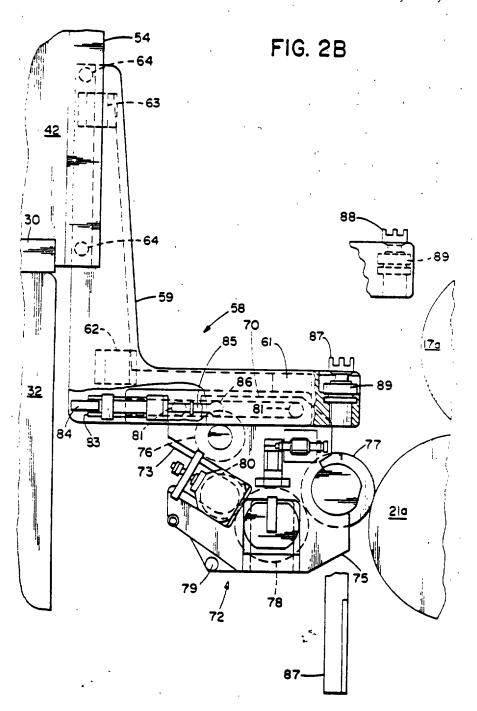


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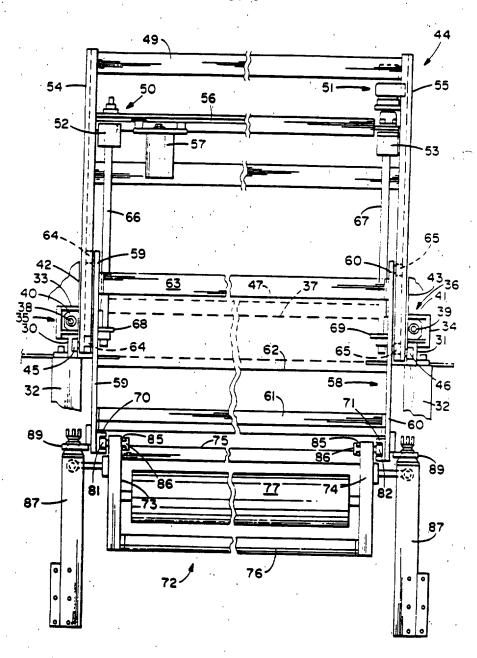
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Jan. 10, 1989

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FIG. 3



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#### BACKGROUND OF THE INVENTION

Conventional lithographic offset printing machines or presses comprise one or more image printing stations each having a printing roll (sometimes referred to as a plate cylinder) to which is fastened a thin hydrophilic. oleophobic printing plate having image areas which are oleophilic and hyrdoprobic and background areas which are oleophobic and hydrophilic. The plate surface is continuously wetted with aqueous' damping solution which adheres only to the background areas, and inked with oleoresinous ink which adheres only to 15 the image areas of the plate as wet ink. The ink is offset transferred to the rubber surface of a contacting blanket roll (sometimes referred to as a blanket cylinder), and then retransferred to the receptive surface of a copy web or a succession of copy sheets, such as of paper, 20 where the ink air-dries by oxidation and curing after passing through a drying station.

Since image-drying is gradual, it is conventional to spray the printed copies with starch or other "sulting" powder before the copies are stacked. This prevents 25 sticking of the ink images to adjacent copies and also permits the circulation of air for the oxidation curing process.

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In cases where cost is not a factor and/or where the aesthetic advantages of a protective supercoating are 30 desired, it is known to provide the printing machine with a downstream coating station having a blanket roll associated with a coating application unit for the applicanon of an overall protective costing over the entire printed area of the copy sheets or web. This also avoids 35 the necessity of powdering the printed images. Reference is made to U.S. Pat. No. 4,270,483 for its disclosure of such an apparatus. The coating unit of U.S. Pat. No 4,270,483 is pivotally-associated with the blanket roll tracted positions.

It is known to apply pattern coatings of protective composition by means of blanket rolls by cutting into the rubber surface of the blanket to leave raised or relief surface islands which selectively receive the coating 45 composition from the application roll for retransfer to selected areas of the copy sheets in the form of pattern coatings. This procedure has several disadvantages. The make-ready time required for the preparation of requires the tedious, precision efforts of an expert in order to approximate the required registration, whereas precise relief printing plates used on a printing roll can be produced photographically in a short period of time with a minimum of effort and expertise. Moreover, the 55 attachment of a relief printing plate to a plate cylinder provides some degree of adjustability, axially as well as circumferentially, to provide better registration if necessary, whereas no adjustment of the relief portions is possible relative to the blanket roll or cylinder.

Protective coating compositions also improve the appearance of printed documents, particularly high quality, multi-color copies such as posters, record jackets, product brochures, etc., by providing glossy or matte finishes over the entire image-printed surface or 65 over selected image-printed portions thereof such as photographs, product illustrations, etc. Selected area coating, spot coating or perfect registration over prede-

termined limited printed areas of the copies is advantageous from a cost standpoint since the coating composinons are relatively expensive and the volume required is reduced if the coating is only printed in registration where desired. Also, spot coating is frequently used as a means for highlighting certain portions of the printed copies such as company name or logo, product illustrations, photographs, etc.

While the cost of the protective coating compositions is an important factor, a more important cost factor is the necessity of removing the printed copies from an offset printing press and then running them a second time through a coating machine to print either a full protective coating or a spot protective coating, as desired. This problem is overcome by U.S. Pat No. 4,270,483 with respect to the in-line printing of overall or continuous protective coatings but the problem of providing in-line spot printing of protective coatings with a minimum of make-ready time and a high degree of precision thickness remains.

#### SUMMARY OF THE INVENTION

An essential objective of the present invention is to provide a printing machine or press for the printing of imaged subject matter onto a receptive substrate, such as a copy web or a succession of copy sheets, said printing machine having a downstream coating station designed for the application of either continuous or spot coatings, as desired, over the image-printed copies in a continuous in-line process.

Another object of the present invention is to pro- ae a coating apparatus designed to be mounted at the final downstream ink-application station of a conventional offset printing machine or press having a plurant; of ink-application stations to convert said machine ar press, intermittently if desired, to the in-line application of either continuous or spot coatings, as desired

Yet another object of this invention is the provision for movement between coating and noncoating or re- 40 of a single coating application apparatus mounted in association with the final downstream liquid application station of a printing press having a plurality of liquid application stations, each having a plate cylinder, a blanket cylinder and an impression cylinder, the coating application apparatus comprising a coating carriage which is adjustable between one coating position in which it coars the plate cylinder and another coating position in which it costs the blanket cylinder of the final downstream station to convert said station to a such relief blanket rolls is excessive and the procedure 50 coating station for the application of either spot or continuous coatings to the surface of the image-printed copies.

The novel apparatus of the present invention comprises a coating application apparatus for an offset printing machine and a printing machine containing such an apparatus, the coating application apparatus having a movable carriage designed for operative association in one position with the plate cylinder and in another position with the blanket cylinder of the final liquid 60 application station of the offset printing machine, the coating carriage being adjustably supported for automatic movement between said two different coating positions. One coating position brings the coating application roll of the carriage into coating association with the plate cylinder for the offset formation of predetermined printed spot coatings onto predetermined imageprinted areas of the copy sheets. The other coating position brings the coating application roll of the car-

mage into coating association with the blanket cylinder for the offset formation of a continuous coating onto the entire image-printed surface of the copy sheets. This enables the printing machine to image-print and coatprint the copy web or sheets in a continuous in-line 5 operation, the apparatus being adjustable in simple fashion with a minimum make-ready time to adapt the coatprint step to the application of either spot coatings or continuous coatings depending upon the requirements of the printing operation. This increases the versatility 10 of the offset printing machine, avoids the need for separate printing machines or for separate runs of the printed stock and enables the in-line precise printing of spot coatings in tight register and adjustable thickness, which was not possible with any prior-known offset 15 coat. printing machine.

The novel apparatus of the present invention enables the final downstream liquid application station of the printing machine to be used as either an ink-printing station or as a coating-application station and permits 20 simple and rapid conversion between such utilities.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a vertical cross-sectional view through two downstream liquid application stations of an offset 25 printing machine, illustrating a coating-application unit according to one embodiment of the present invention;

FIGS. 2A and 2B are segmented, detailed side views of coating application unit of FIG. 1 and

FIG. 3 is a horizontal front view of the coating application unit of FIG. 2.

### DETAILED DESCRIPTION OF THE INVENTION

Referring to the drawings, FIG. 1 illustrates a downstream portion of an offset printing machine 10 comprising two liquid application stations 11 and 12, the latter including a coating apparatus 13 comprising a coating carnage 58, a radiation drying station 14 including air knives 14a, and a continuous copy sheet gripper system 40 15 which moves a succession of copy sheets 16 through the printing machine.

The first liquid application station 11 is a conventional offset image printing station comprising a plate cylinder 17, to which is clamped an imaged lithographic 45 printing plate 18 carrying oleophilic image areas, such as words, photographs, etc. on an oleophilic background. The conventional clamping means permits some degree of lateral or axial adjustment and some degree of wrap-around or circumferential adjustment of 50 the plate 18 relative to the plate cylinder 17. Plate cylinder 17 is associated with a dampening system 19 for wetting the entire background surface of plate 18 with aqueous dampening fluid, and with an inking system 20 for inking the imaged areas of the plate 18 with liquid 55 oleoresinous ink.

The inked plate 18 is rotated against the ink receptive surface of a blanket cylinder 21, to which the wet ink images are offset or transferred, and the blanket cylinder 21 is rotated against a copy sheet 16, passed in the 60 nip between the blanket cylinder 21 and an impression cylinder 22, to transfer the wet ink images to the copy sheet 16 and form an image-printed copy sheet 16A which is conveyed to the last liquid application station 12 which includes the coatingapplication apparatus of 65 the present apparatus.

The coating application station 12 can be similar to the inking station 11 with respect to the plate cylinder 17a supporting a printing plate dampening system 19a bianket cylinder 21a and impression cylinder 22a since in a conventional offset printing machine having a plurality of liquid application stations, all of the stations are generally similar but use different printing plates to image different areas of the same copy sheet with different colored inks. The present apparatus modifies the final downstream inking station to convert it permanently or intermittently to a versatile coating station.

Plate 23 is an offset relief printing plate, preselected areas of which are raised above the background, generally referred to as "relief spots". Such spots are sized and positioned to correspond to areas of the image-printed copy sheets 16a which it is desired to selectively

The essential novelty of the apparatus of FIG. 1 resides in the adjustable coating apparatus 13 which is mounted onto the frame 24 of the printing machine for extension of the coating carriage 58 into the liquid application station 12 for adjustable coating association with either the coating plate cylinder 17a or the coating blanket cylinder 21a, as desired.

The coating application apparatus 13, shown in greater detail in FIGS. 2 and 3, comprises a preferred embodiment of the present invention in that it includes a coating carriage 58 which is horizontally adjustably, in the machine direction, for movement between retracted or passive position and extended or active position, and also vertically adjustable for movement between the levels of the place cylinder and the bianket cylinder. Moreover, the coating carriage 58 comprises a horizontally adjustable coating applicator unit 72 which is movable in the machine direction between different extended coating positions to accommodate plate and blanket cylinders which are not in vertical alignment, as shown by FIGS. 1 and 2B

The coating application apparatus 13 of FIGS 2A and 3 comprises a spaced pair of parallel, horizontal support rails 30 and 31 or legs designed to be bolted to frame portions 32 of the printing machine beyond station 12, rails 30 and 31 each being fastened to a gear housing 33, 34 of a hydraulic horizontal screw drive member 35, 36 connected to each other for simultaneous operation by a drive chain 37. The screw drive members 35 and 36 comprise reversible drive screws 38, 39 which thresdably engage nuts 40, 41 which are fixed to the spaced vertical walls 42, 43 of the vertical lift housing 44.

Housing 44 is provided adjacent the bases of wails 42 and 43 with outward projecting cam follower or wheel pairs 45, 46 which are engaged within the horizontal tracks of the rails 30 and 31 to support the vertical lift housing 44 for horizontal movement between extended or active position, illustrated by FIGS. 1 and 2B, and retracted or passive position under the effects of hydraulic activation of the screw drive members 35 and 36. Walls 42 and 43 of housing 44 are fastened together and reinforced by cross-beams 47, 48 and 49.

Vertical or height adjustment of the coating application carriage 58 is made possible by a second pair of associated vertical screw drive members 50 and 51, shown most clearly in FIG. 3, each having a gear housing 52, 53 attached to the upper end of a vertical rail member, 54, 55 of the housing 44, and being connected to each other for simultaneous reversible operation by means of a drive chain 56 through a hydraulic motor 57

Vertical lift housing 44 supports the vertically adjustable carriage 58 which comprises a spaced pair of L-

The horizontal extensions of the L-shaped wall members 59 and 60 of the carriage 58 comprise lower horizontal track members 70 and 71 which support the coating application unit 72 of the carriage for horizontal 15 adjustment therewithin.

Coating application unit 72 of carriage 58 comprises spaced, parallel side frames 73 and 74 fastened together by cross members 75 and 76 and supporting coating applicator roll 77, pick-up roll 78 positioned to pick up 20 liquid coating composition from the coating pan 79, and adjustable metering roll 80 positioned to control the amount of coating composition passed by the pick-up roll 78 to the applicator roll 77. The outer surfaces of the side frames 73 and 74 are provided adjacent the top 25 edge of each with a spaced pair of cam followers or wheels 81, 82 which ride within the horizontal tracks of the track members 70, 71 of the L-shaped wall members 59 and 60, to support the coating applicator unit 72 for adjustable horizontal movemen; within the carriage 58, 30

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As shown by FIG. 2, movement of the coating unit 72 is controlled by a pair of hydraulic cylinders 83 each attached by a bracket 84 to an L-shapedwall member 59, 60 in horizontal alignment with the track members 70 and 71, and having their rod end 85 attached to the 35 inside wall of side frames 73, 74 at posts 86. Activation of the hydraulic cylinders causes the coating unit 72 to move horizontally along track members 70 and 71 to position the leading edge of the applicator roll 77 for coating association with either the coating blanket cyl- 40 inder 21a, as shown in FIG. 2B, or the coating plate cylinder 17a, as shown in FIG. 1. Preferrably the printing machine frame is provided with spaced pairs of latch posts 87 and 88 or support brackets associated with the location of the blanket cylinder 21a and the 45 plate cylinder 17a for engagement within latch brackets 89 attached to the outer surfaces of the horizontal extensions of the L-shaped wall members 59 and 60 in the area of the forward end of the track members 70 and 71. The engagement of the fixed latch post pair 87 within 50 the latch brackets 89 secures the coating applicator carriage 72 in one position for coating the blanket cylinder 21a, as shown in FIGS. 2B and 3, while the engagement of the fixed latch post pair 88, shown by broken lines in FIG. 2B, within the same latch brackets 89 55 secures the coating applicator carriage 72 in another position, shown in FIG. 1, for coating the plate cylinder 17a. Such engagement requires a presetting of the sequence and duration of operation of the various hydraulic mechanisms. Engagement and disengagement of the 60 latch brackets 89 on posts 87 and 88 requires vertical movement of the carriage 58 within the vertical lift housing 44 by predetermined directional and timed activation of the vertical screw drive members 50 and 51. Vertical alignment of the latch brackets 89 with the 65 latch post pairs \$7 and \$8 must first be accomplished. This requires horizontal movement of the vertical lift housing 44 supporting the carriage 58 including the

costing applicator unit 72, and is accomplished by predetermined directional and timed activation of the horizontal screw drive members 35 and 36, for movement of the vertical lift housing 44 from retracted, non-coating position to extended, aligned position. Movement of the coating applicator unit 72 into coating position requires predetermined directional and timed activation of the horizontal hydraulic cylinders 83. Adjustable stop members may be incorporated to limit the various movements.

As will be clear to those skilled in the offset printing art, the novel printing and coating apparatus of the present invention enables the modification of a conventional offset printing machine having a plurality of liquid application stations to convert it to a printing and coating apparatus which is adjustable in simple manner for the alternative application of either full coatings or spot coatings. Moreover, such modification may be temporary, if desired, so that the final downstream liquid application station may be used for its intended purpose for the application of printed ink images or for its modified purpose for printing overall or spot coatings. The conversion from printing use to spot coating use merely requires retracting or disengaging the ink applicator roll of unit 200 to position shown by broken lines in FIG. 1, replacing the image printing plate on plate cylinder 17a with a relief coating plate 23, cleaning the surface of the blanket cylinder 21a and moving the coating application unit 13 horizontally from retracted position to extended position. If overall or complete coatings are desired it is only necessary to retract or disengage the plate cylinder 17a from coating association with the blanket cylinder 21a, without any atteration of the plate cylinder 17a or its printing plate 23 or ink application unit 20a.

The present coating applicator roll 77 has a substantially smaller diameter than that of the plate cylinder 17a or the blanket cylinder 21a, the diameters of which are equal. The speed of rotation of the applicator roll 77 is adjustable so that its surface speed may be the same as or slower or faster than the surface speed of cylinders 17a and 21a, or in reverse rotation thereto, to provide a brushing action relative thereto, if desired. Such brushing action provides a shearing of the coating composition in the nip therebetween, and a relatively heavy or thick direct deposit of coating composition on cylinders 17a and 21a in cases where the surface speed of roll 77 is faster than that of roll 17a or 21a. This is desirable particularly for the application of spot coatings, since the coating thickness is always split to about one-half as the spot coating is transferred from the relief plate 23 of plate cylinder 17a to the blanket cylinder 21a, and further, split to about one quarter when the spot coating is transferred from the blanket cylinder 21s to the printed copy sheets 16A. The effect of such inherent splitting is reduced by increasing the coating thickness on the relief areas of plate 23.

In cases where the coating composition is applied directly to the blanket cylinder 21a, for the application of continuous coatings to the printed copy sheets 16A, the plate cylinder 17a is retracted from contact with the blanket cylinder 21a so that the only coating split occurs during transfer from the blanket cylinder 21a to the imaged copy sheets 16A.

The offset printing machines to which the present invention applies are conventional machines and therefore the present disclosure does not include details regarding the support structure for the various rolls,

Also, the present coating compositions and systems for providing continuous supplies thereof to the coating applicator unit are conventional in the art.

herein and in the appended claims to define general directions of movement, including angular vertical movement from one level to another and/or angular movement in the machine direction. For example, on printing machines where the coating plate cylinder is 15 not in perfect vertical alignment above the blanket cylinder it may be preferable that the vertical rail or track of the vertical lift housing is inclined at an angle similar to the angle from vertical formed by a straight line contacting the surfaces of the plate cylinder and the 20 blanket cylinder to be contacted by the coating applicator roll. Movement of the coating carriage along such an inclined vertical rail is both generally vertical and generally horizontal. Similarly the horizontal track members for the support legs of the apparatus and/or for the coating applicator unit may also be angular to provide some degree of vertical movement in cases where the design of the printing machine frame suppoliting the present apparatus makes it necessary or 30 horizonts' unport extensions comprise horizonta. : Jvantageous.

It is to be understood that the above described embodiments of the invention are illustrative only and that modifications throughout may occur to those skilled in the art. Accordingly, this invention is not to be re- 35 garded as limited to the embodiments disclosed herein, but is to be limited as defined by the appended claims.

What is claimed is:

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1. An adjustable in-line coating application apparatus for attachment in association with a downinstream liq- 40 uid application station of an offset printing machine having a plurality of liquid application stations, for converting said downstream liquid application station to a coating application station for applying either consuccession of copy sheets carrying ink images printed thereon at one or more upstream liquid application stations, said downstream liquid application station containing a blanket cylinder positioned to contact said der in vertical elevation above said blanket cylinder and supported for adjustment into and out of coating association therewith, said coating application apparatus having vertical guide means, a coating carriage attached to said support for substantially vertical movement along 55 said guide means, said carriage comprising a coating application unit, including a contamer for a supply of liquid coating composition and an elongate coating applicator roll supported to receive a uniform supply of said composition on the surface thereof and to transfer 60 a uniform supply of said composition to the surface of either a plate cylinder or a blanket cylinder in coating association therewith, and mechanical adjustment means for moving said carriage on said guide means relative to said support vertically between elevations 65 corresponding to the locations of the blanket cylinder and the plate cylinder of an offset printing machine in order to move said coating applicator roll into coating

association with either said blanket cylinder or said piate cylinder, as desired.

- 2. An apparatus according to claim 1 in which the support for said coating application apparatus comprises a spaced pair of parallel elongate horizontal leg members designed to be fastened relative to the frame of an offset printing machine.
- 3. An apparatus according to claim 2 in which said support comprises a parallel pair of spaced vertical wall The terms "vertically" and "horizontally" are used 10 members which are fastened to each other to form a vertical guide means on a vertical lift housing for said coating carriage.
  - 4. An apparatus according to claim 3 in which said horizontal leg members comprise horizontal tracks, and said vertical wall members are movably attached to said horizontal tracks to permit horizontal adjustment of the position of said vertical lift housing.
  - 5. An apparatus according to claim 4 in which said coating carriage comprises a parallel pair of vertical side members which are fastened to each other to form said carriage, each said side member being supportingly-engaged by a vertical guide means on a wall member of the vertical lift housing for vertical movement of said carriage relative to said housing.

6. An apparatus according to claim 5 in which each of the vertical side members of the carriage includes a lower, horizontal support extension to which the coating application unit is attached.

7. An appearatus according to claim 6 in which the tracks to ve the coating applicator unit is attached to permit enzontal adjustment of the coating applicafor unit on the carriage relative to the vertical lift hous-

8 An apparatus according to claim 1 in which said coating carriage comprises releasable latching means for securing the unit relative to the frame of an offset printing machine when the carriage is positioned for movement of the applicator unit into coating association with either the blanker cylinder or the place cylinder.

9 An apparatus according to claim 5 comprising automatic mechanical means for moving said carriage vertically relative to said vertical lift housing, said tinuous or spot coatings over the printed surface of a 45 means comprising a vertical screw drive assembly one end of which is fastened to a vertical side wall of said housing and the other end of which is fastened to an adjacent vertical side member of said carriage.

10. An apparatus according to claim 4 in which said plurality of printed copy sheets and an offset plate cylin- 50 horizontal adjustment of the position of the vertical lift housing is provided by at least one horizontal screw drive assembly one end of which is fastened to a horizontal leg member and the other end of which is fastened to an adjacent wall member of the vertical lift housing.

11. An assembly according to claim 7 which further comprises means for causing horizontal movement of the coating applicator unit relative to the coating carriage, said means comprising at least one horizontal drive member one end of which is fastened to the applicator unit and the other end of which is fastened to the horizontal support extension of the carriage.

12. An offset printing machine having a frame supporting a plurality of in-line liquid application stations, each station comprising a blanket cylinder positioned to contact a succession of copy sheets to apply liquid thereto, and an offset plate cylinder in printing association with said blanket cylinder to apply liquid to prede-

13. A machine according to claim 12 in which said carriage is movable out of colling association with said antet and or place cylinders and said final downstream liquid application station is adapted for alternative use as another ink praising station.

14 A machine according to claim 12 in which the 35 mage, means for supporting said coating application carriage includes a spaced pair of horizontal leg members designed to support the coating application carriage in association with final downstream liquid application ing at 140 of which the 35 mage.

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15. A machine according to claim 12 in which the means for supporting said coating application carriage includes a parallel pair of vertical wall members which are fastened to each other and to said guide means to form a vertical lift housing for said carriage.

16. A machine according to claim 15 in which said vertical wall members are movably attached to horizontal track members to permit horizontal adjustment of the position of said vertical lift housing relative to the blanket and plate cylinders. 17. A machine according to claim 16 in which said coating carriage comprises a parallel pair of vertical side members which are fastened to each other to form said carriage each said side member being supportingly engaged by a vertical guide means on a wall member of the vertical lift housing for vertical movement of said carriage relative to said housing and between at least said first and second coating positions.

18. A machine according to claim 17 in which each of a said vertical side members of the carriage includes a lower horizontal support extension to which the coat-

ing applicator unit is attached.

19. A machine according to claim 18 in which said horizontal support extensions comprise horizontal tracks to which the coating applicator unit is attached to permit horizontal adjustment of the coating applicator unit relative to the coating carriage and the blanker and plate cylinders.

20. A machine according to claim 12 in which the frame of said machine includes first position latening means associated with the blanket cylinder, and second position latching means associated with the plate cylinder in said coating application station, and said coating carriage includes mating latching means which engage said position latching means when the carriage is moved into said first coating position and into said second coating position.

21. A machine according to claim 17 comprising automatic mechanical means for pring said carriage vertically relative to said for which housing, said means comprising at least to the following said means comprising at least to the following said means comprising at least to the following said housing and the other end of which is settled to an adjacent vertical side member of said carriage.

22. A machine according to claim 16 which comprises automatic means for providing horizontal adjustment of the position of the vertical lift housing comprising at least one horizontal screw drive assembly one end of which is fastened to a horizontal track member and the other end of which is fastened to an adjacent wall member of the vertical lift housing.

23. A machine according to claim 19 which further comprises means for causing horizontal adjustment of the coating applicator unit relative to the coating carnage, said means comprising at least one horizontal drive member one end of which is fastened to the applicator unit and the other end of which is fastened to the horizontal support extension of the coating carnage.

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# United States Patent [19]

Bird

[11] Patent Number:

4,841,903

[45] Date of Patent:

Jun. 27, 1989

[54]	COATING AND PRINTING APPARATUS	
	INCLUDING AN INTERSTATION DRYER	

[75] Inventor: John W. Bird, Westport, Conn.

[73] Assignee: Birow, Inc., Westport, Conn.

[21] Appl. No.: 65,914

[22] Filed: Jun. 24, 1987

[56] References Cited

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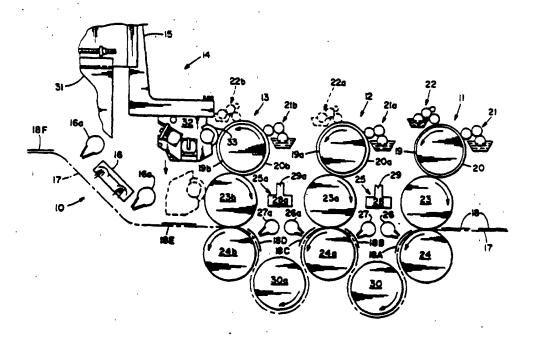
Primary Examiner-Bernard Pianalto

Attorney, Agent, or Firm-Perman & Green

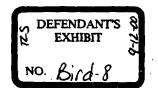
[57] ABSTRAC

An offset lithographic printing method and machine having a plurality of in-line liquid application stations, at least one of which is an ink image-printing station for printing lithographic ink images on a suitable receptive copy sheet, and at least the final downstream liquid-application station is a coating application station for printing a protective, and/or aesthetic coating over selected portions of, or over the entire ink image-printed surface of the copy sheet. The present method and apparatus involves the placement of a drying station between liquid application stations to evaporate volatile solvent or vehicle from the ink images and/or to solidify the liquid coating applied at upstream stations before the application of a continuous or spot coating thereover at the next downstream coating station.

5 Claims, 1 Drawing Sheet



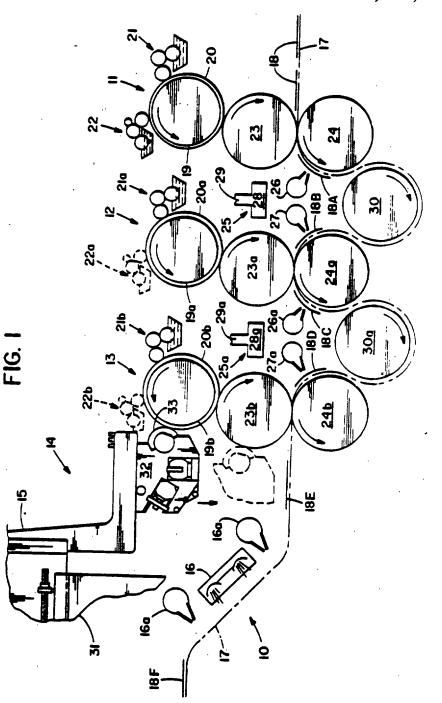
CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER







4,841,903



# COATING AND PRINTING APPARATUS INCLUDING AN INTERSTATION DRYER

#### BACKGROUND OF THE INVENTION

Conventional lithographic offset printing machines or presses comprise one or more image-printing stations each having a plate cylinder to which is fastened a thin hydrophilic, oleophobic printing plate having image areas which are oleophilic and hydroprobic and background areas which are oleophobic and hydrophilic. The plate surface is continuously wetted with aqueous damping solution, which adheres only to the background areas, and is then inked with oleoresinous ink composition which adheres only to the image areas of the plate as wet ink. The ink is offset-transferred to the rubber surface of a contacting blanket cylinder, and then retransferred to the receptive surface of a copy web or a succession of copy sheets, such as of paper, where the ink gradually hardens or cures by oxidation 20 after passing through a final drying station located downstream of the final liquid application station where the volatile solvent is evaporated from the ink composition of the images.

Since image-curing is gradual, it is conventional to 25 spray the printed copies with starch or other "stilting" powder before the copies are stacked. This prevents sticking of the uncured ink images to adjacent copies and also permits the circulation of air for the oxidation-curing process.

In cases where cost is not a factor and/or where the aesthetic advantages of a protective supercoating are desired, it is known to provide the printing machine with a downstream coating station having a blanket cylinder associated with a coating application unit for the application of an overall protective coating over the entire printed area of the copy sheets or web.

wet, and/or the second coating will undergo degradation or loss of gloss during drying of the underlying coating.

These defects are of substantial importance in cases where the additional expense of one or more coatings is justified by the desired results, i.e., promotional posters, artwork, product containers, record jackets, videocas-

This also avoids the necessity of powdering the printed images. Reference is made to U.S. Pat. No. 4,270,483 for its disclosure of such an apparatus. The 40 coating unit of U.S. Pat. No. 4,270,483 is pivotally-associated with the blanket cylinder for movement between coating and non-coating or retracted positions. Reference is also made to my copending U.S. patent application, Serial No. 65,954, filed on even date here-45

Protective coating compositions also improve the appearance of printed documents, particularly high quality, multi-color copies such as posters, product brochures, etc., by providing glossy or matte finishes 50 over the entire image-printed surface or over selected image-printed portions thereof such as photographs, product illustrations, etc. Selected area coating, spot coating or perfect registration over predetermined limited printed areas of the copies is advantageous from a 55 cost standpoint since the coating compositions are relatively expensive and the volume required is reduced if the coating is only printed in registration where desired. Also, spot coating is frequently used as a means for highlighting certain portions of the printed copies such 60 as company name or logo, product illustrations, photographs, etc.

While the in-line application of a protective or assthetic coating over the offset-printed images on a succession of copy sheen will prevent the dried but un-65 cured printed images from sticking to adjacent copy sheets, the relatively wet condition of the printing ink composition and its solvent and/or diluent content, at

the time that the coating composition is applied thereover, and the presence of water from the dampening system in the copy sheets, produces a visible change in the appearance of the portions of the coating overlying the printed images during the evaporation of the solvent, diluent, water, etc., whereby, for example, a glossy-surfaced protective coating acquires a flat, matte or non-glossy surface, particularly in areas overlying the dried and cured printed images, and even the affected areas are not uniform in appearance depending upon the colors and/or surface areas of the underlying printed images. For example, printed colored photographs, half-tone illustrations, and the like, which are intended to be emphasized or heightened in appearance, such as by the application of glossy spot coatings thereover, undergo loss or degradation in the uniformity of their appearance and their color during the drying of the copy sheets.

Also, in cases where the protective or aesthetic coating is only spot-applied, such as over printed photographa, product illustrations, etc., the images printed on other surface areas of the copy sheets remain exposed and can stick to adjacent copy sheets unless stilting powder is applied, as discussed herein before.

The speed of operation of conventional offset printing and coating machines makes it impossible to apply successive continuous and spot coatings to a succession of copy sheets because the second coating will not adhere properly to the first coating while the latter is still wet, and/or the second coating will undergo degradation or loss of gloss during drying of the underlying coating.

These defects are of substantial importance in cases justified by the desired results, i.e., promotional posters. artwork, product containers, record jackets, videocassette boxes, etc. The defects, i.e., uneven surface appearance of the coating(s), detract from the appearance of the underlying images or photographs, particularly in the case of multi-colored images or photographs and are due to the presence of residual volatile solvents, diluents, water, etc., within the oleoresimous inks of the images or photographs, and the presence of water in the copy sheets, at the time that the first coating is applied thereover, and/or to the presence of volatile solvents. diluents or water within the first coating or undercoating at the time that the second coating is applied thereover. The application of a top coating over the printed images and/or over a first coating retards the volatile solvent, diluent or water against escape in the final drying station, but it eventually migrates into the top coating during the final drying and gradual curing of the ink images over a period of several hours time, resulting in a loss of perfection in the surface finish of the top coating.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide a novel printing and coating method and apparatus for the in-line application of one or more protective or sesthetic coatings over imaged subject matter offsetprinted onto each of a succession of copy sheets while avoiding the usual degradation or loss of uniformity of the surface appearance of areas of the coating(s) applied over the printed images and/or over underlying coated

Essentially, the present invention is concerned with providing unblemished coated lithographic copies of the types desired in cases where the additional expense 10 of supercoatings is justified by the desired results.

The present method and apparatus provides for the in-line drying of lithographic ink images, including photographic multi-color reproductions, and/or the drying of first continuous or spot coatings, printed or 15 stations and a final downstream liquid application staapplied at one liquid application station before the application of a continuous or spot coating over said ink images or over said continuous spot coating at the next downstream liquid application station by interposing an in-line drying station between said one and next liquid 20 stream portion of an offset printing machine 10 comprisapplication stations in order to more completely dry the ink images or first coating prior to the application of a final coming thereover, whereby the eventual drying of said final coating results in a substantially perfect surface finish

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The oleoresinous inks conventionally used to print lithographic copies generally comprise a mixture of oxidizable drying oils, such as safflower oil or linseed oil, a compatible resin binder material, such as a phenolic resin or a varnish, pigment such as carbon black, 30 drying agents, and a volatile solvent such as mineral spirits, or other solvent for the resin and oil. The printed copy sheets also contain some water from the dampening system. Drying of the images occurs in two stages, namely evaporation of the volatile solvent in the first 35 stage to form the relatively dry, tacky printed images, and oxidation-curing of the oleoresinous printed composition which requires several hours time and results in the final non-sticky, smear-resistant printed images. The present invention is concerned with first-stage drying or 40 face of plate 20 with aqueous dampening fluid, and with solvent/water evaporation prior to the application of a supercoating over the printed images

The coating compositions conventionally-used to apply protective or sesthetic coatings over printed lithographic images are aqueous solutions, dispersions 45 or emulsions of water-dispersible or water-soluble filmforming binder materials, such as acrylic resins, hydrophilic colloids, vinyl alcohol, etc. Also, coating composuccess free of volatile solvents or vehicles are commonly used, such as resin precursor compositions which 50 are polymerizable or curable by exposure to ultraviolet or other radiation. Such compositions are based upon liquid acrylic monomers or pre-polymers, or photopolymers and photoinitistors, cross-linking agents andfor other conventional ingredients. Both solvent- 55 applied and solvent-free coating compositions can produce microporous coatings which are permeable to oxygen to hasten the curing of the olsoresinous inks. While they are also permeable to the volstile ink solvents, diluents and water, the escape of these volatiles 60 mars the appearance of the surface finish of the costings, as discussed supra.

The second problem, pertinent to the embodiment of drying between coating stations, relates to the reduced receptivity of wet undercostings for supercostings ap- 65 plied thereover, producing nneven, discontinuous or spotty supercoatings having "holidays" or areas which have not accepted the supercoating.

The novel method and apparatus of the present invention overcomes these problems by drying the inkimaged and/or undercoated copy sheets prior to the application of the undercoating over the ink-printed images and/or prior to the application of the supercoating over the undercoating, whereby substantially-per-fect coatings having excellent surface properties, such as gloss, are produced.

#### DESCRIPTION OF THE DRAWING

FIG. 1 is a vertical cross-sectional view, through the final three liquid application stations of an offset printing machine, illustrating the interposition of in-line drying stations between the last two liquid application tion which is a coating-application station.

#### DETAILED DESCRIPTION OF THE DRAWING

Referring to the drawing, FIG. 1 illustrates a downing three liquid application stations 11, 12 and 13, a coating apparatus 14 according to aforementioned copending application Serial No. 65,954 filed June 24, 1987, comprising a coating carriage 15, a final radiation 25 drying station 16 including air knives 16a, and a continuous copy sheet conveyor means 17 which moves a succession of copy sheets 18 through the printing machine.

The first liquid application station 11 is a conventional offset image printing station comprising a plate cylinder 19, to which is clamped an imaged lithographic printing plate 20 carrying oleophilic image areas, such as words, photographs, etc. on an oleophobic, hydrophilic background. The conventional clamping means permits some degree of lateral or axial adjustment and some degree of wrap-around or circumferential adjustment of the plate 20 relative to the plate cylinder 19 Plate cylinder 19 is associated with a dampening system 21 for wetting the entire hydrophilic background suran inking system 22 for selectively inking the image areas of the plate 20 with liquid oleoresmous ink composition containing a volatile organic solvent

The inked plate 20 is rotated against the ink-receptive surface of a blanket cylinder 23, to which the wet ink images are offset or transferred, and the blanket cylinder 23 is rotated against a copy sheet 18, passed in the nip between the blanket cylinder 23 and an impression' cylinder 24, to transfer the wet ink images to the copy sheet 18 and form an image-printed copy sheet 18A. Some water from the dampening system is also transferred to the surface of the copy sheet 18A. Sheet 18A is conveyed, imaged face up, through a 25 first drying interstation 25, comprising a pair of spaced, elongate air knives 26 and 27 and a vapor-extraction unit 28 containing an intake fan and a outlet conduit 29 which conveys the volatile vehicle vapors to a recovery unit, to the atmosphere or for 30 other safe disposal.

As illustrated, the printed copy sheets 18A, are conveyed by grippers past the first air knife 26, under transfer cylinder 30 and past the second air knife 27, to form dried printed copy sheets 18B which move into the next liquid application station 12.

The air knives 26 and 27 and the extraction unit 28 are conventional elements normally used as final drying elements on printing and coating machines of different types. Knives 26 and 27 are elongate tubular elements provided with an elongate narrow slot formed by op-

In the apparatus of FIG. 1, the second liquid application station 12 can be either another ink printing station, such as for printing ink of a second color, or it can be a 15 first coating station Thus the various elements of station 12 are numbered similarly to those of station 11 but including the suffix a.

Where station 12 is another ink printing station, the first drying interstation 25, upstream therefrom, func- 20 tions only as a supplemental drying station and can be excluded or disconnected.

Where station 12 is a first coating application station, the first drying interstation 25 is a critical component of the present invention. In such case, the linking system 25 22a of station 12 is withdrawn, as shown by means of broken lines, and the dampening system 21a is converted to a dampener coater system by providing a continuous supply of the desired coating composition to the supply pan thereof, i.e., an aqueous dispersion of a 30 film-forming binder material containing in the case of matte-finish coatings, a diffusion filler such as silica or the like.

Generally, where the station 12 is a first coating station, the top roll 19a will be a plate cylinder having a 35 full plate 20a for the application of continuous coatings to the intermediate blanket cylinder 23a or transfer cylinder and then to the dried ink-printed copy sheets 18B to form continuous coated printed copy sheets 18c. However, if desired, plate cylinder 19a may have a 40 spot-receptive plate or relief plate 20a for the transfer of spot coatings to the intermediate blanket cylinder 23a and then to predetermined areas of the printed copy sheets 18B to form spot-coated printed copy sheets 18C.

Most commonly, the first coating will be a complete 45 or continuous coating of a composition providing a matte non-glossy finish or a utility (semi-gloss) finish, and the second coating will be a spot coating of a composition providing a glossy finish to highlight predetermined areas of the printed, coated copies.

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The costed printed copy sheets 18C exiting the first coating station 12 are conveyed by grippers, coated side up, through the second drying interstation 25 and comprises a similar to the first drying station 25 and comprises a similar pair of spaced elongate air knives 26s and 27a 55 and a similar extraction unit 28s and exhaust outlet conduct 29s.

The line of forced bot air from the first knife 26a, across the width of the copy sheets, substantially dries the first coating by evaporating the water vehicle therefrom, after which the dried, coated copy sheets 19D are conveyed by transfer roll 30a to the second air knife 27a to insure complete drying of the first coating prior to the entry of the coated printed copy sheets 18D into the final coating station 13 which includes the coating-65 application apparatus of the copending application, in the illustrated embodiment, but which may be a conventional coating station.

In cases where the first and/or second coating composition is free of volatiles and solidifies by polymerization curing, the drying interstation 25s and/or downstream drying station 16 will contain a suitable radiation source such as ultraviolet lamps.

The coating application station 13 also can be similar to the inking station 11 and first coating station 12 with respect to the plate cylinder 19b supporting a printing plate dampening system 21b, inking system 22b, blanker cylinder 23b and impression cylinder 24b since, in a conventional offset printing machine having a plurality of liquid application stations, all of the stations are generally similar but use different printing plates to image different areas of the same copy sheet with different colored inks. The present apparatus, requiring at least one coating-application station, and modifies at least the final downstream inking station to convert it permanently or intermittently to a coating-application station as shown by FIG. 1 or, alternatively, as illustrated by U.S. Pat. No. 4,270,483 discussed hereinbefore.

Plate 20b is an offset relief printing plate, preselected areas of which are raised above the background, generally referred to as "relief spots" Such spots are sized and positioned to correspond to areas of the image-printed copy sheets 18D which it is desired to selectively coat.

The adjustable coating apparatus 14 is mounted onto the frame 31 of the printing machine for extension of the coating carriage 15 into the liquid application station 13 for adjustable coating association with either the coating plate cylinder 196 or the coating blanket cylinder 23b, as desired.

The preferred coating application apparatus 14 includes a coating carriage 15 which is horizontally adjustably, in the machine direction, for movement between retracted or passive position and extended or active position, and also vertically adjustable for movement between the levels of the plate cylinder and the blanket cylinder as shown by means of broken lines. Moreover, the coating carriage 15 comprises a horizontally-adjustable coating applicator unit 32 which is movable in the machine direction between different extended coating positions to move the coating applicator roll 33 into coating association with printing and blanket cylinders which are not in vertical alignment, as disclosed in detail in my aforementioned copending application.

Thus, the coating carriage 15 and the applicator unit 32 are adjusted in the final coating station 13 to associate applicator roll 33 with either the spot relief plate 206 on printing roll 196, for the printing of spot coatings, or with the blanket roll 236, for the application of continuous coatings onto the dried, coated, printed copy sheets 18D, to form double-coated printed copies 18E. Copies 18E are transported by grippers past a final downstream radiant dryer 16 and air knives 16a, to evaporate the water vehicle from the second coating and form final copies 18F which are stacked to permit final curing of the electresinous printing ink.

The essential novelty of the present invention resides in the interposition of a drying station, such as 25 and 25s, between an ink printing station and a coating station, and preferably also between coating stations on machines having a plurality of coating stations, in order to substantially completely evaporate the volatile solvent or vehicle from the printed ink images, and evaporate any residual dampening water from the printed copy sheets, before the application of a spot or continuous coating thereover, and preferably to substantially

In operation, a succession of copy sheets 18 is automatically gripped by the conveyor means 17 and transported through one or more ink printing stations 11 into printing contact with one or more ink blanket rolls 23 to 10 print images, such as of different colors, on predetermined areas of each copy sheet, using conventional oleoresinous inks containing volatile organic solvent(s). At each ink-printing station 11, an offset printing plate 20 is fastened to a plate cylinder 19, moistened with 15 water/chemical dampening fluid by means of dampening unit 21 and inked by means of inking unit 22. The ink is selectively received by the image areas of the plate 20, where some water dampening solution is picked up by the ink, transferred to the surface of the blanket cylinder 23 and re-transferred to the upper surface of a copy sheet 18 passed in the nip of cylinder 23 and impression cylinder 24. At this point, the ink images on each imaged copy sheet 18A still contain the volatile organic solvent and some water dampening solution which migrates into the copy paper.

Rather than moving the inked copy sheets 18A directly from a printing station 11 to a coating station 12, as is convenional in the art, the present method and apparatus provides for intermediate or interstation drying of the inked copies to evaporate the volatile organic solvent and water dampening solution from the ink images and copy paper to form solvent-free copies 18B prior to the application of a protective and/or aesthetic 35 coating thereover.

In the embodiment of FIG. 1 the ink-printed copies 18A are moved through an interstation drying station 25 by directing the path of the copy sheets down under a transfer cylinder 30 and up over the coating impression cylinder 24a of the coating station 12. The drying of the copy sheets is accomplished by one or more high velocity hot air knife drying elements, such as 26 and 27 shown in FIG. 1, which heat the ink image, sufficiently lowering the solvent vapor pressure while the high 45 velocity air scrubs the vapor from the surface to evaporate substantially all of the volatile organic solvent and water and form substantially solvent-free copies 18B before the copies 18B pass in the coating nip at coating station 12.

The evaporated solvent and moisture is drawn into the solvent extraction unit 28 by an exhaust fan 31 and removed from the ambient atmosphere by conduit 29 for safety purposes.

On machines having a single coating application station, such as station 12 or station 13 of FIG. 1, the solvent-free copies 18B are moved through said coating station 12 or 13 to receive either a continuous or a spot coating to form coated, printed copy sheets 18C which are transported to the final downstream drying stations 12 and 13 used for the application to two superposed coatings, either of which may be spot or continuous, matte or glossy, the dried, printed copy sheets 18B are moved through the first coating station 12 to form coated, 65 printed copy sheets 18C which are moved through the second interstation drying station 25e to form dried coated copy sheets 18D. Sheets 18D are moved through

the second coating station 13 and on through the downstream drying station 16, 16a.

After curing for several hours, the coated, printed copies 18F are found to be free of the surface defects of copy sheets printed and coated in similar manner but in the absence of interstation drying.

While the present specification and drawing refer to a continuous copy sheet conveyor means 17 carrying automatic grippers, it will be clear to those skilled in the art that most printing and coating machines convey the copy sheets by means of automatic grippers present on each of a series of contacting cylinders, such as the impression cylinders 24, 24e and 24b and the interposed transfer cylinders 30 and 30e of FIG. 1.

It is to be understood that the above described embodiments of the invention are illustrative only and that modifications throughout may occur to those skilled in the art. Accordingly, this invention is not to be regarded as limited to the embodiments disclosed herein, but is to be limited as defined by the appended claims.

What is claimed is:

1. In a continuous in-line offset lithographic printing machine for printing and coating a continuous succession of receptive copy paper sheets, comprising a plurality of liquid application stations, each comprising a plate cylinder for supporting a lithographic printing plate and including means for supplying oleous printing composition to oleophilic image areas on the watercoated surface of a said printing plate supported thereon, a blanket cylinder for receiving said printing composition and water from said plate cylinder and for transferring said printing composition and water to a succession of individual receptive copy paper sheets. and an impression cylinder forming a nip with said blanket cylinder through which said individual receptive copy paper sheets are passed to receive printing composition and water from said blanket cylinder, at least one said liquid application station being an upstream ink printing station for the transfer of printing composition in the form of ink images containing a volatile vehicle onto said succession of copy sheets, and at least one said liquid application station being a downstream coating station for the application of a printing composition in the form of a continuous or spot coating of liquid composition over the ink-imaged surface of said copy sheets, means for feeding said succession of individual receptive copy paper sheets through the nips of said blanket and impression cylinders of said liquid application stations, and a final downstream drying station for drying or otherwise solidifying said coated copy paper sheets, the improvements which comprises an intermediate in-line drying station positioned after each of said liquid application stations, each said drying station comprising means for directing forced hot air against the ink printed copy paper sheets to effect the evaporation of water and the volatile vehicle from the ink images printed on said copy paper sheets prior to the entry of the ink-imaged copy paper sheets into the next liquid application station including into said coating station.

2. A printing machine according to claim 1 having two adjacent downstream coating stations, characterized by the presence of another intermediate in-line drying station positioned in-line therebetween to effect the solidification of the coating applied at the first coating station prior to the entry of the coated copy sheets into the second coating station.

3. A printing machine according to claim 1 in which 3. A printing machine according to claim 1 in which said coating station comprises a coating application assembly which is adjustably supported for coating association with either the plate cylinder, for the application of spot coatings, or the blanker cylinder, for the application of continuous coatings, to said copy sheets. 4. A printing machine according to claim 1 in which

said intermediate drying station also comprises a vapor extraction means.

5. A printing machine according to claim 1 in which said means comprises an aur knife.

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CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER

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Bird

[11] Patent Number:

4,895,070

[45] Date of Patent:

Jan. 23, 1990

[54]	LIQUID TRANSFER ASSEMBLY AND
-	METHOD

[75] Inventor: John W. Bird, Westport, Conn.

Birow, Incorporated, Westport,

[21] Appl. No.: 217,412

Assignee:

[22] Filed: Jul. 11, 1988

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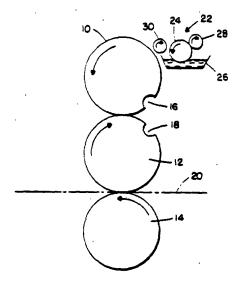
Primary Examiner-Eugene H. Eickholt Attorney, Agent, or Firm-Perman & Green

[57]

ABSTRACT

A liquid transfer assembly is shown which transfers a predetermined thickness of liquid to a moving surface. the assembly employing a shearing action to achieve the predetermined thickness. The system includes a supply means for providing a source of the liquid; a first arcuately shaped surface which moves at a first speed and is adapted to contact the supply means so as to optain a coating of liquid on its surface. A second surface is juxtaposed to the first surface but not in contact therewith, moves at a second speed different from the first speed; and the distance between the two surfaces is sufficiently close that the liquid on the first surface comes in contact with the second surface at their nearest point of proximity. Thus, by virtue of the different surface velocities, the liquid is subjected to a shearing action at the nearest point of proximity with a determined amount thereof being transferred to the second surface.

4 Claims, 1 Drawing Sheet



CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER

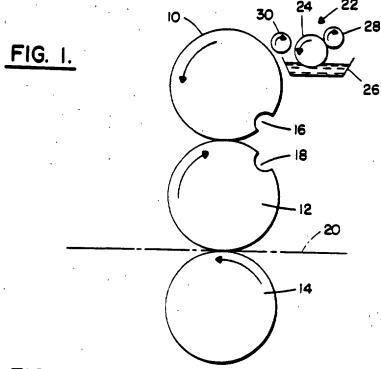
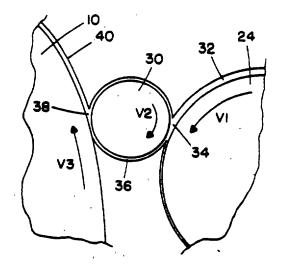


FIG. 2.



#### LIQUID TRANSFER ASSEMBLY AND METHOD

#### FIELD OF THE INVENTION

This invention relates to offset hthographic printing and more particularly to a means and method for transferring liquid from a reservoir to an offset lithographic plate cylinder.

#### BACKGROUND OF THE INVENTION

In offset lithographic printing, each printing stage includes a plate cylinder, to which printing plates are tightly fastened around its circumference. The plate cylinder is equipped with inking and dampening mechanisms. The plate includes both image and non-image 15 areas which are substantially coplanar, the image pornons being hydrophobic and the non-image areas being hydrophilic. The dampening system applies an aqueous solution to the non-image areas and the inking system applies a greasy ink to the image areas. The plate cylin- 20 der transfers its image to an intermediate blanket cylinder which has a specially composed smooth rubber blanket surface. Printing stock in either sheet or webbed form is fed against the blanket cylinder by an impression cylinder and the ink (and dampening solution), is trans- 25 ferred to the printing stock thus completing the printing

In applicator roll assemblies used with conventional lishographic printing cylinders, a pick-up soil is partially immeried in a nough containing a continuous 30 supply of liquid. The siquid may be viater, ink or a coating composition. The surface of the pick-up roll 'picks up" a relatively thick coaung of the liquid and rotates it into contact with a metering roll which controls or meters the thickness of the coating which is to 35 remain on the surface of the pick-up roll. Excess liquid is returned to the trough. Further rotation of the pickup roll brings it into pressure contact with an applicator roll whereby the applicator roll obtains a coating of the liquid from the pick-up roll. Finally, the applicator roll 40 rotates into pressure contact with the plate cylinder (or in some instances the blanket cylinder) which is coated with the liquid by the pressure/rolling action of the applicator roll.

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As the applicator roll moves about the outer periphery of the plate cylinder, it comes into contact with a plate clamp aperture where plates are secured to the outer periphery of the plate cylinder. Unless the applicator roll/plate cylinder contact pressure is very closely controlled, the clamp aperture will often cause the applicator role to slightly move away from the periphery of the plate cylinder as the plate clamp aperture passes beneath it. This can create an interruption in the application of the liquid to the plate with resulting nonuniformities in the printed product.

Accordingly, it is an object of this invention to provide an improved liquid transfer assembly for offset lithographic printing apparatus.

It is snother object of this invention to provide an improved liquid transfer assembly for offset litho- 60 graphic printing apparatus wherein uniform layers of applied liquid result from the action of the transfer assembly.

#### SUMMARY OF THE INVENTION

A liquid transfer assembly is shown which transfers a predetermined thickness of liquid to a moving surface, the assembly employing a shearing action to achieve the predetermined thickness. The system includes a supply means for providing a source of the liquid, a first arcuately shaped surface which moves at a first speed and is adapted to contact the supply means so as to obtain a coating of liquid on its surface. A second surface is juxtaposed to the first surface but not in contact therewith; moves at a second speed different from the first speed, and the distance between the two surfaces is sufficiently close that the liquid on the first surface comes in contact with the second surface at their nearest point of proximity. Thus, by virtue of the different surface velocities, the liquid is subjected to a shearing action at the point of proximity with a determined amount thereof being transferred to the second surface

#### **DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a side, schematic view of an offset lithographic printing apparatus showing the relationship of the liquid transfer assembly thereto.

FIG. 2 is an expanded view of the contact surfaces of liquid transfer assembly

### DETAILED DESCRIPTION OF THE INVENTION

Reference is made to the following copending applications, all of which describe further details of an offset inthographic printing apparatus useful in conjunction with the invention heriof. The disclorurs of each is incorporated herein expressly by reference U.S. patent application. Ser. No. 65,914 filed June 14, 1987 and entitled "Coating and Printing Method and Apparatus Including An Interstation Dryer", and U.S. Pat. No. 4,796,556 entitled "Adjustable Coating and Printi Apparatus", all to John W. Burd.

Referring now to FIG. 1, plate cylinder 10, bianket cylinder 12 and impression cylinder 14 are all of the conventional variety normally found in offset utnegraphic printing machines. Plate cylinder 10 is provided with a plate clamp aperture 16 wherein the print plate (not shown) is clamped to the external circumference of plate cylinder 10. In a similar manner, blanket cylinder 12 is provided with a blanket clamp aperture 18 where the blanket is secured. As is well known, a continuous conveyer belt, schematically shown at 20, feeds sheets to be imprinted between blanket cylinder 12 and impression cylinder 14.

A dampening system 22 includes a pick-up roll 24 which has a part of its circumference immersed in liquid bath 26 (e.g. water). A metering roll 28 is positioned to co-act with pick-up roll 24 to remove excess and otherwise assure a continuous film of liquid on pick-up roil 24. An applicator roll 30 is positioned so as to be close to, but not in contact with pick-up roll 24 as well as the outer surface of plate cylinder 10. In this preferred embodiment, pick-up roll 24, metering roll 28 and applicator roll 30 are each individually driven by separate motors so as to enable the speed of each to be individually adjusted. While not shown, additional coating stations for the purpose of applying inking solutions or coating solutions may also be emplaced about the periphery of plate cylinder 10 in the normal manner. It is here emphasized that the liquid transfer principle to be hereinafter discussed with respect to the dampening system, applies, in substance, to other coating application stations.

Referring now to FIG. 2 in conjunction with FIG. 1. an expanded view of applicator roll 30 is shown in con-

unction with portions of plate cylinder 10 and pick-up toil 24. After the surface of pick-up to.1 24 leaves the vicinity of metering roll 28, a layer of liquid 32 (e.g. water) resides on its surface. The peripheral velocity of pick-up roll 24 is adjusted so that it exhibits a character- 5 istically constant velocity V1. The distance between the surfaces of applicator role 30 and pick-up roll 24, at their nearest point of proximity 34, is adjusted so that the surface of applicator role 30 comes into contact V2 of applicator role 30 is adjusted to be greater than V1 so as to create, at proximity point 34, a shearing action on liquid 32. This shearing action causes a layer of liquid 36 to adhere to the outer periphery of applicait comes into contact at proximity point 38 with the external periphery of plate cylinder 10. Here again, the velocity V3 of plate cylinder 10 is adjusted to be higher than V2 so that a further shearing action occurs on liquid layer 36 as it reaches point 38. The shearing action results in a layer of liquid 40 being applied to plate cylinder 10

By adjusting the relative velocities of the rolls/cylinder surfaces, the thicknesses of liquid layers 36 and 38 can be readily adjusted (assuming identical wetting characteristics of the moving surfaces). More specifically, as the velocity V2 is increased with respect to V1. a thicker layer of liquid 36 adheres to the surface of applicator role 30. In similar fashion, as the velocity V3 30 of plate cylinder 10 is increased with respect the veloc-V2 of applicator roll 30, the thickness of liquid layer an bein ade to increase. Conversely, as penuneral amos is a unique of approach each other, the layer 40 of liquid accoming to plate cylinder 10 will decrease in 35 thickness. However, if the pempheral velocities become equal of so close as to negate a shearing action, the liquid layer thicknesses will split and tend to become non-uniform

The above stated, non-contacting liquid application 40 system provides a number of advantages. One is that there is no contact between applicator role 30 and plate cylinder 10 thereby preventing any contact between applicator role 30 and plate clamp aperture 16. Another is that the amount of wear on the respective rolls/cylin- 45 der is greatly decreased. The system further provides for relatively easy adjustment of the amounts of liquid to be applied to plate cylinder 10. As aforestated, it is important that the peripheral velocities of adjoining rolls/cylinder be somewhat different to sustain the 50 shearing action which creates the desired thickness of liquid coating.

The motive power for each of the rolls and cylinders should be linearly adjustable in speed so as to provide the desired variability of circumferential velocities, 45 Hydraulic motors are preferred; however electric motors of the variable speed variety are also acceptable.

The above described liquid transfer assembly is particularly adapted to application as a campening sistem for a plate cylinder. This is due to the fact that the viscosity of water is relatively constant (notwithstanding temperature changes) and enables the campening system, once adjusted, to operate properly for long periods of time. On the other hand, if the viscosity of the liquid is subject to large changes or is highly viscous, this invention is less well suited. It is applicable to with liquid layer 32 as it passes therebetween. Velocity 10 inking systems where ink of relatively medium to low viscosities are employed and to coating applications where relatively constant viscosity coating materials are utilized.

This invention further reduces the maintenance nector role 30 and to be carried around its periphery until 15 essary for offset printing apparatus and substantially negates the need for chilling of the dampening solution As is well known, especially for web presses, roll pressures generate substantial heat and cause the dampening solution to increase in temperature—thereby requiring 20 refingeration. This invention decreases the resulting roil-generated heat and this reduces refingeration requirements.

> It is to be understood that the above described embodiment of the invention is illustrative only and that modifications throughout may occur to these skilled in the art. Accordingly, this invention is not to be regarded as limited to the embodiment disclosed herein but is to be limited as defined by the appended claims I claim:

- 1. In an assembly for transferring a predetermined thickness of 'i juid to a moving surfact, said I build to hibiting a relationly constant velocity were the operate ng condition laters on all by sale is selection, nation comprising
  - supply means for producing a source of said liquid a liquid bearing applicator roll movable at a first speed and adapted to contact said supply means and obtain a coating of said liquid on said surface. a plate cylinder juxtaposed to said applicator roll and adapted to be moved at a second speed different from said first speed, said plate cylinder being maintained out of contact with said applicator roll but sufficiently close thereto that said liquid coating on said applicator roll comes in contact with said plate cylinder at the nearest point of proximity of said roil and cylinder, whereby said liquid coating is subjected to a shearing action at said nearest point of proximity with a determined amount thereof being transferred to said plate cylinder by shearing action.
- 2. The invention as recited in claim 1 wherein said hauid is water.
- 3. The invention as recited in claim 1 wherein said hould is ink.
- 4. The invention as recited in claim 1 wherein said iquid is a costing material.

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# United States Patent [19]

Bird

[11] Patent Number:

4,939,992

[45] Date of Patent:

ື Jul. 10, 1990

[54]	FLEXOGRAPHIC COATING AND/OR
	PRINTING METHOD AND APPARATUS
	INCLUDING INTERSTATION DRIERS

[75] Inventor: John W. Bird, Westport, Conn.

[73] Assignee: Birow, Inc., Westport, Conn.

[\*] Notice: The portion of the term of this patent subsequent to Jun. 27, 2006 has been

disclaimed.

[21] Appl. No.: 336,219

[22] Filed: Apr. 11, 1989

#### Related U.S. Application Data

[63] Continuation-in-part of Ser. No. 65,914, Jun. 24, 1987, Pat. No. 4,841,903.

[51]	Int CL's	B41F 5/24
	U.S. Cl	
•	1	01/488: 101/211: 118/46
[58]	Field of Search	101/115, 488, 424.1,
	101/183, 138, 136,	177, 181; 118/46, 66, 58;
		7/279 270 192, 14/1 66

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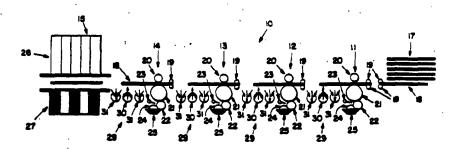
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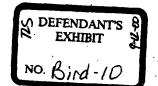
Primary Examiner—Clifford D. Crowder Attorney, Agent, or Firm—Perman & Green

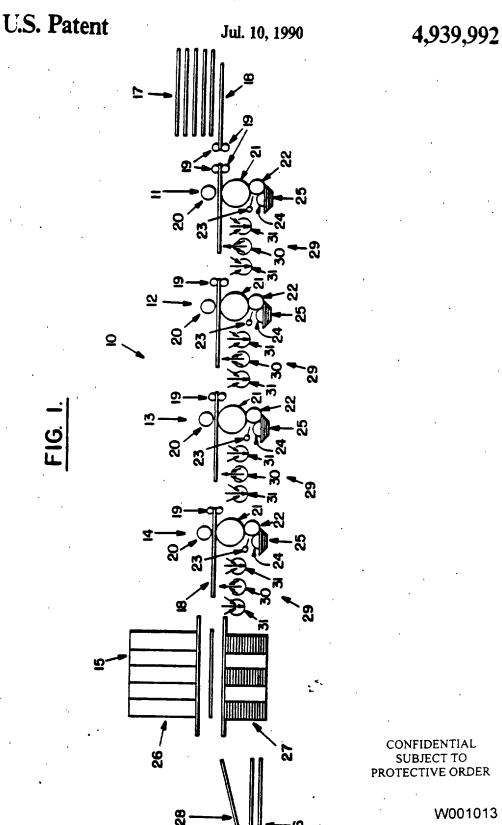
[57] ABSTRACT

A straight line flexographic printing method and machine having a plurality of in-line liquid application stations, at least one of which is an upstream ink imageprinting stations for printing ink images on a succession of cardboard copy sheets, and at least one of which is a final downstream liquid-application station which may be a coating application station for printing a protective. and/or aesthetic coating over selected portions of, or over the entire ink image-printed surface of each cardboard copy sheet. The present method and apparatus involves the placement of a forced hot air drying station between each of the liquid application stations to evaporate volatile solvent/diluent from the ink images applied at each inking or coating station before the application of additional ink images or coatings thereover at the next downstream liquid application station.

11 Claims, 1 Drawing Sheet







#### FLEXOGRAPHIC COATING AND/OR PRINTING METHOD AND APPARATUS INCLUDING INTERSTATION DRIERS

#### BACKGROUND OF THE INVENTION

The present application is a continuation-in-part of application Ser. No. 65,914 filed June 24, 1987, now U.S. Pat. No. 4,841,903.

Conventional flexographic coating and/or printing 10 machines or presses comprise one or more image-printing stations each having a plate cylinder to which is fastened a flexographic plate having raised image or printing areas. Aqueous or solvent ink is applied to the raised image areas, which ink is transferred directly to 15 an absorbent copy sheet or web.

This differs from lithographic printing in which the flat, imaged surface of a plate is continuously wetted with aqueous damping solution, which adheres only to the background areas, and the plate is then inked with oleoresinous ink composition which adheres only to the image areas of the plate as wet ink. The ink is offset-transferred to the rubber surface of a contacting blanket cylinder, and retransferred to the receptive surface of a copy web or a succession of copy sheets, such as of 25 paper, where the ink gradually hardens or cures by oxidation, in some cases after passing through a final drying station located downstream of the final liquid application station where the volatile solvent is evaporated from the ink composition of the images.

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<u>ائ</u>ون آئون In multicolor printing processes and machines of both flexographic and lithographic types, the copy web or sheets pass through a plurality of ink-printing stations in which inks of different colors are printed over the same areas in partial or complete registration to produce 35 multi-ink images or image portions having a variety of desired colors or color-blends. However such multi-ink images vary in sharpness, color-intensity and tone or hue depending upon the number of underlying ink portions.

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Stiff, heavyweight cardboard sheets, such as corrugated cardboard, can only be printed and/or coated on a straight line flexographic printer and/or coater since such sheets cannot be caused to wrap around and over plate cylinders or impression cylinders, as is common 45 with lithographic presses and with some known flexographic presses which are used for printing flexible

Flexographic straight-line printing machines are employed for the printing of relatively thick sheets of 50 highly absorbent material, such as corrugated cardboard, which are moved in a straight line, in flat condition, through one or more ink-printing stations. At each such station the thick absorbent sheets pass in the nin between a flexographic plate cylinder and an impression 15 or back-up cylinder, the raised images on the plate applying flexographic ink directly to the absorbent surface of each sheet, such as cardboard. The flexographic ink comprises resin, pigment and volatile diluents and/or solvent and dries by the absorption of the dilner /solvent into the absorbent surface. This results in some spreading of the printed images, lines, etc., with resultant loss of sharpness, detail and quality of print. This is particularly true where different colored inks are printed in partial or complete registration, which fur- 65 ther causes variations in coloration or color tone between areas which are overprinted and areas which are not, e.g., the redness of a red line printed over a grey

underprint is visibly different from the redness of the same line extending onto unprinted areas of the sheet, due to variations in the ability of the sheet to quickly absorb the diluent/solvent. The same is true with respect to the lack of uniformity of surface appearance of a solvent-applied overcoating.

In cases where cost is not a factor and/or where the aesthetic advantages of a protective supercoating, generally referred to as a coating in the flexographic industry, are desired, it is known to provide the printing machine with a downstream coating station having a coating application unit for the application of an overall protective coating over the entire printed area of the copy sheets.

While the in-line application of a protective or aesthetic coating over the flexographic images on a succession of copy sheets will improve the appearance of the print and render it smear-resistant and weather-resistant, the relatively wet condition of the printing ink composition, particularly in overprinted areas, at the time that the coating composition is applied thereover, produces a visible change in the appearance of the portions of the costing overlying the printed images during the evaporation and/or absorption of the solvent, diluent, water, etc., whereby, for example, a glossy-surfaced protective coating acquires a non-uniform flat, matte, or non-glossy surface, particularly in areas overlying the multi-printed images, and even the affected areas are not uniform in appearance depending upon the colors and/or surface areas of the underlying printed images due to the solvent/diluent in the coating interacting with the still-wet color inks. For example, printed colored images, half-tone illustrations, and the like, which are intended to be emphasized or heightened in appearance, by the application of glossy coatings thereover, undergo loss or degradation in the uniformity of their appearance and their color during the drying of the coating.

These defects in color quality and coating appearance are of substantial importance in cases where the additional expense of one or more coatings is justified by the desired results, i.e., promotional displays, artwork, product containers, etc. The defects, i.e., uneven surface appearance of the coating(s) and the quality of the underlying color images, detract from the appearance of the coating and/or underlying images, particularly in the case of multi-colored images and are due to the presence of various amounts of residual volatile solvents, diluents, water, etc., within the flexographic inks of the first images at the time that the second flexographic images are applied thereover, and/or to the presence of volatile solvents, diluents or water within the second subsequent flexographic ink images at the time that the coating is applied thereover. The application of a top coating over the printed images retards the volatile solvent, diluent or water against escape in the final drying station, but the volatiles can eventually migrate from the cardboard into the top coating during the final drying of the printed cardboard, resulting in a loss of perfection in the surface finish of the top coating.

These problems have not been important in cases where the sheets being printed are cardboard shipping cartons or the like, where high quality is not considered important. However in some cases, such as with display cardboard and ultimate sale cardboard containers, such as shoe boxes, toy boxes, clothing closets, etc., where high quality, multi-color printing is important, it has

been necessary to print an outer paper sheet by means of higher quality printing processes and then adhere or laminate the printed sheet to the cardboard support. This is expensive and labor-intensive. The present invention makes this unnecessary for many flexographic 3 applications.

It is known to provide one or more drying stations between inking stations on continuous web flexographic printing machines. However such machines convey the copy sheet through a tortuous path and thus are only 10 useful for printing flexible webs and not sheet lengths or cardboard blanks.

It is an object of the present invention to provide a novel flexographic printing and/or coating method and apparatus for the in-line application of one or more inks 15 and/or protective or aesthetic coatings over imaged succession of heavyweight, absorbent copy sheets while avoiding the usual degradation of sharpness, detail, color uniformity or loss of uniformity of the surface 20 appearance of areas of the ink(s) and/or coating applied over the previously ink-printed images.

It is another objective of the present invention to provide a flexographic printing method and apparatus for providing high quality flexographic printing directly on heavyweight sheets, such as corrugated cardboard, thereby avoiding the need for pre-printing paper, such as by offset lithographic means, and thereafter adhering it to a cardboard support.

Essentially, the present invention is concerned with 30 providing high quality flexographic copies of the types desired, directly on heavyweight absorbent sheets particularly in cases where the additional expense of multiple colors and supercoatings is justified by the desired results.

#### SUMMARY OF THE INVENTION

The present flexographic method and apparatus provides for the inline forced hot air drying of flexographic ink images, including multicolor images and photo-40 graphic reproductions, printed or applied at one liquid application station before the application of a second printing ink or a continuous or spot coating over said ink images at the next downstream liquid application station by interposing an in-line drying station between 45 each of said liquid application stations in order to predry the first colored ink images prior to the application of images of a second color or a final coating thereover, whereby the drying of each ink removes volatile solvents/diluents which can cause the ink images to spread 50 or broaden, and/or blemish the next ink or coating applied thereover.

The evaporation of volatile solvents/diluents from flexographic ink images applied to stiff, absorbent sheets is unknown and unobvious since such images are 55 intended to dry by absorption of the volatile solvents/diluents and oil of the ink into the absorbent paper sheet, such as the outer paper ply of a corrugated cardboard. However I have discovered that the interstation evaporation of such volatiles dries the ink images before they can spread, bleed or wick into the absorbent paper support, thus preserving their sharpness, detail and coloration. Moreover such evaporation dries the surfaces of the first printed images so that they are more receptive to second images or coatings applied thereover and 65 more resistant to being diluted, spread and/or broadened by the volatiles present in the second applied images or coating. Moreover the pre-removal of the volages or coating. Moreover the pre-removal of the volages or coating. Moreover the pre-removal of the volages or coating.

tile avoids the accumulation of volatiles, in different quantities, in different areas of the printed copy sheets or cardboard sheets, depending upon the number of overprints, the presence of which can continue to cause the images to spread or broaden and/or can result in color degradation and degradation in the uniformity of the appearance of an overcoating, if present.

The present invention is concerned with drying or solvent/diluent evaporation prior to the application of a second ink or a supercoating over the printed images.

The coating compositions conventionally used to apply protective or aesthetic coatings over printed images are aqueous solutions, dispersions or emulsions of water-dispersible or water-soluble film-forming binder materials, such as acrylic resins, hydrophilic colloids, vinyl alcohol, etc. Also, costing compositions free of volatile solvents or vehicles are commonly used, such as resin precursor compositions which are polymerizable or curable by exposure to ultraviolet or other radiation. Such compositions are based upon liquid acrylic monomers or pre-polymers, or photopolymers and photomitiators, cross-linking agents and/or other conventional ingredients. Both solvent-applied and solvent-free coating compositions can produce microporous coatings which are permeable to volatiles. While they are permeable to volatile ink solvents, diluents and water, the escape of these volatiles mars the appearance of the surface finish of the coatings, as discussed supra.

Multicolor flexographic printed ink images commonly are formed by using inks containing pigments of different primary colors which, when combined in superposition, produce different secondary colors depending upon the identity and number of primary colors used. However, unless each ink image is dried sufficiently to evaporate the solvents and water present therein, before a second ink is printed in partial or full registration thereover, said solvents and water produce blemishes in the total image when they are eventually evaporated. Such blemishes include voids uneven tones, ragged edges, etc.

Another problem, pertinent to the embodiment of drying between printing stations, relates to the reduced receptivity of wet images for images and/or supercoatings applied thereover, producing uneven, discontinuous or spotty images or supercoatings having "holidays" or areas which have not accepted the images or supercoating.

The novel flexographic method and apparatus of the present invention overcomes these problems with stiff, heavyweight absorbent sheets by drying the ink-imaged copy sheets prior to the application of additional ink images and/or prior to the application of a coating over the ink-printed images, whereby substantially-perfect flexographic images and/or coatings having excellent uniformity, color tone and surface properties, such as gloss, are produced on stiff copy sheets, such as cardboard, printed and/or coated in a straight line flexographic apparatus.

#### THE DRAWING

FIG. 1 is a vertical cross-sectional view of a flexographic printing and pusching machine, illustrating four liquid application stations and the interposition of inline drying stations stations such of the liquid application stations and including a final downstream in-line drying station in advance of an optional die cutting, folding and/or gluing creasing station.

#### **DETAILED DESCRIPTION**

Referring to the drawing, FIG. 1 illustrates a flexographic printing machine 10 comprising four liquid application stations 11, 12, 13 and 14 the final down- 5 stream station 14 being a coating station, if desired, an an optional die cutting, creasing, folding and/or gluing station 15 at which the printed cardboard copies are die cut into desired shapes, such as carton blanks, and creased for folding purposes, if desired, prior to stack- 10 is resistant to being drawn back off the cardboard suring at 16.

As illustrated, the present apparatus includes a feeding station 17 for feeding a continuous supply of cardboard blanks or sheets 18 in a straight line between a plurality of feed rolls 19 into and through each of the 15 liquid application stations 11 to 14 in which each sheet 18 is engaged between an upper impression cylinder 20 and a lower printing cylinder 21. The printed blanks 18 are finally fed to a cutting and creasing press station 15 in which they are die cut and creased, and moved to a 20 cylinder 21 is rotated against the ink-receptive surface stack 16.

Each of the flexographic printing stations 11 to 14 comprises a flexographic plate cylinder 21, the final downstream one of which, in station 14, can be one for printing an overall or spot coating over the portions of 25 the sheet 18 printed with ink images in stations 11, 12 and 13. The liquid application systems in stations 11 to 14 each comprise the plate cylinder 21, a metering roll 22 with associated doctor blade 23, an application roll 24 and an ink (or coating) supply 25. The illustrated ink 30 (or coating) supply 25 is a pan into which the roll 24 extends to receive a continuous supply of the ink or coating composition as it is rotated in the counter-clockwise direction. However most commercially available flexographic printing machines pump the ink or coating 35 supply as a continuous supply onto the surface of the applicator roll 24. The doctor blade 23 is adjustable relative to the surface of the metering roll 22 in order to control the thickness of the ink or coating layer moved onto the plate surface on the plate cylinder 21 for trans- 40 fer to the undersurface of each cardboard sheet 18.

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The apparatus includes conventional registration means, including feed rolls 19, so that each sheet 18 and the plate on each printing cylinder 21 are in exact registration to precisely control the areas of each sheet 18 to 45 be printed with different colored inks at stations 11 to 14 or to be printed with costing composition at station 14.

The multi-printed sheets 18 are moved into the optional station 15, which includes a movable cutter/crease die 26 and an anvil 27, in order to cut away 50 and/or crease predetermined portions thereof to form printed blanks 28 which are stacked at 16.

The essential novelty of the present flexographic printing apparatus resides in the plurality of interstation driers 29, one or more of which are located after each of 55 the printing stations 11 to 14 for purposes of rapidly drying the ink images applied to sheets 18 at each printing station 11 to 13 before the printed sheets enter the next printing station and to dry the final ink or coating after print station 14. This has been found to result in 60 substantially sharper, clearer images being produced on the cardboard sheets as compared to conventional straight line flexographic printers which permit the images to dry by absorption of the volatile ink solvent-/diluent into the cardboard surface. Moreover the pres- 65 ent apparatus has been found to permit the overprinting of different colored inks in partial or complete registration without dilution or spreading or alteration of the

sharpness or color tone of the underlying images. The pre-drying of the underlying images sets their color and sharpness, preventing them from being spread and diluted by absorption by the cardboard sheet. Moreover the pre-drying of the images renders them more resistant to being redissolved and spread or diluted by the volatile solvent/diluent of the next-applied ink, and provides a pre-dried ink surface which is more receptive to being overprinted with the next-applied ink and face by the pressure of the next ink printing cylinder 21.

Referring to FIG. 1, each interstation drier 29 comprises at least one clongate tubular forced hot air krufe 30 which is closely-spaced from the printed undersurface of the sheets 18, and an associated pair of elongate tubular vapor suction means 31 for withdrawing the evaporated ink vehicle or solvent to a recovery unit or for safe release to the outside atmosphere.

In operation, the inked plate on the first flexographic of each cardboard sheet 18, to which the wet flexographic ink images are transferred to form an imageprinted copy sheet 18. Each sheet 18 is conveyed, imaged face down, through a first drying interstation 29, comprising at least one forced hot air knife 30 and a spaced pair of vapor-extraction units 31 which withdraw and convey the volatile vehicle vapors to a recovery unit, to the atmosphere or for other safe disposal.

As illustrated, each printed copy sheet 18 is conveyed past the first air knife 30 to form a dried printed copy sheet which is moved into the next liquid application station 12.

The air knife 30 and the extraction units 31 are conventional elements normally used as final drying elements on printing and coating machines of different types, and are sufficiently small in diameter, i.e., about two inches, that they can be accommodated within the small areas present between printing stations on conventional straight-line flexographic printing machines. Knives 30 are elongate tubular elements provided with an elongate narrow slot formed by opposed, converging walls. Heated air is circulated through the tubular elements under pressure and is expelled from the elongate slot as a concentrated narrow band of high speed hot air which is directed against the undersurface of the inkprinted copy sheets 18 to evaporate the volatile solvent or vehicle therefrom to release vapor which is withdrawn through elongate slots in the extraction units 31. Substantial drying is produced by the each air knife 30, but a spaced second air knife may be included at each drying station 29 to insure complete drying prior to the entry of the copy sheets 18 to the next liquid application

In the apparatus of FIG. 1, the second ink application station 12 is another ink printing station, such as for printing ink of a second color. Thus the various elements of station 12 are numbered similarly to those of station 11.

The printed copy sheets 18 exiting the second printing station 12 are moved by feed rollers 19, printed side down, through the second drying interstation 29 which is similar to the first drying station and comprises a similar elongate air knife 30 and a similar spaced pair of extraction units 31.

The line of forced hot air from the second knife 30, scross the width of the copy sheets printed in station 12, substantially dries the second-applied ink images by evaporating the vehicle therefrom, after which the

Thus, the station 14 can be a coating station for the application of continuous spot coatings onto the predied printed copy sheets 18 which are transported by feed rollers 19 past a final downstream drying station 29 and its air knife 30 to evaporate the water or other volatile solvent/diluent from the coating and form final copies 18 which are cut, creased, folded and/or glued 20

and stacked.

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In operation, a succession of cardboard copy sheets 18 is automatically moved in a straight line by feed roller 19 and transported through two or more ink printing stations into printing contact with two or more 25 flexographic cylinders 21 to print images, such as of different colors, on predetermined similar and/or different areas of the underside of each copy sheet, using conventional aqueous flexographic inks containing volatile organic solvents(s) and water At each ink-printing 30 station 11 to 14 a flexographic printing plate is fastened to a plate cylinder 21 and inked by means of metering roller 22. The ink is selectively received by the image areas of the plate and transferred to the under-surface of a copy sheet 18 passed in the nip of cylinder 21 and 35 impression cylinder 20. At this point, the ink images on each imaged copy sheet 18 still contain the volatile organic solvent and water. Rather than moving the inked copy sheets 18 directly from the first ink printing station to the next ink printing station 12, as is conven- 40 tional in the art, the present method and apparatus provides for intermediate or interstation drying of the inked copies to evaporate the volatile organic solvent from the ink images and copy sheet to form solvent-free copies 18 prior to the application of new ink images 45 thereover.

Flexographic processes are conventionally used to print ink images onto absorbent paperboard, drying of the ink images being caused by the absorption of the volatile ink vehicle into the copy sheet. Heretofore it 50 has not been possible to apply high quality multicolor ink images onto cardboard in a single pass on straight line flexographic machines because the volatile solvent-/diluent of the after-applied ink images redissolves and smears the first applied images which mask the absorbent copy sheet against rapid absorption of the after-applied solvent. The same problem occurs when solvent/diluent-applied coating compositions are applied over ink images in the flexographic process.

The present invention solves these problems by providing the internation forced hot air driers between
each of the liquid application stations on a straight line
flexographic printing and/or coating apparatus,
whereby the volatile solvents and water are evaporated
to dry the ink images rapidly before additional images 65
or coatings are printed thereover. Rapid evaporation
drying renders the dry ink images resistant to being
dissolved or smeared, and reduces the dwell time of the

after applied solvents. Conventional drying by absorption is very slow, does not remove the solvents, diluents or water from the copy sheets and retards drying in cases where the later applied composition is applied over pre-printed areas of the absorbent copy sheet.

Thus the present flexographic printing process makes it possible to print stiff cardboard copy sheets, even those which have little or no porosity and little or no absorbing ability, such as cardboard having a printing face of high quality non-absorbant paper or plastic-coated cardboard, corrugated plastic board, and other similar materials on which quality images could not be printed by conventional flexographic printing processes.

It is to be understood that the above described embodiments of the invention are illustrative only and that modifications throughout may occur to those skilled in the art. Accordingly, this invention is not to be regarded as limited to the embodiments disclosed herein, but it to be limited as defined by the appended claims.

What is claimed is:

1. In a flexographic, straight line printing machine comprising a plurality of liquid application stations each comprising a printing cylinder, at least one of which is an upstream ink printing station for the printing of ink images containing a volatile solvent/diluent onto a succession of individual cardboard copy sheets as such sheets are moved therethrough, and at least one of which is a downstream printing station, and means for continuously feeding said individual copy sheets, without bending, through said liquid application stations, the improvement which comprises an intermediate drying station comprising at least one forced hot air means positioned between each of said liquid application stations to apply a line of forced hot air across the direction of travel of said sheets as they move therepast to effect the evaporation of the solvent/diluent from the ink unages printed on said cardboard copy sheets prior to the movement of the ink-imaged copy sheets into the next liquid application station, to effect the drying of said images prior to the application of the rink images or a coating thereover.

2. A flexographic, straight line printing machine according to claim 1 in which one or more of the downstream application stations comprise coating stations for the application of spot coatings or coatinuous coatings, to said copy sheets.

3. A flexographic, straight line printing machine according to claim 1 in which each said intermediate drying station also comprises a vapor extraction means.

4. A flexographic straight line printing machine according to claim 1 which further comprises a final station for cutting the printed cardboard copy sheets.

5. A flexographic, straight-line printing machine according to claim I comprising at least two adjacent trik printing stations for printing ink images of different colors in partial or complete registration on said cardboard copy sheets.

6. A method for the flexographic printing of a succession of cardboard copy sheets on a continuous straight line, flexographic printing machine which comprises the steps of continuously feeding a succession of individual cardboard copy sheets, without bending, through a plurality of liquid application stations, each having a printing cylinder, including at least one upstream ink printing station and one or more downstream stations, printing images comprising volatile solvent/diluent-containing ink onto said copy sheets as they

move through each of said ink-printing stations to form imaged copy sheets, heating said imaged sheets after each ink-printing station by moving them past forced hot air which applies a line of forced hot air across the direction of travel of said sheets to substantially-completely evaporate the volatile solvent/diluent therefrom to form dry imaged copy sheets, prior to movement thereof into the next liquid application station,

7. A method according to claim 6 in which one of said downstream printing stations comprises a coating station in which a coating is applied which covers the dry images printed at the ink printing stations.

8. A method according to claim 7 in which a said coating is applied comprising a partial or spot coating

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which overlies only a portion of the dry images printed at the ink printing stations.

 A method according to claim 6 in which drying is accomplished by directing a narrow line of forced hot said air from air knives against said imaged copy sheets.

10. A method according to claim 6 in which the evaporated solvent/diluent is extracted from the area at which it is evaporated.

11. A method according to claim 6 which comprises printing ink images of different colors in partial or complete registration at at least two adjacent ink printing stations.

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#### EXCLUSIVE LICENSE

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This Exclusive Dicense is granted effective as of March /// , 1991, by BIROW, INC., a Connecticut corporation ("Licensor") having its principal place of business at 8 Clover Lane, Westport, Connecticut 06880, to PRINTING RESEARCH, INC., a Texas corporation ("Licensee") having its principal place of business at 10954 Shady Trail, Dallas, Texas 75220.

WHEREAS, Licensor is the owner of record of the following:

- 1) United States Letters Patent No. 4,796,556, Adjustable Coating and Printing Apparatus by John W. Bird issued June 27, 1989; and
- 2) United States Letters Patent No. 4,841,903, Coating and Printing Apparatus Including an Interstation Dryer by John W. Bird issued June 27, 1989; and
- 3) United States Letters Patent No. 4,895,070, Liquid Transfer Assembly Method by John W. Bird issued January 23, 1990; and
- 4) United States Letters Patent No. 4,939,992, Plexographic Coating and/or Printing Method and Apparatus Including Interstation Driers by John W. Bird issued June 10, 1990; and
- 5) Application for United States Letters Patent filed in the U.S. Patent and Trademark Office on April 11, 1989, under U.S. Seriel No. 07/336435 with respect to Printing Method and Apparatus Including Interstation Drying by John W. Bird;

WHERE'S, Licensee desires to obtain the exclusive right and license to make, use and sell products covered by such Letters Patents and Application for Letters Patent;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Licensor hereby

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Licensor represents and warrants that it has not granted and will not grant to others any rights inconsistent with the rights granted herein, and that said Letters Patents and Application for Letters Patent are free and clear of all encumbrances and liens.

IN WITNESS WHEREOF, Licensor has executed this Exclusive License on the date first above written.

LICENSOR: -

BIROW, INC.

Name: John W. Bird Title: President

Name: Thomas A. Rowley

Title: Secretary

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STATE OF TEKAS

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COUNTY OF DALLAS

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On this 12 day of March, in the year of 1991, before me personally appeared JOHN W. BIRD, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed the written instrument as President of the corporation therein named, and acknowledged to me that the corporation executed it pursuant to its bylaws or a resolution of its board of directors.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

> Funda Hotting Name (Print): LINDA (Nottingston) Notary Public, State of Texas My commission expires:

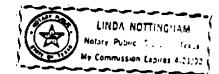
STATE OF CONNECTICUT

COUNTY OF MIRFIELD

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(japone)

SS. WESTPORT



day of March, in the year of 1991, before me personally appeared THOMAS A. ROWLEY, personally known to me or proved to me on the basis of satisfactory evidence to be the person who executed the written instrument as Secretary of the corporation therein named, and acknowledged to me that the corporation executed it pursuant to its bylaws or a resolution of its board of directors.

IN WITTESS WHEREDF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

Name (Print):

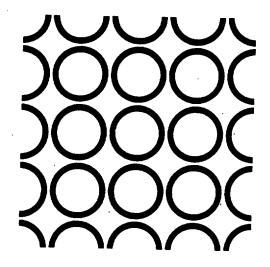
Notary Public, State of Connecticut My commission expires:

> VIRGINA M. LANGE Notary Public Pauvertsson Expires March 31, 1994

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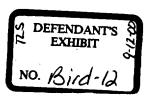
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SUPER BLUE

PBC PLATE/BLANKET AND PC PLATE COATER

> BECAUSE TO MOST CUSTOMERS HIGH GLOSS MEANS HIGH QUALITY



# It is now possible to dramatically increase gloss levels of printed sheets



Winner



InterTech Award

# High-impact quality at low cost

Among print buyers and consumers alike, "gloss" and "feel" are strongly associated with quality. Through our systems, printers can profitably achieve superb finish-quality and high-impact appearance at low cost.

Our Plate/Blanket Coater (PBC) maximizes your coating flexibility, giving you more precise control and broader capabilities than ever before. Offering full-coverage gloss or matte coatings as well as spot coatings of impeccable register and quality, the PBC smoothly and consistently applies uniform coatings of a wide viscosity range to any desired thickness.

- Precision spot-register applications
- Elimination of halos and hard/beaded edges
- Maximum coating application

The advent of coatable, water-based and UV-curable resins offers sheetfed color printers the unprecedented power to add high gloss levels, special effects and unusual surface treatments to their range of *in-house* capabilities. These coatings vastly exceed the gloss potential of varnish, while banishing forever the mess and quality problems spray powder causes in the pressroom.

# Maximize press utilization while minimizing clean-up

Because the PBC is easily retracted when coating is not necessary, the press unit used for coating can function as a full printing unit whenever you need it. Or, you can easily establish a dedicated coating line on an underused press. What's more; with our coaters, you will eliminate forever the press downtime associated with blanket cutting, packing and image registration. No other coater can accomplish this.

Our coaters minimize wash-up and makeready, offering unrivaled time and cost savings. Ruggedly constructed, easy to operate and maintain, our patented coaters are on the leading edge of industry technology.

- Makeready as fast as regular ink presses
- Elimination of slinging and misting problems
- Minimized wash-up times

# Improved quality means customer satisfaction

The PBC provides unparalleled quality control, enabling you to coat with as much control as you print. Coating material is applied as if it were another ink color, using your printing unit as it was designed to operate — to lay down a precise film membrane on the substrate.

What's more, the PBC achieves this highimpact appearance in a fraction of the time it takes to varnish or laminate — and without the mess and quality control problems associated with these now obsolete methods. So your customers receive the highest quality product, with an incredibly fast turnaround.

#### Super Blue Plate/Blanket Coater

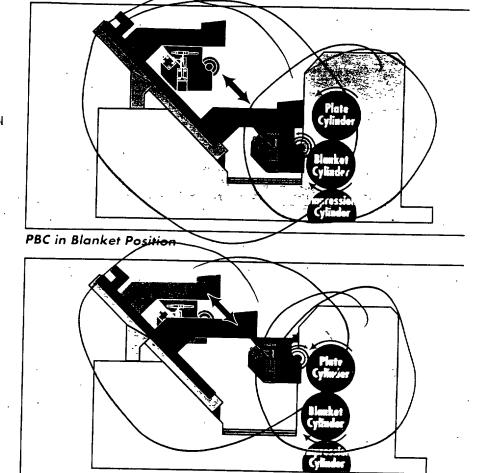
The PBC applies coating either at the blanket, for full coverage work, or at the plate, for precise register application of spot coating without hard edges. Or when coating is not necessary, it can be easily retracted to allow for regular printing uses. Unlike other coater designs that haphazardly squeeze coating material onto substrate under pressure — stagging coating material — the shear-coating PBC works neatly and precisely.

In the blanket mode when overall coverage is required, PBC's design provides for fast makeready and smooth application of the coating.

In the plate mode, the coater applies coating to a relief image on the plate cylinder to apply a uniform thickness of the coating film to the blanket cylinder. This coating "image" is then transferred by the blanket to the substrate, ensuring precise registration in all axes. Coating thickness and pressure between the plate, blanket and impression cylinders are all accurately and easily controlled.

Both the PBC and its Common Impression Cylinder (CIC) press counterpart, the Plate Coater (PC), improve operational profitability by eliminating the extensive "wash-up" downtime associated with coater dampeners — the only alternative with a CIC press. The typical two to three hour wash-up is reduced to less than a half hour, and the entire process is carried out independently from the press.

Being fully retractable, the coater does not interfere with the dampening system, ensuring fast changeover from print to coat and coat to print. This makes your entire operation more efficient and more profitable.

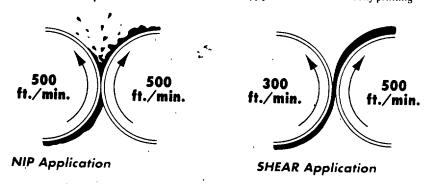


PBC in Plate Position

## Productivity, safety and long-term value

As a supplier of precision-engineered coating and drying systems for the graphic arts and packaging industries, Printing Research, Inc.'s high-performance systems improve your bottom-line profitability by adding value to your existing operations. With our systems, you improve the quality of your services by becoming a low-cost provider of the highest quality printing — all while maximizing the utilization of your existing presses. Our dependable, high-performance systems will increase your sales, profits and customer satisfaction levels.

See the difference yourself. Experience a demonstration of our PBC and PC and witness how coatings can be as easy to handle and precise to apply as the ink used in daily printing!



Instant-drying inks and the elimination of spray powder have been the dream of every printer and printing buyer. The idea was put forward in the 1970's and 80's that it would be possible to print with conventional inks and apply a coating which would dry completely before placement on the delivery stack. This would place a dry skin over the ink, eliminating offsetting, sheet marking and the need for spray powder. The inks dry under the coating.

The advent of the 90's has made the dream a reality. It is now possible to print superior quality with conventional inks and coat the surface in order to deliver a dry, mark-free sheet at full production speeds. This is what the Super Blue products from Printing Research accomplish for you.

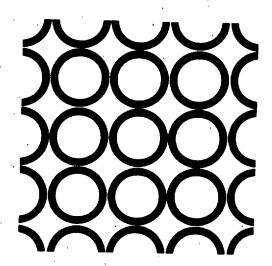


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Telephone 214-353-9000 Telex 794028 Superblue dal Fax 214-357-5847





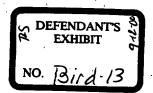


SUPER BLUE

THE EZ PRINT/ COAT FAMILY

MAXIMUM FLEXOBILITY AND A TOUCH OF BRILLIANCE

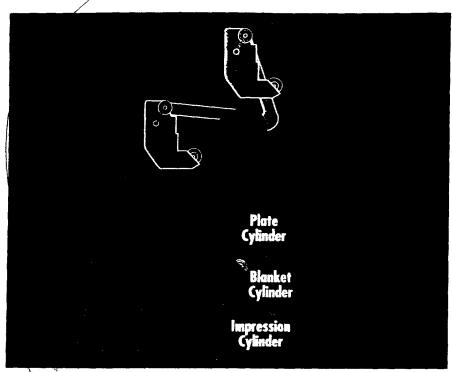






# Add Innovative In-Line Interstation and End of Press Printing Coating.





Patents Pending

The Super Blue EZ Interstation Flexo Printer/Coater is installed directly onto a print unit, for applying any one of a number of aqueous or UV based metallic/opaque inks between print units.

Have you ever wanted to add in-line coating capabilities, metallic, opaque, or other specialized applications to specific print units? Was your decision not to enter this market influenced by mediocre quality, undesirable environmental considerations, or the prohibitive cost?

Search no more ... Your needs and concerns have been resolved!

Printing Research, Inc., invites you to review the patented family of EZ Print/Coat products as described in this brochure. We are confident that you will find the perfect solution to your present and future printing demands.

#### EZ Interstation Flexo Printer/Coater

The Super Blue EZ Interstation Flexo Printer/Coatey is retractable so that it can be swung up and above the print unit for conventional printing or swung into the blanket position to offer complete application variations from job to job. The patented coating head assembly is comprised of two main components. A combination of engraved anilox rolls are offered to provide a consistent overall ink/coating weight. The anilox rolls yield excellent ink/coating release and lay characteristics with no fear of plugging, leaking, or misting due to the unique enclosed doctor blade assembly.



Patent Pending

The EZ Print/Coat Family utilizes a universal coating head configuration for superior ink and coating transfer.

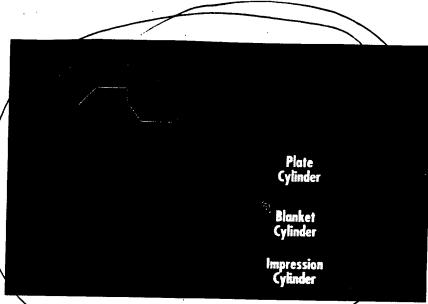
#### **EZ Blanket Coater**

The Super Blue EZ Blanket Coater is mounted such that the coating head can be automatically removed from its coating position for conventional use of the last print unit as well as full operator access. Although the EZ Blanket Coater is an end of press retrofit, it offers the same coating release and lay characteristics without fear of plugging, leaking, or slinging due to the same unique enclosed doctor blade assembly.

# EZ Automatic Pump and Recirculation System

The Super Blue EZ Automatic Pump and Recirculation system is designed to eliminate the headaches associated with other pump systems and complicated wash-up procedures that impact your production time and bottom line. The circulation system is a standard component that allows the operator to push a button and walk away. Whether you are purging, coating, washing-up or by-passing each is fully automated and timed. In addition, the clean-up water reservoir is heated to provide optimum line and head cleaning.

The Super Blue EZ Automatic Pump and Recirculation System is offered as a separate product to suit most anilox coating systems, whether it be a blanket coater, tower coater, flexographic coater or web coater.



Patented and Patents Pendin

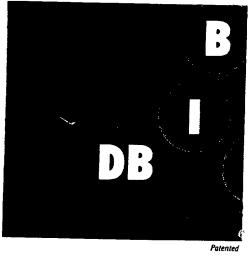
The Super Blue EZ Blanket Coater is installed directly onto the delivery or coating/dummy unit of your press for applying any one of a number of aqueous or UV coatings or inks at the last print unit blanket cylinder.

EZB

The Super Blue EZ Impression Eylinder Coater is installed between the gripper chain rails of the press delivery, but utilizes its own delivery blanket cylinder to add a coating unit without losing a print unit.



The Super Blue EZ Automatic Pump and Recirculation System is common to the entire EZ Print/Coat Family as a standard component.







Super Blue 1 and II Anti-Marking Systems



Super Blue BACVAC Vacuum Transfer and Delivery Systems

Super Blue: High Velocity Hot Air Dryers





Super Blue Air Blanket I and II Infra-Red Drying Systems





Super Blue\* In-Line and Off-Line Coaters

Super Blue: Water Cooled and 'Cold' UV Dryers





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Another Fine Product From The Makers Of The Patented Super Blue® System



United States Patent [19]

DeMoore et al.

[11] Patent Number:

5,176,077

Date of Patent:

Jan. 5, 1993

[54]	COATING APPARATUS FOR SHEET-FED.
•	OFFSET ROTARY PRINTING PRESSES

- [75] Inventors: Howard W. DeMoore, 2552 Royal La., Dallas, Tex. 75229; David D. Douglas, Garland; Steven M. Person, Seagoville, both of Tex.
- [73] Assignee: Howard W. DeMoora, Dallas, Tex.
- [21] Appl. No.: 752,778
- [22] Filed:

Aug. 30, 1991

[52]	U.S. Cl	101/142; 101/147;
	10	1/232: 101/348; 118/46
[58]	Field of Search	
• •		217, 232, 246, 329, 330,
	. 331 AOR AOR A19 A75	

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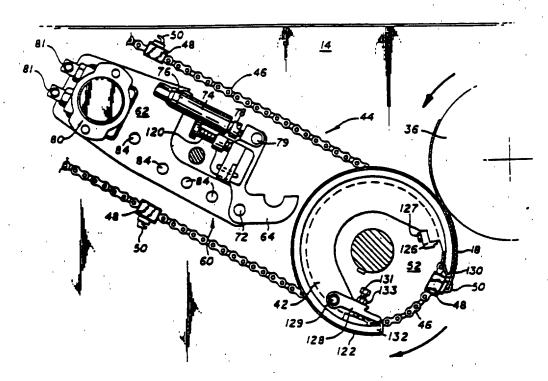
#### FOREIGN PATENT DOCUMENTS

Primary Examiner-Eugene H. Eickholt Attorney, Agent, or Firm-Dennis T. Griggs

ABSTRACT

A coating apparatus for use in a sheet-fed, offset rotary printing press to selectively apply a protective and/or decorative coating to the wet ink surface of freshly printed sheets and including a coating unit having a pick-up roller for supplying aqueous coaning material from a reservoir to the surface of a delivery cylinder mounted on a press delivery drive shaft, the delivery cylinder performing the dual function of a coating applicator roller and a delivery cylinder during coating Operations.

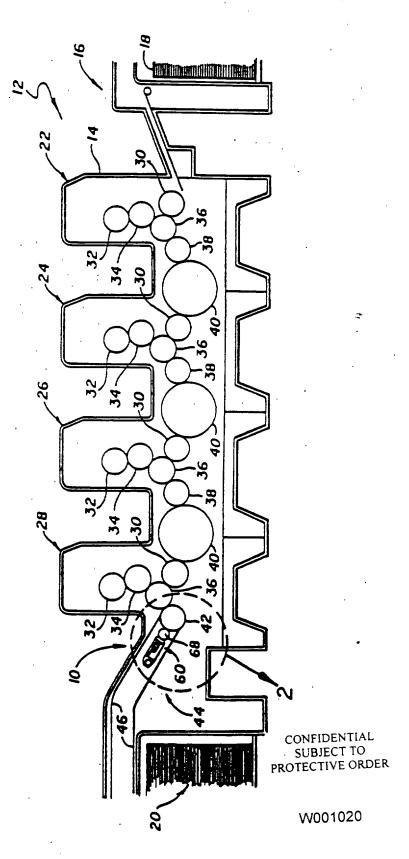
22 Claims, 5 Drawing Sheets



CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER

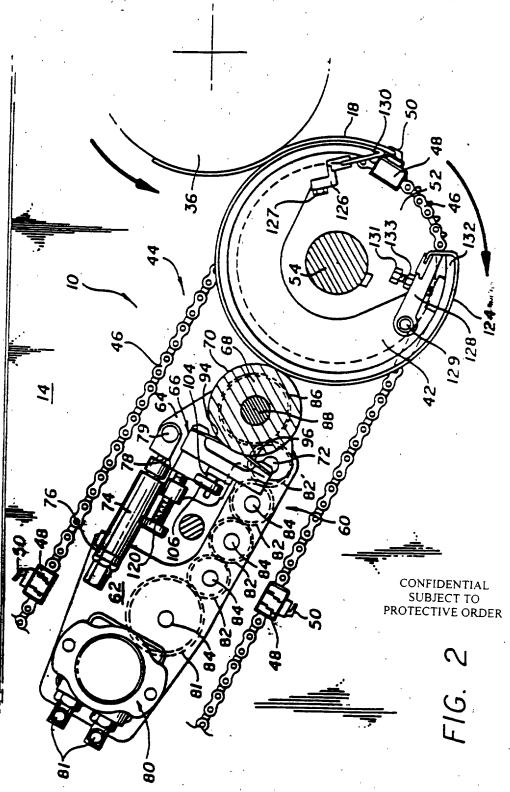
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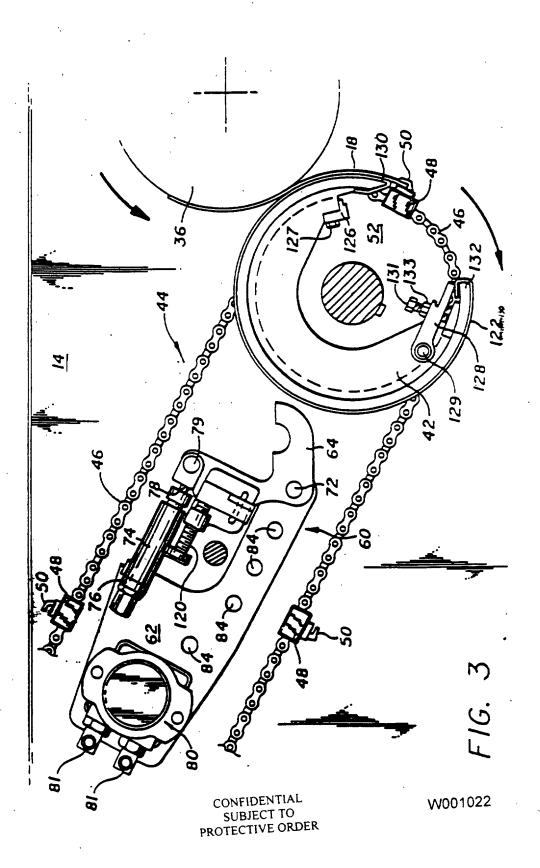
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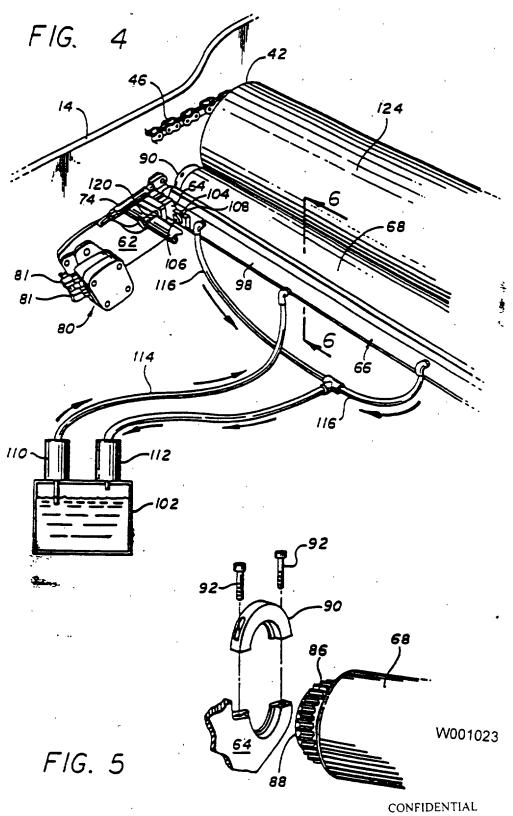


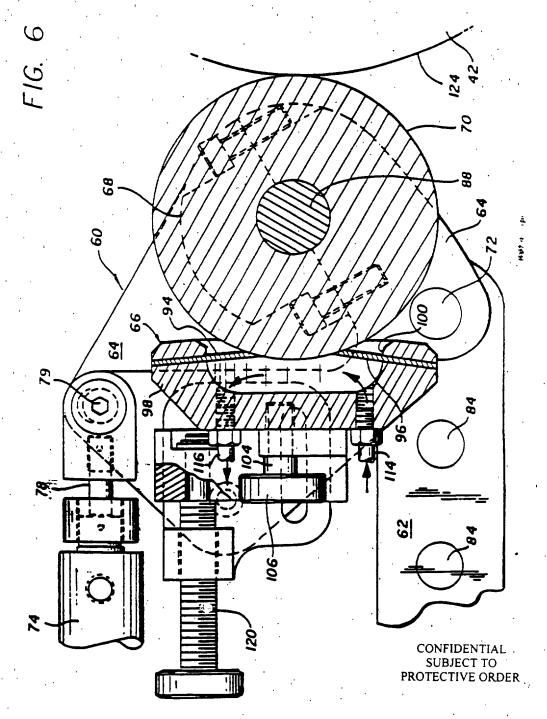
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Sheet 4 of 5

**5,176,077**.





W001024

#### COATING APPARATUS FOR SHEET-FED, OFFSET ROTARY PRINTING PRESSES

#### BACKGROUND OF THE INVENTION

This invention relates to sheet-fed, offset rotary printing presses, and more particularly, to a new and improved apparatus for the in-line application of protective and decorative coatings to the printed surface of freshly printed sheets.

Conventional sheet-fed, offset rotary printing presses typically include one or more printing stations through which individual sheets are fed and printed with wet ink. After final printing, the sheets are fed by a delivery conveyor system to the delivery end of the press where the freshly printed sheets are collected and stacked. In a typical sheet-fed, offset rotary printing press such as the Heidelberg Speedmaster line of presses, the delivery conveyor system includes a pair of endless grapper chains carrying laterally spaced gripper bars and grippers which are used to grip and pull freshly printed sheets from the impression cylinder and convey the sheets toward the sheet delivery stacker. The gripper chams are driven in precisely timed relation to the unpression cylinder by gripper chain sprocket wheels 25 laterally spaced between a delivery drive shaft mounted on opposite sides of the press frame, the delivery drive shaft being mechanically coupled by gears for synchronous rotation with the impression cylinder.

Since the mks used with offset type printing presses 30 typically remain wet and tacky for some time after printing, special precautions must be taken to insure that the wet inked surface of the freshly printed sheets are not marked or smeared as the sheets are transferred from one printing station to another, and through the 35 delivery system to the sheet delivery stacker. One system for insuring that the freshly printed sheets are not marked or smeared during transfer is the transfer or delivery cylinder system marketed by Printing Research, Inc., of Dallas, Texas under its registered trade- 40 mark "SUPER BLUE" That system, which is made and sold under license, is made in accordance with and operates as described in U.S. Pat. No. 4,402,267, issued Sep. 6, 1983 to Howard W. DeMoore, the disclosure of which is incorporated herein by this reference. In that 45 system, marking and marring of freshly printed sheets is prevented by employing transfer or delivery cylinders provided with a costing of friction reducing material such as FIFE (Tellon) over which are loosely mounted fabric devers, referred to in the trade as "nets", and 50 which support the wet ink side of the freshly printed sheets as they are pulled from the impression cylinder. Typically, in a multi-color press employing the "SUPER BLUE" cylinder system, each transfer cylinder for conveying the freshly printed sheets from one 55 printing station to the next is supplied with a "SUPER BLUE" transfer cylinder system, and the delivery cylinder for conveying the sheets from the last printing station to the sheet delivery stacker is supplied with a "SUPER BLUE" delivery cylinder system. As used 60 hereinafter, the term "net type cylinder" is intended to refer to cylinders having fabric nets disposed over the support surface, such as of the general type disclosed in the aforementioned DeMoore U.S. Pat. No. 4,402,267 and exemplified by the "SUPER BLUE" cylinder sys- 65

Another system which can be used to prevent marking and smearing of the freshly printed sheets is that

disclosed in U.S. application Ser. No. 07/630,308 filed Dec. 18, 1990 entitled Vacuum Transfer Apparatus for Sheet-Fed Printing Presses now U.S. Pat. No. 5,127,329. That application, the disclosure of which is 5 also incorporated herein by reference, discloses an apparatus which can be employed to draw the unprinted ade of a freshly printed sheet into engagement with rollers which support the sheet on the unprinted side during transfer or delivery of the sheet from the impression cylinder after printing so that the wet ink on the freshly printed sheet does not come in contact with other apparatus in the press. The vacuum transfer apparatus disclosed in that application can be used as an alternative to the net type cylinder system disclosed in the aforementioned DeMoore patent, or when used in a perfecting press, as a supplement to that system, the vacuum transfer apparatus being primarily intended for use when only one-sided sheet printing is being performed by the press, and the net type cylinder system being used when the press is operating in the perfector mode with two-sided sheet printing.

In some printing applications, it is desirable that the press be capable of applying a protective and/or decorative coating over all or a portion of the surface of the printed sheets. Such coatings typically are formed of a UV-curable or water-soluble resin applied as a liquid solution or emulsion by an applicator roller over the freshly printed sheets to protect the ink and improve the appearance of the sheets. Use of such coatings is particularly destrable when decorative or protective finishes are required such as in the production of posters, record jackets, brochures, magazines, folding cartons and the like. In cases where a coating is to be applied, the coatmg operation is carried out after the final ink printing has been performed, most desirably by an in-line coating application, rather than as a separate step after the printed sheets have been delivered to the sheet delivery Stacker.

Various suggestions have been made for applying the coating as an in-line press operation by using the final printing station of the press as the coating application station. For example, in U.S. Pat. Nos. 4,270,483, 4,685,414, and 4,779,557 there are disclosed coating appearing which can be moved into position to allow the blanket cylinder of the last printing station of a press to be used to apply a coating material to the sheets. In U.S. Pat. No. 4.796.556 there is disclosed a coating apparams which can be selectively moved between the blanket cylinder or the plate cylinder of the last printing station of the press so that that station can be used as a costing station for the press. However, when coating apparatus of these types are used, the last printing station can not be used to apply ink to the sheets, but rather can only be used for the coating operation. Thus, with these types of is-line press coating apparatus, the press loses the capability of printing its full range of colors since the last printing station is converted to a coating

Suggestions for overcoming the problem of the loss of a printing station when coating is desired have also been made, such as that set forth in U.S. Pst. Nos. 4,934,305 which discloses a coating apparatus having a separate timed applicator roller positioned to apply the coating material to the printed sheet while the sheet is on the last impression cylinder of the press. This is said to allow the last printing station to be operated simultaneously as both an ink application station and a coating

station so that no loss of press printing unit capability results. Another approach to providing a coating station without loosing the printing capabilities of the last printing station is to provide a totally separate coating unit down stream of the last printing station so that the coating is applied to the sheets after final printing and before the sheets have reached the sheet delivery stacker. Such an approach is suggested in U.S. Pat. Nos. 4,399,767 and 4,706,601. While each of these suggestions provide coating stations which allow the final printing station to continue to be used for printing, they each suffer from the disadvantages of requiring the provision of separately driven coating applicator rollers and apparatus which must be precisely timed in relation to the movement of the sheet to be costed so as to insure 15 precise registration between application of the coating material and the printed sheet. The provision of sepa rate timed applicator rollers require that the presses be modified to provide sufficient space within the presses to accommodate the added coating apparatus or to 20 increase the length of the presses, and require additional and complex drive connections with the press drive system to achieve the required precise speed correlation between the sheets and the applicator rollers. Such modifications can be both expensive and combersome 25 to install and maintain.

Thus, there exists a need for a new and improved in-line apparatus for use in a sheet-fed, offset rotary printing press to selectively apply a protective and/or decorative coating to the printed surface of freshly 30 printed sheets which allows the final press printing station to continue to be used as a printing station, yet which does not require any substantial press modification of the addition of a separate timed applicator roller. As will be explained in more detail hereinafter, the 35 present invention solves this need in an novel and unobvious manner.

#### SUMMARY OF THE INVENTION

The present invention provides a new and improved 40 in-line apparatus for selectively applying a protective and/or decorative coating to the surface of freshly printed sheets in a sheet-fed, offset rousey printing pre which is highly reliable and effective in use, yet which does not require any expensive or substantial press mod- 45 ification or result in any impairment of normal press operating capability. The presint invention enables the of to selectively apply the couring material press to be a to the freship negated sheets at the sheets are conveyed from the impression cylinder of the last printing station. of the press toward the shest delivery stacker by utilizing a delivery cylinder mounted to the existing press delivery drive shaft to perform the dual function of a coating material applicator roller and a sheet delivery cylinder so that no modification of the press is required 55 to enable the press to be used for either coating or noncoating meration, and without impairment of any normai press operations.

More specifically, the present invention is intended for use in a sheet-fed, offset rotary printing press of the 60 type having at least one printing station which includes a blanket cylinder and an impression cylinder disposed for printing ink onto theets passing therebetween, and a delivery conveyor system for pulling freshly printed sheets off the impression cylinder and transporting the 65 sheets toward the press sheet delivery stacker. For use of the present invention, the press must include a delivery drive shaft disposed adjacent to and extending par-

allel with the impression cylinder, and which is driven in timed synchronous relation with the impression cylinder.

In accordance with the invention, a delivery cylinder is mounted to the delivery drive shaft and provided with a coating blanker disposed over the peripheral outer surface of the cylinder, and adapted to engage and support the wet ink side of a freshly printed sheet. A coating apparatus including a supply of liquid coating material and a pick-up roller disposed to receive coating material from the supply, is mounted to the press and operable to permit the pick-up roller to be moved into engagement with the delivery cylinder so that coating material on the pick-up roller is transferred to the coating blanket of the delivery cylinder and then to the freshly printed sheet.

Preferably, the coating apparatus is mounted to the press downstream of the delivery drive shaft, and includes means to selectively move the pick-up roller into and out of engagement with the delivery cylinder. When the pick-up roller is not in the operable position in engagement with the delivery cylinder, the delivery cylinder can be used for conventional noncoating sheet delivery by removing the coating blanket and, preferably, replacing the coating blanket with a fabric set such as of the net type cylinder system previously described. To convert to a coating operation, the coating blanket is attached to the delivery cylinder and, depending upon the thickness of the sheets to be printed, packed with suitable packing sheets to increase the effective diameter of the cylinder so that pressure is applied to the freshly printed sheets against the impression cylinder by the coating blanket covered delivery cylinder. The pick-up roller is then moved to the operative position engaged with the delivery cylinder so that as freshly printed sheets are pulled by the delivery conveyor from the impression cylinder around the delivery cylinder, coating material applied to the delivery cylinder by the pick-up roller is transferred to the freshly printed sheets in the mip between the delivery cylinder and the impression cylinder.

Since the delivery cylinder is driven by the delivery drive shaft in precise timed relation with the impression cylinder, exact registration between the application of coating material and the printed sheet is assured. Further, since the coating of the freshly printed sheets is carried out through use of a delivery cylinder mounted to the existing press delivery drive shaft, no substantial press modifications are required, and the press can be quickly and easily converted between coating and non-coating operation with no loss of printing capability of the final printing station.

Many other features and advantages of the present inventor-will become more apparent from the following detailed description takes in conjunction with the accompanying drawings which disclose, by way of

example, the principles of the invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic side elevational view of a sheetfed, offset rotary printing press having a coating apparatus embodying the present invention;

FIG. 2 is an enlarged fragmentary side elevational view taken substantially within the circular area designated "2" in FIG. 1 and showing the coating apparatus of the present invention during coating operation;

FIG. 3 is a side elevational view similar to FIG. 2, but showing the coating apparatus in the inoperative posi-

FIG. 4 is an enlarged fragmentary perspective view 5 showing one side of the coating apparatus mounted in the press and illustrating the fluid path of coating material from a supply tank to the reservoir of the coating unit:

FIG. 5 is an enlarged fragmentary perspective view 10 illustrating the end mounting of the coating pick-up roller to its support bracket; and

FIG. 6 is an enlarged fragmentary sectional view taken substantially along the lines 6—6 of FIG. 4.

### DETAILED DESCRIPTION OF PRESENTLY PREFERRED EMBODIMENT

As shown in the exemplary drawings, the present invention is embodied in a new and improved in-line apparatus, herein generally designated 10, for selective 20 use in applying a protective and/or decorative coating to the freshly printed surface of sheets printed in a sheet-fed, offset rotary printing press, herein generally designated 12. In this instance, as shown in FIG. 1, the coating apparatus 10 is illustrated as installed in a four 25 color printing press 12, such as that manufactured by Heidelberger Druckmaschinen AG of the Federal Republic of Germany under its designation "Heidelberg Speedmaster 102V (40")," and which includes a press frame 14 coupled at one end, herein the right end, with 10 a sheet feeder 16 from which sheets, herein designated 18, are individually and sequentially fed into the press, and at the opposite end, with a sheet delivery stacker 20 in which the finally printed sheets are collected and stacked. Interposed between the sheet feeder 16 and the 35 sheet delivery stacker 20 are four substantially identical sheet printing stations 22, 24, 26 and 28 which can print different color inks onto the sheets as they are moved through the press 10,

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As illustrated, each of the printing stations 22, 24, 26 and 28 is substantially identical and of conventional design, herein including a sheet feed cylinder 36, a plate cylinder 32, a blanket cylinder 34 and an impression cylinder 34, with each of the first three printing stations 22, 24, and 26 having a transfer cylinder 38 disposed to 45 withdraw the freshly printed sheets from the adjacent impression cylinder and transfer the freshly printed sheets to the aexi printing station 20 herein is shown as equipped with a delivery sylinder 42 which functions to support the printed sheet 18 as it is snoved from the final impression cylinder 36 by a delivery conveyor system, generally designated 48; in the sheet delivery stacker 20.

The delivery conveyor system 44 herein is of conventional design and includes a pair of endless delivery 53 gripper chains 46, only one of which is shown in the drawings, carrying at regular spaced locations along the chains, laterally disposed gripper bars 48 having gripper clements 50 used to grip the leading edge of a sheet 18 after it leaves the nip between the delivery cylinder 42 60 and impression cylinder 36 of the last printing station 28. As the leading edge of the sheet 18 is gripped by the grippers 50, the delivery chains 46 pall the sheet away from the impression cylinder 36 and convey the freshly printed sheet to the sheet delivery stacker 20 where the 65 grippers release the finally printed sheet. The endless delivery chains 46 are driven in synchronous timed relation to the impression cylinder 36 by, sprocket

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wheels 52 fixed adjacent the lateral ends of a delivery drive shaft 54 which has a mechanically geared coupling (not shown) through the press drive system to the impression cylinder. The delivery drive shaft 54 extends laterally between the sides of the press frame 14 adjacent the impression cylinder 36 of the last printing station 28, and is disposed to be parallel with the axis of the impression cylinder. In this instance, the delivery cylinder 42, which is constructed to allow adjustments in diameter by snitable means, is fixedly mounted to the delivery drive shaft 54 so that the delivery cylinder is also rotated in precise timed relation to the impression cylinder.

Preferably, each of the transfer cylinders 38 is 15 equipped with an anti-marking system such as the aforementioned net type transfer cylinder system or the press 12 can be supplied in the transfer positions with vacuum transfer systems of the type disclosed in the above-identifled copending U.S. application Ser. No. 07/630,308 filed Dec. 18, 1990, although as will become more apparent hereinafter, the use of such transfer systems is not required for the present invention and other types of transfer systems can be used. For reasons that will become more apparent bereinafter, for most effective use of the present invention, however, the delivery cytinder 42 should be of the type which employs the "SUPER BLUE" delivery cylinder system, or, as an alterna should employ in the delivery position, a vacuum gansfer system such as disclosed in the above-identified copending U.S. application Ser. No. 07/630,308.

In this respect, it is important to note that when the freshly printed sheets 18 are conveyed away from the impression cylinder 36 of the final printing station 28 by the gripper 50 carried by the delivery chains 46, the wet inked surfaces of the sheets face the delivery drive shaft 54 and the sheets must be supported such that the ink is not marked or smeared as the sheets are transferred. Typically, such support is provided by skeleton wheels or cylinders mounted to the press delivery drive shaft 54, or as is now more commonly used, net type delivery cylinders such as of the "SUPER BLUE" delivery cylinder system type disclosed in the aforementioned DeMoore patent. More recently, vacuum transfer apparatus of the type disclosed in the aforementioned copending U.S. application Ser. No. 07/630,308 have been used in place of delivery cylinders or skeleton whoels to pull the unprinted side of the short away from the delivcry drive shaft \$4 so that the wet ink surface of the sets do not come into contact with any press apparates. It has been found, however, that when a protective or decorative coating material is applied to the wet ink surface of the sheets, the coating protects the wet ink against marking and smearing such that the coating applicator roller inelf can be used to support the wet inked surface of the sheets without fear of damage to the freshly printed surface.

In accordance with the present invention, the in-line costing apparatus 10 for selectively applying the protective or decorative costing to the sheets 18 enables the press 12 to be operated in the normal manner without the loss of the final printing station 28, and without requiring any substantial press modifications by employing the existing press delivery drive shaft 54 as the mounting location for the coating applicator roller. In presses 12 utilizing a net type delivery cylinder system, that system can be quickly and easily converted to perform the dual function of being a coating applicator roller and a delivery cylinder. In presses having other

types of delivery systems such as skeleton wheels mounted on the delivery drive shaft 54 or a vacuum transfer appearants as disclosed in the aforementioned copending U.S. application Ser. No. 07/630,308, conversion to a conting operation can be quickly and easily 5 achieved by mounting on the press delivery drive shaft in place of the skeleton wheels or in addition to the vacuum transfer apparatus, a suitable support cylinder capable of performing the combined function of a costing applicator roller and a delivery cylinder 42. Typi- 10 cally, such a support cylinder will have a diameter which provides no more than about a 0.090 inch clearance between the cylinder support surface and the adjacent impression cylinder 36. By utilizing the delivery cylinder 42 mounted on the delivery drive shaft \$4 to 15 also act as a coating applicator roller, the present invention insures that the coating will be applied to the printed sheet 18 in precise timed registration, and will permit the press to be operated with its full range of printing stations, yet allow fast, simple and convenient 20 change-over from coating to soncoating operations, and vice versa, with a minimum of press down time.

Toward these ends, the coating apparatus 10 of the present invention includes a relatively simple, positive acting and economical coating unit, generally design 25 nated 60, mounted to the press frame 14 down stream of the delivery drive shaft 54 and positioned to selectively supply coating material to the support surface of a delivery cylinder 42 mounted on the delivery drive shaft. As best can be seen in FIGS, 2, 4 and 6, the coating unit 30 60 hereix comprises a pair of side frames 62, only one of which is shown, it being understood that the other side. frame is substantially the same as that of the side frame illustrated, attached to each side of the press frame 14. Pivotally mounted to one end of each of the side frames 35 62 is a support bracket 64 carrying one end of a coating material reservoir 66 and cooperating coating material pick-up roller 68 each disposed to extend laterally across the press 12 parallel with the delivery drive shaft 54. The coating unit 60 is mounted between the upper 40 and lower runs of the delivery chains 46 down stream of the delivery drive shaft 54, and positioned so that the outer peripheral surface 70 of the pick-up roller 68 can be frictionally engaged with the support surface of a delivery cylinder 42 mounted on the delivery drive 45 shaft.

As best seen in FIGS. I through 4, the support bracket 64 is givenily similar in the end of the side frame C by that 72 disposed at the lower can perform of the breefield and can be pivoted about the shaft by an 30 of the breefield and can be pivoted about the shaft by an 30 hie collector 74, hards shown as a hydrantic ar, collector 75 of which is secured such as by iding to the side frame, and the opposite and 78 of which is coupled through a pivot shaft 79 to the upper end portion of the bracket. By extending or retracting 55 the cylinder 74, the extent of frictional engagement of the pick-up roller 68 with the surface of the delivery cylinder 43 can be controlled, and the pick-up roller can be completely disengaged from the delivery cylinder.

The coating pick-up roller 68, which can be of con- 60 ventional design and preferably one such as the Amilon rollers manufactured by A.R.C. International of Charione, N.C., and sold under the name "PRINTMAS-TER" having an engraved ceramic or chrome outer peripheral surface 70, is designed to pick up a predeter- 45 frame 14, and the hydrantic motor 80 be coupled with a mined uniform thickness of coating material from the reservoir 66, and then uniformly transfer the coating to the support surface of the delivery cylinder 42. To ef-

fect rotation of the pick-up roller 68, a suitable motor 80, herein a hydraulic motor, is attached to one of the side frames 62 and coupled to a suitable hydraulic fluid source (not shown) through fittings \$1. Attached to the cutput of the motor 80 is an output gear which is drivingly coupled through a reduction gear \$1 and a series of idler greats \$2 each mounted on stub axles \$4, to a drive gear \$6 attached to the end of a shaft \$8 on which the pick-up roller 68 is concentrically mounted. The shaft \$5 of the pick-up roller 68 is, in turn, journaled at each end to the brackets 64 through a releasable semicircular collar 90 (see FIG. 5) attached by bolts 92 to the bracket. Herein, the axle of the terminal idler gear. designated \$2°, also serves as the shaft 72 for pivotally mounting the support bracket 64 to the side frame 62 so that when the bracket is rotated about the shaft, the terminal idler gear remains engaged with the drive gear \$6 of the pick-up roller 62.

In this instance, as best as can be seen in FIG. 6, the pick-up roller 68 has a portion which projects laterally into the reservoir 66 containing the supply of coating material, and a pair of upper and lower inclined doctor blades 94 and 96 attached to the reservoir engage the roller surface to meter the coating material picked up from the reservoir by the etched surface 70 of the roller. The reservoir 66 herein is formed by an elongated generally rectangular bousing 98 having a generally C-shaped cross-section with a laterally extending open ing 100 along one side facing the pick-up roller 68, and is supplied with coating material from a supply tank 102 disposed in a remote location within or near the press 12. Preferably, the reservoir 66 is removably attached to the brackets 64, herein by bolts 104 having enlarged. knurled beads 106, and which can be threaded through slots 108 formed in the brackets to clemp the reservoir

in place on the brackets.

To insure that an adequate supply of coating material is always present within the reservoir 66 and to prevent congulation and clogging of the doctor blades 94 and 96 by the acreeoes costing material, the costing material is circulated through the reservoir, berein by two substantially identical pumps 110 and 112, one of which pumps coating material from the supply tank 162 via a supply line 114 to the bottom of the reservoir, and the other of which acts to provide section to a pair of return lines 116 coupled adjacent the top of the reservoir for withdrawing unused coating material from the reservoir. By circulating the coating material from the supply tank 102 at a greater rate than the rate of withdrawal of material by the pick-ep roller 68, a substantially constant supply of coating material will always be present within the reservoir 66.

In this instance, the general arrangement of the pickup roller 68, doctor blades 94 and 96, and reservoir 66 is . substantially like that disclosed in U.S. Pat. No. 4,821,672 exided DOCTOR BLADE ASSEMBLY WITH ROTARY END SEALS AND INTER-CHANGEABLE HEADS", the disclosure of which can be reviewed for details concurning the structure and operation of a pick-up roller and reservoir unable

with the present invention.

Once the cooring unit 60 has been installed in a press 12, which besically only requires that the side frames 62 be attached, such as with bolts, to the sides of the press suitable hydraulic source, the press can be quickly and easily converted to the coating mode. In presses 12 strendy supplied with a net type delivery cylinder sys-

It is important to note that during nonprinting operations, the net type delivery cylinder 42 does not engage the surface of the impression cylinder 36 during theet delivery. However, when used as a coating applicator 20 roller during coating operations, the effective diameter of the delivery cylinder 42 must be increased so that the coating bisniket 124 presses the sheet 18 against the surface of the impression cylinder 36, as shown in FIG. 2. To increase the effective diameter of the delivery 25 cylinder 42, the thickness of the coating blanket 124 applied over the support surface of the delivery cylinder 42 can be selected to correspond with the thickness of the sheets 18 to be printed, or suitable packing sheets. such as paper sheets (not shown) of the type conven- 10 tionally used in conjunction with press blanket cylinders 34, can be interposed between the delivery cylinder and the coating blanket.

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While any suitable means can be used to attached the coating blanket 124 to the support surface of the deliv- 35 ery cylinder 42, in this instance, as shown in FIGS. 2 and 3, the delivery cylinder is supplied with clamps 126 attached by boits 127 to the cylinder adjacent the leading edge 130 to secure the leading edge of the coating blanker 124 to the cylinder, and adjustable tensioning 40 clamps 128 are provided adjacent the cylinder trailing edge 132 for securing the trailing edge of the blanket to the cylinder. However, the tensioning claims 128 are pivotally mounted at one end by a pin 129 to the cylinder 42, and the blanket tension is adjusted through a bolt 45 151 and nut 133 arrangement. Depending upon the thickness of the sheets IF to be printed and conted by the press 12, one or more layers of packing paper or the like may be interposed between the support surface of the delivery cylinder 42 and the coating blanket 124 to increase the effective diameter of the cylinder. Proviposed between the support surface of der 42 and the coating blanket 124 to 50 ing blanket 134 to the leading aims 122 for attaching the cost-cylinder 42 all ing bimilet 134 to the leading edge 132 of the delivery cylinder 42 allows for such control and adjustment.

Once installed, the coating unit 60 cas remain in 15 position even though the press 12 is operated in the aco-coating mode. In this respect, when the coating unit 60 is not in operation, the extensible cylinder 74 can be actuated to pivot the support brackets 64 carrying the pick-up roller 68 and reservoir 66 about the shaft 72 60 and away from the delivery cylinder 42, thus rendering the coating unit inoperative. This then also frees the pick-up roller 68 and reservoir 66 for cleaning, service or replacement. To remove the pick-up roller 68, the 65 coating material is drained from the reservoir 66, and the pressure exerted by the doctor blades 94 and 96 against the roller is released, therein through operation

of a pressure adjustment screw 120 attached to the res ervoir, and the bolts 92 and collars 90 are removed, thereby permitting the pick-up roller to be lifted from the coating unit 60. To remove the reservoir 66, all that need be done is to release the mounting bolts 104 securing the reservoir to the brackets 64. With the costing unit 60 moved by the extensible cylinder 74 to the inoperative position, the delivery cylinder 42 can be converted for normal delivery cylinder operation simply by removing the coating blanket 124 from the delivery cylinder 42 and replacing the blanket with a fabric net 122. Alternatively, if a vacuum transfer apparatus such as described in the aforementioned copending U.S. application Ser. No. 07/630,308 is installed in the press 12, that apparatus can be activated to deliver sheets from the impression cylinder 36 without effecting any delivery cylinder change since the freshly printed ade of the sheets will not come into contact with the delivcry cylinder.

In a typical noncoating operation of the press 12 with the coating apparatus 10 installed, the coating unit 60 will be in the inoperative position. In that situation and with a net type delivery cylinder 42 installed, the delivery cylinder will be covered with the fabric net 122 so that the delivery cylinder operates in the normal manner with the wet ink side of the freshly printed shees 18 being supported by the net covered surface of the delivery cylinder. Should the press 12 include a vacuum transfer apparatus such as disclosed in the aforentiationed copending U.S. application Ser. No. 07/630,308, the delivery cylinder 42 can remain on the delivery drive shaft 54, with or without a fabric net 122, depending upon whether or not the press is used for perfector printing.

When it is desired to convert to the coating mode of operation, the press 12 is stopped just long enough to replace the fabric net 122 on the delivery cylinder 42 with the coating blanker 124 packed to the required extent necessary for providing the proper pressure to effect coating of the sheet thickness to be printed. Thereafter, the pumps 110 and 112 are activated and the press 12 re-started. The extensible cylinder 74 can then be activated to coatrol the pressure of the pick-up roller 68 against the delivery cylinder 42 to obtain the desired application of coating material to the freshly printed thesess 18.

Notably, with the coating apparatus 10 of the present invention, so timing adjustments between the delivery cylinder 42 and the impression cylinder 36 are required to achieve and maintain practice registration between application of the coating material and the printed surface of the sheets 18. Further, the coating unit 60 permits a wide range of coating weights to be applied to the printed sheets 18 by quickly and easily changing pick-up rollers 68 from those designed to produce a very light coating application to those designed to produce a very thick coating application can be used.

From the foregoing, it should be apparent that the costing apparatus 16 of the present investion provides a highly reliable, effective and economical in-line apparatus for selectively applying coating material to the freshly printed sheets 18 in a sheet-feet, offset rotary printing press 12 which allows the final printing station to continue to be used as a print station, yet which does not require any substantial press modification or the addition of a separate timed applicator roller. While a particular form of the present invention has been illustrated and described, it should be apparent that varia-

tions and modifications therein can be made without departing from the spirit and scope of the invention. We claim:

1. In a sheet-fed, offset rotary printing press of the type including at least one printing mation having a 5 blanket cylinder and an impression cylinder disposed for printing ink onto sheets passing therebetween, and a delivery conveyor system for pulling freshly printed sheets from the impression cylinder and transporting the printed sheets toward a sheet delivery stacker, the 10 delivery conveyor system including a delivery drive shaft disposed adjacent to and extending parallel with the impression cylinder and driven in timed synchronous relation with the impression cylinder, the improvement comprising:

a delivery cylinder mounted to said delivery drive shaft and having an outer peripheral support surface adapted to engage and support a sheet being transported by said delivery conveyor system

a coating apparatus including a supply of liquid coat- 20 ing material, a rotatable pick-up roller having an outer peripheral surface of substantially cylindrical shape, and means for applying a coming of liquid coating material from said supply onto said outer peripheral surface of said pick-up roller, and

means for mounting said costing apparatus to the press adjacent said delivery cylinder including selectively operable means for moving said pick-up roller between a first operable position with a portion of said peripheral surface of said pick-up roller 30 engaged with said support surface of said delivery cylinder, and a second inoperable position with said peripheral surface out of engagement with said support surface of said delivery cylinder, whereby when said pick-up roller is in said first operable 15 position, liquid coating material from said supply applied onto said peripheral surface of said pick-up roller is transferred to said support surface of said delivery cylinder and to said freshly printed sheet.

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2. The improvement as set forth in claim said delivery cylinder includes a coating blanket disposed over said peripheral support surface.

3. The improvement as set forth in claim 1 wherein said delivery cylinder includes a removable coating blanket disposed over mid peripheral support surface 45 when said pick-up roller in its said first operable position.

4. The improvement on set furth in claim 3 wherein

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es as any forth in claim 3 wherein is a fabric set disposed 50 Most surface when said pick-up

at as est forth in claim 1 wherein said coating apparatus inch ides an elongated reservoir id supply of liquid coating material, said 55 reservoir being disposed to extend parallel with mid pick-up roller with a portion of said peripheral surface extending into mid reservoir in contact with liquid consing material contained therein, and at least one doctor blade attached to said reservoir and engaging said po- 60 ripheral surface, said doctor blade acting to limit the amount of liquid coating material applied onto said peripheral surface from said reservoir.

7. The improvement as set forth in claim 6 wherein said reservoir and said pick-up roller are movably cou- 65 pled to said press and said selectively operable means includes an extensible cylinder coupled between said reservoir and said press and operable to move said res-

12 ervoir and said pick-up roller between said first and second positions.

8. The improvement as set forth in claim 7 wherein said pick-up roller is rotatably driven by a motor attached to said coating apparatus.

9. The improvement as set forth in claim 8 wherein said delivery cylinder includes a rubber coating blanket disposed over said peripheral support surface when said pick-up roller is in said first operable position, and mchades a fabric net disposed over said peripheral support surface when said pick-up roller is in said second inoperable position.

10. The improvement as set forth in claim 9 wherein said coating apparatus is mounted to said press down-15 stream of said delivery drive shaft in the direction of travel of said sheets during transport by said delivery CORVEYOR SYSTEM

11. The improvement as set forth in claim 1 wherein said mounting means includes first and second side frames mounted on said press, a support shaft mounted on and extending between said first and second side frames, a support bracket attached to said coating apparams and movably coupled to said support shaft for pivotal movement between said first and second pos tions, and said selectively operable means includes extensible cylinder coupled between said coating appr rarus and said support bracket and operable to move said coating apparatus toward and away from said de livery cylinder.

12. In a sheet-fed, offset rotary printing press of the type including at least one printing station having a blanket cylinder and an impression cylinder disposed for printing wet ink onto sheets passing therebetween, and a delivery conveyor system for pulling freshly printed sheets from the impression cylinder and transporting the printed sheets toward a sheet delivery stacker, the delivery conveyor system comprising a pair of endless gripper chains disposed on opposite sides of the press and supporting therebetween gripper bars and grippers spaced along the chains, the gripper chains being driven in timed synchronous relation with the impression cylinder by laterally spaced sprocket wheels mounted on opposite ends of a delivery drive shaft disposed adjacent to and extending parallel with the impression cylinder, the improvement comprising:

a delivery cylinder mounted to said delivery drive shaft between said sprocket wheels and having an outer peripheral support surface covered by a removable coating blanket adapted to engage and support the wet ink side of a sheet being transported by said gripper bars;

a coming apparatus including a supply of liquid coar-ing material, a rotatable pick-up roller having an outer peripheral surface of substantially cylindrical shape communicating with said supply, and means for applying liquid coating material from said supply case said peripheral surface of said pick-up lee and.

means for mounting said coating apparatus to the press adjacent the delivery cylinder, said means including selectively operable means for moving said coating apparatus between a first operable position with a portion of said peripheral surface of said pick-up roller engaged with mid delivery cylinder, and a second inoperable position with said peripheral surface of said pick-up roller out of engagement with said delivery cylinder, whereby when said coating apparatus is in said first operable

13. The improvement as set forth in claim 12 wherein the effective diameter of said delivery cylinder covered by said coating blanket is sufficient to apply pressure to 10 sheets against said impression cylinder as said sheets are pulled from said impression cylinder by said gripper bars.

14. The improvement as set forth in claim 13 wherein said coating blanket has a rubber outer support surface. 15 said first operable position.

15. The improvement as set forth in claim 14 wherein said coating apparatus is disposed downstream of said delivery drive shaft in the direction of travel of said sheets during transport by said delivery conveyor sys-

16. A sheet-fed, offset rotary printing press including: at least one printing station having a blanket cylinder and an impression cylinder disposed for printing wet ink onto sheets passing therebetween;

a delivery conveyor system for pulling freshly 25 printed sheets from the impression cylinder and transporting the printed sheets toward a sheet delivery stacker, the delivery system including a delivery drive shaft:

shaft and having an outer peripheral support surface adapted to engage and support a sheet being transported by said delivery conveyor system;

a coating apparatus including a supply of liquid coating material, a rotatable pick-up roller having an 35 outer peripheral surface of substantially cylindrical shape communicating with said supply, and means for applying liquid coating material from said supply onto said peripheral surface of said pick-up roller and

means for mounting said coating apparatus to the press adjacent said delivery cylinder, said means including selectively operable means for moving said pick-up roller between a first operable position

with a portion of said peripheral surface of said pick-up roller engaged with said delivery cylinder. and a second inoperable position with said pempheral surface of said pick-up roller out of engagement with said delivery cylinder, whereby when said pick-up roller is in said first operable position. liquid coating material from said supply applied to said peripheral surface of said pick-up roller is transferred to said delivery cylinder and then to said freshly printed sheet.

17. A sheet-fed, offset rotary printing press as set forth in claim 16 wherein said delivery cylinder inchides a removable coating blanket disposed over said peripheral support surface when said pick-up-roller is in

18. A short-fed, offset rotary printing press as set forth in claim 17 wherein said coating blanker has a rubber outer surface.

19. A short-fed, offset rotary printing press as set forth in claim 17 wherein said delivery cylinder inciudes a fabric aet disposed over said peripheral support surface when said pick-up roller is in said second inoperable position.

20. A sheet-fed, offset rotary printing press as set forth in claim 19 wherein said coating apparatus includes an elongated reservoir containing said supply of liquid coating material, said reservoir being disposed to extend parallel with said pick-up roller with a portion of said peripheral surface extending into said reserv a delivery cylinder mounted to said delivery drive 30 contact with liquid coating material contained therein, and at least one doctor blade attached to said reservoir and engaging said peripheral surface, said doctor blade acting to limit the amount of liquid coating material applied onto said peripheral surface from said reservoir.

21. A sheet-fed, offset rotary printing press as set forth in claim 20 wherein said selectively operable means includes an extensible cylinder complet between said reservoir and said press and operable to move said reservoir and said pick-up roller laterally between said 40 first and second positions.

22. A sheet-fed, offset rotary printing press as set forth in claim 21 wherein said pick-up roller is rotatably driven by a motor attached to said costing apparatus.

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MEETING AT WILLIAMSON PRINTING CO. - 2-11-95

PRESENT: JESSE WILLIAMSON, BILL DAVIS, HOWARD DeMOORE, STEVE GARNER, JOHN BIRD

#### **DISCUSSION:**

A. Press No. 3 - Heidelberg Speedmaster CD 6 color + LYL.

Installation — March 1 is target date for all components to be installed and ready for print testing. This includes ABII/CUV/HV. Testing for outward bound or inward bound position (outward bound preferred by all) to be established when tests run on Press No. 1 Heidelberg Speedmaster CD 7 color + L, Sunday 2-12-95. They wish to be constantly monitored during test phases and want John Bird to be present when possible. They require a man 24 hours a day when CD 6 color + LYL installation is complete. The last date possible for start-up of equipment on CD 6 color + LYL is March 15, although this will be a major problem for acceptance from Jesse Williamson. Greg Nyberg had provided a time line for CD 6 color + LYL which includes ABII/CUV only. We passed on info. to Bill Davis. It is critical for HV to be included in this time line schedule as soon as possible.

B. Press No. 3 - Heidelberg Speedmaster CD 6 color + LYL.

We to manufacture an EZ blanket coater for operation at the first coating tower of the LYL. This coater must have an operating face width minimum of 40 inches. It will be acceptable to provide 38 inch face widths for coaters operational on printing units. This coater to be provided at no charge to WPC, whereas subsequent coaters will be priced out according to final design parameters. We should provide 200, 250 and 300 cell count laser engraved ceramic anilox rolls so as to determine the optimum type and/or types for the various applications. Satisfactory tests have been run in Germany using a 300 anilox where we at PRI have run a 200. Jesse indicates that he would like the EZ blanket coater for March 1, although Bill Davis indicates April 1 would be acceptable.

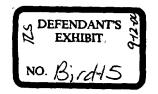
C. Web UV Coating Application.

World Wide Graphics will not supply a coater only and insist on Prime UV as UV supplier will not work with anyone else. We to contact Scheffer who make a web coater to see if we can work out an advantage for us to supply a web coater/dryer. The last delivery date of an accepted system will be June 15, 1995.

CIRCULATE:

Howard DeMoore/Ed Schaffler/Dave Douglas/Howard Secor/Steve Garner/Steve Baker/Warren Bird/Greg Nyberg

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Printing Research, Inc 10954 Shady Trali Dallas, TX 75220 USA 214-353-9000 REVISION # 2.3.

Date of Demonstration

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Results and Comments:
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GRACE 2003 rectangles of each size. *
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12.20.94
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## Printing Research, Inc 10954 Shady Trail Dallas, TX 75220 USA 214-353-9000

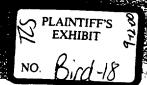
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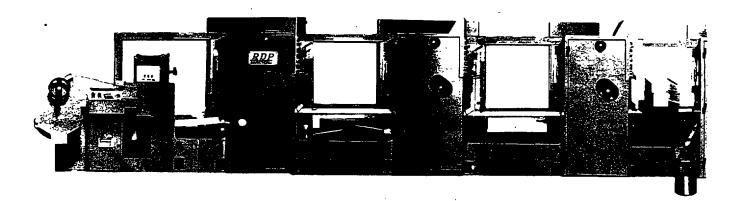
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# LITHO/FLEXO PRESS



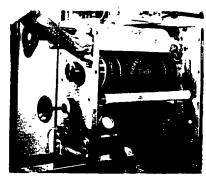
POR LABOUS AND ROLLING CARRIENS





The LF•200 litho/flexo combination press has been designed specifically to extend your reach into the label and folding carton market. Interchangeable web offset and flexo printing inserts—which change over in minutes without tools—provide the flexibility you need to keep production rates high. Cutoffs range from 14 to 28"/355 to 711mm, further increasing flexibility, and giving you the ability to bid on a wider range of work.

The LF•200 is available in configurations of up to 10 standard printing units with traditional finishing stations for punching, perforating, rewinding, sheeting, and folding. As a basic forms/label press. the LF • 200 offers the best in narrow web offset technology. Add to this proven flexo capabilities, and you have a fast return on investment and a new capacity to compete!



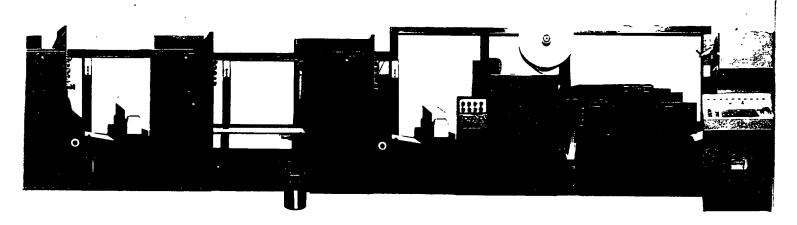
### Flexo Station

In a flexo configuration, the LF • 200 will print on film. foil. paper, light paperboard, and pressure sensitive stock, while achieving the high quality your customers require. Standard finishing options include die cutting and UV or aqueous-base coating. Perforating and embossing stations are also available.

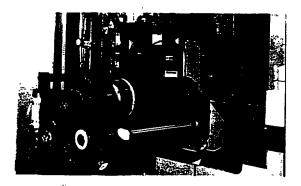
Changing over to flexo is fast. safe, and easy. No tools are required. Roll-out cartridge trolleys allow you to make ready or clean up off press for maximum efficiency.

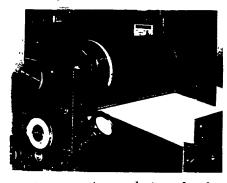
The LF • 200 also gives you the ability to switch between a conventional metering roll with reverse angle doctor blade and a fully enclosed doctor blade. Choose the





# O/FLEXO CAP

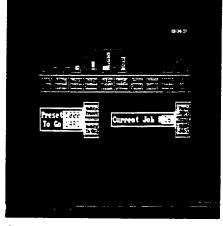




most appropriate technique for the job at hand. Rigid stops eliminate plate bounce up to 800 fpm/244 mpm. Both linear and lateral register can be adjusted while running.

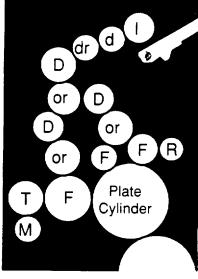
# Flexo Station Specifications

Maximum web width Side adjustment Linear adjustment Plate repeat range Anilox roll size (circ.) 20.50" / 521mm 0.25" / 6mm 360° 12-24" / 305-610mm 17.00" / 432mm



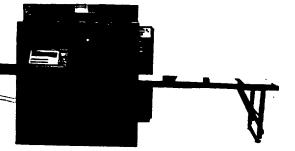
## Simple operation

Your operators need simple, timesaving controls. Our electronic control system features an easyto-read, easy-to-use touchscreen graphic display and provides central control of virtually all press functions plus integration with management software. Set-up is faster, it's easier to monitor the run, and production stays on schedule—all observable from the front office. Open ended architecture means this control system won't become obsolete: it can be simply and economically upgraded at any time.

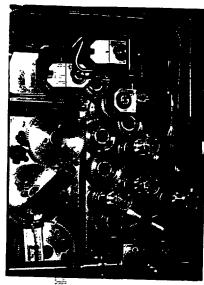


# High Speed

The Maraflo™ 3-form inker with integrated dampener ensures high commercial quality at speeds up to 1000 fpm/300 mpm. High speed, combined with fast makeready, makes the LF•200 extremely profitable on short and medium runs.

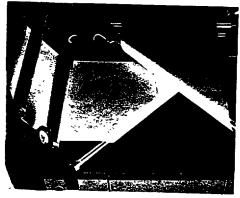


# **ABILITIES**



# Rigid Construction

The LF•200 has been designed to run at maximum speed, shift after shift. Steel side frames ensure a stable press which contributes both to durability and to more accurate impressions. Meticulous machining of the AGMA 12 gear train contributes as well to reliable high performance. Listen to the LF•200 in operation—it doesn't roar; it whispers!



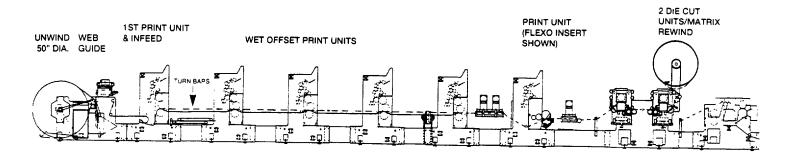
# **Optional Equipment**

- Automated ink key control
- Centralized register control
- Reversible die cut station
- Web monitor
- Turn bars
- Spiral folder
- Sheeter
- UV curing system
- Waterless ready
- Ink agitators
- Ink levelers
- And much more...









General Specifications*		
	Inches	mm
Maximum web width	20.50	521
Maximum_print width	20.00	508
Side adjustment	0.25	6.0
Linear adjustment	3	60°
Litho repeat range	14 to 28	355 to 711
Flexo repeat range	12 to 24	305 to 610
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<sup>\*</sup>Specifications are subject to change without notice.

# Quality built for the long run from RDP Marathon Inc.

RDP Marathon specializes in technical and custom engineering dedicated to quality web printers. Our goal is to serve your needs in both traditional and innovative product areas. In response to the competitive challenges you face today—and will face in the future—our family of presses offers a wide range of capabilities supported by the creative thinking that enhances your productive capacity. The SR•200, the RDP•200, and RDP•300 series of presses provide printers with the ability to serve existing markets while exploring rapidly emerging value-added segments.

The standard of excellence established by engineering is maintained in the making of our presses, so that the high quality printer is assured of reliability, durability, and precision. We will settle for no less than your complete satisfaction with every RDP Marathon press—from customization, through installation, to after sale support.

RDP Marathon inc. 2583 Chomedey Blvd., Laval (Montreal) Quebec H7T 2R2 Canada Phone: (514) 687-7262 Fax: (514) 687-2558



### SPECIFICATION

### accompanying

Application for Grant of U.S. Letters Patent

JOINT INVENTORS:

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John W. Bird 1514 Iroquois Circle Carrollton, Texas 75007

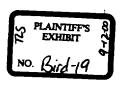
TITLE: "RETRACTABLE INKING/COATING APPARATUS HAVING FERRIS MOVEMENT BETWEEN PRINTING UNITS"

### Field of the Invention

This invention relates to sheet-fed or web-fed, rotary offset or flexographic printing presses, and more particularly, to a new and improved inking/coating apparatus for the in-line application of printing inks or protective or decorative coatings to sheets or web.

### Background of the Invention

Conventional sheet-fed, rotary offset printing presses typically include one or more printing units through which individual sheets are fed and printed with wet ink. After the last printing unit, the sheets are transferred by a delivery conveyor to the delivery end of the press where the freshly printed sheets are collected and stacked. In a typical sheet-fed, rotary offset printing press such as the Heidelberg Speedmaster line of presses, the delivery conveyor includes a pair of endless gripper chains carrying gripper bars and gripper fingers which grip and pull freshly printed sheets from the last impression cylinder and convey the sheets to the sheet delivery stacker.



 Since the inks used with rotary offset printing presses typically remain wet and tacky for some time after printing, special precautions must be taken to insure that the freshly printed sheets are not marked or smeared as the sheets are transferred from one printing unit to another, and while being conveyed to the sheet delivery stacker. The printed surface of the sheet dries relatively slowly and can be smeared during subsequent transfer between printing units. In order to reduce smearing and offsetting, spray powder is applied on the printed sheet.

In some printing applications, offset and smearing are prevented by applying a protective and/or decorative coating over all or a portion of the freshly printed sheets. Some coating solutions include varnish, lacquer, dye, moisturizers and ink. Such coatings are formed of a UV-curable or water-dispersed resin applied as a liquid solution or emulsion over the freshly printed sheets to protect the ink and improve the appearance of the freshly printed sheets. Such coatings are particularly desirable when decorative or protective finishes are required such as in the production of posters, record jackets, brochures, magazines, folding cartons and the like. The coating is permeable to oxygen to permit drying of the ink. In cases where a liquid coating is to be applied, the coating operation is carried out after the last color ink has been printed. In some cases, it is desirable to spot coat from the printing plate. For both operations, the coating is most desirably performed by an in-line coater.

In printing presses having flexographic printing plates, an aqueous ink is used, for example metallic (gold) ink and opaque white ink, both of which can be overprinted at the next printing unit. An advantage of flexographic printing is that no dampening unit is required. The flexographic printing plate has a raised image surface (relief). Colors are stronger when flexographic inks are used because they are not diluted by dampening solution.

### Description of the Prior Art

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Various arrangements have been made for applying the coating as an in-line printing operation by using the last printing unit of the press as the coating application unit. For example, in U.S. Patents 4,270,483, 4,685,414 and 4,779,557, there are disclosed coating apparatus which can be moved into position to allow the blanket cylinder of the last printing unit of a press to be used to apply a coating material to the sheets. Patent 4,796,556 and U.S. Patent 4,841,903 there is disclosed a coating apparatus which can be selectively moved between the blanket cylinder or the plate cylinder of the last printing unit of the press so that the last printing unit can only be used for coating purposes. However, when coating apparatus of these types are used, the last printing unit cannot be used to apply ink to the sheets, but rather can only be used for the coating operation. Thus, while coating with these types of in-line coating apparatus, the press loses the capability of printing its full range of colors since the last printing unit is converted to a coating unit.

Proposals for overcoming the problem of the loss of a printing unit when in-line coating is desired have also been made, such as that set forth in U.S. Patent 4,934,305 which discloses a coating apparatus having a separately timed applicator roller positioned to apply the coating material to the freshly printed sheet while the sheet is on the last impression cylinder of the press. This is said to allow the last printing unit to print and coat simultaneously, so that no loss of a printing unit capability results. Another approach to providing a coating unit without losing the printing capabilities of the last printing unit is to provide a totally separate coating unit downstream of the last printing unit so that the coating is applied to the sheets after the last printing unit. Such an arrangement is disclosed in U.S. Patents 4,399,767, 4,706,601 and 5,176,077.

In an effort to reduce costs and maintain flexibility in adapting the printing press to different jobs, coating apparatus

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has been provided that can be selectively engaged with the plate cylinder or blanket cylinder to carry out the coating operation, and disengaged so that the last printing unit can be used for offset printing when coating is not required. Examples of coaters which are selectively engageble with either the plate cylinder or the blanket cylinder are disclosed in U.S. Patent 4,615,293 (Jahn), U.S. Patent 5,107,790 (Sliker et al.) and U.S. Patent 4,841,903 (Bird).

The coater of U.S. Patent 4,615,293 includes two applicator rollers, both disposed on the dampening side of the plate cylinder and blanket cylinder for carrying out spot and blanket coating operations as desired. The coater of U.S. Patent 5,107,790 is retractable along an inclined rail for extending and retracting a coater head into engagement with either the plate cylinder or the blanket cylinder. Because of its size, the rail-retractable coater can only be installed between the last printing unit of the press and the delivery stacker, and cannot be used at interstation positions. The coaters of Patent 4,615,293 are located on the dampener side of the plate and blanket cylinders, thus requiring removal of the dampening unit to make room for the doctor blade head and applicator rollers. Consequently, the last printing unit of the press is converted into a coating unit, resulting in the loss of the printing capability of that printing unit.

It will be appreciated that the time required to reconfigure a press for coating or non-coating is non-productive and costly. Accordingly, there is a need for a coating apparatus which minimizes the time to clean-up from one printing run and set up and run the next job. Where consecutive jobs require the same type of coating, particularly blanket coating, it may not be necessary to clean-up the coater between jobs. However, the coating cannot be allowed to dry on the rollers. Therefore, especially when switching from blanket to spot coating or vice versa, or if there is a delay between jobs, it is necessary to wash-up the coater after each job is completed.

In addition, wash-up is necessary when switching between different coating compositions, such as aqueous and ultra violet (UV) curable coatings. Such coatings are not interchangeable, and the coaters must be washed between applications of the different coating media. It is difficult to wash-up some coaters while the press is running. Moreover, the retractable coaters mentioned above occupy a large amount of press space and diminishes accessibility to the press. Elaborate equipment is needed for retracting the coater from the operative coating position to an out-of-the-way, inoperative position which reduces access to the printing unit.

A limitation on the use of flexographic printing plates and aqueous printing inks is that the freshly printed or coated sheets require hot air for drying. When applying an aqueous ink such as opaque white or metallic gold, it is necessary to dry the printed sheets between printing units before overprinting them.

Moreover, when utilizing lithographic printing inks, it is necessary to frequently stop the press and wash the blanket. Metallic ink in particular "piles" on the blanket and must be washed frequently.

### Objects of the Invention

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Accordingly, the principal object of the present invention is to provide improved inking/coating apparatus which is capable of selectively applying ink or a coating material to a plate on a plate cylinder or a coating material to a blanket on a blanket cylinder of a printing press.

Another object of the present invention is to provide inking/coating apparatus of the character described which is extendable into inking/coating engagement with either a plate cylinder or a blanket cylinder, and which is retractable to a non-operative position to provide clear access to the cylinders of the printing unit.

A related object of the present invention is to provide inking/coating apparatus of the character described which is

capable of being used in an interstation position and does not interfere with access to the press.

Yet another object of the present invention is to provide inking/coating apparatus of the character described, which can be moved from an operative inking/coating engagement position to a non-operative, retracted position.

Still another object of the present invention is to provide inking/coating apparatus of the character described, which can be used for applying aqueous inks and coatings to a lithographic printing plate or a flexographic printing plate in a rotary offset press.

A related object of the present invention is to provide inking/coating apparatus of the character described, which is capable of applying aqueous coating at one printing unit and drying the coating before it reaches the next printing unit where it can be overprinted with aqueous ink or lithographic ink.

Another object of the present invention is to provide inking/coating apparatus for use on a multiple color rotary offset printing press that can apply ink or coating to the plate or blanket of a printing unit from a single applicator head.

A related object of the invention is to provide inking/coating apparatus of the character described, in which no printing unit adjustment or alteration is required when the applicator head is converted from plate to blanket operation and vice versa.

### Summary of the Invention

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The foregoing objects are achieved by a retractable, inline inking/coating apparatus which is mounted on a printing unit tower for pivotal, Ferris wheel type movement between an operative inking/coating position and a retracted, overhead position. The inking/coating apparatus includes an applicator head which extends into and retracts out of engagement with a plate on a plate cylinder or a blanket on a blanket cylinder. The inking/coating applicator head is positioned in parallel alignment with either

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the plate cylinder or the blanket cylinder by a carriage assembly which includes a cantilever support arm. The support arm is pivotally coupled between the inking/coating head and the printing unit tower. This cantilevered, pivotal mounting arrangement allows the inking/coating unit to be used between two printing units, as well as installed on the last printing unit of the press.

In the preferred embodiment, the applicator head includes vertically spaced pairs of cradle members with one cradle pair being adapted for supporting a metal or ceramic coating roller in alignment with a blanket cylinder, and the other cradle pair supporting a resilient anilox coating roller in alignment with the plate cylinder, respectively, when the applicator head is in the operative position. Because of the cantilevered, pivotal support provided by the support arm, the applicator head can be lifted and lowered through an arc, similar to Ferris wheel movement, in the limited space between adjacent printing units. When fully retracted, the coater and carriage assembly are lifted to an overhead position overlying the printing unit tower, thus providing complete access to the printing unit cylinders, without causing the printing unit to lose its printing capability. inking/coating applicator roller can be inspected, cleaned or replaced and the doctor blade assembly can be washed-up automatically while the inking/coating apparatus is in the fully retracted position.

When the inking/coating apparatus is used in combination with a flexographic printing plate and aqueous ink or aqueous coating, the water component of the aqueous ink or coating on the freshly printed sheet is evaporated by a high velocity, hot air interstation dryer and a high volume heat and moisture extractor assembly so that the freshly printed ink or coating is completely dry before the sheet is printed on the next printing unit. This quick drying flexographic printing/coating arrangement permits a base coat of ink, for example opaque white or metallic ink (gold, silver or other metallics) to be applied in the first printing

unit, and then overprinted by the lithographic process on the next printing unit.

Other features and advantages of the present invention will become more apparent from the following detailed description taken in conjunction with the accompanying drawings which disclose, by way of example, the principles of the present invention.

### Brief Description of the Drawings

 FIGURE 1 is a schematic side elevational view of a sheet-fed, rotary offset printing press having inking/coating apparatus embodying the present invention;

FIGURE 2 is a perspective view of the printing press of FIGURE 1 in which a dual head inking/coating apparatus is in the operative coating position and a single head coater is in a retracted, overhead position;

FIGURE 3 is an enlarged simplified perspective view showing one side of the single head inking/coating apparatus of FIGURE 1 in the operative position;

FIGURE 4 is a simplified side elevational view showing the dual head inking/coating apparatus in the operative coating position for spot or overall coating from the blanket position;

FIGURE 5 is a simplified side elevational view showing the single head inking/coating apparatus in the operative coating position for spot or overall coating from the plate position; and,

FIGURE 6 is a simplified side elevational view of the dual head inking/coating apparatus of FIGURE 4, partially broken away, which illustrates the hydraulic drive assembly and doctor blade assembly.

### Detailed Description of the Preferred Embodiments

As used herein, the term "processed" refers to various printing methods which may be applied to either side of a substrate, including the application of UV-curable and aqueous inks and/or coatings. The term "substrate" refers to sheet or web

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material. Also, as used herein, the term "waterless printing plate" refers to a printing plate having non-image surface areas which are hydrophobic and also having image surface areas which are hydrophilic, wherein the non-image surface areas are characterized by a surface tension value which is less than the surface tension of aqueous ink, and the image surface areas are characterized by a surface tension value which is greater than the surface tension of aqueous ink. "Flexographic" refers to flexible printing plates having a relief surface which is wettable by aqueous ink or coating material.

As shown in the exemplary drawings, the present invention is embodied in a new and improved in-line inking/coating apparatus, herein generally designated 10, for use in applying inks or protective and/or decorative coatings to sheets or webs printed in a sheet-fed or web-fed, offset rotary or flexographic printing press, herein generally designated 12. In this instance, as shown in FIGURE 1, the inking/coating apparatus 10 is installed in a four color printing press 12, such as that manufactured by Heidelberger Druckmaschinen AG of the Federal Republic of Germany under its designation Heidelberg Speedmaster 102V (40"). press 12 includes a press frame 14 coupled at one end, herein the right end, to a sheet feeder 16 from which sheets, herein designated S, are individually and sequentially fed into the press, and at the opposite end, with a sheet delivery stacker 20 in which the freshly printed sheets are collected and stacked. Interposed between the sheet feeder 16 and the sheet delivery stacker 20 are four substantially identical sheet printing units 22, 24, 26 and 28 which can print different color inks onto the sheets as they are transferred through the press 12. The printing units are housed within printing towers T1, T2, T3 and T4 formed by side frame members 14, 15.

As illustrated, the printing units 22, 24, 26 and 28 are substantially identical and of conventional design. The first printing unit 22 includes an in-feed transfer cylinder 30, a plate cylinder 32, a blanker cylinder 34 and an impression cylinder 36,

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all supported for rotation in parallel alignment between the press side frames 14, 15 which define printing unit towers T1, T2, T3 and T4. Each of the first three printing units 22, 24 and 26 have a transfer cylinder 38 disposed to withdraw the freshly printed sheets from the adjacent impression cylinder and transfer the freshly printed sheets to the next printing unit via an interstation transfer cylinder 40. The last printing unit 28 is shown equipped with a delivery cylinder 42 which supports the printed sheet 18 as it is transferred from the last impression cylinder 36 to a delivery conveyor system, generally designated 44, to the sheet delivery stacker 20.

The delivery conveyor system 44 as shown in FIGURE 2 is of conventional design and includes a pair of endless delivery gripper chains 46, only one of which is shown carrying at regular spaced locations along the chains, laterally disposed gripper bars having gripper fingers used to grip the leading edge of a freshly printed sheet 18 after it leaves the nip between the delivery cylinder 42 and impression cylinder 36 of the last printing unit 28. As the leading edge is gripped by the grippers, the delivery chains 46 pull the sheet away from the impression cylinder 36 and convey the freshly printed sheet to the sheet delivery stacker 20.

Prior to reaching the delivery sheet stacker, the freshly printed and/or coated sheets S pass under a delivery dryer 48 which includes a combination of infra-red thermal radiation, high velocity hot air flow and a high performance heat and moisture extractor for drying the ink and/or the protective/decorative coating.

In the exemplary embodiment shown in FIGURE 1, the first printing unit 22 is equipped with a flexographic printing plate, and does not require an inking roller train or a dampening system. If an ink roller train is mounted on the first printing unit, the form rollers are retracted and locked off when the printing unit goes on impression. Flexographic aqueous ink is supplied by the inking/coating unit 110. The remaining printing units 24, 26 and 28 are equipped for lithographic printing and include an inking

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34 35 apparatus 50 having an inking roller train 52 arranged to transfer ink from an ink fountain 54 to the plate cylinder 32. This is accomplished with the aid of a fountain roller 56 and a ductor roller. The fountain roller 56 projects into the ink fountain 54, whereupon its surface is wetted with ink. The printing ink Q is transferred intermittently to the inking roller train 52 by the ductor roller. The inking roller train 52 supplies ink Q to the image ares of a printing plate P mounted on the plate cylinder 32.

The printing ink Q is transferred from the printing plate P to an ink receptive blanket B which is mounted on the blanket cylinder 34. The inked image carried on the blanket B is transferred to a sheet S as the sheet is transferred through the nip between the impression cylinder 36 and the blanket B.

The inking roller arrangement 52 illustrated in FIGURE 1 is exemplary for use in combination with lithographic ink printing plates. It will be understood that dampening rollers (not illustrated) will be in direct engagement with the lithographic plate P, but are not used in combination with the flexographic plate of printing unit 22.

Referring now to FIGURE 4, FIGURE 5 and FIGURE 6, the in-line inking/coating apparatus 10 includes a carriage assembly 58 which supports an applicator head 60. The applicator head 60 includes a hydraulic motor 62, a lower gear train 64, an upper gear train 65, an applicator roller 66 and a doctor blade assembly 68. The external peripheral surface of the applicator roller 66 is inserted into wetting contact with liquid coating material or ink contained in a reservoir 70. The reservoir is continuously supplied with ink or coating which is circulated through the reservoir 70 from an off-press source by a pump (not illustrated). The hydraulic motor 62 drives the applicator roller 66 synchronously with the plate cylinder 32 and the blanket cylinder 34 in response to an RPM control signal from the press drive (not illustrated) and a feedback signal developed by a tachometer 72. While a hydraulic drive motor is preferred, an electric drive motor can be used.

The fluid metering applicator 66 is preferably an anilox roller which transfers measured amounts of printing ink or coating material onto the printing plate or blanket. The surface of an anilox roller is engraved with an array of closely spaced, shallow depressions referred as "cells". Ink or coating from the reservoir 70 flows into the cells as the anilox roller turns through the reservoir. The transfer surface of the anilox roller is scraped with a doctor blade 73 to remove excess ink or coating. The ink or coating remaining on the anilox roller is that contained within the cells.

The anilox roller 66 is cylindrical and may be constructed in various diameters and lengths, containing cells of various sizes and shapes. The volumetric capacity of an anilox roller is established during manufacturing and is dependent upon the selection of cell size, shape and number of cells per unit area. Depending upon the intended application, the cell pattern may be fine (many small cells per square inch) or coarse (fewer larger cells per square inch).

By applying the ink or coating through the inking/coating applicator 60, more ink or coating can be delivered to the sheet S as compared with the inking roller train of a lithographic printing unit. Moreover, color intensity is stronger and more brilliant because the flexographic ink is applied at a much larger film thickness than can be applied by the lithographic process and is not diluted by dampening solution.

Preferably, the doctor blade assembly 68 is constructed as described in U.S. Patent 5,176,077 (DeMoore), which is incorporated herein by reference.

The applicator head 60 includes side frame members 74, 76 which support the applicator roller 66, gear train 64, gear train 65, doctor blade assembly 68 and the drive motor 62. The applicator roller 66 is supported at opposite ends on a lower cradle formed by a pair of end plates 78, 80 which hold the applicator roller 66 in parallel alignment with the blanket cylinder 34 (FIGURE 5). The side frame 74, 76 are also provided

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 with an upper cradle formed by a pair of side plates 82, 84 which are vertically spaced with respect to the lower side plates 78, 80. Each cradle has a pair of sockets 79, 81 and 83, 85, respectively, for holding an applicator roller 66 for spot coating or inking engagement against the plate P of the plate cylinder 32 (FIGURE 4) or the blanket B of the blanket cylinder 34.

Preferably, the applicator roller 66 for the upper cradle (plate) position is an anilox roller having a resilient transfer surface. In the dual cradle arrangement, the press operator can quickly change over from blanket inking/coating and plate inking/coating with minimum press down time, since it is only necessary to remove and reposition or replace the applicator roller 66, and wash-up the doctor blade assembly if changing from ink to coating or vice versa. The capability to selectively operate in either the flexographic mode or the lithographic mode and to print or coat from either the plate or blanket position is referred to herein as the "LITHOFLEX" process.

According to an important feature of the present invention, the applicator head 60 is supported by the carriage assembly 58 in a cantilevered, pivotal arrangement which allows the dual cradle inking/coating apparatus 10 and single cradle inking/coating apparatus 110 to be installed and used between any two adjacent printing units, as well as installed on the first and last printing units of the press. This is made possible by a pair of cantilevered support arms 88, 90 which are pivotally coupled to the side plates 74, 76, respectively, on a pivot shaft 77. Each support arm has a hub portion 88A, 90A, respectively and an elongated shank portion 88B, 90B, respectively. The elongated shank portion extends transversely with respect to the shank portion, and preferably extend perpendicularly with respect to each other.

The cantilevered support arms are pivotally mounted on the printing tower by pivot blocks 92, 94, respectively. The hub portions 88A, 90A are journalled for rotation on pivot shafts 96, 98, respectively. The pivot blocks 92, 94 are securely fastened

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to the tower 14D, so that the carriage assembly 86 is pivotally suspended from the pivot shafts 96, 98 in a cantilevered Ferris support arrangement. The shank portions 88B, 90B are pivotally coupled to the pivot shaft 77, so that the carriage assembly 58 and the applicator head 60 are capable of independent rotation with respect to each and with respect to the pivot shaft 77. By this arrangement, the applicator head 60 is pivotally suspended from the pivot shaft 77, and remains in an upright orientation as the support arms rotate from the operative position to the fully retracted position and vice versa.

Thus, the cradles 78, 80 and 82, 84 position the applicator roller 66 in vertical and horizontal alignment with the plate cylinder or blanket cylinder when the applicator head is extended to the operative position. Moreover, because of the transverse relationship between the hub portion and shank portion of the support arms, the applicator head 60 and carriage assembly 58 are capable of rotating through a Ferris arc without touching the adjacent tower. This makes it possible to install the inking/coating apparatus 10 on any intermediate printing unit tower (T2, T3), and as well as the first printing unit tower T1 and the last printing unit tower T4. Additionally, because of the transverse relationship of the support arm hub portion and shank portion, the lateral projection of the applicator head 60 into the interstation space between printing units is minimized, thus assuring virtually unrestricted operator access in the interstation space between adjacent printing units when the applicator head is engaged in the operative position, and completely unrestricted access when the applicator head is completely retracted.

As shown in FIGURE 1 and FIGURE 2, rotation of the carriage assembly 58 is counterclockwise from the retracted position (shown in phantom) to the operative position. The carriage assembly can be adapted for clockwise rotation from the retracted position to the operative position for engagement of the applicator roller to either the plate cylinder or the blanket

cylinder on the dampener side of the tower, assuming that access to the plate and blanket is not restricted by dampener rollers or the like.

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Rotational movement of the support arms 88, 90 is assisted by counterweights 100, 102 which are secured to the support arms, respectively, for concurrent rotation with respect to the pivot blocks 92, 94. With the passive assistance of the counterweights, the press operator can easily move the inking/coating assembly 10 from the engaged operative position as shown in FIGURE 4 to the fully retracted idle position as shown in phantom in FIGURE 1. Preferably, rotation of the carriage assembly 58 is assisted by power means such as a torsion spring, electric motor, or hydraulic motor.

The inking/coating apparatus 10 is releasably locked into the engaged position as shown in FIGURE 4 by releasable latch couplings 103, 105 which secure the support arms 88, 90 to the press side frames 14, 15, respectively, of the printing unit tower T4 in the operative position. Coating engagement of the applicator roller 66 against the blanket cylinder 34 is produced by power actuators, preferably pneumatic cylinders 104, 106 which have extendable/retractable power transfer arms 104A, 106A, respective-The pneumatic cylinder 104 is pivotally coupled to the support arm 88 by a pivot linkage 108, and the second pneumatic cylinder 106 is pivotally coupled to the support arm 90 by a pivot linkage 109. In response to actuation of the pneumatic cylinders 104, 106, the power transfer arms are retracted. As the arms retract, the inking/coating head 60 is rotated counterclockwise on the pivot shaft 77, thus moving the applicator roller 66 into coating engagement with the blanket cylinder 34.

The pivot linkage 108 includes a bell crank 111 which is mounted for pivotal movement on a pin 113. The pin 113 is supported by a clevis plate 115 which is attached to the support arm 88. One end of the bell crank is pivotally coupled to the actuator arm 104A, and a cam roller 117 is mounted for rotation on its opposite end.

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The cam roller 117 is engagable against an adjustable stop 119 which is rigidly secured to the side plate 74. Counter-clockwise shifting of the handle H moves a cam follower 121 into a latch pocket 123 of a receiver block 125 as the cam roller 117 is moved into engagement with the adjustable stop 119 in the interlocked, operative position. Referring to FIGURE 4, FIGURE 5 and FIGURE 6, the receiver block is rigidly secured to the delivery side face of the printing unit tower by machine screws.

When the plate P goes on impression, power is applied to the pneumatic actuator 104 and the power transfer arm 104A retracts, thus causing the bell crank 111 to rotate counterclockwise about the pin 113. The torque applied by the actuator is transmitted to the applicator head 60 through the cam roller 117 and the adjustable stop 119. Counterclockwise movement of the applicator head 60 relative to the support shaft 77 carries the applicator roller 66 into engagement with the plate P.

The adjustable stop 119 has a threaded bolt 119A which is engagable with the cam roller 117. The striking point of engagement is preset so that the applicator roller 66 is properly positioned for engagement with the plate P or blanket B when the applicator head 60 is interlocked with the press frame 14 and the printing unit goes on impression.

Referring to FIGURE 5, an inking/coating apparatus 110 having a single head is illustrated. The construction of this alternative embodiment is identical in all respects with the dual head arrangement, with the exception that only a single gear train and a single cradle for holding the applicator roller is provided. In both embodiments, the inking/coating head 60 remains upright as it swings through an arc, similar to the movement of a Ferris wheel. Because of the upright orientation of the inking/coating head 60 as it moves between the extended and retracted positions, the usual platform spacing between printing unit towers provides adequate clearance to permit extension and retraction of the carriage assembly 58 without interference with operator access to the printing units. This is a significant advantage in that it

permits the in-line inking/coating apparatus to operate effectively in the interstation space between any adjacent printing units, and without blocking or obstructing access to the cylinders of the printing units when the inking/coating apparatus is in the fully retracted position as indicated in FIGURE 1.

Moreover, when the in-line inking/coating apparatus is in the fully retracted position, the applicator roller 66 is conveniently positioned on the dampener side of the printing unit for inspection, clean-up or removal. Additionally, the doctor blade assembly is also conveniently positioned for inspection, removal, adjustment or clean-up. The doctor blade reservoir and coating circulation lines can also be cleaned while the printing unit is running as well as when the press has been stopped for change-over from one type of ink or coating to another.

When the inking/coating apparatus is used for applying an aqueous ink or an aqueous coating material, the water component on the freshly printed sheet S is evaporated by a high velocity, hot air interstation dryer and high volume heat and moisture extractor units 112 and 114, as shown in FIGURE 1, FIGURE 4 and FIGURE 5. The dryer/extractor units 112 and 114 are oriented to direct high velocity heated air onto the freshly printed/coated sheet as it is transferred by the impression cylinder 36 and the intermediate transfer cylinder 40. By this arrangement, the freshly printed aqueous ink or coating is completely dry before the sheet is overprinted in the next printing unit.

The high velocity, hot air dryer and high performance heat and moisture extractor units 112, 114 utilize high velocity air jets which scrub and break-up the moist air level which clings to the surface of each freshly printed sheet. Within each dryer, high velocity air is heated to a high temperature as it flows across a resistance heating element within an air delivery baffle tube. High velocity jets of hot air are discharged through multiple airflow apertures through an exposure zone Z (FIGURE 4 and FIGURE 5) onto the freshly printed/coated sheet S as it is transferred by the impression cylinder 36 and transfer cylinder

40, respectively. Each dryer assembly includes a pair of air delivery dryer heads which are arranged in spaced, side-by-side relation. The high velocity, hot air dryer and high performance heat and moisture extractor units 112, 114 are preferably constructed as disclosed in co-pending U.S. Patent Application Serial No. 08/132,584, filed October 6, 1993, entitled "High Velocity Hot Air Dryer", assigned to the assignee of the present invention and which is incorporated herein by reference.

each printed sheet is extracted from the dryer exposure zone Z and completely exhausted from the printing unit by the high volume extractors. Each extractor head includes a manifold coupled to the dryer heads and draws the moisture, volatiles and high velocity hot air through a longitudinal gap between the dryer heads. According to this arrangement, each printed sheet is dried before it is run through the next printing unit.

at a relatively moderate drying temperature provided by the interstation high velocity hot air dryers/extractors 112, 114. Because each freshly printed sheet is dried between each printing unit, clarity and print quality are substantially improved since the aqueous ink is dried at each printing unit before it enters the next printing unit. Since the aqueous ink is dry before the sheet enters the next printing unit, back-trapping on the blanket of the next printing unit is completely eliminated. This interstation drying arrangement makes it possible to print aqueous inks such as metallic ink and opaque white ink at one printing unit, and then overprint at the next printing unit.

Moreover, this arrangement permits the first printing unit to be used as a coater in which an aqueous coating is applied to low grade paper such as recycled paper to trap and seal in lint, dust, spray powder and other debris and provide a smoother, durable surface which is overprinted in the next printing unit. An UV-curable coating can be applied over the first down overprinted (aqueous) coating in the last printing unit. The first

down layer seals the surface of the low grade, rough substrate and improves overprinted dot definition while preventing strike-through and show-through.

Preferably, the applicator roller 66 is either metal or ceramic when it is used for applying a coating material to the blanket B on the cylinder 34. When the applicator roller 66 is applied to the plate, it is preferably constructed as an anilox roller having a resilient transfer surface for engaging a flexographic printing plate. Suitable resilient roller surface materials include Buna N synthetic rubber and EPDM (terpolymer elastomer).

It will be appreciated that the inking/coating apparatus 10 is capable of applying a wide range of ink types, including fluorescent (Day Glo), pearlescent, metallics (gold, silver and other metallics), glitter, scratch and sniff (micro-encapsulated fragrance), scratch and reveal, luminous, pressure-sensitive adhesives and the like.

The press operator can eliminate the dampener roller assembly altogether, and the inking/coating apparatus 10 can selectively apply aqueous inks and coatings to a flexographic or waterless printing plate and the blanket. Moreover, overprinting of the aqueous inks and coatings can be carried out in the next printing unit since the aqueous inks and coatings are completely dried by the high velocity, hot air interstation dryer and high volume heat and moisture extractor assembly of the present invention.

The aqueous inks and coatings as used in the present invention contain colored pigments and/or soluble dyes, binders which fix the pigments onto the surface of the printed sheet and waxes, defoamers and thickeners. Aqueous printing inks predominantly contain water as a solvent, diluent and/or vehicle. The thickeners which are preferred include algonates, starch, cellulose and its derivatives, for example cellulose esters or cellulose ethers and the like. Coloring agents including organic as well as inorganic pigments may be derived from dyes which are

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insoluble in water. Also, the printing ink may contain water and may be predominantly glycol or the like, with the pigment being bound by an appropriate resin. When metallic inks are printed, the cells of the anilox roller must be appropriately sized to prevent the metal particles from getting stuck within the cells. The cell size is critical, and for metallic gold ink, the anilox roller should have a screen line count in the range of 175-300 lines per inch.

The inking/coating apparatus 10 can also apply UV-curable inks and coatings. If UV-curable inks and coatings are utilized, ultra-violet dryers/extractors are installed adjacent the high velocity hot air dryer/extractor units 112, 114, respectively.

Moreover, by utilizing the coating apparatus on the first printing unit, a seal coating can be applied to trap lint, spray powder, dust and other debris, and cover defects on lower grade paper which will improve print quality, which can then be overprinted on the next in-line printing unit.

It will be appreciated that the "LITHOFLEX" system described herein makes it possible to selectively operate a printing unit in either the flexographic printing mode or the lithographic printing mode, while also providing the capability to print or coat from either the plate or blanket position. The dual cradle support arrangement of the present invention makes it possible to quickly change over from inking/coating at the blanket cylinder position to inking/coating at the plate cylinder position with minimum press down-time, since it is only necessary to remove and reposition or replace the applicator roller 66 while the printing/inking apparatus is in the retracted position.

Moreover, the press operator may elect to spot or overall coat with aqueous ink/coating from the plate for one job, and then spot and/or overall coat from the blanket during the next job. Since the doctor blade assembly can be flushed and washed-up quickly and the applicator roller can be changed out quickly, it is possible to spot coat or overall coat from the plate position

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or the blanket position with aqueous inks or coatings during the first press run and then spot coat or overall coat with UV-curable inks or coatings from the plate position or from the blanket position during the next press run. The inking/coating apparatus is completely out of the way in the retracted position; consequently, the doctor blade reservoir and supply lines may be flushed and washed-up by automatic wash-up equipment while the printing unit is printing another job.

The positioning of the applicator head and roller assembly relative to the plate and blanket is repeatable to a predetermined, preset impression position. Consequently, no printing unit adjustment or alteration is required, except for flushing the doctor blade assembly and cleaning or replacing the applicator roller to accommodate a different kind of ink or coating. Although manual extension and retraction have been described in connection with the exemplary embodiment, extension to the operative position and retraction to a non-operative position can be carried out automatically by hydraulic or electric motor servomechanisms.

The cantilevered, Ferris wheel support arrangement allows the inking/coating apparatus to operate effectively in the interstation space between any adjacent printing units, as well as on the first or last printing units of the press, without blocking or obstructing the interstation space or restricting operator access to the cylinders of any of the printing units.

Finally, because the inking/coating apparatus of the present invention is mounted on a printing unit tower and is extendable to the operative position without requiring adjustment or alteration of the printing unit cylinders, it can be used for applying ink or coating to the blanket cylinder of a rotary offset web press, or to the blanket of a dedicated coating unit.

Although the present invention and its advantages have been described in detail, it should be understood that various changes, substitutions and alterations may be made herein without

departing from the spirit and scope of the present invention as defined by the appended claims.

### What is claimed is:

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1. In a printing press of the type having side frame members forming a printing unit tower on which a plate cylinder and blanket cylinder are supported for rotation, the improvement comprising:

inking/coating apparatus for applying ink or coating material to a plate mounted on the plate cylinder or to a blanket mounted on the blanket cylinder when the inking/coating apparatus is in an operative position; and,

a carriage assembly including a support arm having a first end portion pivotally coupled to the printing unit tower and a second end portion pivotally coupled to the inking/coating apparatus, the carriage assembly being movable to an operative position in which the inking/coating apparatus is suspended laterally adjacent to the plate and blanket cylinders, and being movable to a retracted position in which the inking/coating apparatus is elevated with respect to the plate and blanket cylinders.

2. The invention as set forth in claim 1, wherein the inking/coating apparatus comprises:

a doctor blade assembly having a reservoir for receiving ink or liquid coating material;

an applicator roller coupled to the doctor blade assembly in fluid communication with the reservoir, the applicator roller being engagable with a printing plate on the plate cylinder or with a blanket on the blanket cylinder when the inking/coating apparatus is in the operative position.

3. The invention as set forth in claim 2, the applicator roller comprising:

an anilox roller having a resilient transfer surface.

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	to the support arm.
1	5. The invention as set forth in claim 1, further
2	comprising:
3	a power actuator pivotally coupled to the support
4	arm, the power actuator having a power transfer arm which is
5	extendable and retractable; and,
6	apparatus coupled to the power transfer arm for
7	converting extension or retraction movement of the power transfer
3	arm into pivotal movement of the inking/coating apparatus relative
•	to the support arm.
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L .	6. The invention as set forth in claim 5, in which the
}	movement converting apparatus comprises:
١,	a bell crank plate having a first end portion
	coupled to the power transfer arm and having a second end portion
· !	for engaging a stop member;
	a stop member secured to the inking/coating
i.	apparatus; and,
	a clevis plate secured to the support arm and
1;	pivotally coupled to the bell crank plate.
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٠.	7. The invention as set forth in claim 1, the
,	inking/coating apparatus comprising:
	an applicator head having first and second side
	frame members pivotally coupled to the carriage assembly;
	a doctor blade assembly mounted between the first
	and second side frame members, the doctor blade assembly including
	a reservoir for receiving ink or liquid coating material;
1	cradle means mounted on the first and second side
	frame members, respectively;
	an applicator roller mounted for rotation on the
	cradle means and coupled to the doctor blade assembly for rolling

The invention as set forth in claim 1, including a

contact with ink or coating material in the reservoir, the

13	applicator roller being engagable with a printing plate on the
14	plate cylinder or with a blanket on the blanket cylinder in the
15	operative position; and,
16	motor means coupled to the applicator roller for
17	rotating the applicator roller.
,	
1	8. The invention as set forth in claim 7,
2	the cradle means including first and second sockets
3	disposed on the first and second side frame members respectively;
4	and,
5	the applicator roller being mounted for rotation on
6	the first and second sockets.
1	9. The invention as set forth in claim 7,
2	the cradle means including first and second sockets
3	disposed on the first and second side frame members, respectively,
4	and third and fourth sockets disposed on the first and second side
5	frame members, respectively;
6	the applicator roller being mountable for rotation
7	on the first and second sockets for applying ink or coating
8	material to the plate when the carriage assembly is in the
9	operative position; and,
0	the applicator roller being mountable for rotation
1	on the third and fourth sockets for applying ink or coating
2	material to the blanket when the carriage assembly is in the
3	operative position.
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l	10. The invention as set forth in claim 1, comprising:
2	male and female latch coupling members mounted on
, '	the carriage assembly and on the printing unit tower, respective-
;	ly, for releasably latching the carriage assembly in interlocking

The invention as set forth in claim 1, wherein the support arm comprises an elongated shank portion and a hub portion

engagement with the printing unit tower in the operative position.

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3	which extends transversely with respect to the shank portion, the
4	elongated shank portion being pivotally coupled to the ink-
5	ing/coating apparatus and the hub portion being pivotally coupled
6	to the printing unit tower.
	!! !! !
1	12. A sheet-fed, rotary offset printing press compris-
2	ing, in combination:
3	at least one printing unit or dedicated coating
4	unit having side frame members forming a tower;
5	at least one cylinder mounted for rotation on the
6	tower for printing ink or coating material onto sheets passing
7	through the printing unit or dedicated coating unit;
8	inking/coating apparatus including a doctor blade
9	assembly having a reservoir for holding ink or coating liquid, a
10	rotatable applicator roller and means for applying ink or coating
11	liquid from the reservoir onto a peripheral surface portion of the
12	applicator roller; and,
13	support apparatus mounted on the printing unit
14	tower for pivotal movement, said support apparatus being movably
15	coupled to the inking/coating apparatus for supporting the
16	inking/coating apparatus for movement to an operative position in
17	which the applicator roller is engagable with a plate or a blanket
18	on the cylinder, and for movement to a retracted position in which
19	the inking/coating apparatus is supported at an elevated position
20	above the cylinder.
:	
1	13. A rotary offset printing press comprising, in
2	combination:

a plate cylinder having a printing plate mounted thereon;

a blanket cylinder having an ink receptive blanket disposed in ink transfer engagement with the plate cylinder for transferring ink from the image surface areas of the printing plate to the ink receptive blanket;

13, comprising:

9	an impression cylinder disposed adjacent the
10	blanket cylinder thereby defining a nip between the impression
<b>L1</b>	cylinder and the blanket whereby the printing ink is transferred
L <b>2</b>	from the blanket to a substrate as the substrate is transferred
1.3	through the nip;
.4	inking/coating apparatus for applying ink or
.5	coating material to the plate or to the blanket;
6	support apparatus mounted on the printing press for
7	pivotal movement, said support apparatus being movably coupled to
8 .	the coating apparatus for supporting the inking/coating apparatus
9	for movement to an operative position in which the inking/coating
0	apparatus is engagable with the plate or the blanket, and for
1 ;	movement to a retracted position in which the inking/coating
2	apparatus is supported at an elevated position above the press;
3 ';	and,
4	a dryer mounted on the press for discharging heated
5	air on the freshly printed substrate.
;	
L j	14. A rotary offset printing press as defined in claim
2 ′	13, wherein:
3 ''	the dryer is mounted adjacent the impression
<b>.</b>	cylinder for discharging heated air onto a freshly printed
•	substrate while the substrate is in contact with the impression
• 1	cylinder.
• !!	15. A rotary offset printing press as defined in claim
: :'	13, comprising:
	an extractor coupled to the dryer for extracting
i.	hot air, moisture and volatiles from an exposure zone between the
: ;	dryer and the freshly printed substrate.

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A rotary offset printing press as defined in claim

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		a	tran	sfer	cylind	er	dispos	ed	in	an	interst	ation
1	position on the	P	ress	and	coupled	in	sheet	tra	nsf	er	relation	with
i	the impression	су	lind	er;	and,							

an interstation dryer disposed adjacent the transfer cylinder for discharging heated air onto a freshly printed or coated substrate after it has been transferred from the impression cylinder and while it is in contact with the intermediate transfer cylinder.

17. In a printing press of the type having side frame members forming a tower on which a blanket cylinder is supported for rotation, the improvement comprising:

inking/coating apparatus for applying ink or coating material to a blanket mounted on the blanket cylinder when the inking/coating apparatus is in an operative position; and,

a carriage assembly movably coupled to the tower and to the inking/coating apparatus for producing Ferris wheel movement of the inking/coating apparatus to the operative position in which the inking/coating apparatus is suspended laterally adjacent to the blanket cylinder, and to a retracted position in which the inking/coating apparatus is elevated with respect to the blanket cylinder.

18. The invention as set forth in claim 17, wherein the tower includes a plate cylinder and a plate mounted on the plate cylinder, the inking/coating apparatus including:

first cradle means for supporting an applicator roller for engagement against the plate when the inking/coating apparatus is in the operative position; and,

second cradle means for supporting an applicator roller for engagement against the blanket when the inking/coating apparatus is in the operative position.

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1	19. The invention as set forth in claim 17, comprising:
2	said carriage assembly including a support arm
3	having a first end portion pivotally coupled to the tower and
4	having a second end portion;
5	a common pivot shaft on which the support arm
6	second end portion and the inking/coating apparatus are pivotally
7	mounted; and,
8	male and female latch members coupled between the
9	common pivot shaft and the tower, with one of the latch members
.ò	being secured to the common pivot shaft and the other latch member
.1	being secured to the tower, the latch members being mateable in
2	interlocking engagement when the inking/coating apparatus is in
3	the operative position.
1	20. The invention as set forth in claim 17, further
2	comprising:
3	a power actuator pivotally coupled to the support
4	arm, the power actuator having a power transfer arm which is
5	extendable and retractable; and,
5	apparatus coupled to the power transfer arm for
7	converting extension or retraction movement of the power transfer
3	arm into pivotal movement of the inking/coating apparatus relative
)	to the common pivot shaft.

(21. The invention as set forth in claim 20, in which the movement converting apparatus comprises:

a bell crank plate having a first end portion coupled to the power transfer arm and having a second end portion for engaging a stop member;

a stop member secured to the inking/coating
apparatus; and,

a clevis plate secured to the support arm and pivotally coupled to the bell crank plate.

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claim 24,

inking/coating apparatus comprises: an applicator roller having a resilient transfer surface. 23. The invention as set forth in claim 1, wherein the applicator roller is mounted for engagement to a plate in the plate cylinder position, the applicator roller comprising an anilox roller having a resilient transfer surface. 24. A method for rotary offset printing in a rotary offset press of the type including first and second printing units, and using aqueous or UV-curable printing ink or coating material in the operation of at least the first printing unit, comprising the following steps performed at each printing unit in succession: spot or overall coating with aqueous ink/aqueous coating or UV-curable ink/UV-curable coating from the plate; spot and/or overall coating the blanket with aqueous ink/aqueous coating or UV-curable ink or UV-curable coating from the blanket; transferring the printing ink or coating from the printing plate to the blanket; transferring the printed image from the blanket to a substrate as the substrate is transferred through the nip between an impression cylinder and the blanket; and, drying the ink or coating on the freshly printed substrate before the substrate is processed in the second printing unit. 25. A method for rotary offset printing as defined in

22. The invention as set forth in claim 1, wherein the

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wherein the drying step is performed by discharging

hot air onto the freshly printed/coated substrate after it has been transferred from the first printing unit and while it is

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6	contact with an intermediate transfer cylinder, but before it is
7	processed in the second printing unit.
	in .
1	26. A method for rotary offset printing as defined in
2	claim 24,
. <b>3</b>	wherein the drying step is performed by directing
4	high velocity, heated air onto the freshly printed/coated
5	substrate while the freshly printed/coated substrate is in contact
6	with an impression cylinder.
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1	27. A method for rotary offset printing as defined in
2	claim 24, including the steps:
3	transferring the freshly printed substrate to an
4	intermediate transfer cylinder; and,
5	drying the freshly printed substrate while it is in
6	contact with the intermediate transfer cylinder.
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1	28. A method for rotary offset printing as defined in
2	claim 24, including the step:
3	extracting hot air, moisture and volatiles from an
4	exposure zone above the freshly printed/coated substrate while the
5	freshly printed/coated substrate is in contact with the impression
6	cylinder.
1	29. A method for rotary offset printing as defined in
2	claim 24, including the steps:
3	applying a primer coating of an aqueous coating
4	material or UV-curable coating material to a substrate in the
5 ·;	first printing unit;

the substrate is overprinted in the second printing unit.

other debris under the primer coating; and,

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trapping and sealing dust, lint, spray powder and

drying the primer coating on the substrate before

1	30. A method for rotary offset printing in a rotary
2	offset press of the type including first and second printing
3	units, and using aqueous or UV-curable printing ink/coating
4	material in the operation of at least the first printing unit
5	comprising the following steps performed at each printing unit in
6	succession:
7	transferring the printing ink/coating material to
8	a printing plate at the first printing unit;
9	transferring the printing ink/coating material from
.0	the printing plate to a blanket;
.1	transferring the printed image from the blanket to
2	a substrate as the substrate is transferred through the nip
3	between an impression cylinder and the blanket; and,
4	drying the printing ink on the freshly printed
5	substrate before the substrate is processed in the second printing
6	unit.
	e L
1 !	31. A method for rotary offset printing as defined in
2 ,	claim 30,
3	wherein the drying step is performed by discharging
	hot air onto the freshly printed substrate after it has been
5	transferred from the first printing unit and while it is in
,	contact with an intermediate transfer cylinder, but before it is
, j	processed in the second printing unit.
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	32. A method for rotary offset printing as defined in
,	claim 30, wherein the drying step is performed by directing high
:	velocity, heated air onto the freshly printed substrate while the
	freshly printed substrate is in contact with the impression
1	cylinder.
. '	
	33. A method for rotary offset printing as defined in

transferring the freshly printed substrate to an

claim 30, including the steps:

intermediate transfer cylinder; and,

_		difing the freshly printed substrate while it is in
6	i	contact with the intermediate transfer cylinder.
1	•	34. A method for rotary offset printing as defined in
2		claim 30, including the step:
3		extracting hot air, moisture and volatiles from an
4		exposure zone above the substrate while the substrate is in
5	: [	contact with the impression cylinder.

# \*RETRACTABLE INKING/COATING APPARATUS HAVING FERRIS MOVEMENT BETWEEN PRINTING UNITS\*

# Abstract of the Disclosure

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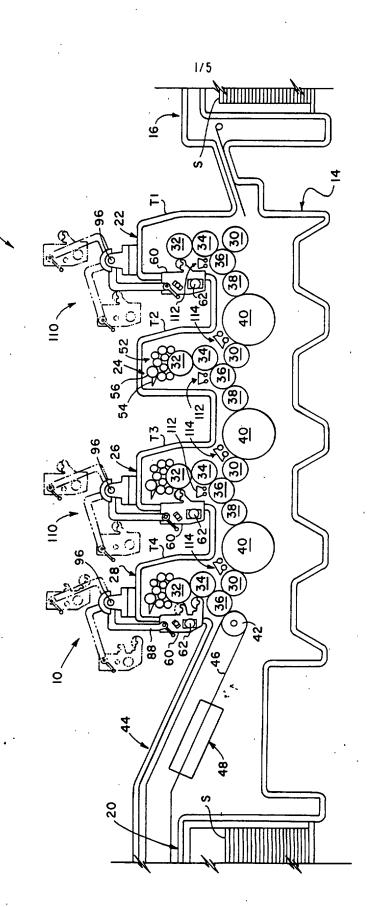
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A retractable in-line inking/coating apparatus selectively applies either spot or overall ink/coating to a blanket or flexographic plate on a blanket cylinder or spot coating or overall ink/coating to a flexographic printing plate on a plate cylinder in a rotary offset printing press. The inking/coating apparatus is pivotally mounted on the tower of a printing unit or dedicated coating unit, and is extended into and retracted out of inking/coating engagement by a carriage assembly which is pivotally coupled to the printing unit tower. Because of the pivotal support provided by a cantilevered support arm, the inking/coating apparatus can be raised and lowered through a Ferris wheel arc movement between adjacent printing units. aqueous component of the printing ink or coating is evaporated by a high velocity, hot air interstation dryer and a high performance heat and moisture extractor so that the ink on a freshly printed sheet is dry before the sheet is printed on the next printing Thus, flexographic ink or coating applied at the first printing unit can immediately be overprinted on subsequent printing units.

DTG111876\0146DOCS\86012.APP

B 6 012 RONALD M. RENDLEMAN HOWARD W. DEMOORE JOHN W. BIRD



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B6012 RONALD M. RENÓLEMAN HOWARD W. DEMOORE JOHN W. BIRD

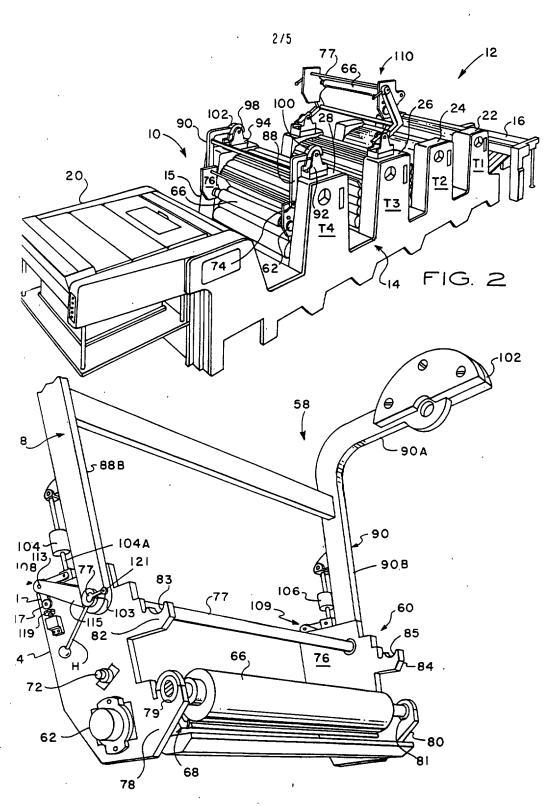


FIG. 3

B6012: RONALD M. RENDLEMAN HOWARD W. DEMOORE JOHN W. BIRD

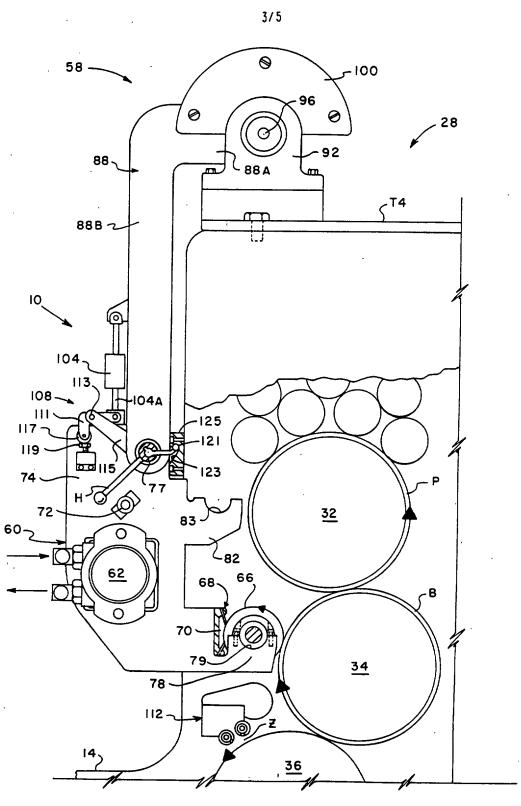
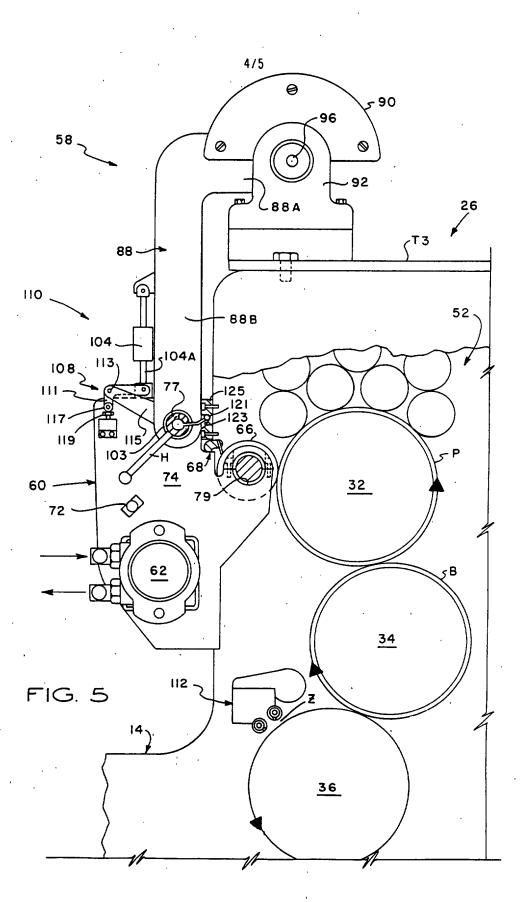


FIG. 4

B6012 RONALD M. RENDLEMAN HOWARD W. DEMOORE JOHN W. BIRD



B6012 RONALD M. RENDLEMAN HOWARD W. DEMOORE JOHN W. BIRD

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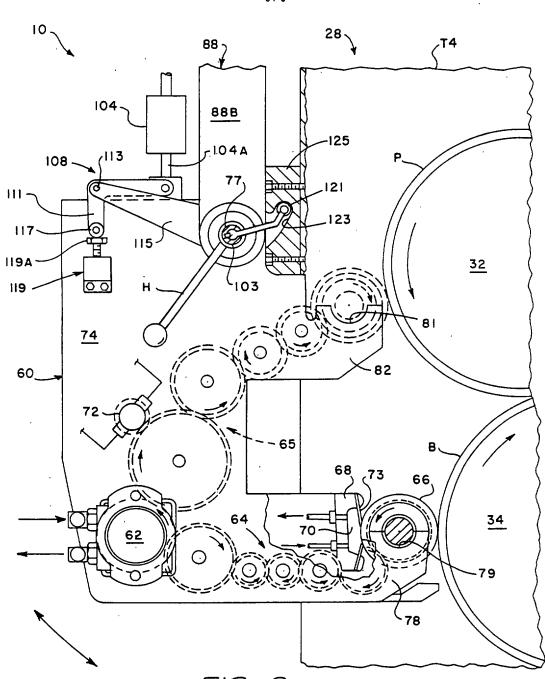


FIG. 6

# Reissue of U. S. Patent No. 5,630,363

### **CLAIMS**

Note: Bracketed material in the following claims has been deleted from U. S. Patent 5,630,363 as issued; underlined materials, including new claims 42-84 has been added.

1. Apparatus for a combined lithographic/flexographic printing process comprising:

a substrate:

a plurality of successive printing stations for printing color images on the substrate in a continuous inline process;

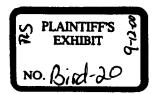
one of said stations comprising a flexographic printing station for printing a liquid vehicle image on said substrate with a slurry containing an encapsulated essence using the flexographic process;

at least one of said successive printing stations being a lithographic printing station; and

an overcoating applied over the liquid vehicle image on the printed substrate at at least one of said successive lithographic printing stations using the lithographic process in said continuous in-line process.

- 2. Apparatus as in claim 1 wherein said overcoating is an aqueous overcoating.
- 3. Apparatus as in claim 1 wherein said overcoating is an ultraviolet ink overcoating.
  - 4. Apparatus as in claim 1 wherein:

said substrate is a paper sheet; and



said apparatus includes a sheet feeder

said substrate is a web; and

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; <u>; ; ;</u>

said apparatus includes a web feeder

6. Apparatus for a combined lithographic/flexographic printing process comprising:

a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process;

one of said stations comprising a flexographic printing station printing an aqueous-based vehicle image using the flexographic process to form a metallic coating;

a suspended metallic material being included in said aqueous-based vehicle image; and

at least one of the successive printing stations comprising an offset lithographic printing station printing a color image over the aqueous-based vehicle image using the offset lithographic process in said continuous in-line process.

- 7. Apparatus as in claim 6 wherein said suspended material includes uniform-sized metal particles to form said metallic coating.
- 8. Apparatus as in claim 6 wherein said suspended material includes nonuniform-sized metal particles to form said metallic coating.
- 9. Apparatus as in claim 6 further including: said flexographic printing station including a plate cylinder having a flexographic plate thereon, a blanket cylinder, and an impression cylinder;
- a flexographic plate image transferred from said plate cylinder to said blanket cylinder, said image being formed of said metallic coating, said blanket cylinder transferring said metallic coating to said impression

cylinder for printing said flexographic plate image on said substrate; and

an anilox roller associated with said flexographic plate for supplying said aqueous-based vehicle containing said suspended metallic material to said flexographic plate.

10. Apparatus for creating a combined lithographic/flexographic printing process comprising:

a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process;

one of said stations comprising a flexographic printing station for printing a first color image using the flexographic process; and

at least one of the successive printing stations comprising an offset lithographic printing station for printing a second color image over the first color image using the offset lithographic process in said continuous inline process.

11. Apparatus as in claim 10 further including:

said flexographic printing station including a plate cylinder, a blanket cylinder, and an impression cylinder,

a flexographic plate on said plate cylinder;

an anilox roller associated with said flexographic plate for supplying a first color to said flexographic plate to form said first color image; and

said blanket cylinder receiving said first color image from said plate cylinder and transferring said first color image to said impression cylinder for printing on said substrate.

12. Apparatus for creating a combined lithographic/flexographic printing process comprising:

a substrate:

a plurality of successive printing stations for printing color images on the substrate in a continuous in-line process:

at least two successive ones of said printing stations being flexography stations and comprising:

- (1) a supply of liquid coating;
- (2) a plate cylinder associated with a blanket cylinder, said plate cylinder having a flexographic plate thereon:
- (3) an anilox roller associated with said liquid supply coating and said plate cylinder for delivering said liquid coating to said flexographic plate to form an image for transfer to said blanket cylinder;
- (4) an impression cylinder for receiving said liquid coating image transferred from said blanket cylinder and printing said image on said substrate, said at least two flexography stations printing the same liquid coating image in sequence and in superimposed relationship; and

at least one offset lithographic printing station for receiving said substrate and printing over said liquid coating image.

- 13. Apparatus as in claim 12 wherein said liquid coating image printed on said substrate is a white color ink.
- 14. Apparatus as in claim 12 further including an air dryer associated with each of said impression cylinders on said flexography stations, said air dryer having sufficient air velocity for drying said liquid coating before the substrate is transferred to the successive printing station in said continuous in-line process.
- 15. Apparatus for a combined lithographic/flexographic printing process comprising:

a plurality of successive printing stations for printing color images on a substrate in a continuous in-line process, said printing stations including both lithographic and flexographic printing stations;

a blanket cylinder at at least a first one of said flexographic printing stations;

flexographic ink-providing means at said at least first one of said flexographic printing stations for applying a flexographic ink to said blanket cylinder to form an image;

a substrate for receiving said flexographic ink image transferred from said blanket cylinder; and

at least one subsequent lithographic printing station in said in-line process for receiving said image printed substrate and printing an additional colored ink image on said substrate on top of said flexographic ink image using offset lithography.

16. Apparatus as in claim 15 further comprising:

a plate cylinder at said at least first one of said flexographic stations;

a flexographic plate on said plate cylinder for receiving and transferring said flexographic ink to said blanket cylinder; and

said flexographic ink-providing means including a flexographic ink supply and an anilox roller associated with said flexographic ink supply for transferring said flexographic ink to said flexographic plate.

17. Apparatus for a combined lithographic/flexographic printing process for printing a multicolored image comprising:

a plurality of successive printing stations for printing color on a substrate in a continuous in-line process, said printing stations including both lithographic and flexographic printing stations;

at least one of said flexographic printing stations having:

(1) a plate cylinder and a blanket cylinder, said plate cylinder including a flexographic plate having an

image thereon for transferring a flexographic color ink image to said blanket cylinder;

- (2) an etched anilox roller for applying a flexographic color ink to said flexographic plate on said plate cylinder;
- (3) an impression cylinder in ink-transfer relationship with said blanket cylinder for transferring said, flexographic color ink image from said blanket cylinder to said substrate; and
- at least one of said succeeding printing stations being a lithographic printing station using offset lithography for printing additional colored ink images on top of said flexographic ink image.
- 18. Apparatus as in claim 17 wherein said additional colored ink images are formed with lithographic inks.
- Apparatus as in claim 17 wherein said colored ink images are formed with waterless inks.
- 20. Apparatus as in claim 17 further including an air dryer adjacent to said impression cylinder for drying the flexographic ink image transferred to said substrate before said additional colored ink images are printed thereon.
- 21. Apparatus as in claim 17 further including halftone printing plates for printing said colored ink images.
- 22. Apparatus as in claim 17 wherein said flexographic ink image and said colored ink images are printed as solid colors and/or with halftone printing plates in sequence and in registry in said successive printing stations to produce said multicolored image on said substrate.
- 23. Apparatus as in claim 17 wherein said printing apparatus includes a sheet-fed press.

- 24. Apparatus as in claim 17 wherein at least one of said flexographic printing stations prints said flexographic ink image with liquid vehicle slurry containing an encapsulated essence.
- 25. Apparatus as in claim 17 wherein at least one of said printing stations prints said flexographic ink image with a water-based liquid vehicle containing suspended particles.
- 26. Apparatus as in claim.25 wherein said suspended particles are uniform in size.
- 27. Apparatus as in claim 25 wherein said suspended particles are nonuniform in size.
- 28. Apparatus as in claim 25 wherein said suspended particles are metallic particles.
- 29. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:

providing a plurality of successive lithographic/flexographic printing stations for printing colored ink images on a substrate;

printing a flexographic ink image on said substrate at at least one of said flexographic stations;

transferring said printed substrate to at least one subsequent printing station in said continuous in-line process; and

printing colored ink images [on top of] over said flexographic ink image at at least one of said subsequent lithographic printing stations with an offset lithographic process.

30. A method as in claim 29 further comprising the step of drying said flexographic ink image on said substrate with an air dryer prior to printing said colored ink images thereon.

- 31 A method as in claim 29 further including the step of printing a coating on top of said colored ink images at one of said plurality of subsequent printing stations.
- 32. A method as in claim 29 wherein said colored inks forming said colored ink images are waterless.
- 33. A method as in claim 29 wherein said colored inks forming said colored ink images are in a solvent-based liquid vehicle.
- 34. A method as in claim 29 further including the steps of:

printing a slurry on said substrate at any of said printing stations in said continuous in-line process;

using an encapsulated essence in said slurry; and

printing an overcoating [over] on top of said slurry at a subsequent printing station in said in-line process to protect said essence.

- 35. A method as in claim 34 further including the step of printing an aqueous-based coating over said slurry.
- 36. A method as in claim 34 further including the step of printing an ultraviolet coating over said slurry.
- 37. A method of combining offset lithography and flexographic printing in a continuous in-line process comprising the steps of:

providing a substrate;

applying a flexographic ink to a blanket cylinder in a pattern with a coating head at a first flexographic printing station;

transferring said pattern of flexographic ink from said blanket cylinder to the substrate; and

printing a waterless ink pattern over said flexographic ink pattern on said substrate at at least one subsequent offset lithographic printing station in said continuous in-line process.

38. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:

printing an aqueous-based vehicle image having suspended particles therein on a substrate at a first flexographic printing station;

transferring said image printed substrate to at least one additional printing station in said continuous in-line process; and

printing additional colored ink images on said printed substrate over said aqueous-based vehicle image in an offset lithographic process at said at least one additional printing station in said in-line process.

- 39. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:
- (1) providing a plurality of successive printing stations for printing liquid vehicle images on a substrate in said in-line continuous process;
- (2) utilizing an anilox roller to transfer a liquid ink as said liquid vehicle to a flexographic plate image at at least one of said printing stations;
- (3) printing said liquid ink from said flexographic plate image to a substrate;
- (4) transferring said printed substrate with said liquid ink image to a subsequent printing station in said inline printing process;
- (5) repeating steps (2)-(4) at subsequent printing stations in said in-line process to achieve a desired opacity ink image on said substrate; and

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- (6) printing an ink pattern over said flexographic ink image using an offset lithographic process.
- 40. A method as in claim 39 further including the step of additionally printing colored ink images over said liquid ink image on said substrate at subsequent ones of said printing stations in said in-line process
- 41. A method as in claim 40 wherein said liquid ink is an opaque white color.
- 15 and 17, wherein the substrate is printed on both sides in one pass during the continuous in-line process.
- 43. The method of any of claims 29, 37, 38 or 39 wherein the substrate is printed on both sides in one pass during the continuous in-line process.
- 44. Apparatus for a combined lithographic/flexographic printing process comprising:

# a substrate:

a plurality of successive printing stations for depositing a series of thin, controlled layers on one side of a substrate in a continuous in-line process:

one of said stations comprising a flexographic printing station for printing a liquid vehicle image on said substrate using a flexographic process; and

at least one of said successive printing stations being a lithographic printing station:

whereby said substrate is printed on top of or on the opposite side of that previously printed at at least one of said successive lithographic printing stations using the lithographic process in said continuous in-line process.

45. Apparatus as in claim 44 wherein at least one of said thin, controlled layers at the flexographic station is a coating material.

- 46 Apparatus as in claim 44 wherein at least one of said thin, controlled layers at one of the lithographic stations is an ink.
  - Apparatus as in claim 44 wherein:

    said substrate is a paper sheet; and

    said apparatus includes a sheet feeder.
  - 48. Apparatus as in claim 44 wherein:

    said substrate is a web; and

    said apparatus includes a web feeder.
- 49. The apparatus of claim 44 for a combined hthographic/flexographic printing process comprising:

a plurality of successive printing stations for depositing a series of thin, controlled layers on a substrate in a continuous in-line process:

one of said stations comprising a flexographic printing station printing an aqueous-based vehicle on one side of the substrate using the flexographic process to form a metallic coating image:

a <u>suspended metallic material being included in said</u> aqueous-based vehicle; and

at least one of the successive printing stations comprising an offset lithographic printing station printing a color image on top of the aqueous-based vehicle or on the opposite side to that previously printed using the offset lithographic process in said continuous in-line process.

- 50. Apparatus as in claim 49 wherein said suspended material includes uniform-sized metal particles to form said metallic coating.
- 51. Apparatus as in claim 49 wherein said suspended material includes nonuniform-sized metal particles to form said metallic coating.

52. Apparatus as in claim 49 further including; said flexographic printing station including a plate cylinder having a flexographic plate thereon, a blanket cylinder, and an impression cylinder;

a flexographic plate image transferred from said plate cylinder to said blanket cylinder, said image being formed of said metallic coating, said blanket cylinder transferring said metallic coating to said impression cylinder for printing said flexographic plate image on said substrate; and

an anilox roller associated with said flexographic plate for supplying said aqueous-based vehicle containing said suspended metallic material to said flexographic plate.

53. Apparatus for creating a combined lithographic/flexographic printing process comprising:

a plurality of successive printing stations for depositing a series of thin, controlled layers on a substrate in a continuous in-line process;

one of said stations comprising a flexographic printing station for printing a first color image using the flexographic process; and

at least one of the other successive printing stations comprising an offset lithographic printing station for printing a second color image on the reverse side of the substrate of the first color image using the offset lithographic process in said continuous in-line process.

54. Apparatus as in claim 53 further including:

said flexographic printing station including a plate cylinder, a blanket cylinder, and an impression cylinder.

a flexographic plate on said plate cylinder.

an anilox roller associated with said flexographic plate for supplying a first color to said flexographic plate to form said first color image; and

said blanket cylinder receiving said first color image from said plate cylinder and transferring said first color image to said impression cylinder for printing on said substrate.

Apparatus for creating a combined lithographic/flexographic printing process comprising:

# a substrate;

a plurality of successive printing stations for depositing a senes of thin, controlled layers on a substrate in a continuous in-line process;

at least one of said printing stations being flexographic stations and comprising:

- $\oplus$ a supply of liquid coating;
- 1 plate cylinder associated with a blanket cylinder, said plate cylinder having a flexographic plate thereon;
- an anilox roller associated with said liquid supply coating and said plate cylinder for delivering said liquid coating to said flexographic plate to form an image for transfer to said blanket cylinder:
- an impression cylinder for receiving said liquid coating image transferred from said blanket cylinder and printing said image on one side of said substrate; and

at least one offset lithographic printing station for receiving said substrate and printing on top of or on the opposite side to that previously printed.

- 56. Apparatus as in claim 55 wherein said liquid coating image printed on said substrate is a white color ink.
- Apparatus as in claim 56 further including an air dryer associated with each of said impression cylinders on said flexography stations, said air dryer having sufficient air velocity for drying said liquid coating before the substrate is transferred to the successive printing station PROTECTIVE ORDER in said continuous in-line process.

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53. Apparatus for a combined lithographic: flexographic printing process comprising:

a plurality of successive printing stations for depositing a series of thin, controlled layers on a substrate in a continuous in-line process, said printing stations including both lithographic and at least two flexographic printing stations.

a blanket cylinder at at least a first one of said flexographic printing stations:

flexographic ink-providing means at the other of said flexographic printing stations for applying a flexographic ink to said blanket cylinder to form an image on one side of a substrate:

a substrate for receiving said flexographic ink image transferred from said blanket cylinder; and

at least one subsequent lithographic printing station in said in-line process for receiving said image printed substrate and printing an additional colored ink image on said substrate on top of said flexographic ink image or the opposite side to that previously printed using offset lithography.

59. Apparatus as in claim 58 further comprising:

a plate cylinder at said at least first one of said flexographic stations:

a flexographic plate on said plate cylinder for receiving and transferring said flexographic ink to said blanket cylinder; and

said flexographic ink-providing means including a flexographic ink supply and an anilox toller associated with said flexographic ink supply for transferring said flexographic ink to said flexographic plate.

60. Apparatus for a combined lithographic/flexographic printing process for printing a multicolored image comprising:

a plurality of successive printing stations for depositing a series of thin, controlled lavers on a substrate in a continuous in-line process, said printing stations including both lithographic and flexographic printing stations:

at least one of said flexographic printing stations having:

- (1) a plate cylinder and a blanket cylinder, said plate cylinder including a flexographic plate having an image thereon for transferring a flexographic color ink image to said blanket cylinder;
- (2) an etched anilox roller for applying a flexographic color ink to said flexographic plate on said plate cylinder;
- (3) an impression cylinder in ink-transfer relationship with said blanket cylinder for transferring said flexographic color ink image from said blanket cylinder to one side of said substrate; and

at least one of said succeeding printing stations being a lithographic printing station using offset lithography for printing additional colored ink images on top of said flexographic ink image or on the opposite side to that that previously printed.

- 61. Apparatus as in claim 60 wherein said additional colored ink images are formed with lithographic inks.
- 62. Apparatus as in claim 60 wherein said colored ink images are formed with waterless inks.
- 63. Apparatus as in claim 60 further including an air dryer adjacent to said impression cylinder for drying the flexographic ink image transferred to said substrate before said additional colored ink images are printed thereon.
- 64. Apparatus as in claim 60 further including halftone printing plates for printing said colored ink images.

- 65. Apparatus as in claim 60 wherein said flexographic ink image and said colored ink images are printed as solid colors and or with halftone printing plates in sequence and in registry in said successive printing stations to produce said multicolored image on said substrate.
- 66. Apparatus as in claim 60 wherein said printing apparatus includes a sheet-fed press.
- 67. Apparatus as in claim 60 wherein at least one of said flexographic printing stations prints said flexographic ink image with liquid vehicle slurry containing an encapsulated essence.
- 68. Apparatus as in claim 60 wherein at least one of said printing stations prints said flexographic ink image with a water-based liquid vehicle containing suspended particles.
- 69. Apparatus as in claim 68 wherein said suspended particles are uniform in size.
- 70. Apparatus as in claim 68 wherein said suspended particles are nonuniform in size.
- 71. Apparatus as in claim 68 wherein said suspended particles are metallic particles.
- 72. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:

providing a plurality of successive lithographic/ flexographic printing stations for depositing a series of thin, controlled layers on a substrate:

printing an image as one of said thin controlled layers on one side of said substrate at at least one of said flexographic stations:

transferring said printed substrate to at least one subsequent printing station in said continuous in-line process; and

printing an image on the reverse side of said substrate having said flexographic ink image, at at least one of said other subsequent lithographic printing stations with an offset lithographic process in the continuous in-line process.

- 73. A method as in claim 72 further comprising the step of drying said flexographic ink image on said substrate with an air dryer prior to printing said colored ink images thereon.
- 74. A method as in claim 72 further including the step of printing a coating on top of said colored ink images at one of said plurality of subsequent printing stations.
- 75. A method as in claim 72 wherein said colored inks forming said colored ink images are waterless.
- 76. A method as in claim 72 wherein said colored inks forming said colored ink images are in a solvent-based liquid vehicle.
- 77. A method as in claim 72 further including the steps of:

printing a slurry on one side of said substrate at any of said printing stations in said continuous in-line process:

using an encapsulated essence in said slurry; and

printing an ink on the reverse side of said substrate at a subsequent printing station in said in-line process.

- 78. A method as in claim 77 urther including the step of printing an aqueous-based coating over said slurry.
- 79. A method as in claim 77 further including the step of printing an ultraviolet coating over said slurry.
- 80. A method of combining offset lithography and flexographic printing in a continuous in-line process comprising the steps of:

providing a substrate;

pattern with a coating head at a flexographic printing station;

transferring said pattern of ink or coating from said blanket cylinder to one side of the substrate; and

printing a waterless ink pattern on the reverse side of said substrate at at least one subsequent offset lithographic printing station in said continuous in-line process.

81. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:

printing an aqueous-based vehicle having suspended particles therein on one side of a substrate at a flexographic printing station to form an image:

transferring said image united substrate to at least one additional printing station in said continuous in-line process, and

printing additional images on the reverse side of said printed substrate in an offset lithographic process at said at least one additional printing station in said in-line process.

- 82. A method of combining lithography and flexographic printing in a continuous in-line process comprising the steps of:
- (1) providing a plurality of successive printing stations for depositing a series of thin, controlled layers on a substrate in said in-line continuous process:
- (2) utilizing an anilox roller to transfer a liquid ink as one of said thin controlled layers to a flexographic plate image at at least one of said printing stations:
- (3) printing said liquid ink from said flexographic plate image to one side of a substrate:

- (4) transferring said printed substrate with said liquid ink image to a subsequent printing station in said inline printing process:
- (5) repeating steps (2)-(4) at subsequent printing stations in said in-line process to achieve a desired opacity ink image on the one side of said substrate; and
- (6) printing an ink pattern on the reverse side of said substrate using an offset lithographic process.
- 83 A method as in claim 82 further including the step of additionally printing ink images over said liquid ink image on said substrate at subsequent ones of said printing stations in said in-line process.
- 84. A method as in claim 83 wherein said liquid ink is an opaque white color.
- 85. A method of combining offset lithography and flexography using a plurality of successive printing stations in a continuous in-line process comprising:
- (1) printing an image at one or more of said printing stations on a substrate using an offset lithographic process:
- (2) transferring said image printed substrate to an additional printing station and printing at said additional printing station a coating on all or part of said image on said substrate:
- (3) transferring said substrate to one or more additional printing stations for printing the reverse side of the said substrate; and
- (4) printing an image on said reverse side of said substrate at one of such one or more printing stations using an offset lithographic process in the continuous inline process.
- 86. Apparatus for a combined offset lithographic
  and flexographic printing process comprising:

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  - (1) a substrate:

PROTECTIVE ORDER

- (2)— a plurality of successive printing stations for depositing a series of thin layers of materials selected from a group consisting of lithographic and flexographic inks, coatings and slurnes on one or both sides of a substrate in a continuous in-line process;
- (3) at least one of said stations comprising a flexographic printing station for printing one of said flexographic materials on said substrate using a flexographic process;
- at least one of said successive printing stations being an offset lithographic printing station whereby said offset lithographic printing station is used to deposit one of said lithographic materials on either side of the said substrate in the continuous in-line process:
- 87. Apparatus for a combined offset lithographic/flexographic printing process comprising:

2 plur htt 2. Suc. Scrive of inting stations for funding images 57. Lubstrate in a continuous in-line process, said printing stations including both offset lithographic and flexographic printing stations for depositing lithographic and flexographic inks, coatings and slurries on said substrate, whereby said lithographic and flexographic inks, coatings or slurries may be printed successively on one or both sides of said substrate in the continuous in-line process.

# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS **DALLAS DIVISION**

PRINTING RESEARCH, INC. and HOWARD W. DEMOORE,

Plaintiffs,

CIVIL ACTION NO. 3-99CV1154-M

WILLIAMSON PRINTING CORPORATION, BILL L. DAVIS and JESSE S. WILLIAMSON,

Defendants.

# **DEFENDANTS' INITIAL DESIGNATION OF EXPERTS**

Pursuant to paragraph 5 of the Amended Scheduling Order and Rule 26(a)(2), Fed. R. Civ.

P., Defendants designate the following expert witness who will testify at trial:

Bernarr R. Pravel, Esq. Akin, Gump, Strauss, Hauer & Feld, L.L.P. 711 Louisiana Street, South Tower, Suite 1900 Houston, Texas 77002

Attached hereto is a copy of Mr. Pravel's report dated October 5, 2000.

Respectfully submitted,

John P. Pinkerton

Texas State Bar No.1601670

David P. Poole

Texas State Bar No. 16123750

Robert J. Ward

Texas State Bar No. 00791879

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(214) 979-3000 (telephone)

(214) 880-0011 (fax)

ATTORNEYS FOR DEFENDANTS

# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

PRINTING RESEARCH, INC. and HOWARD W. DEMOORE

4 ° 6 °

Plaintiffs,

Civil Action No. 3-99CV1154 - D

JESSE S. WILLIAMSON,

v.

**§** 

Defendant.

EXPERT WITNESS REPORT OF BERNARR ROE PRAVEL UNDER FEDERAL RULE 26(a)(2)(B)

- 1. I make this report based upon my knowledge as to the factual matters set forth below and upon my own opinions as to matters set forth as such. If called as a witness in this case, I would testify as follows:
- 2. From 1970 to Dec. 31, 1998, I was the senior partner of the law firm Pravel, Hewitt, Kimball & Krieger, of Houston, Texas, specializing in the fields of intellectual property law and related antitrust fields. I was a partner and shareholder of that firm and predecessor firms since 1956. Since Jan. 1, 1999, I became senior counsel to Akin, Gump, Strauss, Hauer & Feld in Houston, Texas. I am admitted to practice before the United States Supreme Court, before the federal and state courts in Texas, before the United States Patent and Trademark Office, before the Court of Appeals for the Federal Circuit and in various other United States district sourts and regional courts of appeal. I have substantial experience in the areas of patent prosecution and intellectual property licensing.

- I am a Past-President of the American Intellectual Property Law Association (1983-1984). I was Chairman (1991-92) and Council Member (1977-1981) of the American Bar Association, Intellectual Property Law Section; Chairman (1970-71) of the National Council of Patent Law Association; Chairman (1968-69) of the Patent, Trademark & Copyright Section of the State Bar of Texas; Director (1976-79) of the State Bar Board of Texas; President of the Houston Intellectual Property Law Association (1983-84), and Vice-President (1973-74) of the Houston Bar Association.
- 4. My formal education includes the degree of Bachelor of Science in Chemical Engineering from Rice University (1947) and Juris Doctor with Honors from George Washington University (1951). I am a member of the following honorary societies: Tau Beta Pi (Engineering), Phi Lamda Upsilon (Chemistry) and Order of the Coif (Law).
- 5. I received the Chairman's 1989 Award of the State Bar of Texas Patent, Trademark & Copyright Section; the Houston Intellectual Property Law Association 1986 Award for Outstanding Service to the Profession; and the State Bar of Texas 1979 Award for Outstanding Contribution to the State Bar of Texas.
  - 6. I am the author of articles in various law reviews and in the Journal of Patent and Trademark Office Society, publications of the Practicing Law Institute, and the American Patent Law Association Journal. I have presented lectures in the field of intellectual property law to various professional groups and conferences, such as the State Bar of Texas, the American Bar Association Intellectual Property Law Section, the Bureau of National Affairs, the University of Baltimore, the Houston Intellectual Property Law Association, the New Orleans Bar Association Intellectual Property Law Section, the Iowa Property Law Association and the National Council

of Intellectual Property Law Associations (National Inventor's Hall of Fame). A list of all publications authored by me within the preceding ten (10) years is attached as Exhibit A.

- 7. I have previously served as an expert witness on patent issues in over thirty litigation matters. A list of the cases in which I have testified as an expert at trial or by deposition within the preceding four (4) years is attached as Exhibit B.
- 8. I have had no previous professional or business connections with either of the parties in the present case, nor do I have any such connections at the present time. My professional fees for performing expert consulting are \$350.00 per hour.
- 9. In 1948-51 I was an Examiner in the United States Patent Office, during which time I examined applications for patents primarily in the chemical and mechanical fields. As a result of this experience and my practice as an attorney before the Patent Office since that time, I am familiar with the practices and procedures for filing and prosecuting applications for United States patents. I am an expert in patent law and procedure before the United States Patent & Trademark Office.
- 10. I have been asked to review the facts and the law concerning the issues whether Plaintiffs derived the '363 process from Defendants and whether or not Plaintiffs are equitably estopped to proceed with their claim of inventorship, coinventorship of the '363 process. I conclude that Plaintiffs DeMoore, Rendleman and Printing Research, Inc. derived the process of U.S. Pat. 5,630,363 ("the '363 process") from '363 applicants Davis and Williamson. Plaintiffs DeMoore and Rendelman are furthermore equitably estopped from asserting a co-inventorship claim or inventorship claim to the '363 patent, and are not entitled to joint-inventorship status.
- 11. In reaching the conclusions set forth below and in preparing to present the opinions set forth below, I have reviewed:

- A. U.S. Pat. 5,630,363 to Davis et al.;
- B. The **COMPLAINT** filed in this action on May 20, 1999;
- C. The **FIRST AMENDED ORIGINAL COMPLAINT** filed in this action on September 11, 2000;
- D. A joint declaration submitted under 37 CFR § 1.57(b) filed, in a reissue application by the '363 applicants Davis and Williamson, executed May 20, 1999;
- E. A joint declaration (i) under 37 CFR § 1.131 and (2) Pertaining to Derivation by DeMoore and Printing Research, Inc. of Reissue Applicants' process (hereinafter, "Joint Decl. Under § 1.131"), filed in the same reissue prosecution by reissue applicants Davis and Williamson and executed June 30, 2000;
- F. A patent application and the subsequent file history of United States Serial No. 08/435,798 filed May 4, 1995 by Printing Research, Inc. employees (and Plaintiffs herein)

  DeMoore and Rendleman, and additionally John W. Bird, encompass at least the time period from May 4, 1995 through November 23, 1998;
  - G. The declaration of Steve Baker for the aforesaid reissue application, executed November 3, 1999 (WOO1248-WOO1252);
  - H. The deposition of Steve Baker taken in this litigation on August 9, 2000, of 153 pages, and exhibits, and including a corrigendum executed by Baker on September 21, 2000 for said deposition;
  - I. The declaration of Scott Brown (WOO1253-WO1257) for the aforesaid reissue application, executed December 30, 1999;

- J. The deposition of Scott Brown taken August taken August 10, 2000 taken in this litigation, of 122 pages, and exhibits, and including a corrigendum executed by Brown on September 22, 2000 for said deposition;
- K. The declaration of Steve Garner for the reissue application executed April 6, 2000 (WOO1299-WOO1303);
- L. The deposition of Steve Garner for the litigation taken August 11, 2000, of 135 pages, and exhibits together, and including a corrigendum signed by Garner on September 21, 2000;
- M. A declaration of John W. Bird for said reissue application executed December 11, 1999 (WOO931-WOO943);
- N. A supplemental declaration of John W. Bird for said reissue application executed April 3, 2000 (WOO880-WOO929);
  - O. The first part of a deposition of John W. Bird taken September 12, 2000 in this litigation, of 299 pages, and exhibits;
  - P. Receipts of a trip to Atlanta by Jesse Williamson and Bill Davis (WOO-2705-002706);
    - Q. A supplemental declaration of Steve Baker executed October 5, 2000, and
  - R. A second supplemental declaration of John W. Bird executed October 5, 2000.

#### L ISSUE OF DERIVATION

12. The pertinent facts as to derivation are as follows: The '363 applicants, Bill Davis and Jesse Williamson of Williamson Printing Corporation ("WPC") conceived of the invention in June, 1992 upon Williamson's return from Germany to the United States. The

conception was inspired – at least in part – by Jesse Williamson's observation of printing with an anilox roller at the coating tower (end-of-press) at the plant of German press manufacturer M.A.N. – Roland in Offenbach, Germany in late May, 1992. Joint Decl. Under § 1.131, ¶4.

- 13. Subsequently, in late '92 or early '93, WPC undertook an extended study to find out what presses WPC would purchase to replace its existing outdated presses. Until this study was completed and new press equipment was installed, it was not practical to reduce to practice the '363 process. Joint Decl. Under § 1.131, ¶4. A tentative decision was made in the late spring of 1994 by WPC to proceed with the purchase of a number of Heidelberg presses.
- 14. Steve Baker of Printing Research met with '363 patentees Bill Davis and Jesse Williamson in mid-1994 and received a disclosure from Williamson of their concept of moving a flexographic station "up front" in a lithographic press, together with several potential ways of performing same preferably by a conventional "rack-back" mechanism modified for interstation use, and using an anilox roller and chambered doctor. Baker Decl., § 4, 7; Joint Decl. Under § 1.131, ¶6. It now appears from a recently produced receipt from Williamson -- WOO2705 -- that the meeting occurred on June 12, 1994.
  - 15. At approximately the same time that Williamson and Davis told Steve Baker of PRI of their concept of going "up front", they told salesman Scott Brown of Heidelberg USA of their concept. This followed Williamson's continued oral commitment to Heidelberg to purchase a substantial amount of press equipment in June 1994. Brown Decl. ¶2 Williamson Davis told Brown, as they had told Baker, of several different ways that going "up front" with a flexographic press could be accomplished. They wanted tests to perform or at least simulate their process to occur at Heidelberg in Germany. Brown Decl., ¶2.

A. I didn't see Howard DeMoore. The first person I saw was John Bird when I got back.

- Q. Is it your testimony you told the first person you saw?
- A. No, I told the first person that had authority to hear it that I saw.
  - Q. That what?
- A. I told the first person that I had authority that had authority over me to hear it.
- Q. Were you given a list of people that had a right to this information?
  - A. Of course not.
- Q. Did you we may have misunderstood each other. Did you ever tell Howard DeMoore this confidence?
  - A. Yes.
  - Q. When?
- A. I don't remember the exact day, but I remember talking to Howard on several occasions about what was going on at Williamson Printing. After all, Williamson was our customer. Howard ran the company. He needed to know.
- Q. Did you tell him about the meeting and the discussion at the meeting?
- A. I don't recall exactly doing that, but I know I did. I know we talked about everything that went on in Atlanta at one point or another with Howard, and I don't recall when I did that with Hoard. I don't recall seeing Howard upon returning to Dallas from Atlanta. I don't recall him being in the office at that moment. (Emphasis italics)

Baker Dep., p. 64, ln 5-p. 65, ln. 9. Specifically, Baker communicated to Bird and perhaps Garner the intent of Davis and Williamson to improve the WPC's prior art WIMS process (U.S. Pat. 5,370,976) by "going up front", together with the three options Brown Decl., ¶ 9. Baker, by recent declaration, testified that Bird and DeMoore were told of the '363 process invention on or about June 15-16, 1994 (Bird corroborates this testimony in his Second Supplemental Declaration, but pins the date down specifically to June 15, 1994):

"I have been shown travel receipts of Jesse Williamson, having production numbers W002705-2706, Exhibit "A" hereto. I note on document W002705 a reference to "Morton's Buckhead" restaurant for June 12, 1994. A calendar for June 1994, Exhibit "B" hereto, shows June 12<sup>th</sup> to be Sunday. I therefore met with Jesse Williamson and Bill Davis in Atlanta, Georgia on Sunday, June 12, 1994. As indicated in paragraph 4 of my prior declaration, the meeting was indeed on a Sunday. The rest of the Atlanta events I testified to in paragraphs 4-7 and the first sentence of paragraph 8 are accurate.

"The calendar and the receipt further refresh my recollection. I came back to Dallas several days later – either Tuesday the 14<sup>th</sup> or Wednesday the 15<sup>th</sup>, as I recall. The morning following my return, I met with John Bird in Bird's office. Jesse and Bill's desires presented quite an opportunity for PRI. I have a vivid recollection of this meeting, and I told Bird what Jesse and Bill had told me in the Morton's restaurant, as stated in paragraphs 5-7 and the first sentence of paragraph 8 of my prior declaration.

"I also recall telling Howard DeMoore, immediately after telling Bird, that Jesse and Bill desired to go "up front" with a modified "rack-back" having an anilox roller and chambered doctor. The trip to Atlanta was a high profile event inside PRI, and telling Bird and DeMoore of what went on there occurred immediately upon my return. DeMoore



needed to know - he ran the company. I believe I also told Steve Garner."

Note, also the deposition testimony of Bird:

- Q. Okay. When did you first learn about the flexographic/lithographic in-line process as in the patent, Exhibit. 6?
  - A. The process?
  - Q. Yes, the process.
- A. The process was first described to me after a visit of that occurred somewhere in July of ninety –I'd have to look at my notes it's July '94, wherein Steve Baker, who was at the time one of our sales people, had gone to Atlanta to demonstrate both UV, and had gone to demonstrate a high-velocity hot air drying system and a Plate/Blanket Coater to both Jesse Williamson and Bill Davis.

When - on Steve's return from Atlanta, Steve was somewhat excited to tell us that Williamson Printing had a patent pending, although that wasn't always clear to me whether it was pending or it was issued.

But certainly he talked of a process wherein WIMS, Williamson Integrated Metallic Systems, had been – certainly had a patent applied for as a process patent, and that Williamson Printing was looking at an improvement on that method of application with metallics, and that they and felt that the – and this was over a dinner meeting in the evening as sometimes happens in – in situations like this – and that they were looking for someone to work with them to produce a – a coater that would apply flexo, in particular, since they felt that a flexo would be a better way of applying and would give them a more brilliant finish.

And they had previously seen some trials wherein flexo had been applied with metallics that they felt that this, if we were interested – if they could find someone interested enough and since we made coaters, that we might be someone that could work with and/or sell them a piece of equipment to achieve the goal of applying metallics in-line as part of their process and their process patent as part of this improvement that they were looking for.

Bird Dep., p. 57, ln. 24 p. 59, ln. 12.

- 17. After Baker's return, Bird started frequent meetings with the '363 patentees first meeting August 18, 1994 whereby Bird was told the intimate details of the Davis Williamson process. Bird Supp. Decl., ¶¶ 3-4.
- There were many meetings in the late summer and early fall of 1994. See Garner Dep., p. 69, p. 108, on. 9; Bird Dep., p. 225, lns 2-11. In a number of these meetings, both Davis and Williamson attended. Bird Supp. Decl., ¶2.
- 19. PRI started in earnest the fabrication of an experimental "ferris wheel" (or cantilevered), interstation "rack-back" device to practice the '363 process in the late fall of 1994, specifically December, 1994. Bird Dep., p. 72, ln 16 p. 75, ln. 14.
- 20. At the time that Baker told Garner and Bird of the Davis and Williamson "up front" concept, Garner was Vice President of Sales, and Bird was a Product Manager within the company.
- The undersigned has been made aware that the earliest contention that DeMoore has of having the concept of going "up front" in a lithographic press with a flexographic step coccurred on July 7, 1994, at a conference with his patent counsel.
  - 22. This is several weeks later than the June 12, 1994 meeting with Baker. DeMoore has contended in both his original COMPLAINT (May 20, 1999) and his recently-filed AMENDED COMPLAINT that his and Rendleman's conception was "in 1994" (¶ 113), but mentions only that writings evidencing his conception started in December, 1994 (¶ 14):

"Beginning in 1994, DeMoore and Rendleman conceived of and began development of the Lithoflex® system. No later than October of 1994, Plaintiffs tested certain nexographic coating technology using a two-color Heidelberg lithographic press (the "pilot press") located at a Printing Research facility. The testing produced samples (the "flexographic samples") illustrating potential application of that technology. No later than December 1994, Rendleman had reduced to drawings the concept of a printer/coater unit (the "Rendleman coater")

that would move on a retractable mechanism with a ferris-wheel-type motion. The mechanism permitted the printer/coater unit to easily convert an upstream lithographic station to flexographic printing for employing the Lithoflex® process and this made it possible for the practical application of such process." (Emphasis supplied)

Regardless, it is inconceivable that DeMoore was not told of the June 12, 1994 disclosure to Baker at the restaurant meeting in Atlanta by Garner and Bird, his subordinates. The fact that DeMoore was working within this company with Bird on the coater project is shown by the patent application that he, Bird and Rendleman subsequently filed on May 4, 1995, in which the Davis - Williamson "up front" concept was disclosed, but not claimed.

# II. ISSSUE OF EQUITABLE ESTOPPEL

- 24. The pertinent facts as to the issue of equitable estoppel are as follows: The '363 applicants Davis and Williamson had a meeting with PRI representatives in January, 1995 at the offices of WPC in conference room "E". At this meeting, Davis and Williamson told Baker and Bird of WPC's intent to file an application on what came to be the '363 patent. Bird Decl., § 14. As testified to by Baker at his deposition:
  - Q. (By Mr. Harris) What do you say?
  - A. In response to where it says, Defendants admit that Bill Davis and Jesse Williamson informed Steve Baker and John Bird in January of '95 that WPC was going to file a patent application for '363, I from this statement, I though that was understood. That was the WIMS II [corrigendum] patent that we're always talking about and that it was either pending or they were going to file it; but at that point –
  - Q. . (By Mr. Harris) I would like for you to answer the question. The question is: Do you have a recollection of that happening? It's a simple question.

- A. I do remember them talking about their patent. Yes. See my testimony in paragraph 10 of my declaration, page 2. The testimony in paragraph 10 is correct.
  - Q. So what did they say?
  - A. In exact words, I don't remember.
  - Q. And when was it?
- A. It would have been January. It would have been after December, so it would have been January of '95.
- Q. (By Mr. Harris) You don't know what they're thinking, I guess, means that you don't know whether they're stating what happened or not, huh?
- A. I don't have one opinion on that one way or the other, other than what I've already said. To me it was all the same. A patent was pending or they're going to file another one. This time on WIMS II [corrigendum]. It was all the same to me. In '95 in January of '95, if they told me they were doing that, I am assuming at that point it is the one we have already talked about in '94 and it just had not been filed yet.
- Q. (By Mr. Harris) They didn't say the '363 process, did they?
- A. They never named them by number because I probably didn't need to know the numbers. How can they refer to '363 when they haven't filed yet? [corrigendum]

Baker deposition, p. 136, line 7 to p. 141, line 6.

See also Bird Dep. p. 111, lns. 9-16:

- Q. Okay. Tell us what was discussed in that meeting with Williamson and Bill Davis in regard to the flexo/litho process.
- A. Jesse told us that they they, Williamson Printing, were applying for a continuation, an extension, on the improvement on their present WIMS process patent, and that was to include flexographic applications.

In due course, Davis and Williamson filed a patent application, Serial No. 08/515,077, on August 14, 1995, that matured into the '363-patent.

- 25. PRI through owner, DeMoore and employees, Bird and Rendleman, filed a patent application on May 4, 1995, Serial No. 08/435,798, which did not claim the '363 process.
- 26. Subsequently, on June 11, 1996, PRI dropped process claims to a <u>different</u> process than claimed in Serial No. 08/435,798 without traverse, indicating that it did not give those process claims a high priority.
- 27. Serial No. 08/435,798 is still pending, with most claims rejected. From a review of the file history of Serial No. 08/435,798, at no time has DeMoore or PRI ever claim the '363 process since May 4, 1995. As the undersigned is advised, PRI did not indicate until March of 1999 that it considered that PRI employees were joint inventors of the '363 process, despite the fact that PRI was very active in the filing of patent applications, and only after PRI was not receiving the license terms it felt it deserved during negotiations early in 1999.
- ORIGINAL COMPLAINT that it did not know about the '363 patent until it was informed of that fact by a third party and potential customer Hallmark in December, 1998. Former PRI Vice-President Garner believes the true date was a year earlier "end of '97 or early '98". (Garner, Dep., p. 115, ln. 11). Regardless, PRI took no position in the PTO or the courts to challenge the inventorship of the '363, having known of Davis and Williamson's intent to file a patent application since January of 1995, and failed to pursue claims to the '363 invention during a four-year, four-month pending of Serial No. 08,435,798, despite the fact that DeMoore has been represented in the prosecution of Serial No. 08/435,798 by no less than three very large Dallas law firms. DeMoore's apparent familiarity and experience with the patent system coupled

with his failure to claim the '363 process in any of his patent applications must be seen as acquiescence, if not an intentional abandonment.

# **ANALYSIS**

- Derivation can be shown by a communication of a complete or partial concept to the party charged with derivation. Showing a prior, complete conception and communication thereof is not the only way to establish derivation. The burden of proof is on the party asserting derivation. That burden is independent of the senior or junior status of the parties. *Id.* Hedgewick v. Akers, 497 F.2d 905, 908, n. 4 (C.C.P.A. 1974). It is well settled law that once proved, transmission of an inventor's own prior work will not anticipate his later invention unless that prior work is such to constitute a statutory bar. In re Costello, 717 F.2d 1346, 219 U.S.P.Q. 389 (Fed. Cir. 1983); note also Chisum, 1 PATENTS § 3.08[2] (5/88). The burden is on the party asserting derivation by a preponderance of the evidence.
- 30. The evidence clearly shows that as of June, 1994, the '363 patentees were in possession of a comprehensive concept, if not a complete conception of the later-claimed '363 invention. The '363 patentees testified to as much. The '363 patentees chose to explore the possibilities of reducing to practice their concept either by use of a dedicated station to be manufactured by Heidelberg, selling them a number of new processes or by a modification of a prior art auxiliary "rack back" having an anilox roller and a chambered doctor.
  - 31. That concept was communicated by the '363 patentees to Steve Baker in Atlanta in a restaurant on June 12, 1994, with the intent to induce Baker to explore the possibilities of his company manufacturing such a modified "rack-back." Baker, upon returning to his office, told Bird, DeMoore and possibly Garner. Such corroborated transmission of the concept to PRI by the team of PRI employees admitted by PRI to have worked on PRI's apparatus to perform the

concept – Bird, Rendleman, and DeMoore, the applicants of Serial No. 08/435,798 – is sufficient to carry Defendants' burden of proof as to derivation by a preponderance of the evidence. Hedgewick, supra; In re Mathews, 408 F.2d 1393, 161 U.S.P.Q. 1393 (CCPA 1969); and In re Kaplan, 789 F.2d 1574, 229 U.S.P.Q. 678 (CCPA 1986).

- 32. Equitable estoppel may apply where there is (1) unreasonable and inexcusable delay in filing suit, (2) prejudice to the defendant as a result of the delay, (3) affirmative conduct by the party against whom estoppel is asserted inducing the belief it abandoned its claim, and (4) detrimental reliance by the party asserting estoppel. Hottel Corp. v. Seaman Corp., 833 F.2d 1570, 1573, 4 U.S.P.Q.2d 1939, 1941 (Fed. Cir. 1987); MCV, Inc. v. King-Seely Thermos Co., 870 F.2d 1568, 1571 (Fed. Cir. 1989).
- 33. The '363 patentees communicated to PRI employees Bird and Baker in January, 1995 that they would file an application on what they considered to be their new, improved process. Bird testified that he considered the process to be that of the '363 patentees and made no objection.
  - 34. The PRI team Bird, Rendelman and DeMoore filed their patent application on May 4, 1995 but did not claim the '363 process. In fact, at no time to date did they amend their claims in Serial No. 08/435,798, even within the one year period permitted by law after the issuance of the '363 patent on May 20, 1997, to copy any of the issued '363 claims. Significantly, former PRI Vice-President Garner testified that they knew about the '363 patent in late 1997 or early 1998.
- 35. Despite Garner's testimony, DeMoore and PRI indicated in their COMPLAINT that they did not know about the '363 patent until December, 1998 and learned about it only through a potential customer. This contention lacks any credibility whatsoever, given

DeMoore's intense interest in patents, his interest in a device to practice the '363 process, his financial interest in the equipment to practice the process, and his financial losses alleged in his Complaint. I have had many small to medium-size clients who were manufacturing mechanical devices, and periodic review of the patent literature for competitive patents is commonplace. DeMoore's allegation of learning about the issuance of the '363 patent in December, 1998 is unbelievable.

- Regardless, PRI's delay in pursing any claim to the '363 invention or filing suit -36. of over four years - was unreasonable. MCV, supra. The first element of the Hottel test has been met.
- Defendant WPC's only hope of realizing significant income from the '363 37. process - other than selling printed materials made according to the process - is by licensing the '363 process to others. As long as an inventorship fight hangs as a cloud over the '363 patent, licensing possibilities are remote, if not impossible. The second element of Hottel has clearly been not.
  - 38. PRI, having been told of the forthcoming filing of the application for the '363 process in January, 1995 and having done nothing in 1995, 1996, 1997 or 1998 to copy the '363 claims, while at the same time continuing to do business with Defendants during that time period, including, but not limited to, the construction and delivery of interstation coaters and driers in 1995-1997, induced Defendants into reasonably believing PRI would not assert any claims of the '363 process. The third element of Hottel has been met.
  - 39. Defendants acted to their detriment in relying on Plaintiffs' acquiescence concerning their failure to claim the '363 process. Defendants could have gone to any one of a number of different "rack-back" manufacturers to develop an alternative "rack-back" in 1995-

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1998, which manufacturers were identified in the Garner and Bird depositions. Instead, the declaration and deposition testimony shows that Printing Research installed at least three interstation machines in the period 1995-1997, that Williamson paid for the machines manufactured for them at their respect to perform the '363 process, and proceeded to try to work out their difficulties with Printing Research. The fourth and final element of Hottel has therefore been met. The letters attached to the Rule 57(b) declaration of '363 patentees indicated that PRI did not object to the identity of the '363 patentees as solely consisting of Williamson Printing Corporation employees Davis and Williamson until March, 1999 during license negotiations. After prosecuting Serial No. 08/435,798 for four years without claiming the '363 process, and after being told before they filed their application by Davis and Williamson that the latter would file an application on that process, plaintiffs are estopped to pursue claims of inventorship of the '363 process. MCV.

10. The opinions given herein are based solely on the testimony and other documents listed in item 11 above, which the undersigned has reviewed, and the undersigned reserves the right to change, to alter or to enhance his testimony upon the review of additional testimony or other documents.

October 5, 2000

BERNAILA ROE PRAVEL

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\*\* TOTAL PAGE. 02 \*\*

# **CERTIFICATE OF SERVICE**

I hereby certify that the foregoing Defendants' Initial Designation of Experts with the attached report of Bernarr R. Pravel was served on Plaintiffs' counsel by hand delivering true and correct copies thereof to the offices of Plaintiffs' counsel on the 5<sup>th</sup> day of October, 2000, addressed as follows:

William D. Harris, Jr. LOCKE LIDDELL & SAPP, LLP 2200 Ross Ave., Suite 2200 Dallas, Texas 75201 Martin J. Sweeney
COZEN AND O'CONNOR
1717 Main Street, 2300 Bank One Centre
Dallas, Texas 75201

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John P. Pinkerton

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# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

PRINTING RESEARCH, INC.,
HOWARD W. DEMOORE,

Plaintiffs,

V.

WILLIAMSON PRINTING CORP.,
BILL L. DAVIS, and
JESSE S. WILLIAMSON,
Defendants.

CIVIL ACTION NO. 3-99CV1154-M

### **PLAINTIFFS' EXPERT DESIGNATION AND REPORTS**

Plaintiffs, Printing Research, Inc. and Howard W. DeMoore, (collectively "Plaintiffs"), designate the following as experts for this action and herewith submit their reports as Exhibit A and Exhibit B under Rule 26(a)(2):

Professor W.S. Mott 2359 Leona Avenue San Luis Obispo, CA 93401-5368

Warren B. Kice Haynes and Boone, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789

The report of Dr. Mott, who lives in California, was sent by fax. A signed ribbon copy will be tendered for filing when it arrives. It is expected on October 6, 2000.

Respectfully submitted:

William D. Harris, Jr.

State Bar No. 09109000

L. Dan Tucker

State Bar No. 20276500

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**ATTORNEYS FOR PLAINTIFFS** 

#### **CERTIFICATE OF SERVICE**

I hereby certify that the foregoing "Plaintiffs' Expert Designation and Reports" was served on Defendants' counsel by United States Postal Service Express Mail on October 5, 2000:

John P. Pinkerton WORSHAM, FORSYTHE & WOOLDRIDGE, L.L.P. 1601 Bryan, 30th Floor Dallas, Texas 75201 (214) 979-3065 (214) 880-0011 (Facsimile)

Attorney for Plaintiffs

FROM: W S MOTT

FAX NO. : 1 805 545 8723

Oct. 05 2000 07:13AM P2

EXHIBIT A

Prof. W.S. Mott

Printing Technology Consultant 2359 Leona Avenuc San Luis Obispo, CA 93401-5368 Voice 805-544-5339 Fax 805-545-6723 simott2359@aol.com

William D. Harris, Jr, Esq. Locke Liddell & Sapp, LLP 2200 Ross Avenue, Suite 2200 Dallas, TX 75201-6776

#### REPORT

My name is William Stephen Mott, my Curriculum Vitae is attached. My printing industry experience extends from 1958 to the present. I was employed in the printing industry for approximately 10 years prior to accepting a position at the University. Currently I am a professor of Graphic Communication at California Polytechnic State University at San Luis Obispo where I have been employed for 32 years specializing in sheet fed offset lithography and the control of its quality. My teaching assignment also includes instruction on papers and inks. I conduct undergraduate classes, provide consulting services to the industry, and teach continuing education seminars to industry personnel.

I have been retained as an expert witness by the law offices of Locke Liddell and Sapp LLP. My compensation is \$150 per hour for research and \$300 per hour for testimony. I am familiar with Printing Research, Inc., but have never been employed by that company. I performed work as a consultant in the case of Printing Research Inc. vs International Paper Co. through the engagement by outside counsel for Printing Research Inc. I have not given testimony in court or by deposition within the past four years.

I have read portions of:

Patents 5,370,976 and 5,630,363 held by Williamson Printing Corporation; Specification Accompanying Patent Application of the named Inventors Rendleman, DeMoore and Bird filed May 4, 1995; Joint Declaration under 37 C.F.R. §1.57(b) of Davis & Williamson of May 20, 1999; Joint Declaration under 37 C.F.R. §1.131 of Davis & Williamson of June 30, 2000; Supplemental Joint Declaration of Davis & Williamson of May 9, 2000; Summary of Interview for July 20, 2000; Deposition of Steven Baker of August 9, 2000; Deposition of Scott Brown of August 10, 2000;

Deposition of Steve Garner of August 11, 2000; Graphic Arts Monthly magazine article of June 1995 "In-Line Coating Spurs Sheetfed."

Prior to deposition I intend to review:
Deposition of John Bird, Sept. 12, 2000;
Deposition of Bill Davis, Sept. 20, 2000; and
Other depositions that may become available.

I intend to review for the court the basics of printing such as how printing ink is applied to paper and subsequently dries, the differences, advantages and disadvantages of offset lithography and of flexography. I anticipate presenting photographs and diagrams of a multicolor offset lithographic press and of a flexographic printing unit.

The lithographic offset printing process is a planographic rotary process whereby the image and non-image areas on the printing plate are in the same plane and which chemical treatments ensure that ink adheres to some areas and not others. The image is transferred (offset) indirectly from the plate cylinder to a rubber blanket cylinder and finally to the substrate. The plates are thin sheets of aluminum. The inks are viscous polymeric compounds that are applied to the substrate in very thin layers, are generally transparent, and dry over time. Lithographic offset is utilized to print products for industry segments such as corporate annual reports, books, magazines, greeting cards, stationery, invitations, business forms, advertising and promotional items, folding cartons for packaging, and product brochures.

Flexography is a method of direct rotary printing that uses resilient relief image plates of rubber or photopolymer (plastic). The inks are liquids of either solvent or aqueous base which are applied from 50% to 100% greater thickness than lithography and which dry rapidly. This greater film thickness allows for opaque inks to be printed. Flexography is utilized to print products such as plastic shopping bags, aluminum foil for food product wrappers and consumer items, corrugated shipping boxes, gift wrap paper, wall paper, milk and beverage cartons, folding cartons, paper sacks, tags and labels.

Lithoflex<sup>TM</sup> is the trademark for a combination of two printing processes by which I mean offset lithography and flexography, both performed on one machine. I will

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FROM : W S MOTT

describe the advantages of Lithoflex<sup>TM</sup> in that only one pass through a press is required to print both processes and only one press is required. In-line processing reduces the time required to manufacture a product therefor realizing cost reductions. Significant investment savings also occur, as fewer machines are required. I will discuss the differences between convertible and dedicated printing stations on a press.

The Rendleman coater is an accessory device mounted on an offset lithographic press for the purpose of adding flexographic printing capabilities to the that press, i.e., Lithoflex<sup>TM</sup>, which is described in the Patent Application of May 4, 1995 mentioned above. I expect to show still photographs of an operating press which has the Rendleman coater installed and operating. I expect to show video of another Rendleman coater on a test stand. The defendant may view these photographs and/or video at a convenient time and place. I expect to show examples of Lithoflex<sup>TM</sup> products printed using the Rendleman coater.

I expect to testify that Patent '976 does not state which type of printing plate material is to be used nor does it state the printing process to be used. This information would have to be inferred or assumed by those who are degreed and experienced in the printing industry. The '976 has little, if any, bearing or or relation to the '363 patent.

In my opinion, the disclosure in the May 4, 1995 Patent Application by Printing Research Inc., would enable an experienced, educated person in the commercial printing industry to understand the nature of the device, how it is mounted on an offset lithographic press and the manner in which the device is utilized for printing. It would certainly teach the process of printing in-line in a single pass of flexography followed by one or more lithographic steps.

I was asked to consider when and what constituted a sufficient mental formulation by the inventor(s) of a complete idea for a product or process in the present matter. I was to consider that the idea must be of specific means, not just a desirable end or result, that must be sufficiently complete so as to enable anyone of ordinary skill in the art to reduce the concept to practice. In the context of on-line upstream single pass flexographic printing followed by lithographic printing, the ferris wheel coater

(Rendleman coater) mounted upstream in combination with a multi-station press accomplishes this. The summer of 1994 discussions between Howard DeMoore and Ronald Rendleman, followed by the sketches of the winter of 1994, and particularly of December 30, 1994 by Rendleman meet the foregoing requirements.

In a broader sense, the summer disclosure of Mr. DeMoore to Mr. Rendleman by which his thinking or concept was disclosed by his inquiry to Mr. Rendleman of whether he could place a coater interstage discloses the recognition by Mr. DeMoore of the desirability of having a convertible (from lithographic) flexographic step or station upstream of lithographic stations. This convertible concept contrasts with a dedicated flexographic station to provide the upstream flexographic step. When the ferris wheel type coater is used the concept involves the use of a convertible flexo/litho station. I have not yet formulated an opinion as to whether the disclosure involving only generally flexo before litho (single pass) constitutes a sufficiently complete idea to teach one how to practice or perform but I expect to supplement this report if I do. I do believe now that this is a broad idea that may be short of a concrete concept. The addition of a convertible printing station with a Rendleman coater provides a specific means to accomplish the desired result.

Also, I have noted the lack of a specific means in many of the concepts of 1994 testified by affidavit and deposition. I believe the scope of the invention(s) at issue may be ultimately of some importance in determining this matter, but not being a patent lawyer nor an expert on patent law, I cannot speak to ultimate points of law in my opinions.

I am of the opinion that the language and teaching in Patent Application filed May 4, 1995 is quite sufficient to teach one or ordinary skill in the art how to practice the invention ultimately described in the '363 patent. This is based on a review of the May 4, 1995 application and the '363 patent. Moreover, I also believe that the May 4, 1995 application likewise teaches how to practice the concepts developed by DeMoore and Rendleman that are described above in the preceding paragraph.

The drawing dated Dec. 30, 1994 from Printing Research is virtually identical to the mechanism illustrated in the Application of May 4, 1995 and in my opinion is one and

the same. Moreover, it is essentially the same as sketched in Figure 2 of the '363 Patent, and it clearly suggests the Lithoflex<sup>TM</sup> process.

The simulation of a two color in-line process by instead printing those two colors in two separate passes reveals little of the feasibility of the in-line process, only the desirability, as the dynamics of ink drying and trapping are significantly different between the two methods.

I am not a patent attorney nor am I skilled in the law of patents. I express no views, opinions of what is and what isn't an invention or who is entitled to priority of invention, I speak only to the extent that I am given definitions or tests or hypothesis to consider.

Freserve the right to supplement my opinion as I become aware of additional materials that might make supplementation reasonably necessary.

This testimony will be based upon my observations, expertise, and 40 years experience in the printing industry plus the materials I have reviewed in the case (see above).

William Stephen Mott

October 4, 2000

#### **CURRICULUM VITAE**

W. STEPHEN MOTT, Professor Graphic Communication Department California Polytechnic State University San Luis Obispo, CA 93407 Ph. 805-756-2027 Fax 805-756-7118 email: wmott@calpoly.edu

Residence: 2359 Leona Avenue San Luis Obispo, CA 93401-5368 Ph. 805-544-5339 Fax 805-545-8723 email: sjmott2359@aol.com

# **EDUCATION:**

M.A., Education—Specialization in Curriculum and Instruction, California Polytechnic State University, SLO, 1973 B.S., Printing Engineering, California State Polytechnic College, SLO, 1959

#### PROFESSIONAL EXPERIENCE:

Professor, Graphic Communication Department, 1982 to present, specializing in sheet fed offset lithography and its quality control, papers and inks
Acting Department Head, Graphic Communication, 1989-90
Associate Professor, Graphic Comm. Dept., 1977-1982
Assistant Professor, Graphic Comm. Dept., 1972-1977

#### RELATED PROFESSIONAL EXPERIENCE:

Expert witness in six actions, 1993 to 1999
Consultant to more than 25 clients in printing industry, 1974 to present Education Consultant, Heidelberg West, Inc., 1985-86
Equipment Technician II, Graphic Comm. Dept., 1968-1972
Supervising Press operator, Comm. Printers Co., Tucson, 1963-1968

# APPLIED RESEARCH PROJECTS:

New Product Development, Hurst Corp., 1999 New Product Testing, Boise Cascade Corp., 1999 Print Quality-Color Inks, Base-Line Co., 1997 Print Quality-Black Ink, Base-line Co., 1997 Plate Print Quality Testing, Base-Line Co., 1996

#### CONSULTING ACTIVITIES:

Blake Printery, San Luis Obispo, 1995, Color Control with Spectrophotometers
DowBrands, Inc., Indianapolis, 1991, Printing Specifications
Potlatch Corp., Idaho, 1991, Print Quality Analysis
United Nations, Intl. Trade Center, Export Promotion Project for S.E. Asia, Bangkok, 1990
Heidelberg West, Inc., San Francisco, 1990, Air Quality Measurements
Sun Chemical Corp. (GPI), San Luis Obispo, February 1990, Process Color
Calif. State Employees Assn., San Jose, March 1989, Process Color Printing
Weyerhaeuser Company, 1989, Flexography Printability Testing
Printing Impressions Company, Santa Barbara, 1988, Equipment Acquisition
Gaylord Corp., 1987, Flexographic Printability Testing
Weyerhaeuser Company, 1987, Lithographic Printability Testing
Mervyn's, Hayward, Calif., 1986, Process Color Printing
Speedway Copy Systems, San Francisco, 1986, Process Color Printing
Heidelberg West, Inc., San Francisco, 1985-6, training curricula & techniques
U.S. Penitentiary, Lompoc, CA, rehabilitation printing technology, 1984-85
Thirteen other firms. Consultant services provided in areas of equipment acquisitions, modifications and repairs, operational techniques, employee training 1974-83

FROM : W S MOTT

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#### PARTICIPATION IN PROFESSIONAL ASSOCIATIONS AND ORGANIZATIONS:

Group Discussion Leader, GAERF Teacher Conference, Philadelphia, 1994

Active Memberships in:

Graphic Arts Technical Foundation, Sewickley, PA. Research & Engineering Council, White Stone, VA

# PUBLICATIONS, PAPERS PRESENTED:

#### Articles:

'Getting Levers Off the Presses," Dealer Communicator, Nov. 1989.

"IR and UV Drying" High Volume Printing, June, 1988
"Your Duplicators 'Can Do' Four Color Printing!" Ouick Printing, Sept. 1987
"Where Stands Standardization for Sheetled?" High Volume Printing.

"Manufacturers as Educators," Graphic Arts Monthly, July, 1986

"Marketing, Italian Style," Graphic Arts Monthly, November, 1984

"The Metric System in Printing," Printing Journal of N. California, 1975

Printing Four Color Process on a Duplicator or Small Press. San Luis Obispo (CA), 1992, Graphic Services+Seminars.

Papers presented:

"Where Stands Standardization for Sheetfed? A Study of the Practices and Attitudes of Sheetfed Commercial Printers in the West." Technical Association of the Graphic Arts (TAGA), March, 1987.

# EXHIBIT B

# Printing Research, Inc. v. Williamson Printing Corporation

# **LEGAL EXPERT'S REPORT**

My name is Warren Kice and my curriculum vitae is attached. I have been a partner with the law firm of Haynes and Boone since 1987 and have 37 years of experience in patent law. I have been retained as an expert witness by the law firm of Locke, Liddell and Sapp. My compensation is \$410 per hour.

In the past, I have been designated as an expert witness and deposed in the following lawsuits:

- 1. Printing Research v. John Bird d/b/a JB Machinery, Inc.; JB Machinery, Inc., and Absolute Images, Inc. d/b/a The Nelson Group, Inc.
  - 2. Mountain Math, Inc. v. Summit Educational Enterprises, Inc.

My latest publication is a document entitled: "Writing and Prosecuting Winning Patents, published on August 26, 2000.

In connection with the present lawsuit I have reviewed at least a portion of each of the following documents:

- 1. Patent Application entitled "RETRACTABLE INKING/COATING APPARATUS HAVING FERRIS MOVEMENT BETWEEN PRINTING UNITS";
- 2. U.S. Patent 5,630,363

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- 3. Joint Declaration (1) Under 37 C.F.R. § 1.131 AND (2) Pertaining To Derivation By DeMoore and Printing Research, Inc. of Reissue Applicants' Invention of Bill L. Davis and Jesse S. Williamson;
- 4. Joint Declaration Submitted Under 37 C.F.R. § 1.57(b) of Bill L. Davis and Jesse S. Williamson;
- 5. Supplemental Joint Reissue Declaration of Bill L. Davis and Jesse S. Williamson;
- 6. Summary of Interview Under 37 C.F.R. §1.133;
- 7. Reissue Declaration of Bill L. Davis and Jesse S. Williamson;
- 8. Various Patent Statutes:
- 9. Various Sections of the Manual of Patent Examining Procedure;
- 10. Prof. W. S. Mott's Draft Report.

I intend to review for the court the basis of some areas of the patent law as they may apply to this lawsuit. Included will possibly be the basics of inventorship including joint inventorship; conception, reduction to practice and diligence; and possibly derivation of invention.

More particularly, I expect to testify that certain employees, possibly including Howard DeMoore and Ronald Rendleman, of Printing Research, Inc. may be, in fact, the inventors of the invention disclosed and claimed in U.S. Patent No. 5, 630,363 (the "363 patent"), or at least joint inventors with the currently named inventors of the '363 patent.

I may testify as to the impact of discussions in the summer of 1994 between Messrs. DeMoore and Rendleman of Printing Research, Inc., followed by the sketches of late December 1994 as they apply to conception of the invention disclosed and claimed in the '363 patent.

I may testify as to the derivation of the aforementioned DeMoore and Rendleman invention by employees of Williamson Printing Company as a result of interaction between the above parties.

I may testify as to the scope of the invention in the '363 patent, but I am informed that the necessary facts and subjects in this respect have not yet been discovered in this lawsuit to enable me to opine at this time.

My opinions will be based on the documents I have read, the relation by counsel of certain facts he represented were contained in the Rendleman deposition, and the application of the law to the foregoing.

Warren B. Kice October 5, 2000

# **CURRICULUM VITAE**

#### WARREN B. KICE

Warren Kice is a partner in the Intellectual Property Section of Haynes and Boone. He has over 35 years of practice of intellectual property law, beginning with a period of employment at the United States Patent and Trademark Office in Washington D.C., as a Patent Examiner. He then entered private practice in Washington D. C. before joining Haynes and Boone in Dallas where he founded the Intellectual Property/Technology Section in 1987.

His practice consists primarily of preparation and prosecution of patent and trademark applications before the U.S. Patent and Trademark Office and litigation of patent and trademark matters, including expert witnessing. Other areas of his expertise include patent and trademark licensing, copyright prosecution and litigation and counseling clients regarding all phases of intellectual property. Related activities include presenting papers and speeches on intellectual property and training younger lawyers in the field.

Mr. Kice has written and prosecuted over 500 patent application in a myriad of technological areas, including power plant equipment (boilers, feedwater, heaters, turbines, fluidized beds), fire protection systems, automotive engines, tire manufacturing equipment, downhole oil field equipment, gasoline dispensing and vapor recovery systems, fluid valves, and electrical cables and connectors.

Mr. Kice is a member of the State Bar of Texas, the Intellectual Property Section of the State of Texas, the American Bar Association Sections on Litigation and Patent, Trademark and Copyright Law, the American Intellectual Property Law Association, the Dallas/Fort Worth Patent Law Association, the Licensing Executive Society and The United States Trademark Association.

Born in Ada, Oklahoma, Mr. Kice earned a degree in mechanical engineering in 1959 from the University of Oklahoma and his law degree in 1962 from the University of Oklahoma.

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